

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Part 1	Preliminary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1	Overview	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(1)	N/A	This Act makes provision about the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(2)	N/A	Most processing of personal data is subject to the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(3)	N/A	Part 2 supplements the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(4)	N/A	Part 3 makes provision about the processing of personal data by competent authorities for law enforcement purposes....	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(5)	N/A	Part 4 makes provision about the processing of personal data by the intelligence services (and certain processing carried out by competent authorities jointly with the intelligence services).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(6)	N/A	Part 5 makes provision about the Information Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(7)	N/A	Part 6 makes provision about the enforcement of the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 1(8)	N/A	Part 7 makes supplementary provision, including provision about the application of this Act to the Crown and to Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 2	Protection of personal data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 2(1)	N/A	The UK GDPR and this Act protect individuals with regard to the processing of personal data, in particular by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 2(1)(a)	N/A	requiring personal data to be processed lawfully and fairly, on the basis of the data subject's consent or another specified basis,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 2(1)(b)	N/A	conferring rights on the data subject to obtain information about the processing of personal data and to require inaccurate personal data to be rectified, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 2(1)(c)	N/A	conferring functions on the Commissioner, giving the holder of that office responsibility for monitoring and enforcing their provisions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3	Terms relating to the processing of personal data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(1)	N/A	This section defines some terms used in this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(2)	N/A	"Personal data" means any information relating to an identified or identifiable living individual (subject to subsection (14)(c)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(3)	N/A	"Identifiable living individual" means a living individual who can be identified, directly or indirectly, in particular by reference to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(3)(a)	N/A	an identifier such as a name, an identification number, location data or an online identifier, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(3)(b)	N/A	one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of the individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)	N/A	"Processing", in relation to information, means an operation or set of operations which is performed on information, or on sets of information, such as—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(a)	N/A	collection, recording, organisation, structuring or storage,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(b)	N/A	adaptation or alteration,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(c)	N/A	retrieval, consultation or use,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(d)	N/A	disclosure by transmission, dissemination or otherwise making available,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(e)	N/A	alignment or combination, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(4)(f)	N/A	restriction, erasure or destruction, (subject to subsection (14)(c) and sections 5(7), 29(2) and 82(3), which make provision about references to processing in the different Parts of this Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(5)	N/A	"Data subject" means the identified or identifiable living individual to whom personal data relates.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(6)	N/A	"Controller" and "processor", in relation to the processing of personal data to which ... Part 2, Part 3 or Part 4 applies, have the same meaning as in that ... Part (see sections 5, 6, 32 and 83 and see also subsection (14)(d)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(7)	N/A	"Filing system" means any structured set of personal data which is accessible according to specific criteria, whether held by automated means or manually and whether centralised, decentralised or dispersed on a functional or geographical basis.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(8)	N/A	"The Commissioner" means the Information Commissioner (see section 114).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(8A)	N/A	"The Commission" means the Information Commission (see section 114A).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(9)	N/A	"The data protection legislation" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(9)(a)	N/A	the UK GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(9)(c)	N/A	this Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(9)(d)	N/A	regulations made under this Act or the UK GDPR, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(10)	N/A	"The UK GDPR" means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (United Kingdom General Data Protection Regulation), as it forms part of the law of England and Wales, Scotland and Northern Ireland by virtue of section 3 of the European Union (Withdrawal) Act 2018 (and see section 205(4)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(10A)	N/A	"The EU GDPR" means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27th April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation) as it has effect in EU law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(12)	N/A	"The Law Enforcement Directive" means Directive (EU) 2016/680 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data by competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and on the free movement of such data, and repealing Council Framework Decision 2008/837/JHA.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(13)	N/A	"The Data Protection Convention" means the Convention for the Protection of Individuals with regard to Automatic Processing of Personal Data which was opened for signature on 28 January 1981, as amended up to the day on which this Act is passed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(14)	N/A	In Parts 5 to 7, except where otherwise provided—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(14)(a)	N/A	references to the UK GDPR are to the UK GDPR read with Part 2;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(14)(c)	N/A	references to personal data, and the processing of personal data, are to personal data and processing to which ... Part 2, Part 3 or Part 4 applies;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(14)(d)	N/A	references to a controller or processor are to a controller or processor in relation to the processing of personal data to which ... Part 2, Part 3 or Part 4 applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 3(15)	N/A	There is an index of defined expressions in section 206.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 2	General Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

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Part 2, Chapter 1	Scope And Definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 4	Processing to which this Part applies	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 4(1)	N/A	This Part is relevant to most processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 4(2)	N/A	This Part—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 4(2)(a)	N/A	applies to the types of processing of personal data to which the UK GDPR applies by virtue of Article 2 of the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 4(2)(b)	N/A	supplements, and must be read with, the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5	Definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5(1)	N/A	Terms used in ... this Part and in the UK GDPR have the same meaning in this Part as they have in the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5(2)	N/A	In subsection (1), the reference to a term's meaning in the UK GDPR is to its meaning in the UK GDPR read with any provision of this Part which modifies the term's meaning for the purposes of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5(3)	N/A	Subsection (1) is subject to any provision in this Part which provides expressly for the term to have a different meaning and to section 204.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5(7)	N/A	A reference in ... this Part to the processing of personal data is to processing to which this Part applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 5(8)	N/A	Sections 3 and 205 include definitions of other expressions used in this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 2, Chapter 2	The Uk Gdpr	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6	Meaning of "controller"	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(1)	N/A	The definition of "controller" in Article 4(1)(7) of the UK GDPR has effect subject to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(1)(a)	N/A	subsection (2),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(1)(b)	N/A	section 209, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(1)(c)	N/A	section 210.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(2)	N/A	For the purposes of the UK GDPR, where personal data is processed only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(2)(a)	N/A	for purposes for which it is required by an enactment to be processed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 6(2)(b)	N/A	by means by which it is required by an enactment to be processed, the person on whom the obligation to process the data is imposed by the enactment (or, if different, one of the enactments) is the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7	Meaning of "public authority" and "public body"	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(1)	N/A	For the purposes of the UK GDPR, the following (and only the following) are "public authorities" and "public bodies"—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(1)(a)	N/A	a public authority as defined by the Freedom of Information Act 2000,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(1)(b)	N/A	a Scottish public authority as defined by the Freedom of Information (Scotland) Act 2002 (asp 13),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(1)(ba)	N/A	the Advanced Research and Invention Agency, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(1)(c)	N/A	an authority or body specified or described by the Secretary of State in regulations, subject to subsections (2), (3) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(2)	N/A	An authority or body that falls within subsection (1) is only a "public authority" or "public body" for the purposes of the UK GDPR when performing a task carried out in the public interest or in the exercise of official authority vested in it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)	N/A	The references in subsection (1)(a) and (b) to public authorities and Scottish public authorities as defined by the Freedom of Information Act 2000 and the Freedom of Information (Scotland) Act 2002 (asp 13) do not include any of the following that fall within those definitions—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(a)	N/A	a parish council in England,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(b)	N/A	a community council in Wales;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(c)	N/A	a community council in Scotland;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(d)	N/A	a parish meeting constituted under section 13 of the Local Government Act 1972;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(e)	N/A	a community meeting constituted under section 27 of that Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(f)	N/A	charter trustees constituted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(f)(i)	N/A	under section 246 of that Act,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(f)(ii)	N/A	under Part 1 of the Local Government and Public Involvement in Health Act 2007, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(3)(f)(iii)	N/A	by the Charter Trustees Regulations 1996 (S.I. 1996/263).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(4)	N/A	The Secretary of State may by regulations provide that a person specified or described in the regulations that is a public authority described or mentioned in subsection (1)	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(4)(a)	N/A	, (b) or (ba) is not a "public authority" or "public body" for the purposes of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 7(5)	N/A	Regulations under this section are subject to the affirmative resolution procedure. Lawfulness of processing	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8	Lawfulness of processing: public interest etc	In Article 6(1) of the UK GDPR (lawfulness of processing), the reference in point	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8(e)	N/A	to processing of personal data that is necessary for the performance of a task carried out in the public interest or in the exercise of ... official authority includes processing of personal data that is necessary for—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8(e)	N/A	the administration of justice,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8(b)	N/A	the exercise of a function of either House of Parliament,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8(c)	N/A	the exercise of a function conferred on a person by an enactment or rule of law,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 8(d)	N/A	the exercise of a function of the Crown, a Minister of the Crown or a government department, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A	Processing in reliance on relevant international law	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(1)	N/A	Processing of personal data meets the requirement in Article 6(3), 8A(3)(e), 9(2)(g) or 10(1) of the UK GDPR for a basis in, or authorisation by, relevant international law only if it meets a condition in Schedule A1.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(2)	N/A	A condition in Schedule A1 may be relied on for the purposes of any of those provisions, unless that Schedule provides otherwise.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(3)	N/A	The Secretary of State may by regulations amend Schedule A1 by adding, varying or omitting—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(3)(a)	N/A	conditions,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(3)(b)	N/A	provision about the purposes for which a condition may be relied on, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(3)(c)	N/A	safeguards in connection with processing carried out in reliance on a condition in the Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	

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Section 9A(4)	N/A	Regulations under this section may only add a condition relating entirely or partly to a treaty ratified by the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(5)	N/A	Regulations under this section are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 9A(6)	N/A	In this section, "treaty" and "ratified" have the same meaning as in Part 2 of the Constitutional Reform and Governance Act 2010 (see section 25 of that Act). Special categories of personal data	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10	Special categories of personal data and criminal convictions etc data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)	N/A	Subsections (2) and (3) make provision about the processing of personal data described in Article 9(1) of the UK GDPR (prohibition on processing of special categories of personal data) in reliance on an exception in one of the following points of Article 9(2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)(a)	N/A	point (b) (employment, social security and social protection);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)(b)	N/A	point (g) (substantial public interest);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)(c)	N/A	point (h) (health and social care);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)(d)	N/A	point (i) (public health);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(1)(e)	N/A	point (j) (archiving, research and statistics).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(2)	N/A	The processing meets the requirement in point (b), (h), (i) or (j) of Article 9(2) of the UK GDPR for authorisation by, or a basis in, the law of the United Kingdom or a part of the United Kingdom only if it meets a condition in Part 1 of Schedule 1.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(3)	N/A	The processing meets the requirement in point (g) of Article 9(2) of the UK GDPR for a basis in the law of the United Kingdom or a part of the United Kingdom only if it meets a condition in Part 2 of Schedule 1.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(4)	N/A	Subsection (5) makes provision about the processing of personal data relating to criminal convictions and offences or related security measures that is not carried out under the control of official authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(5)	N/A	The processing meets the requirement in Article 10(1) of the UK GDPR for authorisation by the law of the United Kingdom or a part of the United Kingdom only if it meets a condition in Part 1, 2 or 3 of Schedule 1.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(6)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(6)(a)	N/A	amend Schedule 1—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(6)(a)(i)	N/A	by adding or varying conditions or safeguards, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(6)(a)(ii)	N/A	by omitting conditions or safeguards added by regulations under this section, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(6)(b)	N/A	consequently amend this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 10(7)	N/A	Regulations under this section are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11	Special categories of personal data etc: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(1)	N/A	For the purposes of Article 9(2)(h) of the UK GDPR (processing for health or social care purposes etc), the circumstances in which the processing of personal data is carried out subject to the conditions and safeguards referred to in Article 9(3) of the UK GDPR (obligation of secrecy) include circumstances in which it is carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(1)(a)	N/A	by or under the responsibility of a health professional or a social work professional, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(1)(b)	N/A	by another person who in the circumstances owes a duty of confidentiality under an enactment or rule of law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(2)	N/A	In Article 10 of the UK GDPR and section 10, references to personal data relating to criminal convictions and offences or related security measures include personal data relating to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(2)(a)	N/A	the alleged commission of offences by the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 11(2)(b)	N/A	proceedings for an offence committed or alleged to have been committed by the data subject or the disposal of such proceedings, including sentencing. Rights of the data subject	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12	Limits on fees that may be charged by controllers	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(1)	N/A	The Secretary of State may by regulations specify limits on the fees that a controller may charge in reliance on—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(1)(a)	N/A	Article 12(5) of the UK GDPR (reasonable fees when responding to manifestly unfounded or excessive requests), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(1)(b)	N/A	Article 15(3) of the UK GDPR (reasonable fees for provision of further copies).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(2)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(2)(a)	N/A	require controllers of a description specified in the regulations to produce and publish guidance about the fees that they charge in reliance on those provisions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(2)(b)	N/A	specify what the guidance must include.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 12(3)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 13	Obligations of credit reference agencies	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 13(1)	N/A	This section applies where a controller is a credit reference agency (within the meaning of section 145(8) of the Consumer Credit Act 1974).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 13(2)	N/A	The controller's obligations under Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) are taken to apply only to personal data relating to the data subject's financial standing, unless the data subject has indicated a contrary intention.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 13(3)	N/A	Where the controller discloses personal data in pursuance of Article 15(1) to (3) of the UK GDPR, the disclosure must be accompanied by a statement informing the data subject of the data subject's rights under section 159 of the Consumer Credit Act 1974 (correction of wrong information). 13A Meaning of "relevant offence" for purpose of right to erasure	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15	Exemptions etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(1)	N/A	Schedules 2, 3 and 4 make provision for exemptions from, and restrictions and adaptations of the application of, rules of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)	N/A	In Schedule 2—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)(a)	N/A	Part 1 makes provision adapting or restricting the application of rules contained in Articles 13 to 21 and 34 of the UK GDPR in specified circumstances (of a kind described in Article 6(3) and Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)(b)	N/A	Part 2 makes provision restricting the application of rules contained in Articles 13 to 21 and 34 of the UK GDPR in specified circumstances (of a kind described in Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 15(2)(c)	N/A	Part 3 makes provision restricting the application of Article 15 of the UK GDPR where this is necessary to protect the rights of others (of a kind described in Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)(d)	N/A	Part 4 makes provision restricting the application of rules contained in Articles 13 to 15 of the UK GDPR in specified circumstances (of a kind described in Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)(e)	N/A	Part 5 makes provision containing exemptions or derogations from Chapters II, III, IV and V of the UK GDPR for reasons relating to freedom of expression (of a kind described in Article 85(2) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(2)(f)	N/A	Part 6 makes provision containing derogations from rights contained in Articles 15, 16, 18, 19, 20 and 21 of the UK GDPR for scientific or historical research purposes, statistical purposes and archiving purposes ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(3)	N/A	Schedule 3 makes provision restricting the application of rules contained in Articles 13 to 21 of the UK GDPR to health, social work, education and child abuse data (of a kind described in Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(4)	N/A	Schedule 4 makes provision restricting the application of rules contained in Articles 13 to 21 of the UK GDPR to information the disclosure of which is prohibited or restricted by an enactment (of a kind described in Article 23(1) of the UK GDPR);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(4A)	N/A	In connection with the manual unstructured processing of personal data held by an FOI public authority, see Chapter 3 of this Part (sections 21, 24 and 25).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 15(5)	N/A	In connection with the safeguarding of national security and with defence, see Chapter 3 of this Part (sections 26 to 28).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16	Power to make further exemptions etc by regulations	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(1)	N/A	The following powers to make provision altering the application of the UK GDPR may be exercised by way of regulations made by the Secretary of State under this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(1)(a)	N/A	the power in Article 6(3) ... to lay down a legal basis containing specific provisions to adapt the application of rules of the UK GDPR where processing is necessary for compliance with a legal obligation, for the performance of a task in the public interest or in the exercise of official authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(1)(b)	N/A	the power in Article 23(1) to make provision restricting the scope of the obligations and rights mentioned in that Article where necessary and proportionate to safeguard certain objectives of general public interest;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(1)(c)	N/A	the power in Article 85(2) to provide for exemptions or derogations from certain Chapters of the UK GDPR where necessary to reconcile the protection of personal data with the freedom of expression and information.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)	N/A	Regulations under this section may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)(a)	N/A	amend Schedules 2 to 4—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)(a)(i)	N/A	by adding or varying provisions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)(a)(ii)	N/A	by omitting provisions added by regulations under this section, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)(b)	N/A	consequently amend section 15, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(2)(c)	N/A	consequently amend the UK GDPR by adding, varying or omitting a reference to section 15, Schedule 2, 3 or 4, this section or regulations under this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 16(3)	N/A	Regulations under this section are subject to the affirmative resolution procedure. Certification	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17	Accreditation of certification providers	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(1)	N/A	Accreditation of a person as a certification provider is only valid when carried out by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(1)(a)	N/A	the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(1)(b)	N/A	the UK national accreditation body.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(2)	N/A	The Commissioner may only accredit a person as a certification provider where the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(2)(a)	N/A	has published a statement that the Commissioner will carry out such accreditation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(2)(b)	N/A	has not published a notice withdrawing that statement.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(3)	N/A	The UK national accreditation body may only accredit a person as a certification provider where the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(3)(a)	N/A	has published a statement that the body may carry out such accreditation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(3)(b)	N/A	has not published a notice withdrawing that statement.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(4)	N/A	The publication of a notice under subsection (2)(b) or (3)(b) does not affect the validity of any accreditation carried out before its publication.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(5)	N/A	Schedule 5 makes provision about reviews of, and appeals from, a decision relating to accreditation of a person as a certification provider.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(6)	N/A	The UK national accreditation body may charge a reasonable fee in connection with, or incidental to, the carrying out of the body's functions under this section, Schedule 5 and Article 43 of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(7)	N/A	The UK national accreditation body must provide the Secretary of State with such information relating to its functions under this section, Schedule 5 and Article 43 of the UK GDPR as the Secretary of State may reasonably require.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 17(8)	N/A	In this section— "certification provider" means a person who issues certification for the purposes of Article 42 of the UK GDPR; "the UK national accreditation body" means the UK national accreditation body for the purposes of Article 4(1) of Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93. ... 17A Transfers based on adequacy regulations	Functional	No Relationship	N/A	N/A	N/A	0	
Part 2, Chapter 3	Exemptions For Manual Unstructured Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21	Definitions]	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(5)	N/A	In this Chapter, "FOI public authority" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(5)(a)	N/A	a public authority as defined in the Freedom of Information Act 2000, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(5)(b)	N/A	a Scottish public authority as defined in the Freedom of Information (Scotland) Act 2002 (asp 13) , or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(5)(c)	N/A	the Advanced Research and Innovation Agency.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(6)	N/A	References in this Chapter to personal data "held" by an FOI public authority are to be interpreted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(6)(a)	N/A	in relation to England and Wales and Northern Ireland, in accordance with section 3(2) of the Freedom of Information Act 2000, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(6)(b)	N/A	in relation to Scotland, in accordance with section 3(2), (4) and (5) of the Freedom of Information (Scotland) Act 2002 (asp 13), but such references do not include information held by an intelligence service (as defined in section 82) on behalf of an FOI public authority.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 21(7)	N/A	But personal data is not to be treated as "held" by an FOI public authority for the purposes of this Chapter, where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(7)(a)	N/A	section 7 of the Freedom of Information Act 2000 prevents Parts 1 to 5 of that Act from applying to the personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(7)(b)	N/A	section 7(1) of the Freedom of Information (Scotland) Act 2002 (asp 13) prevents that Act from applying to the personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(8)	N/A	In relation to the Advanced Research and Invention Agency—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(8)(a)	N/A	for the purposes of subsection (6)(a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(8)(a)(i)	N/A	section 3(2) of the Freedom of Information Act 2000 is to be read as if "public authority" included that Agency, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(8)(a)(ii)	N/A	section 3(2) of the Freedom of Information (Scotland) Act 2002 (asp 13) is to be read as if "authority" included that Agency, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 21(8)(b)	N/A	subsection (7) does not apply. ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24	Manual unstructured data held by FOI public authorities	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(1)	N/A	The provisions of the UK GDPR and this Act listed in subsection (2) do not apply to personal data to which the UK GDPR applies by virtue of Article 2(1A) (manual unstructured personal data held by FOI public authorities).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(a)	N/A	in Chapter II of the UK GDPR (principles)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(a)(i)	N/A	Article 5(1)(a) to (c), (e) and (f) (principles relating to processing, other than the accuracy principle),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(a)(ii)	N/A	Article 6 (lawfulness),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(a)(iii)	N/A	Article 7 (conditions for consent),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(a)(iv)	N/A	Article 8(1) and (2) (child's consent),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(v)	N/A	Article 9 (processing of special categories of personal data),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(v)(vi)	N/A	Article 10 (data relating to criminal convictions etc), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(v)(vii)	N/A	Article 11(2) (processing not requiring identification);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(b)	N/A	in Chapter III of the UK GDPR (rights of the data subject)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(b)(i)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(b)(ii)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(b)(iii)	N/A	Article 20 (right to data portability), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(b)(iv)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(c)	N/A	in Chapter V of the UK GDPR, Articles 44A to 49A (transfers of personal data to third countries or international organisations);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(cb)	N/A	in Part 5 of this Act, section 119A (standard clauses for transfers to third countries);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(2)(d)	N/A	in Part 7 of this Act, sections 170 and 171 (offences relating to personal data), (see also paragraph 1(2) of Schedule 18),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)	N/A	In addition, the provisions of the UK GDPR listed in subsection (4) do not apply to personal data to which the UK GDPR applies by virtue of Article 2(1A) where the personal data relates to appointments, removals, pay, discipline, superannuation or other personnel matters in relation to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(a)	N/A	service in any of the armed forces of the Crown;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(b)	N/A	service in any office or employment under the Crown or under any public authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(c)	N/A	service in any office or employment, or under any contract for services, in respect of which power to take action, or to determine or approve the action taken, in such matters is vested in—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(c)(i)	N/A	Her Majesty,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(c)(ii)	N/A	a Minister of the Crown,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(c)(iii)	N/A	the National Assembly for Wales,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(c)(iv)	N/A	the Welsh Ministers,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(v)	N/A	a Northern Ireland Minister (within the meaning of the Freedom of Information Act 2000), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(3)(v)(vi)	N/A	an FOI public authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(4)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(4)(a)	N/A	the remaining provisions of Chapters II and III (principles and rights of the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(4)(b)	N/A	Chapter IV (controller and processor);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(4)(ba)	N/A	Chapter 8A (safeguards for processing for research, archiving or statistical purposes);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(4)(c)	N/A	Chapter IX (specific processing situations).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(5)	N/A	A controller is not obliged to comply with Article 15(1) to (3) of the UK GDPR (right of access by the data subject) in relation to personal data to which the UK GDPR applies by virtue of Article 2(1A) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(5)(a)	N/A	the request under Article 15 does not contain a description of the personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(5)(b)	N/A	the controller estimates that the cost of complying with the request so far as relating to the personal data would exceed the appropriate maximum.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(6)	N/A	Subsection (5)(b) does not remove the controller's obligation to confirm whether or not personal data concerning the data subject is being processed unless the estimated cost of complying with that obligation alone in relation to the personal data would exceed the appropriate maximum.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(7)	N/A	An estimate for the purposes of this section must be made in accordance with regulations under section 12(5) of the Freedom of Information Act 2000.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(8)	N/A	In subsections (5) and (6), "the appropriate maximum" means the maximum amount specified by the Secretary of State by regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 24(9)	N/A	Regulations under subsection (8) are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25	Manual unstructured data used in longstanding historical research	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)	N/A	The provisions of the UK GDPR listed in subsection (2) do not apply to personal data to which the UK GDPR applies by virtue of Article 2(1A) (manual unstructured personal data held by FOI public authorities) at any time when—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)(a)	N/A	the personal data—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 25(1)(a)(i)	N/A	is subject to processing which was already underway immediately before 24 October 1998, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)(a)(ii)	N/A	is processed only for the purposes of historical research, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)(b)	N/A	the processing is not carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)(b)(i)	N/A	for the purposes of measures or decisions with respect to a particular data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(1)(b)(ii)	N/A	in a way that causes, or is likely to cause, substantial damage or substantial distress to a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(2)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(2)(a)	N/A	in Chapter II ... (principles), Article 5(1)(d) (the accuracy principle), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(2)(b)	N/A	in Chapter III ... (rights of the data subject)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(2)(b)(i)	N/A	Article 16 (right to rectification), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(2)(b)(ii)	N/A	Article 17(1) and (2) (right to erasure).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 25(3)	N/A	The exemptions in this section apply in addition to the exemptions in section 24.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26	National security and defence exemption	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(1)	N/A	A provision of the UK GDPR or this Act mentioned in subsection (2) does not apply to personal data to which the UK GDPR applies if exemption from the provision is required for—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(1)(a)	N/A	the purpose of safeguarding national security, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(1)(b)	N/A	defence purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)	N/A	The provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)	N/A	Chapter II of the UK GDPR (principles) except for—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(i)	N/A	Article 5(1)(a) (lawful, fair and transparent processing), so far as it requires processing of personal data to be lawful;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(ii)	N/A	Article 6 (lawfulness of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(iii)	N/A	Article 9 (processing of special categories of personal data);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(b)	N/A	Chapter III of the UK GDPR (rights of data subjects);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(c)	N/A	in Chapter IV of the UK GDPR—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(c)(i)	N/A	Article 33 (notification of personal data breach to the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(c)(ii)	N/A	Article 34 (communication of personal data breach to the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(d)	N/A	Chapter V of the UK GDPR (transfers of personal data to third countries or international organisations);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(e)	N/A	in Chapter VI of the UK GDPR—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(e)(i)	N/A	Article 57(1)(a) and (h) (Commissioner's duties to monitor and enforce the UK GDPR and to conduct investigations);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(e)(ii)	N/A	Article 58 (investigative, corrective, authorisation and advisory powers of Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(f)	N/A	Chapter VIII of the UK GDPR (remedies, liabilities and penalties) except for—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(i)	N/A	Article 77 (right to lodge a complaint with the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(i)	N/A	Article 83 (general conditions for imposing administrative fines);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(a)(i)	N/A	Article 84 (penalties);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(g)	N/A	in Part 5 of this Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(2)(g)(i)	N/A	in section 115 (general functions of the Commissioner), subsections	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)	N/A	and (8);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(i)	N/A	in section 115, subsection (9), so far as it relates to Article 58(2)(i) of the UK GDPR;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(i)(iii)	N/A	section 119 (inspection in accordance with international obligations);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(i)(iv)	N/A	section 119A (standard clauses for transfers to third countries);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(h)	N/A	in Part 6 of this Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(h)(i)	N/A	sections 142 to 154 and Schedule 15 (Commissioner's notices and powers of entry and inspection);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 26(3)(h)(ii)	N/A	sections 170 to 173 (offences relating to personal data);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27	National security: certificate	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(1)	N/A	Subject to subsection (3), a certificate signed by a Minister of the Crown certifying that exemption from all or any of the provisions listed in section 26(2) is, or at any time was, required in relation to any personal data for the purpose of safeguarding national security is conclusive evidence of that fact.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(2)	N/A	A certificate under subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(2)(a)	N/A	may identify the personal data to which it applies by means of a general description, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(2)(b)	N/A	may be expressed to have prospective effect.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(3)	N/A	Any person directly affected by a certificate under subsection (1) may appeal to the Tribunal against the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(4)	N/A	If, on an appeal under subsection (3), the Tribunal finds that, applying the principles applied by a court on an application for judicial review, the Minister did not have reasonable grounds for issuing a certificate, the Tribunal may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(4)(a)	N/A	allow the appeal, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(4)(b)	N/A	quash the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(5)	N/A	Where, in any proceedings under or by virtue of the UK GDPR or this Act, it is claimed by a controller that a certificate under subsection (1) which identifies the personal data to which it applies by means of a general description applies to any personal data, another party to the proceedings may appeal to the Tribunal on the ground that the certificate does not apply to the personal data in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(6)	N/A	But, subject to any determination under subsection (7), the certificate is to be conclusively presumed to apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(7)	N/A	On an appeal under subsection (5), the Tribunal may determine that the certificate does not so apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(8)	N/A	A document purporting to be a certificate under subsection (1) is to be—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(8)(a)	N/A	received in evidence, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 27(8)(b)	N/A	deemed to be such a certificate unless the contrary is proved.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(9)	N/A	A document which purports to be certified by or on behalf of a Minister of the Crown as a true copy of a certificate issued by that Minister under subsection (1) is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(9)(a)	N/A	in any legal proceedings, evidence of that certificate;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(9)(b)	N/A	in any legal proceedings in Scotland, sufficient evidence of that certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(10)	N/A	The power conferred by subsection (1) on a Minister of the Crown is exercisable only by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(10)(a)	N/A	a Minister who is a member of the Cabinet, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 27(10)(b)	N/A	the Attorney General or the Advocate General for Scotland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28	National security and defence: modifications to Articles 9 and 32 of the [UK	GDPR]	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(1)	N/A	Article 9(1) of the UK GDPR (prohibition on processing of special categories of personal data) does not prohibit the processing of personal data to which the UK GDPR applies to the extent that the processing is carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(1)(a)	N/A	for the purpose of safeguarding national security or for defence purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(1)(b)	N/A	with appropriate safeguards for the rights and freedoms of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(2)	N/A	Article 32 of the UK GDPR (security of processing) does not apply to a controller or processor to the extent that the controller or the processor (as the case may be) is processing personal data to which the UK GDPR applies for—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(2)(a)	N/A	the purpose of safeguarding national security, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(2)(b)	N/A	defence purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(3)	N/A	Where Article 32 of the UK GDPR does not apply, the controller or the processor must implement security measures appropriate to the risks arising from the processing of the personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(4)	N/A	For the purposes of subsection (3), where the processing of personal data is carried out wholly or partly by automated means, the controller or the processor must, following an evaluation of the risks, implement measures designed to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(4)(a)	N/A	prevent unauthorised processing or unauthorised interference with the systems used in connection with the processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(4)(b)	N/A	ensure that it is possible to establish the precise details of any processing that takes place.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(4)(c)	N/A	ensure that any systems used in connection with the processing function properly and may, in the case of interruption, be restored, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(4)(d)	N/A	ensure that stored personal data cannot be corrupted if a system used in connection with the processing malfunctions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 28(5)	N/A	The functions conferred on the Commissioner in relation to the UK GDPR by Articles 57(1)(a), (d), (e), (h) and (u) and 58(1)(d) and 2(a) to (d) of the UK GDPR (which are subject to safeguards set out in section 115) include functions in relation to subsection (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3	Law Enforcement Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3, Chapter 1	Scope And Definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29	Processing to which this Part applies	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(1)	N/A	This Part applies to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(1)(a)	N/A	the processing by a competent authority of personal data wholly or partly by automated means, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(1)(b)	N/A	the processing by a competent authority otherwise than by automated means of personal data which forms part of a filing system or is intended to form part of a filing system.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(1A)	N/A	This Part does not apply to processing to which Part 4 applies by virtue of a designation notice (see section 82A).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(2)	N/A	Any reference in this Part to the processing of personal data is to processing to which this Part applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 29(3)	N/A	For the meaning of “competent authority”, see section 30. Definitions	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30	Meaning of “competent authority”	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(1)	N/A	In this Part, “competent authority” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(1)(a)	N/A	a person specified or described in Schedule 7, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(1)(b)	N/A	any other person if and to the extent that the person has statutory functions for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(2)	N/A	But an intelligence service is not a competent authority within the meaning of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(3)	N/A	The Secretary of State may by regulations amend Schedule 7—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(3)(a)	N/A	so as to add or remove a person or description of person;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(3)(b)	N/A	so as to reflect any change in the name of a person specified in the Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(4)	N/A	Regulations under subsection (3) which make provision of the kind described in subsection (3)(a) may also make consequential amendments of section 73(4)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(5)	N/A	Regulations under subsection (3) which make provision of the kind described in subsection (3)(a), or which make provision of that kind and of the kind described in subsection (3)(b), are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(6)	N/A	Regulations under subsection (3) which make provision only of the kind described in subsection (3)(b) are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(7)	N/A	In this section— “intelligence service” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(7)(a)	N/A	the Security Service;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(7)(b)	N/A	the Secret Intelligence Service;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 30(7)(c)	N/A	the Government Communications Headquarters; “statutory function” means a function under or by virtue of an enactment.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 31	N/A	“The law enforcement purposes” For the purposes of this Part, “the law enforcement purposes” are the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, including the safeguarding against and the prevention of threats to public security.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32	Meaning of “controller” and “processor”	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(1)	N/A	In this Part, “controller” means the competent authority which, alone or jointly with others—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(1)(a)	N/A	determines the purposes and means of the processing of personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(1)(b)	N/A	is the controller by virtue of subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 32(2)	N/A	Where personal data is processed only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(2)(a)	N/A	for purposes for which it is required by an enactment to be processed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(2)(b)	N/A	by means by which it is required by an enactment to be processed, the competent authority on which the obligation to process the data is imposed by the enactment (or, if different, one of the enactments) is the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 32(3)	N/A	In this Part, “processor” means any person who processes personal data on behalf of the controller (other than a person who is an employee of the controller).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33	Other definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(1)	N/A	This section defines certain other expressions used in this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(2)	N/A	“Employee”, in relation to any person, includes an individual who holds a position (whether paid or unpaid) under the direction and control of that person.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(3)	N/A	“Personal data breach” means a breach of security leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to, personal data transmitted, stored or otherwise processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(4)	N/A	“Profiling” means any form of automated processing of personal data consisting of the use of personal data to evaluate certain personal aspects relating to an individual, in particular to analyse or predict aspects concerning that individual’s performance at work, economic situation, health, personal preferences, interests, reliability, behaviour, location or movements.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(5)	N/A	“Recipient”, in relation to any personal data, means any person to whom the data is disclosed, whether a third party or not, but it does not include a public authority to whom disclosure is or may be made in the framework of a particular inquiry in accordance with the law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(6)	N/A	“Restriction of processing” means the marking of stored personal data with the aim of limiting its processing for the future.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(6A)	N/A	“Sensitive processing” has the meaning given in section 35(8).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(7)	N/A	“Third country” means a country or territory outside the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 33(8)	N/A	Sections 3 and 205 include definitions of other expressions used in this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3, Chapter 2	Principles	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34	Overview and general duty of controller	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)	N/A	This Chapter sets out the six data protection principles as follows—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(a)	N/A	section 35(1) sets out the first data protection principle (requirement that processing be lawful and fair);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(b)	N/A	section 36(1) sets out the second data protection principle (requirement that purposes of processing be specified, explicit and legitimate);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(c)	N/A	section 37 sets out the third data protection principle (requirement that personal data be adequate, relevant and not excessive);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(d)	N/A	section 38(1) sets out the fourth data protection principle (requirement that personal data be accurate and kept up to date);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(e)	N/A	section 39(1) sets out the fifth data protection principle (requirement that personal data be kept for no longer than is necessary);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(1)(f)	N/A	section 40 sets out the sixth data protection principle (requirement that personal data be processed in a secure manner).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(2)	N/A	In addition—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(2)(a)	N/A	each of sections 35, 36, 38 and 39 makes provision to supplement the principle to which it relates, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(2)(b)	N/A	sections 41 and 42 make provision about the safeguards that apply in relation to certain types of processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 34(3)	N/A	The controller in relation to personal data is responsible for, and must be able to demonstrate, compliance with this Chapter.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35	The first data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(1)	N/A	The first data protection principle is that the processing of personal data for any of the law enforcement purposes must be lawful and fair.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(2)	N/A	The processing of personal data for any of the law enforcement purposes is lawful only if and to the extent that it is based on law and either—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(2)(a)	N/A	the data subject has given consent to the processing for that purpose, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(2)(b)	N/A	the processing is necessary for the performance of a task carried out for that purpose by a competent authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(3)	N/A	In addition, where the processing for any of the law enforcement purposes is sensitive processing, the processing is permitted only in the two cases set out in subsections	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(4)	N/A	and (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(4)(a)	N/A	the data subject has given consent to the processing for the law enforcement purpose as mentioned in subsection (2)(a), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(4)(b)	N/A	at the time when the processing is carried out, the controller has an appropriate policy document in place (see section 42).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(5)	N/A	The second case is where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(5)(a)	N/A	the processing is strictly necessary for the law enforcement purpose,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(5)(b)	N/A	the processing meets at least one of the conditions in Schedule 8, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(5)(c)	N/A	at the time when the processing is carried out, the controller has an appropriate policy document in place (see section 42).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(6)	N/A	The Secretary of State may by regulations amend Schedule 8—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(6)(a)	N/A	by adding conditions;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(6)(b)	N/A	by varying or omitting conditions added by regulations under paragraph (a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(7)	N/A	Regulations under subsection (6) are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(8)	N/A	In this Part, “sensitive processing” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(8)(a)	N/A	the processing of personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs or trade union membership;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(8)(b)	N/A	the processing of genetic data, or of biometric data, for the purpose of uniquely identifying an individual;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(8)(c)	N/A	the processing of data concerning health;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 35(8)(d)	N/A	the processing of data concerning an individual’s sex life or sexual orientation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36	The second data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(1)	N/A	The second data protection principle is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(1)(a)	N/A	the law enforcement purpose for which personal data is collected (whether from the data subject or otherwise) must be specified, explicit and legitimate, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 36(1)(b)	N/A	personal data so collected must not be processed by or on behalf of a controller in a manner that is incompatible with the purpose for which the controller collected it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(2)	N/A	Paragraph (b) of the second data protection principle is subject to subsections (3) and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(4)	N/A	.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(3)	N/A	Personal data collected for a law enforcement purpose may be processed for any other law enforcement purpose (whether by the controller that collected the data or by another controller) provided that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(3)(a)	N/A	the controller is authorised by law to process the data for the other purpose, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 36(3)(b)	N/A	the processing is necessary and proportionate to that other purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 37	The third data protection principle	The third data protection principle is that personal data processed for any of the law enforcement purposes must be adequate, relevant and not excessive in relation to the purpose for which it is processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38	The fourth data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(1)	N/A	The fourth data protection principle is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(1)(a)	N/A	personal data processed for any of the law enforcement purposes must be accurate and, where necessary, kept up to date, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(1)(b)	N/A	every reasonable step must be taken to ensure that personal data that is inaccurate, having regard to the law enforcement purpose for which it is processed, is erased or rectified without delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(2)	N/A	In processing personal data for any of the law enforcement purposes, personal data based on facts must, so far as possible, be distinguished from personal data based on personal assessments.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(3)	N/A	In processing personal data for any of the law enforcement purposes, a clear distinction must, where relevant and as far as possible, be made between personal data relating to different categories of data subject, such as—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(3)(a)	N/A	persons suspected of having committed or being about to commit a criminal offence;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(3)(b)	N/A	persons convicted of a criminal offence;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(3)(c)	N/A	persons who are or may be victims of a criminal offence;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(3)(d)	N/A	witnesses or other persons with information about offences.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(4)	N/A	All reasonable steps must be taken to ensure that personal data which is inaccurate, incomplete or no longer up to date is not transmitted or made available for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(5)	N/A	For that purpose—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(5)(a)	N/A	the quality of personal data must be verified before it is transmitted or made available,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(5)(b)	N/A	in all transmissions of personal data, the necessary information enabling the recipient to assess the degree of accuracy, completeness and reliability of the data and the extent to which it is up to date must be included, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 38(5)(c)	N/A	if, after personal data has been transmitted, it emerges that the data was incorrect or that the transmission was unlawful, the recipient must be notified without delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 39	The fifth data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 39(1)	N/A	The fifth data protection principle is that personal data processed for any of the law enforcement purposes must be kept for no longer than is necessary for the purpose for which it is processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 39(2)	N/A	Appropriate time limits must be established for the periodic review of the need for the continued storage of personal data for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 40	The sixth data protection principle	The sixth data protection principle is that personal data processed for any of the law enforcement purposes must be so processed in a manner that ensures appropriate security of the personal data, using appropriate technical or organisational measures (and, in this principle, “appropriate security” includes protection against unauthorised or unlawful processing and against accidental loss, destruction or damage).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41	Safeguards: archiving	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(1)	N/A	This section applies in relation to the processing of personal data for a law enforcement purpose where the processing is carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(1)(a)	N/A	for archiving purposes in the public interest,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(1)(b)	N/A	for scientific or historical research purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(1)(c)	N/A	for statistical purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(2)	N/A	The processing is not permitted if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(2)(a)	N/A	it is carried out for the purposes of, or in connection with, measures or decisions with respect to a particular data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 41(2)(b)	N/A	it is likely to cause substantial damage or substantial distress to a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42	Safeguards: sensitive processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(1)	N/A	This section applies for the purposes of section 35(4) and (5) (which require a controller to have an appropriate policy document in place when carrying out sensitive processing in reliance on the consent of the data subject or, as the case may be, in reliance on a condition specified in Schedule 8).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(2)	N/A	The controller has an appropriate policy document in place in relation to the sensitive processing if the controller has produced a document which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(2)(a)	N/A	explains the controller's procedures for securing compliance with the data protection principles (see section 34(1)) in connection with sensitive processing in reliance on the consent of the data subject or (as the case may be) in reliance on the condition in question, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(2)(b)	N/A	explains the controller's policies as regards the retention and erasure of personal data processed in reliance on the consent of the data subject or (as the case may be) in reliance on the condition in question, giving an indication of how long such personal data is likely to be retained.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(3)	N/A	Where personal data is processed on the basis that an appropriate policy document is in place, the controller must during the relevant period—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(3)(a)	N/A	retain the appropriate policy document,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(3)(b)	N/A	review and (if appropriate) update it from time to time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(3)(c)	N/A	make it available to the Commissioner, on request, without charge.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(4)	N/A	The record maintained by the controller under section 61(1) and, where the sensitive processing is carried out by a processor on behalf of the controller, the record maintained by the processor under section 61(3) must include the following information—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 42(4)(a)	N/A	whether the sensitive processing is carried out in reliance on the consent of the data subject or, if not, which condition in Schedule 8 is relied on,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(4)(b)	N/A	how the processing satisfies section 35 (lawfulness of processing), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(4)(c)	N/A	whether the personal data is retained and erased in accordance with the policies described in subsection (2)(b) and, if it is not, the reasons for not following those policies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(5)	N/A	In this section, "relevant period", in relation to sensitive processing in reliance on the consent of the data subject or in reliance on a condition specified in Schedule 8, means a period which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(5)(a)	N/A	begins when the controller starts to carry out the sensitive processing in reliance on the data subject's consent or (as the case may be) in reliance on that condition, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(5)(b)	N/A	ends at the end of the period of 6 months beginning when the controller ceases to carry out the processing. 42A Further provision about sensitive processing	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(1)(a)	N/A	make provision so that an additional description of processing of personal data is sensitive processing for the purposes of this Part,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(1)(b)	N/A	make provision so that added processing is not sensitive processing for the purposes of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(1)(c)	N/A	make provision so that a protected condition in Schedule 8 may or may not be relied on in connection with added processing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 42(1)(d)	N/A	make provision varying such a condition as it relates to added processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3, Chapter 3	Rights Of The Data Subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43	Overview and scope	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)	N/A	This Chapter—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)(a)	N/A	imposes general duties on the controller to make information available (see sections 44 and 45A);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)(b)	N/A	confers a right of access by the data subject (see sections 45 and 45A);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)(c)	N/A	confers rights on the data subject with respect to the rectification of personal data and the erasure of personal data or the restriction of its processing (see sections 46 to 48);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)(d)	N/A	regulates automated decision-making (see sections 50A to 50D);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(1)(e)	N/A	makes supplementary provision (see sections 51 to 54).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(2)	N/A	This Chapter applies only in relation to the processing of personal data for a law enforcement purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(3)	N/A	But sections 44 to 48 do not apply in relation to the processing of relevant personal data in the course of a criminal investigation or criminal proceedings, including proceedings for the purpose of executing a criminal penalty.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(4)	N/A	In subsection (3), "relevant personal data" means personal data contained in a judicial decision or in other documents relating to the investigation or proceedings which are created by or on behalf of a court or other judicial authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 43(5)	N/A	In this Chapter, "the controller", in relation to a data subject, means the controller in relation to personal data relating to the data subject. Data subject's rights to information	Functional	No Relationship	N/A	N/A	N/A	0	
Section 44	N/A	... Controller's general duties	Functional	No Relationship	N/A	N/A	N/A	0	
Section 44(1)	N/A	The controller must make available to data subjects the following information (whether by making the information generally available to the public or in any other way)—	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(a)	N/A	the identity and the contact details of the controller;	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(b)	N/A	where applicable, the contact details of the data protection officer (see sections 69 to 71);	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(c)	N/A	the purposes for which the controller processes personal data;	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 44(1)(d)	N/A	the existence of the rights of data subjects to request from the controller—	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(d)(i)	N/A	access to personal data (see section 45),	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(d)(ii)	N/A	rectification of personal data (see section 46), and	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(d)(iii)	N/A	erasure of personal data or the restriction of its processing (see section 47);	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(1)(e)	N/A	the existence of the right to lodge a complaint with the Commissioner and the contact details of the Commissioner.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(2)	N/A	The controller must also, in specific cases for the purpose of enabling the exercise of a data subject's rights under this Part, give the data subject the following—	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(2)(a)	N/A	information about the legal basis for the processing;	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
Section 44(2)(b)	N/A	information about the period for which the personal data will be stored or, where that is not possible, about the criteria used to determine that period;	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 44(2)(c)	N/A	where applicable, information about the categories of recipients of the personal data (including recipients in third countries or international organisations);	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(2)(d)	N/A	such further information as is necessary to enable the exercise of the data subject's rights under this Part.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(3)	N/A	An example of where further information may be necessary as mentioned in subsection (2)(d) is where the personal data being processed was collected without the knowledge of the data subject.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 44(4)	N/A	The controller may restrict, wholly or partly, the provision of information to the data subject under subsection (2) to the extent that and for so long as the restriction is, having regard to the fundamental rights and legitimate interests of the data subject, a necessary and proportionate measure to—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 44(4)(a)	N/A	avoid obstructing an official or legal inquiry, investigation or procedure;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 44(4)(b)	N/A	avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 44(4)(c)	N/A	protect public security;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 44(4)(e)	N/A	protect the rights and freedoms of others.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 44(5)	N/A	Where the provision of information to a data subject under subsection (2) is restricted under subsection (4), wholly or partly, the controller must inform the data subject in writing without undue delay—	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(5)(a)	N/A	that the provision of information has been restricted,	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(5)(b)	N/A	of the reasons for the restriction,	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(5)(c)	N/A	of the data subject's right to make a request to the Commissioner under section 51,	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(5)(d)	N/A	of the data subject's right to lodge a complaint with the Commissioner, and	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(5)(e)	N/A	of the data subject's right to apply to a court under section 167.	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(6)	N/A	Subsection (5)(a) and (b) do not apply to the extent that complying with them would undermine the purpose of the restriction.	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
Section 44(7)	N/A	The controller must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 44(7)(a)	N/A	record the reasons for a decision to restrict (whether wholly or partly) the provision of information to a data subject under subsection (2) in reliance on subsection (4), and	Functional	Intersects With	Data Subject Communications Documentation	PRI-17.3	Mechanisms exist to maintain records of data subject requests and responses in accordance with an established documentation retention schedule that adheres to applicable statutory, regulatory and/or contractual obligations.	5	
Section 44(7)(b)	N/A	if requested to do so by the Commissioner, make the record available to the Commissioner. ...	Functional	Intersects With	Data Subject Communications Documentation	PRI-17.3	Mechanisms exist to maintain records of data subject requests and responses in accordance with an established documentation retention schedule that adheres to applicable statutory, regulatory and/or contractual obligations.	5	
Section 45	Right of access by the data subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 45(1)	N/A	A data subject is entitled to obtain from the controller—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(1)(a)	N/A	confirmation as to whether or not personal data concerning him or her is being processed, and	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(1)(b)	N/A	where that is the case, access to the personal data and the information set out in subsection (2).	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)	N/A	That information is—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(a)	N/A	the purposes of and legal basis for the processing;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(b)	N/A	the categories of personal data concerned;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(c)	N/A	the recipients or categories of recipients to whom the personal data has been disclosed (including recipients or categories of recipients in third countries or international organisations);	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 45(2)(d)	N/A	the period for which it is envisaged that the personal data will be stored or, where that is not possible, the criteria used to determine that period;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(e)	N/A	the existence of the data subject's rights to request from the controller—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(e)(i)	N/A	rectification of personal data (see section 46), and	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(e)(ii)	N/A	erasure of personal data or the restriction of its processing (see section 47);	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(f)	N/A	the existence of the data subject's right to lodge a complaint with the Commissioner and the contact details of the Commissioner;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2)(g)	N/A	communication of the personal data undergoing processing and of any available information as to its origin.	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(2A)	N/A	Under subsection (1), the data subject is only entitled to such confirmation, personal data and other information as the controller is able to provide based on a reasonable and proportionate search for the personal data and other information described in that subsection.	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
Section 45(3)	N/A	Where a data subject makes a request under subsection (1), the information to which the data subject is entitled must be provided in writing —	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 45(3)(a)	N/A	without undue delay, and	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(3)(b)	N/A	in any event, before the end of the applicable time period (as to which see section 54).	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(4)	N/A	The controller may restrict, wholly or partly, the rights conferred by subsection (1) to the extent that and for so long as the restriction is, having regard to the fundamental rights and legitimate interests of the data subject, a necessary and proportionate measure to—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 45(4)(a)	N/A	avoid obstructing an official or legal inquiry, investigation or procedure;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 45(4)(b)	N/A	avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 45(4)(c)	N/A	protect public security;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 45(4)(e)	N/A	protect the rights and freedoms of others.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 45(5)	N/A	Where the rights of a data subject under subsection (1) are restricted under subsection (4), wholly or partly, the controller must inform the data subject in writing without undue delay and in any event before the end of the applicable time period (as to which see section 54)—	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(5)(a)	N/A	that the rights of the data subject have been restricted,	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(5)(b)	N/A	of the reasons for the restriction,	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(5)(c)	N/A	of the data subject's right to make a request to the Commissioner under section 51,	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(5)(d)	N/A	of the data subject's right to lodge a complaint with the Commissioner, and	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(5)(e)	N/A	of the data subject's right to apply to a court under section 167.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 45(6)	N/A	Subsection (5)(a) and (b) do not apply to the extent that the provision of the information would undermine the purpose of the restriction.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 45(7)	N/A	The controller must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 45(7)(a)	N/A	record the reasons for a decision to restrict (whether wholly or partly) the rights of a data subject under subsection (1) in reliance on subsection (4), and	Functional	Intersects With	Data Subject Communications Documentation	PRI-17.3	Mechanisms exist to maintain records of data subject requests and responses in accordance with an established documentation retention schedule that adheres to applicable statutory, regulatory and/or contractual obligations.	5	
Section 45(7)(b)	N/A	if requested to do so by the Commissioner, make the record available to the Commissioner. 45A Exemption from sections 44 and 45: legal professional privilege	Functional	Intersects With	Data Subject Communications Documentation	PRI-17.3	Mechanisms exist to maintain records of data subject requests and responses in accordance with an established documentation retention schedule that adheres to applicable statutory, regulatory and/or contractual obligations.	5	
Section 46	Right to rectification	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 46(1)	N/A	The controller must, if so requested by a data subject, rectify without undue delay inaccurate personal data relating to the data subject.	Functional	Intersects With	Personal Data (PD) Accuracy & Integrity	PRI-05.2	Mechanisms exist to ensure the accuracy and relevance of Personal Data (PD) throughout the information lifecycle by: (1) Keeping PD up-to-date; and (2) Remediating identified inaccuracies, as necessary.	5	
Section 46(1)	N/A	The controller must, if so requested by a data subject, rectify without undue delay inaccurate personal data relating to the data subject.	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
Section 46(2)	N/A	Where personal data is inaccurate because it is incomplete, the controller must, if so requested by a data subject, complete it.	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
Section 46(3)	N/A	The duty under subsection (2) may, in appropriate cases, be fulfilled by the provision of a supplementary statement.	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
Section 46(4)	N/A	Where the controller would be required to rectify personal data under this section but the personal data must be maintained for the purposes of evidence, the controller must (instead of rectifying the personal data) restrict its processing.	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
Section 47	Right to erasure or restriction of processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 47(1)	N/A	The controller must erase personal data without undue delay where—	Functional	Intersects With	Right to Erasure	PRI-06.5	Mechanisms exist to maintain a process to erase a data subject's Personal Data (PD), in accordance with applicable laws, regulations and contractual obligations pertaining to the retention of their PD.	5	
Section 47(1)(a)	N/A	the processing of the personal data would infringe section 35, 36(1) to (3), 37, 38(1), 39(1), 40, 41 or 42, or	Functional	Intersects With	Right to Erasure	PRI-06.5	Mechanisms exist to maintain a process to erase a data subject's Personal Data (PD), in accordance with applicable laws, regulations and contractual obligations pertaining to the retention of their PD.	5	
Section 47(1)(b)	N/A	the controller has a legal obligation to erase the data.	Functional	Intersects With	Right to Erasure	PRI-06.5	Mechanisms exist to maintain a process to erase a data subject's Personal Data (PD), in accordance with applicable laws, regulations and contractual obligations pertaining to the retention of their PD.	5	
Section 47(2)	N/A	Where the controller would be required to erase personal data under subsection (1) but the personal data must be maintained for the purposes of evidence, the controller must (instead of erasing the personal data) restrict its processing.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
Section 47(3)	N/A	Where a data subject contests the accuracy of personal data (whether in making a request under this section or section 46 or in any other way), but it is not possible to ascertain whether it is accurate or not, the controller must restrict its processing.	Functional	Intersects With	Personal Data (PD) Accuracy & Integrity	PRI-05.2	Mechanisms exist to ensure the accuracy and relevance of Personal Data (PD) throughout the information lifecycle by: (1) Keeping PD up-to-date; and (2) Remediating identified inaccuracies, as necessary.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 47(4)	N/A	A data subject may request the controller to erase personal data or to restrict its processing (but the duties of the controller under this section apply whether or not such a request is made).	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
Section 47(4)	N/A	A data subject may request the controller to erase personal data or to restrict its processing (but the duties of the controller under this section apply whether or not such a request is made).	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
Section 48	Rights under section 46 or 47: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 48(1)	N/A	Where a data subject requests the rectification or erasure of personal data or the restriction of its processing, the controller must inform the data subject in writing—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(a)	N/A	whether the request has been granted, and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(b)	N/A	if it has been refused—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(b)(i)	N/A	of the reasons for the refusal,	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(b)(ii)	N/A	of the data subject's right to make a request to the Commissioner under section 51,	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(b)(iii)	N/A	of the data subject's right to lodge a complaint with the Commissioner, and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(1)(b)(iv)	N/A	of the data subject's right to apply to a court under section 167.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(2)	N/A	The controller must comply with the duty under subsection (1)—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(2)(a)	N/A	without undue delay, and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(2)(b)	N/A	in any event, before the end of the applicable time period (see section 54).	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(3)	N/A	The controller may restrict, wholly or partly, the provision of information to the data subject under subsection (1)(b)(i) to the extent that and for so long as the restriction is, having regard to the fundamental rights and legitimate interests of the data subject, a necessary and proportionate measure to—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(3)(a)	N/A	avoid obstructing an official or legal inquiry, investigation or procedure;	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(3)(b)	N/A	avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(3)(c)	N/A	protect public security;	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(3)(e)	N/A	protect the rights and freedoms of others.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(4)	N/A	Where the rights of a data subject under subsection (1)(b)(i) are restricted under subsection (3), wholly or partly, the controller must inform the data subject in writing without undue delay—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(4)(a)	N/A	that the rights of the data subject have been restricted,	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(4)(b)	N/A	of the reasons for the restriction,	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(4)(c)	N/A	of the data subject's right to lodge a complaint with the Commissioner, and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(4)(d)	N/A	of the data subject's right to apply to a court under section 167.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(5)	N/A	Subsection (4)(a) and (b) do not apply to the extent that the provision of the information would undermine the purpose of the restriction.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(6)	N/A	The controller must—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(6)(a)	N/A	record the reasons for a decision to restrict (whether wholly or partly) the provision of information to a data subject under subsection (1)(b)(i) in reliance on subsection (3), and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(6)(b)	N/A	If requested to do so by the Commissioner, make the record available to the Commissioner.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(7)	N/A	Where the controller rectifies personal data, it must notify the competent authority (if any) from which the inaccurate personal data originated.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(9)	N/A	Where the controller rectifies, erases or restricts the processing of personal data which has been disclosed by the controller—	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(9)(a)	N/A	the controller must notify the recipients, and	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(9)(b)	N/A	the recipients must similarly rectify, erase or restrict the processing of the personal data (so far as they retain responsibility for it).	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 48(10)	N/A	Where processing is restricted in accordance with section 47(3), the controller must inform the data subject before lifting the restriction. Automated individual decision-making	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
Section 50(1)	N/A	For the purposes of sections 50B and 50C—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50(1)(a)	N/A	a decision is based solely on automated processing if there is no meaningful human involvement in the taking of the decision, and	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50(1)(b)	N/A	a decision is a significant decision, in relation to a data subject, if—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50(1)(b)(i)	N/A	it produces an adverse legal effect for the data subject, or	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50(1)(b)(ii)	N/A	it has a similarly significant adverse effect for the data subject.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50(2)	N/A	When considering whether there is meaningful human involvement in the taking of a decision, a person must consider, among other things, the extent to which the decision is reached by means of profiling.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50B	Restrictions on automated decision-making based on sensitive processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50B(1)	N/A	A significant decision based entirely or partly on sensitive processing may not be taken based solely on automated processing, unless one of the following conditions is met.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50B(2)	N/A	The first condition is that the decision is based entirely on processing of personal data to which the data subject has given explicit consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50B(3)	N/A	The second condition is that the decision is required or authorised by law.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 50C	Safeguards for automated decision-making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50C(1)	N/A	Subject to subsection (3), where a significant decision taken by or on behalf of a controller in relation to a data subject is—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(1)(a)	N/A	based entirely or partly on personal data, and	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(1)(b)	N/A	based solely on automated processing, the controller must ensure that safeguards for the data subject's rights, freedoms and legitimate interests are in place which comply with subsection (2) and any regulations under section 50D(4).	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(2)	N/A	The safeguards must consist of or include measures which—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(2)(a)	N/A	provide the data subject with information about decisions described in subsection (1) taken in relation to the data subject;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(2)(b)	N/A	enable the data subject to make representations about such decisions;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(2)(c)	N/A	enable the data subject to obtain human intervention on the part of the controller in relation to such decisions;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(2)(d)	N/A	enable the data subject to contest such decisions.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(3)	N/A	Subsections (1) and (2) do not apply in relation to a significant decision if—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(3)(a)	N/A	exemption from those provisions is required for a reason listed in subsection (4),	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(3)(b)	N/A	the controller reconsiders the decision as soon as reasonably practicable, and	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(3)(c)	N/A	there is meaningful human involvement in the reconsideration of the decision.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)	N/A	Those reasons are—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)(a)	N/A	to avoid obstructing an official or legal inquiry, investigation or procedure;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)(b)	N/A	to avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)(c)	N/A	to protect public security;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)(d)	N/A	to safeguard national security;	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(4)(e)	N/A	to protect the rights and freedoms of others.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50C(5)	N/A	When considering whether there is meaningful human involvement in the reconsideration of a decision, a person must consider, among other things, the extent to which the conclusion reached on reconsideration is reached by means of profiling.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
Section 50D	Further provision about automated decision-making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(1)	N/A	The Secretary of State may by regulations provide that, for the purposes of sections 50A(1)(a) and 50C(3)(c), there is, or is not, to be taken to be meaningful human involvement in the taking or reconsideration of a decision in cases described in the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(2)	N/A	The Secretary of State may by regulations provide that, for the purposes of section 50A(1)(b)(ii), a description of decision is, or is not, to be taken to have a similarly significant adverse effect for the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(3)	N/A	Regulations under subsection (1) or (2) may amend section 50A.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(4)	N/A	The Secretary of State may by regulations make the following types of provision about the safeguards required under section 50C(1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(4)(a)	N/A	provision requiring the safeguards to include measures in addition to those described in section 50C(2),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(4)(b)	N/A	provision imposing requirements which supplement what section 50C(2) requires the safeguards to consist of or include (including, for example, provision about how and when things described in section 50C(2) must be done or be capable of being done), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 50D(4)(c)	N/A	provision about measures which are not to be taken to satisfy one or more of paragraphs (a) to (d) of section 50C(2).	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 50D(5)	N/A	Regulations under this section are subject to the affirmative resolution procedure. Supplementary	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51	Exercise of rights through the Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(1)	N/A	This section applies where a controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(1)(a)	N/A	restricts under section 44(4) the information provided to the data subject under section 44(2) (duty of the controller to give the data subject additional information),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(1)(b)	N/A	restricts under section 45(4) the data subject's rights under section 45(1) (right of access),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(1)(ba)	N/A	relies on the exemption from sections 44(2) and 45(1) in section 45A (legal professional privilege), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(1)(c)	N/A	refuses a request by the data subject for rectification under section 46 or for erasure or restriction of processing under section 47.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(2)	N/A	The data subject may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(2)(a)	N/A	where subsection (1)(a) or (b) applies, request the Commissioner to check that the restriction imposed by the controller was lawful,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(2)(aa)	N/A	where subsection (1)(ba) applies, request the Commissioner to check that the controller was entitled to rely on the exemption;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(2)(b)	N/A	where subsection (1)(c) applies, request the Commissioner to check that the refusal of the data subject's request was lawful.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(3)	N/A	The Commissioner must take such steps as appear to the Commissioner to be appropriate to respond to a request under subsection (2) (which may include the exercise of any of the powers conferred by sections 142 and 146).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(4)	N/A	After taking those steps, the Commissioner must inform the data subject—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(4)(a)	N/A	where subsection (1)(a) or (b) applies, whether the Commissioner is satisfied that the restriction imposed by the controller was lawful;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(4)(aa)	N/A	where subsection (1)(ba) applies, whether the Commissioner is satisfied that the controller was entitled to rely on the exemption;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(4)(b)	N/A	where subsection (1)(c) applies, whether the Commissioner is satisfied that the controller's refusal of the data subject's request was lawful.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(5)	N/A	The Commissioner must also inform the data subject of the data subject's right to apply to a court under section 167.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 51(6)	N/A	Where the Commissioner is not satisfied as mentioned in subsection (4)(a) , (aa) or (b), the Commissioner may also inform the data subject of any further steps that the Commissioner is considering taking under Part 6 .	Functional	No Relationship	N/A	N/A	N/A	0	
Section 52	Form of provision of information etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 52(1)	N/A	The controller must take reasonable steps to ensure that any information that is required by or under this Chapter to be provided to the data subject is provided in a concise, intelligible and easily accessible form, using clear and plain language.	Functional	Intersects With	Personal Data (PD) Exports	PRI-06.7	Mechanisms exist to export a data subject's available Personal Data (PD) in a readily usable format, upon an authenticated request.	5	
Section 52(2)	N/A	Subject to subsection (3), the information may be provided in any form, including electronic form.	Functional	Intersects With	Personal Data (PD) Exports	PRI-06.7	Mechanisms exist to export a data subject's available Personal Data (PD) in a readily usable format, upon an authenticated request.	5	
Section 52(3)	N/A	Where information is provided in response to a request made by the data subject under or by virtue of any of sections 45, 46, 47, 50C or 50D, the controller must provide the information in the same form as the request where it is practicable to do so.	Functional	Intersects With	Personal Data (PD) Exports	PRI-06.7	Mechanisms exist to export a data subject's available Personal Data (PD) in a readily usable format, upon an authenticated request.	5	
Section 52(4)	N/A	Where the controller has reasonable doubts about the identity of an individual making a request under or by virtue of any of sections 45, 46, 47, 50C or 50D, the controller may—	Functional	Intersects With	Data Subject Authentication	PRI-06.8	Mechanisms exist to utilize reasonable consumer expectations to verify a data subject's identity, prior to taking action to disclose, share, correct, amend and/or delete Personal Data (PD).	5	
Section 52(4)(a)	N/A	request the provision of additional information to enable the controller to confirm the identity, and	Functional	Intersects With	Data Subject Authentication	PRI-06.8	Mechanisms exist to utilize reasonable consumer expectations to verify a data subject's identity, prior to taking action to disclose, share, correct, amend and/or delete Personal Data (PD).	5	
Section 52(4)(b)	N/A	delay dealing with the request until the identity is confirmed.	Functional	Intersects With	Data Subject Authentication	PRI-06.8	Mechanisms exist to utilize reasonable consumer expectations to verify a data subject's identity, prior to taking action to disclose, share, correct, amend and/or delete Personal Data (PD).	5	
Section 52(5)	N/A	Subject to section 53, any information that is required by or under this Chapter to be provided to the data subject must be provided free of charge.	Functional	Intersects With	Personal Data (PD) Exports	PRI-06.7	Mechanisms exist to export a data subject's available Personal Data (PD) in a readily usable format, upon an authenticated request.	5	
Section 52(6)	N/A	The controller must facilitate the exercise of the rights of the data subject arising under or by virtue of sections 45 to 50D.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 53	Manifestly unfounded or excessive requests by the data subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 53(1)	N/A	Where a request made by a data subject under or by virtue of any of sections 45, 46, 47, 50C or 50D is manifestly unfounded or excessive, the controller may—	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(1)(a)	N/A	charge a reasonable fee for dealing with the request, or	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(1)(b)	N/A	refuse to act on the request.	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(2)	N/A	An example of a request that may be excessive is one that merely repeats the substance of previous requests.	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(3)	N/A	In any proceedings where there is an issue as to whether a request described in subsection (1) is manifestly unfounded or excessive, it is for the controller to show that it is.	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(4)	N/A	The Secretary of State may by regulations specify limits on the fees that a controller may charge in accordance with subsection (1)(a).	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(4A)	N/A	The Secretary of State may by regulations—	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(4A)(a)	N/A	require controllers of a description specified in the regulations to produce and publish guidance about the fees that they charge in accordance with subsection (1)(a), and	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(4A)(b)	N/A	specify what the guidance must include.	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(5)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	Intersects With	Disclosure Request Rejections	PRI-07.4	Mechanisms exist to reject disclosure requests that are: (1) Unjustified; (2) Unauthenticated or untrustworthy; and/or (3) Unlawful.	5	
Section 53(6)	N/A	If, in reliance on subsection (1)(b), the controller does not take action on the request, the controller must inform the data subject of—	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 53(6)(a)	N/A	the reasons for not doing so, and	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 53(6)(b)	N/A	the data subject's right to lodge a complaint with the Commissioner.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 53(7)	N/A	The controller must comply with subsection (6)—	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 53(7)(a)	N/A	without undue delay, and	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 53(7)(b)	N/A	in any event, before the end of the applicable time period (as to which see section 54).	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
Section 54	Meaning of "applicable time period"	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(1)	N/A	This section defines "the applicable time period" for the purposes of sections 45(3)(b) and (9)(j), 48(2)(b) and 53(7).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(2)	N/A	"The applicable time period" means the period of one month beginning with the relevant time, subject to subsection (3A).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3)	N/A	"The relevant time" means the latest of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3)(a)	N/A	when the controller receives the request in question;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3)(b)	N/A	when the controller receives the information (if any) requested in connection with a request under section 52(4);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3)(c)	N/A	when the fee (if any) charged in connection with the request under section 53 is paid.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3A)	N/A	The controller may, by giving notice to the data subject, extend the applicable time period by two further months where that is necessary by reason of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3A)(a)	N/A	the complexity of requests made by the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3A)(b)	N/A	the number of such requests.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3B)	N/A	A notice under subsection (3A) must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3B)(a)	N/A	be given before the end of the period of one month beginning with the relevant time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3B)(b)	N/A	state the reasons for the delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3C)	N/A	Where the controller reasonably requires further information in order to identify the information or processing activities to which a request under section 45(1) relates—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3C)(a)	N/A	the controller may ask the data subject to provide the further information, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3C)(b)	N/A	the period beginning with the day on which the controller makes the request and ending with the day on which the controller receives the information does not count towards—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3C)(b)(i)	N/A	the applicable time period, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3C)(b)(ii)	N/A	the period described in subsection (3B)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 54(3D)	N/A	An example of a case in which a controller may reasonably require further information is where the controller processes a large amount of information concerning the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3, Chapter 4	Controller And Processor	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55	Overview and scope	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)	N/A	This Chapter—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)(a)	N/A	sets out the general obligations of controllers and processors (see sections 56 to 65);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)(b)	N/A	sets out specific obligations of controllers and processors with respect to security (see section 66);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)(c)	N/A	sets out specific obligations of controllers and processors with respect to personal data breaches (see sections 67 and 68);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)(d)	N/A	makes provision for the designation, position and tasks of data protection officers (see sections 69 to 71);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(1)(e)	N/A	makes provision about codes of conduct (see section 71A).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(2)	N/A	This Chapter applies only in relation to the processing of personal data for a law enforcement purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 55(3)	N/A	Where a controller is required by any provision of this Chapter to implement appropriate technical and organisational measures, the controller must (in deciding what measures are appropriate) take into account—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 55(3)(a)	N/A	the latest developments in technology,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 55(3)(b)	N/A	the cost of implementation,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 55(3)(c)	N/A	the nature, scope, context and purposes of processing, and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 55(3)(d)	N/A	the risks for the rights and freedoms of individuals arising from the processing. General obligations	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 56	General obligations of the controller	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 56(1)	N/A	Each controller must implement appropriate technical and organisational measures to ensure, and to be able to demonstrate, that the processing of personal data complies with the requirements of this Part.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 56(2)	N/A	Where proportionate in relation to the processing, the measures implemented to comply with the duty under subsection (1) must include appropriate data protection policies.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 56(3)	N/A	The technical and organisational measures implemented under subsection (1) must be reviewed and updated where necessary.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 56(4)	N/A	Adherence to a code of conduct approved under section 71A may be used by a controller as a means of demonstrating compliance with the requirements of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 57	Data protection by design and default	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 57(1)	N/A	Each controller must implement appropriate technical and organisational measures which are designed—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(1)(a)	N/A	to implement the data protection principles in an effective manner, and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(1)(b)	N/A	to integrate into the processing itself the safeguards necessary for that purpose.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(2)	N/A	The duty under subsection (1) applies both at the time of the determination of the means of processing the data and at the time of the processing itself.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(3)	N/A	Each controller must implement appropriate technical and organisational measures for ensuring that, by default, only personal data which is necessary for each specific purpose of the processing is processed.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(4)	N/A	The duty under subsection (3) applies to—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(4)(a)	N/A	the amount of personal data collected,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(4)(b)	N/A	the extent of its processing,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(4)(c)	N/A	the period of its storage, and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(4)(d)	N/A	its accessibility.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 57(5)	N/A	In particular, the measures implemented to comply with the duty under subsection (3) must ensure that, by default, personal data is not made accessible to an indefinite number of people without an individual's intervention.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 58	Joint controllers	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 58(1)	N/A	Where two or more competent authorities jointly determine the purposes and means of processing personal data, they are joint controllers for the purposes of this Part.	Functional	Intersects With	Joint Processing of Personal Data (PD)	PRI-07.2	Mechanisms exist to clearly define and communicate the organization's role in processing Personal Data (PD) in the data processing ecosystem.	5	
Section 58(2)	N/A	Joint controllers must, in a transparent manner, determine their respective responsibilities for compliance with this Part by means of an arrangement between them, except to the extent that those responsibilities are determined under or by virtue of an enactment.	Functional	Intersects With	Joint Processing of Personal Data (PD)	PRI-07.2	Mechanisms exist to clearly define and communicate the organization's role in processing Personal Data (PD) in the data processing ecosystem.	5	
Section 58(3)	N/A	The arrangement must designate the controller which is to be the contact point for data subjects.	Functional	Intersects With	Joint Processing of Personal Data (PD)	PRI-07.2	Mechanisms exist to clearly define and communicate the organization's role in processing Personal Data (PD) in the data processing ecosystem.	5	
Section 59	Processors	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 59(1)	N/A	This section applies to the use by a controller of a processor to carry out processing of personal data on behalf of the controller.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(2)	N/A	The controller may use only a processor who provides guarantees to implement appropriate technical and organisational measures that are sufficient to secure that the processing will—	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(2)(a)	N/A	meet the requirements of this Part, and	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(2)(b)	N/A	ensure the protection of the rights of the data subject.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(3)	N/A	The processor used by the controller may not engage another processor ("a sub-processor") without the prior written authorisation of the controller, which may be specific or general.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(4)	N/A	Where the controller gives a general written authorisation to a processor, the processor must inform the controller if the processor proposes to add to the number of sub-processors engaged by it or to replace any of them (so that the controller has the opportunity to object to the proposal).	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(5)	N/A	The processing by the processor must be governed by a contract in writing between the controller and the processor setting out the following—	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(5)(a)	N/A	the subject-matter and duration of the processing;	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(5)(b)	N/A	the nature and purpose of the processing;	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(5)(c)	N/A	the type of personal data and categories of data subjects involved;	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(5)(d)	N/A	the obligations and rights of the controller and processor.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)	N/A	The contract must, in particular, provide that the processor must—	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(a)	N/A	act only on instructions from the controller,	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(b)	N/A	ensure that the persons authorised to process personal data are subject to an appropriate duty of confidentiality.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 59(6)(c)	N/A	assist the controller by any appropriate means to ensure compliance with the rights of the data subject under this Part,	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(d)	N/A	at the end of the provision of services by the processor to the controller—	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(d)(i)	N/A	either delete or return to the controller (at the choice of the controller) the personal data to which the services relate, and	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(d)(ii)	N/A	delete copies of the personal data unless subject to a legal obligation to store the copies,	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(e)	N/A	make available to the controller all information necessary to demonstrate compliance with this section, and	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(6)(f)	N/A	comply with the requirements of this section for engaging sub-processors.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(7)	N/A	The terms included in the contract in accordance with subsection (6)(a) must provide that the processor may transfer personal data to a third country or international organisation only if instructed by the controller to make the particular transfer.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(7A)	N/A	Adherence to a code of conduct approved under section 71A may be used by a processor as a means of demonstrating sufficient guarantees as described in subsection (2).	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 59(8)	N/A	If a processor determines, in breach of this Part, the purposes and means of processing, the processor is to be treated for the purposes of this Part as a controller in respect of that processing.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 60	Processing under the authority of the controller or processor	A processor, and any person acting under the authority of a controller or processor, who has access to personal data may not process the data except—	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 60(a)	N/A	on instructions from the controller, or	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 60(b)	N/A	to comply with a legal obligation.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 61	Records of processing activities	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 61(1)	N/A	Each controller must maintain a record of all categories of processing activities for which the controller is responsible.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)	N/A	The controller's record must contain the following information—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(a)	N/A	the name and contact details of the controller;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(b)	N/A	where applicable, the name and contact details of the joint controller;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(c)	N/A	where applicable, the name and contact details of the data protection officer;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(d)	N/A	the purposes of the processing;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(e)	N/A	the categories of recipients to whom personal data has been or will be disclosed (including recipients in third countries or international organisations);	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(f)	N/A	a description of the categories of—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(f)(i)	N/A	data subject, and	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(f)(ii)	N/A	personal data;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(g)	N/A	where applicable, details of the use of profiling;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(h)	N/A	where applicable, the categories of transfers of personal data to a third country or an international organisation;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(h)(i)	N/A	an indication of the legal basis for the processing operations, including transfers, for which the personal data is intended;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(2)(j)	N/A	where possible, the envisaged time limits for erasure of the different categories of personal data;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF)	Strength of Relationship	Notes
							Control Description		
Section 61(2)(k)	N/A	where possible, a general description of the technical and organisational security measures referred to in section 66.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(3)	N/A	Each processor must maintain a record of all categories of processing activities carried out on behalf of a controller.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)	N/A	The processor's record must contain the following information—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(a)	N/A	the name and contact details of the processor and of any other processors engaged by the processor in accordance with section 59(3);	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(b)	N/A	the name and contact details of the controller on behalf of which the processor is acting;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(c)	N/A	where applicable, the name and contact details of the data protection officer;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(d)	N/A	the categories of processing carried out on behalf of the controller;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(e)	N/A	where applicable, details of transfers of personal data to a third country or an international organisation where explicitly instructed to do so by the controller, including the identification of that third country or international organisation;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(4)(f)	N/A	where possible, a general description of the technical and organisational security measures referred to in section 66.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 61(5)	N/A	The controller and the processor must make the records kept under this section available to the Commissioner on request.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62	Logging	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 62(1)	N/A	A controller (or, where personal data is processed on behalf of the controller by a processor, the processor) must keep logs for at least the following processing operations in automated processing systems—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(a)	N/A	collection;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(b)	N/A	alteration;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(c)	N/A	consultation;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(d)	N/A	disclosure (including transfers);	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(e)	N/A	combination;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(1)(f)	N/A	erasure.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(2)	N/A	The logs of consultation must make it possible to establish—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(2)(a)	N/A	the justification for, and date and time of, the consultation, and	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(2)(b)	N/A	so far as possible, the identity of the person who consulted the data.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(3)	N/A	The logs of disclosure must make it possible to establish—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(3)(a)	N/A	the justification for, and date and time of, the disclosure, and	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(3)(b)	N/A	so far as possible—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 62(3)(b)(i)	N/A	the identity of the person who disclosed the data, and	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(3)(b)(ii)	N/A	the identity of the recipients of the data.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(4)	N/A	The logs kept under subsection (1) may be used only for one or more of the following purposes—	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(4)(a)	N/A	to verify the lawfulness of processing;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(4)(b)	N/A	to assist with self-monitoring by the controller or (as the case may be) the processor, including the conduct of internal disciplinary proceedings;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(4)(c)	N/A	to ensure the integrity and security of personal data;	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(4)(d)	N/A	the purposes of criminal proceedings.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 62(5)	N/A	The controller or (as the case may be) the processor must make the logs available to the Commissioner on request.	Functional	Intersects With	Documenting Data Processing Activities	PRI-14	Mechanisms exist to document Personal Data (PD) processing activities that covers collection, receiving, processing, storage, transmission, sharing, updating and/or disposal actions with sufficient detail to demonstrate conformity with applicable statutory, regulatory and contractual requirements.	5	
Section 63	Co-operation with the Commissioner	Each controller and each processor must co-operate, on request, with the Commissioner in the performance of the Commissioner's tasks.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
Section 64	Data protection impact assessment	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 64(1)	N/A	Where a type of processing is likely to result in a high risk to the rights and freedoms of individuals, the controller must, prior to the processing, carry out a data protection impact assessment.	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(2)	N/A	A data protection impact assessment is an assessment of the impact of the envisaged processing operations on the protection of personal data.	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(3)	N/A	A data protection impact assessment must include the following—	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(3)(a)	N/A	a general description of the envisaged processing operations;	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(3)(b)	N/A	an assessment of the risks to the rights and freedoms of data subjects;	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(3)(c)	N/A	the measures envisaged to address those risks;	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(3)(d)	N/A	safeguards, security measures and mechanisms to ensure the protection of personal data and to demonstrate compliance with this Part, taking into account the rights and legitimate interests of the data subjects and other persons concerned.	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 64(4)	N/A	In deciding whether a type of processing is likely to result in a high risk to the rights and freedoms of individuals, the controller must take into account the nature, scope, context and purposes of the processing.	Functional	Intersects With	Data Protection Impact Assessment (DPIA)	RSK-10	Mechanisms exist to conduct a Data Protection Impact Assessment (DPIA) on Technology Assets, Applications and/or Services (TAAS) that store, process and/or transmit Personal Data (PD) to identify and remediate reasonably-expected risks.	5	
Section 65	Prior consultation with the Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(1)	N/A	This section applies where a controller intends to create a filing system and process personal data forming part of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(2)	N/A	The controller must consult the Commissioner prior to the processing if a data protection impact assessment prepared under section 64 indicates that the processing of the data would result in a high risk to the rights and freedoms of individuals (in the absence of measures to mitigate the risk).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(3)	N/A	Where the controller is required to consult the Commissioner under subsection (2), the controller must give the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(3)(a)	N/A	the data protection impact assessment prepared under section 64, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(3)(b)	N/A	any other information requested by the Commissioner to enable the Commissioner to make an assessment of the compliance of the processing with the requirements of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(4)	N/A	Where the Commissioner is of the opinion that the intended processing referred to in subsection (1) would infringe any provision of this Part, the Commissioner must provide written advice to the controller and, where the controller is using a processor, to the processor.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(5)	N/A	The written advice must be provided before the end of the period of 6 weeks beginning with receipt of the request for consultation by the controller or the processor.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(6)	N/A	The Commissioner may extend the period of 6 weeks by a further period of 1 month, taking into account the complexity of the intended processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(7)	N/A	If the Commissioner extends the period of 6 weeks, the Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(7)(a)	N/A	inform the controller and, where applicable, the processor of any such extension before the end of the period of 1 month beginning with receipt of the request for consultation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 65(7)(b)	N/A	provide reasons for the delay. Obligations relating to security	Functional	No Relationship	N/A	N/A	N/A	0	
Section 66	Security of processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 66(1)	N/A	Each controller and each processor must implement appropriate technical and organisational measures to ensure a level of security appropriate to the risks arising from the processing of personal data.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 66(2)	N/A	In the case of automated processing, each controller and each processor must, following an evaluation of the risks, implement measures designed to—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 66(2)(a)	N/A	prevent unauthorised processing or unauthorised interference with the systems used in connection with it,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 66(2)(b)	N/A	ensure that it is possible to establish the precise details of any processing that takes place,	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 66(2)(c)	N/A	ensure that any systems used in connection with the processing function properly and may, in the case of interruption, be restored, and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 66(2)(d)	N/A	ensure that stored personal data cannot be corrupted if a system used in connection with the processing malfunctions.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 66(3)	N/A	Adherence to a code of conduct approved under section 71A may be used by a controller or processor as a means of demonstrating compliance with subsection (1). Obligations relating to personal data breaches	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
Section 67	Notification of a personal data breach to the Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 67(1)	N/A	If a controller becomes aware of a personal data breach in relation to personal data for which the controller is responsible, the controller must notify the breach to the Commissioner—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(1)(a)	N/A	without undue delay, and	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(1)(b)	N/A	where feasible, not later than 72 hours after becoming aware of it.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(2)	N/A	Subsection (1) does not apply if the personal data breach is unlikely to result in a risk to the rights and freedoms of individuals.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(3)	N/A	Where the notification to the Commissioner is not made within 72 hours, the notification must be accompanied by reasons for the delay.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(4)	N/A	Subject to subsection (5), the notification must include—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(4)(a)	N/A	a description of the nature of the personal data breach including, where possible, the categories and approximate number of data subjects concerned and the categories and approximate number of personal data records concerned;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(4)(b)	N/A	the name and contact details of the data protection officer or other contact point from whom more information can be obtained;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(4)(c)	N/A	a description of the likely consequences of the personal data breach;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(4)(d)	N/A	a description of the measures taken or proposed to be taken by the controller to address the personal data breach, including, where appropriate, measures to mitigate its possible adverse effects.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(5)	N/A	Where and to the extent that it is not possible to provide all the information mentioned in subsection (4) at the same time, the information may be provided in phases without undue further delay.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(6)	N/A	The controller must record the following information in relation to a personal data breach—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(6)(a)	N/A	the facts relating to the breach,	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(6)(b)	N/A	its effects, and	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(6)(c)	N/A	the remedial action taken.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(7)	N/A	The information mentioned in subsection (6) must be recorded in such a way as to enable the Commissioner to verify compliance with this section.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 67(9)	N/A	If a processor becomes aware of a personal data breach (in relation to personal data processed by the processor), the processor must notify the controller without undue delay.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68	Communication of a personal data breach to the data subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 68(1)	N/A	Where a personal data breach is likely to result in a high risk to the rights and freedoms of individuals, the controller must inform the data subject of the breach without undue delay.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(2)	N/A	The information given to the data subject must include the following—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(2)(a)	N/A	a description of the nature of the breach;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(2)(b)	N/A	the name and contact details of the data protection officer or other contact point from whom more information can be obtained;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(2)(c)	N/A	a description of the likely consequences of the personal data breach;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(2)(d)	N/A	a description of the measures taken or proposed to be taken by the controller to address the personal data breach, including, where appropriate, measures to mitigate its possible adverse effects.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 68(3)	N/A	The duty under subsection (1) does not apply where—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(3)(a)	N/A	the controller has implemented appropriate technological and organisational protection measures which were applied to the personal data affected by the breach,	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(3)(b)	N/A	the controller has taken subsequent measures which ensure that the high risk to the rights and freedoms of data subjects referred to in subsection (1) is no longer likely to materialise, or	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(3)(c)	N/A	it would involve a disproportionate effort.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(4)	N/A	An example of a case which may fall within subsection (3)(a) is where measures that render personal data unintelligible to any person not authorised to access the data have been applied, such as encryption.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(5)	N/A	In a case falling within subsection (3)(c) (but not within subsection (3)(a) or (b)), the information mentioned in subsection (2) must be made available to the data subject in another equally effective way, for example, by means of a public communication.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(6)	N/A	Where the controller has not informed the data subject of the breach the Commissioner, on being notified under section 67 and after considering the likelihood of the breach resulting in a high risk, may—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(6)(a)	N/A	require the controller to notify the data subject of the breach, or	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(6)(b)	N/A	decide that the controller is not required to do so because any of paragraphs	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(7)	N/A	The controller may restrict, wholly or partly, the provision of information to the data subject under subsection (1) to the extent that and for so long as the restriction is, having regard to the fundamental rights and legitimate interests of the data subject, a necessary and proportionate measure to—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(7)(a)	N/A	avoid obstructing an official or legal inquiry, investigation or procedure;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(7)(b)	N/A	avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(7)(c)	N/A	protect public security;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(7)(e)	N/A	protect the rights and freedoms of others.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(8)	N/A	Subsection (6) does not apply where the controller's decision not to inform the data subject of the breach was made in reliance on subsection (7).	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 68(9)	N/A	The duties in section 52(1) and (2) apply in relation to information that the controller is required to provide to the data subject under this section as they apply in relation to information that the controller is required to provide to the data subject under Chapter 3. Data protection officers	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
Section 69	Designation of a data protection officer	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 69(1)	N/A	The controller must designate a data protection officer, unless the controller is a court, or other judicial authority, acting in its judicial capacity.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 69(2)	N/A	When designating a data protection officer, the controller must have regard to the professional qualities of the proposed officer, in particular—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 69(2)(a)	N/A	the proposed officer's expert knowledge of data protection law and practice, and	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 69(2)(b)	N/A	the ability of the proposed officer to perform the tasks mentioned in section 71.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 69(3)	N/A	The same person may be designated as a data protection officer by several controllers, taking account of their organisational structure and size.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 69(4)	N/A	The controller must publish the contact details of the data protection officer and communicate these to the Commissioner.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
Section 70	Position of data protection officer	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 70(1)	N/A	The controller must ensure that the data protection officer is involved, properly and in a timely manner, in all issues which relate to the protection of personal data.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(2)	N/A	The controller must provide the data protection officer with the necessary resources and access to personal data and processing operations to enable the data protection officer to—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 70(2)(a)	N/A	perform the tasks mentioned in section 71, and	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(2)(b)	N/A	maintain his or her expert knowledge of data protection law and practice.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(3)	N/A	The controller—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(3)(a)	N/A	must ensure that the data protection officer does not receive any instructions regarding the performance of the tasks mentioned in section 71;	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(3)(b)	N/A	must ensure that the data protection officer does not perform a task or fulfil a duty other than those mentioned in this Part where such task or duty would result in a conflict of interests;	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(3)(c)	N/A	must not dismiss or penalise the data protection officer for performing the tasks mentioned in section 71.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(4)	N/A	A data subject may contact the data protection officer with regard to all issues relating to—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(4)(a)	N/A	the processing of that data subject's personal data, or	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(4)(b)	N/A	the exercise of that data subject's rights under this Part.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 70(5)	N/A	The data protection officer, in the performance of this role, must report to the highest management level of the controller.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71	Tasks of data protection officer	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71(1)	N/A	The controller must entrust the data protection officer with at least the following tasks—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(a)	N/A	informing and advising the controller, any processor engaged by the controller, and any employee of the controller who carries out processing of personal data, of that person's obligations under this Part,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(b)	N/A	providing advice on the carrying out of a data protection impact assessment under section 64 and monitoring compliance with that section,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(c)	N/A	co-operating with the Commissioner,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(d)	N/A	acting as the contact point for the Commissioner on issues relating to processing, including in relation to the consultation mentioned in section 65, and consulting with the Commissioner, where appropriate, in relation to any other matter,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(e)	N/A	monitoring compliance with policies of the controller in relation to the protection of personal data, and	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(1)(f)	N/A	monitoring compliance by the controller with this Part.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(2)	N/A	In relation to the policies mentioned in subsection (1)(e), the data protection officer's tasks include—	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(2)(a)	N/A	assigning responsibilities under those policies,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(2)(b)	N/A	raising awareness of those policies,	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(2)(c)	N/A	training staff involved in processing operations, and	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(2)(d)	N/A	conducting audits required under those policies.	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71(3)	N/A	In performing the tasks set out in subsections (1) and (2), the data protection officer must have regard to the risks associated with processing operations, taking into account the nature, scope, context and purposes of processing. Codes of conduct	Functional	Intersects With	Data Protection Officer (DPO)	PRI-01.4	Mechanisms exist to appoint a Data Protection Officer (DPO): (1) Based on professional qualifications; and (2) To be involved in all issues related to how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed.	5	
Section 71A	Codes of conduct	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(1)	N/A	The Commissioner must encourage expert public bodies to produce codes of conduct intended to contribute to compliance with this Part.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 71A(2)	N/A	Under subsection (1), the Commissioner must, among other things, encourage the production of codes which take account of the specific features of the various processing sectors.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(3)	N/A	For the purposes of this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(3)(a)	N/A	"public body" means a body or other person whose functions are, or include, functions of a public nature, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(3)(b)	N/A	a public body is "expert" if, in the Commissioner's opinion, the body has the knowledge and experience needed to produce a code of conduct described in subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)	N/A	A code of conduct described in subsection (1) may, for example, make provision with regard to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(a)	N/A	lawful and fair processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(b)	N/A	the collection of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(c)	N/A	the information provided to the public and to data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(d)	N/A	the exercise of the rights of data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(e)	N/A	the measures and procedures referred to in sections 56, 57 and 62;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(f)	N/A	the notification of personal data breaches to the Commissioner and the communication of personal data breaches to data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(g)	N/A	the transfer of personal data to third countries or international organisations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(4)(h)	N/A	out-of-court proceedings and other dispute resolution procedures for resolving disputes between controllers and data subjects with regard to processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(5)	N/A	The Commissioner must encourage expert public bodies to submit codes of conduct described in subsection (1) to the Commissioner in draft.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(6)	N/A	Where an expert public body does so, the Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(6)(a)	N/A	provide the body with an opinion on whether the code correctly reflects the requirements of this Part,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(6)(b)	N/A	decide whether to approve the code, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(6)(c)	N/A	if the code is approved, register and publish the code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 71A(7)	N/A	Subsections (5) and (6) apply in relation to amendments of a code of conduct that is for the time being approved under this section as they apply in relation to a code.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 3, Chapter 5	Transfers Of Personal Data To Third Countries Etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72	Overview and interpretation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72(1)	N/A	This Chapter deals with the transfer of personal data to third countries or international organisations, as follows—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72(1)(a)	N/A	sections 73 to 76 set out the general conditions that apply;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72(1)(b)	N/A	section 77 sets out additional conditions that apply in certain cases where the intended recipient of personal data is not a relevant authority in a third country or an international organisation (see section 73(4)(b));	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72(1)(c)	N/A	section 78 makes special provision about subsequent transfers of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 72(2)	N/A	In this Chapter, "relevant authority", in relation to a third country, means any person based in a third country that has (in that country) functions comparable to those of a competent authority. General principles for transfers	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73	General principles for transfers of personal data	(A1) This section applies in relation to a transfer of personal data to a third country or international organisation for a law enforcement purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(1)	N/A	The controller in relation to the transfer must secure that the transfer takes place only if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(1)(a)	N/A	the three conditions set out in subsections (2) to (4) are met, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(1)(b)	N/A	in a case where the personal data was originally transmitted or otherwise made available to the controller or another competent authority by a member State ..., that member State, or any person based in that member State which is a competent authority for the purposes of the Law Enforcement Directive, has authorised the transfer in accordance with the law of the member State, and—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(1)(c)	N/A	the transfer is carried out in accordance with the other provisions of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(2)	N/A	Condition 1 is that the transfer is necessary for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(3)	N/A	Condition 2 is that the transfer—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(3)(a)	N/A	is approved by regulations under section 74AA that are in force at the time of the transfer,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(3)(b)	N/A	is made subject to appropriate safeguards (see section 75), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(3)(c)	N/A	is based on special circumstances (see section 76).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)	N/A	Condition 3 is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(a)	N/A	the intended recipient is a relevant authority in a third country or an international organisation that is a relevant international organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(aa)	N/A	the intended recipient is a person in a third country who—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(aa)(i)	N/A	is not a person described in paragraph (a), but	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(aa)(ii)	N/A	is a processor whose processing, on behalf of the controller, of the personal data transferred is governed by, or authorised in accordance with, a contract with the controller that complies with section 59, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(b)	N/A	in a case where the controller is a competent authority specified in any of paragraphs 5 to 17, 21, 24 to 28, 34 to 51, 54 and 56 of Schedule 7 —	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(b)(i)	N/A	the intended recipient is a person in a third country who is not a person described in paragraph (a) or (aa), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(4)(b)(ii)	N/A	the additional conditions in section 77 are met.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(5)	N/A	Authorisation is not required as mentioned in subsection (1)(b) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(5)(a)	N/A	the transfer is necessary for the prevention of an immediate and serious threat to the public security, national security or essential interests of a third country or the United Kingdom, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(5)(b)	N/A	the authorisation cannot be obtained in good time.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(6)	N/A	Where a transfer is made without the authorisation mentioned in subsection (1)(b), the authority in the member State which would have been responsible for deciding whether to authorise the transfer must be informed without delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 73(7)	N/A	In this section, "relevant international organisation" means an international organisation that carries out functions for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(1)	N/A	For the purposes of section 73, the Secretary of State may by regulations approve transfers of personal data to—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 74(1)(a)	N/A	a third country, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(1)(b)	N/A	an international organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)	N/A	The Secretary of State may only make regulations under this section approving transfers to a third country or international organisation if the Secretary of State considers that the data protection test is met in relation to the transfers (see section 74AB).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(3)	N/A	In making regulations under this section, the Secretary of State may have regard to any matter which the Secretary of State considers relevant, including the desirability of facilitating transfers of personal data to and from the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)	N/A	Regulations under this section may, among other things—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(a)	N/A	make provision by reference to a third country or international organisation specified in the regulations or a description of country or organisation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(b)	N/A	approve all transfers of personal data to a third country or international organisation or only transfers specified or described in the regulations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(c)	N/A	identify a transfer of personal data by any means, including by reference to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(c)(i)	N/A	a sector or geographic area within a third country,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(c)(ii)	N/A	the controller or processor,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(c)(iii)	N/A	the recipient of the personal data,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(c)(iv)	N/A	the personal data transferred,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(v)	N/A	the means by which the transfer is made, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(v)(vi)	N/A	relevant legislation, schemes, lists or other arrangements or documents, as they have effect from time to time;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(4)(d)	N/A	confer a discretion on a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(5)	N/A	Regulations under this section are subject to the negative resolution procedure. 74AB The data protection test	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(a)	N/A	respect for the rule of law and for human rights in the country or by the organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(b)	N/A	the existence, and powers, of an authority responsible for enforcing the protection of data subjects with regard to the processing of personal data in the country or by the organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(c)	N/A	arrangements for judicial or non-judicial redress for data subjects in connection with such processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(d)	N/A	rules about the transfer of personal data from the country or by the organisation to other countries or international organisations,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(e)	N/A	relevant international obligations of the country or organisation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(2)(f)	N/A	the constitution, traditions and culture of the country or organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(3)(a)	N/A	the references to the protection provided for data subjects are to that protection taken as a whole,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(3)(b)	N/A	the references to law enforcement processing are to processing by a competent authority for any of the law enforcement purposes or equivalent types of processing in the third country or by the international organisation (as appropriate), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(3)(c)	N/A	the references to processing of personal data in the third country or by the international organisation are references only to the processing of personal data transferred to the country or organisation by means of processing to which this Act applies as described in section 207(2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(6)	N/A	The Secretary of State must publish—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(6)(a)	N/A	a list of the third countries ... and international organisations, and the descriptions of such countries ... and organisations, which are for the time being approved by regulations under section 74AA as places or persons to which personal data may be transferred, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(6)(b)	N/A	a list of the third countries ... and international organisations, and the descriptions of such countries ... and organisations, which have been but are no longer approved by such regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(7)	N/A	In the case of regulations under section 74AA which approve only certain transfers to a third country or international organisation that are specified or described in the regulations (in accordance with section 74AA(4)(b))—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 74(7)(b)	N/A	the lists published under subsection (6) must specify or describe the relevant transfers.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75	Transfers [subject to] appropriate safeguards	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(1A)	N/A	A transfer of personal data to a third country or an international organisation is made subject to appropriate safeguards only if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(1A)(a)	N/A	an appropriate legal instrument binds the intended recipient of the data (see subsection 4), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(1A)(b)	N/A	the controller, acting reasonably and proportionately, considers that the data protection test is met in relation to the transfer or that type of transfer (see subsection 5).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(2)	N/A	The controller must inform the Commissioner about the categories of data transfers that take place in reliance on subsection (1A)(b) but not in reliance on section 73(4)	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(2)(aa)	N/A	(transfer to processor).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)	N/A	Where a transfer of data takes place in reliance on this section but not in reliance on section 73(4)(aa) (transfer to processor)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(a)	N/A	the transfer must be documented,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(b)	N/A	the documentation must be provided to the Commissioner on request, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(c)	N/A	the documentation must include, in particular—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(c)(i)	N/A	the date and time of the transfer,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(c)(ii)	N/A	the name of and any other pertinent information about the recipient,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(c)(iii)	N/A	the justification for the transfer, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(3)(c)(iv)	N/A	a description of the personal data transferred.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(4)	N/A	For the purposes of this section, a legal instrument is "appropriate", in relation to a transfer of personal data, if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(4)(a)	N/A	the instrument is intended to be relied on in connection with the transfer or that type of transfer,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(4)(b)	N/A	at least one competent authority is a party to the instrument, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(4)(c)	N/A	each competent authority that is a party to the instrument, acting reasonably and proportionately, considers that the data protection test is met in relation to the transfers, or types of transfer, intended to be made in reliance on the instrument (see subsection (5)).	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 75(5)	N/A	For the purposes of this section, the data protection test is met in relation to a transfer, or a type of transfer, of personal data if, after the transfer, the standard of the protection provided for the data subject with regard to that personal data, whether by a binding legal instrument or by other means, would not be materially lower than the standard of the protection provided for the data subject with regard to the personal data by or under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(5)(a)	N/A	this Part, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(5)(b)	N/A	Parts 5 to 7, so far as they relate to processing by a competent authority for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(6)	N/A	For the purposes of subsections (1A)(b) and (4)(c), what is reasonable and proportionate is to be determined by reference to all the circumstances, or likely circumstances, of the transfer or type of transfer, including the nature and volume of the personal data transferred.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(7)	N/A	In this section, references to the protection provided for the data subject are to that protection taken as a whole.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 75(8)	N/A	For provision about standard data protection clauses which the Commissioner considers are capable of securing that the data protection test in this section is met, see section 119A.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76	Transfers [based on] special circumstances	(A1) A transfer of personal data to a third country or international organisation is based on special circumstances where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(a)	N/A	it is made in the absence of approval by regulations under section 74AA and of compliance with section 75 (appropriate safeguards), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(b)	N/A	it is necessary for a special purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)	N/A	A transfer of personal data is necessary for a special purpose if it is necessary—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)(a)	N/A	to protect the vital interests of the data subject or another person,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)(b)	N/A	to safeguard the legitimate interests of the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)(c)	N/A	for the prevention of an immediate and serious threat to the public security or national security of ... a third country or the United Kingdom,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)(d)	N/A	in particular circumstances, for any of the law enforcement purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(1)(e)	N/A	in particular circumstances, for a legal purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(2)	N/A	But a transfer of personal data is not necessary for a special purpose by virtue of subsection (1)(d) or (e) if the controller determines that fundamental rights and freedoms of the data subject override the public interest in the transfer.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(2A)	N/A	In accordance with the third data protection principle, the amount of personal data transferred in reliance on this section must not be excessive in relation to the special purpose relied on.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)	N/A	Where a transfer of data takes place in reliance on this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(a)	N/A	the transfer must be documented,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(b)	N/A	the documentation must be provided to the Commissioner on request, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(c)	N/A	the documentation must include, in particular:—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(c)(i)	N/A	the date and time of the transfer,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(c)(ii)	N/A	the name of and any other pertinent information about the recipient,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(c)(iii)	N/A	the justification for the transfer, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(3)(c)(iv)	N/A	a description of the personal data transferred.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(4)	N/A	For the purposes of this section, a transfer is necessary for a legal purpose if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(4)(a)	N/A	it is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings) relating to any of the law enforcement purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(4)(b)	N/A	it is necessary for the purpose of obtaining legal advice in relation to any of the law enforcement purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 76(4)(c)	N/A	it is otherwise necessary for the purposes of establishing, exercising or defending legal rights in relation to any of the law enforcement purposes. Additional conditions	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77	Additional conditions for transfers in reliance on section 73(4)(b)	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(1)	N/A	The additional conditions referred to in section 73(4)(b)(ii) are the following four conditions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(2)	N/A	Condition 1 is that the transfer is strictly necessary in a specific case for the performance of a task of the transferring controller as provided by law for any of the law enforcement purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(3)	N/A	Condition 2 is that the transferring controller has determined that there are no fundamental rights and freedoms of the data subject concerned that override the public interest necessitating the transfer.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(4)	N/A	Condition 3 is that the transferring controller considers that the transfer of the personal data to a relevant authority in the third country would be ineffective or inappropriate (for example, where the transfer could not be made in sufficient time to enable its purpose to be fulfilled).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(5)	N/A	Condition 4 is that the transferring controller informs the intended recipient of the specific purpose or purposes for which the personal data may, so far as necessary, be processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(6)	N/A	Where personal data is transferred to a person in a third country in reliance on section 73(4)(b), the transferring controller must inform a relevant authority in that third country without undue delay of the transfer, unless this would be ineffective or inappropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(7)	N/A	The transferring controller must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(7)(a)	N/A	document any transfer to a recipient in a third country that takes place in reliance on section 73(4)(b), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(7)(b)	N/A	inform the Commissioner about the transfer.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 77(8)	N/A	This section does not affect the operation of any international agreement in force between the United Kingdom and third countries in the field of judicial co-operation in criminal matters and police co-operation. Subsequent transfers	Functional	No Relationship	N/A	N/A	N/A	0	
Section 78	Subsequent transfers	(A1) Subsections (1) to (6) apply where a transfer to which section 73 applies takes place otherwise than in reliance on section 73(4)(aa) (transfer to processor).	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1)	N/A	... The transferring controller must make it a condition of the transfer —	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1)(a)	N/A	that the personal data is not to be further transferred to a third country or international organisation without the authorisation of the transferring controller or another competent authority (the "UK authoriser"), or	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 78(1)(b)	N/A	that—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1)(b)(i)	N/A	the personal data is not to be so transferred without such authorisation except where subsection (1A) applies, and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1)(b)(ii)	N/A	where a transfer is made without such authorisation, the UK authoriser must be informed without delay.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1A)	N/A	This subsection applies if—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1A)(a)	N/A	the transfer is necessary for the prevention of an immediate and serious threat to the public security or national security of a third country or the United Kingdom, and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(1A)(b)	N/A	authorisation from the UK authoriser cannot be obtained in good time.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(2)	N/A	The UK authoriser may give an authorisation for the purposes of a condition described in subsection (1) only where the further transfer is necessary for a law enforcement purpose.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(3)	N/A	In deciding whether to give the authorisation, the UK authoriser must take into account (among any other relevant factors)—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(3)(a)	N/A	the seriousness of the circumstances leading to the request for authorisation,	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(3)(b)	N/A	the purpose for which the personal data was originally transferred, and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(3)(c)	N/A	the standards for the protection of personal data that apply in the third country or international organisation to which the personal data would be transferred.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(4)	N/A	In a case where the personal data was originally transmitted or otherwise made available to the transferring controller or another competent authority by a member State ..., the UK authoriser may not give an authorisation for the purposes of a condition described in subsection (1) unless that member State, or any person based in that member State which is a competent authority for the purposes of the Law Enforcement Directive, has authorised the transfer in accordance with the law of the member State.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(5)	N/A	Authorisation is not required as mentioned in subsection (4) if—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(5)(a)	N/A	the transfer is necessary for the prevention of an immediate and serious threat to the public security, national security or essential interests of a third country or the United Kingdom, and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(5)(b)	N/A	the authorisation cannot be obtained in good time.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(6)	N/A	Where a transfer is made in a case described in subsection (4) without the authorisation mentioned in that subsection (whether made with or without authorisation from the UK authoriser), the UK authoriser must, without delay, inform, the authority in the member State which would have been responsible for deciding whether to authorise the transfer ...	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(7)	N/A	Where a transfer takes place in reliance on section 73(4)(aa) (transfer to processor), the transferring controller must make it a condition of the transfer that the data is only to be further transferred to a third country or international organisation where—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(7)(a)	N/A	the terms of any relevant contract entered into, or authorisation given, by the transferring controller in accordance with section 59 are complied with, and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Section 78(7)(b)	N/A	the further transfer satisfies the requirements in section 73(1).	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
Part 3, Chapter 6	Supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79	National security: certificate	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(3A)	N/A	Subject to subsection (5), a certificate signed by a Minister of the Crown certifying that exemption from all or any of the provisions listed in section 78A(2) is, or at any time was, required in relation to any personal data for the purposes of safeguarding national security is conclusive evidence of that fact.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(4)	N/A	A certificate issued under subsection (3A)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(4)(a)	N/A	may identify the personal data to which it applies by means of a general description, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(4)(b)	N/A	may be expressed to have prospective effect.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(5)	N/A	Any person directly affected by the issuing of a certificate under subsection (3A) may appeal to the Tribunal against the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(6)	N/A	If, on an appeal under subsection (5), the Tribunal finds that, applying the principles applied by a court on an application for judicial review, the Minister did not have reasonable grounds for issuing the certificate, the Tribunal may —	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 79(6)(a)	N/A	allow the appeal, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(6)(b)	N/A	quash the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(7)	N/A	Where in any proceedings under or by virtue of this Act, it is claimed by a controller that a certificate under subsection (3A) which identifies the personal data to which it applies by means of a general description applies to any personal data, any other party to the proceedings may appeal to the Tribunal on the ground that the certificate does not apply to the personal data in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(8)	N/A	But, subject to any determination under subsection (9), the certificate is to be conclusively presumed so to apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(9)	N/A	On an appeal under subsection (7), the Tribunal may determine that the certificate does not so apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(10)	N/A	A document purporting to be a certificate under subsection (3A) is to be—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(10)(a)	N/A	received in evidence, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(10)(b)	N/A	deemed to be such a certificate unless the contrary is proved.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(11)	N/A	A document which purports to be certified by or on behalf of a Minister of the Crown as a true copy of a certificate issued by that Minister under subsection (3A) is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(11)(a)	N/A	in any legal proceedings, evidence of that certificate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(11)(b)	N/A	in any legal proceedings in Scotland, sufficient evidence of that certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(12)	N/A	The power conferred by subsection (3A) on a Minister of the Crown is exercisable only by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(12)(a)	N/A	a Minister who is a member of the Cabinet, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 79(12)(b)	N/A	the Attorney General or the Advocate General for Scotland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80	Special processing restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(1)	N/A	Subsections (3) and (4) apply where, for a law enforcement purpose, a controller transmits or otherwise makes available personal data to a non-UK recipient.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(2)	N/A	In this section— ... "non-UK recipient" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(2)(a)	N/A	a recipient in a third country, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(2)(b)	N/A	an international organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(3)	N/A	The controller must consider whether, if the personal data had instead been transmitted or otherwise made available within the United Kingdom to another competent authority, processing of the data by the other competent authority would have been subject to any restrictions by virtue of any enactment or rule of law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 80(4)	N/A	Where that would be the case, the controller must inform the non-UK recipient that the data is transmitted or otherwise made available subject to compliance by that person with the same restrictions (which must be set out in the information given to that person).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81	Reporting of infringements	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(1)	N/A	Each controller must implement effective mechanisms to encourage the reporting of an infringement of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(2)	N/A	The mechanisms implemented under subsection (1) must provide that an infringement may be reported to any of the following persons—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(2)(a)	N/A	the controller;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(2)(b)	N/A	the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(3)	N/A	The mechanisms implemented under subsection (1) must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(3)(a)	N/A	raising awareness of the protections provided by Part 4A of the Employment Rights Act 1996 and Part 5A of the Employment Rights (Northern Ireland) Order 1996 (S.I. 1996/1919 (N.I. 16)), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(3)(b)	N/A	such other protections for a person who reports an infringement of this Part as the controller considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(4)	N/A	A person who reports an infringement of this Part does not breach—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(4)(a)	N/A	an obligation of confidence owed by the person, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(4)(b)	N/A	any other restriction on the disclosure of information (however imposed).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(5)	N/A	Subsection (4) does not apply if or to the extent that the report includes a disclosure which is prohibited by any of Parts 1 to 7 or Chapter 1 of Part 9 of the Investigatory Powers Act 2016.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 81(6)	N/A	Until the repeal of Part 1 of the Regulation of Investigatory Powers Act 2000 by paragraphs 45 and 54 of Schedule 10 to the Investigatory Powers Act 2016 is fully in force, subsection (5) has effect as if it included a reference to that Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4	Intelligence Services Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 1	Scope And Definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82	Processing to which this Part applies	(A1) This Part—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(a)	N/A	applies to processing of personal data by an intelligence service, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(b)	N/A	applies to processing of personal data by a qualifying competent authority where the processing is the subject of a designation notice that is for the time being in force (see sections 82A to 82E).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(1)	N/A	This Part applies only to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(1)(a)	N/A	processing of personal data wholly or partly by automated means, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(1)(b)	N/A	processing otherwise than by automated means of personal data which forms part of a filing system or is intended to form part of a filing system.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2)	N/A	In this Part, "intelligence service" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2)(a)	N/A	the Security Service;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2)(b)	N/A	the Secret Intelligence Service;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2)(c)	N/A	the Government Communications Headquarters.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2A)	N/A	In this Part— "competent authority" has the same meaning as in Part 3; "qualifying competent authority" means a competent authority specified or described in regulations made by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)	N/A	A reference in this Part to the processing of personal data is to processing to which this Part applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(4)	N/A	Regulations under this section are subject to the affirmative resolution procedure. 82A Designation of processing by a qualifying competent authority	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)(a)	N/A	a country or territory outside the United Kingdom, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)(b)	N/A	an international organisation.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 82(4)(a)	N/A	specify or describe the processing and qualifying competent authority that are designated, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(4)(b)	N/A	be given to the applicants for the designation (and see also section 82D).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(5)	N/A	An application for designation of processing of personal data by a qualifying competent authority must be made jointly by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(5)(a)	N/A	the qualifying competent authority, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(5)(b)	N/A	the intelligence service with which the processing is to be carried out.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(6)	N/A	An application may be made in respect of more than one qualifying competent authority and in respect of processing with more than one intelligence service.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(7)	N/A	The application must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(7)(a)	N/A	describe the processing, including the intended purposes and means of processing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(7)(b)	N/A	explain why the applicants consider that designation is required for the purposes of safeguarding national security.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(8)	N/A	Before giving a designation notice, the Secretary of State must consult the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(9)	N/A	In this section, "joint controller", in relation to processing of personal data, means a controller whose responsibilities for compliance with this Part in relation to the processing are determined in an arrangement under section 104.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(6)(a)	N/A	82B Duration of designation notice withdraw the designation notice completely, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(6)(b)	N/A	state when it comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(2)(d)	N/A	subject to subsection (3), the rest of the text of the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)(a)(i)	N/A	would be against the interests of national security,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)(a)(ii)	N/A	would be contrary to the public interest, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 82(3)(a)(iii)	N/A	might jeopardise the safety of any person, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83	Meaning of "controller" and "processor"	(A1) For the purposes of this Part—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(a)	N/A	an intelligence service is the "controller" in relation to the processing of personal data if it satisfies subsection (1) alone or jointly with others, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(b)	N/A	a qualifying competent authority is the "controller" in relation to the processing of personal data that is the subject of a designation notice that is for the time being in force if the authority satisfies subsection (1) jointly with others.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(1)	N/A	This subsection is satisfied by a person who—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(1)(a)	N/A	determines the purposes and means of the processing of personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(1)(b)	N/A	is the controller by virtue of subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(2)	N/A	Where personal data is processed only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(2)(a)	N/A	for purposes for which it is required by an enactment to be processed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(2)(b)	N/A	by means by which it is required by an enactment to be processed, the person on whom the obligation to process the data is imposed by the enactment (or, if different, one of the enactments) is the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 83(3)	N/A	In this Part, "processor" means any person who processes personal data on behalf of the controller (other than a person who is an employee of the controller).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84	Other definitions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(1)	N/A	This section defines other expressions used in this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(2)	N/A	"Consent", in relation to the processing of personal data relating to an individual, means a freely given, specific, informed and unambiguous indication of the individual's wishes by which the individual, by a statement or by a clear affirmative action, signifies agreement to the processing of the personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(2A)	N/A	"Designation notice" has the meaning given in section 82A.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(3)	N/A	"Employee", in relation to any person, includes an individual who holds a position (whether paid or unpaid) under the direction and control of that person.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(4)	N/A	"Personal data breach" means a breach of security leading to the accidental or unlawful destruction, loss, alteration, unauthorised disclosure of, or access to, personal data transmitted, stored or otherwise processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(5)	N/A	"Recipient", in relation to any personal data, means any person to whom the data is disclosed, whether a third party or not, but it does not include a person to whom disclosure is or may be made in the framework of a particular inquiry in accordance with the law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(6)	N/A	"Restriction of processing" means the marking of stored personal data with the aim of limiting its processing for the future.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(6A)	N/A	"Sensitive processing" has the meaning given in section 86(7).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(6B)	N/A	"Withdrawal notice" has the meaning given in section 82C.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 84(7)	N/A	Sections 3 and 205 include definitions of other expressions used in this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 2	Principles	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85	Overview	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)	N/A	This Chapter sets out the six data protection principles as follows—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(a)	N/A	section 86 sets out the first data protection principle (requirement that processing be lawful, fair and transparent);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(b)	N/A	section 87 sets out the second data protection principle (requirement that the purposes of processing be specified, explicit and legitimate);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(c)	N/A	section 88 sets out the third data protection principle (requirement that personal data be adequate, relevant and not excessive);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(d)	N/A	section 89 sets out the fourth data protection principle (requirement that personal data be accurate and kept up to date);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(e)	N/A	section 90 sets out the fifth data protection principle (requirement that personal data be kept for no longer than is necessary);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(1)(f)	N/A	section 91 sets out the sixth data protection principle (requirement that personal data be processed in a secure manner).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 85(2)	N/A	Each of sections 86, 87 and 91 makes provision to supplement the principle to which it relates. The data protection principles	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86	The first data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(1)	N/A	The first data protection principle is that the processing of personal data must be—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(1)(a)	N/A	lawful, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(1)(b)	N/A	fair and transparent.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 86(2)	N/A	The processing of personal data is lawful only if and to the extent that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(2)(a)	N/A	at least one of the conditions in Schedule 9 is met, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(2)(b)	N/A	in the case of sensitive processing, at least one of the conditions in Schedule 10 is also met.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(3)	N/A	The Secretary of State may by regulations amend Schedule 10—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(3)(a)	N/A	by adding conditions;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(3)(b)	N/A	by varying or omitting conditions added by regulations under paragraph (a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(4)	N/A	Regulations under subsection (3) are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(5)	N/A	In determining whether the processing of personal data is fair and transparent, regard is to be had to the method by which it is obtained.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(6)	N/A	For the purposes of subsection (5), data is to be treated as obtained fairly and transparently if it consists of information obtained from a person who—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(6)(a)	N/A	is authorised by an enactment to supply it, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(6)(b)	N/A	is required to supply it by an enactment or by an international obligation of the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)	N/A	In this Part, “sensitive processing” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(a)	N/A	the processing of personal data revealing racial or ethnic origin, political opinions, religious or philosophical beliefs or trade union membership;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(b)	N/A	the processing of genetic data for the purpose of uniquely identifying an individual;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(c)	N/A	the processing of biometric data for the purpose of uniquely identifying an individual;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(d)	N/A	the processing of data concerning health;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(e)	N/A	the processing of data concerning an individual’s sex life or sexual orientation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(f)	N/A	the processing of personal data as to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(f)(i)	N/A	the commission or alleged commission of an offence by an individual, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 86(7)(f)(ii)	N/A	proceedings for an offence committed or alleged to have been committed by an individual, the disposal of such proceedings or the sentence of a court in such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87	The second data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(1)	N/A	The second data protection principle is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(1)(a)	N/A	the purpose for which personal data is collected (whether from the data subject or otherwise) must be specified, explicit and legitimate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(1)(b)	N/A	personal data so collected must not be processed by or on behalf of a controller in a manner that is incompatible with the purpose for which the controller collected it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(2)	N/A	Paragraph (b) of the second data protection principle is subject to subsections (3) and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)	N/A	‘	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(3)	N/A	Personal data collected by a controller for one purpose may be processed for any other purpose of the controller that collected the data or any purpose of another controller provided that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(3)(a)	N/A	the controller is authorised by law to process the data for that purpose, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(3)(b)	N/A	the processing is necessary and proportionate to that other purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)(a)	N/A	consists of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)(a)(i)	N/A	processing for archiving purposes in the public interest,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)(a)(ii)	N/A	processing for the purposes of scientific or historical research, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)(a)(iii)	N/A	processing for statistical purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 87(4)(b)	N/A	is subject to appropriate safeguards for the rights and freedoms of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 88	The third data protection principle	The third data protection principle is that personal data must be adequate, relevant and not excessive in relation to the purpose for which it is processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 89	The fourth data protection principle	The fourth data protection principle is that personal data undergoing processing must be accurate and, where necessary, kept up to date.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 90	The fifth data protection principle	The fifth data protection principle is that personal data must be kept for no longer than is necessary for the purpose for which it is processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91	The sixth data protection principle	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(1)	N/A	The sixth data protection principle is that personal data must be processed in a manner that includes taking appropriate security measures as regards risks that arise from processing personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(2)	N/A	The risks referred to in subsection (1) include (but are not limited to) accidental or unauthorised access to, or destruction, loss, use, modification or disclosure of, personal data. 91A Further provision about sensitive processing	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(1)(a)	N/A	make provision so that an additional description of processing of personal data is sensitive processing for the purposes of this Part,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(1)(b)	N/A	make provision so that added processing is not sensitive processing for the purposes of this Part,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(1)(c)	N/A	make provision so that a protected condition in Schedule 10 may or may not be relied on in connection with added processing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(1)(d)	N/A	make provision varying such a condition as it relates to added processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(3)	N/A	Regulations under this section may amend this Part and sections 205 and 206.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 91(4)	N/A	Regulations under this section are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 3	Rights Of The Data Subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92	Overview	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)	N/A	This Chapter sets out the rights of the data subject as follows—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(a)	N/A	section 93 deals with the information to be made available to the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(b)	N/A	sections 94 and 95 deal with the right of access by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(c)	N/A	sections 96 and 97 deal with rights in relation to automated processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(d)	N/A	section 98 deals with the right to information about decision-making;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(e)	N/A	section 99 deals with the right to object to processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 92(1)(f)	N/A	section 100 deals with rights to rectification and erasure of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 92(2)	N/A	In this Chapter, “the controller”, in relation to a data subject, means the controller in relation to personal data relating to the data subject. Rights	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93	Right to information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)	N/A	The controller must give a data subject the following information—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(a)	N/A	the identity and the contact details of the controller;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(b)	N/A	the legal basis on which, and the purposes for which, the controller processes personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(c)	N/A	the categories of personal data relating to the data subject that are being processed;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(d)	N/A	the recipients or the categories of recipients of the personal data (if applicable);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(e)	N/A	the right to lodge a complaint with the Commissioner and the contact details of the Commissioner;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(f)	N/A	how to exercise rights under this Chapter;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(1)(g)	N/A	any other information needed to secure that the personal data is processed fairly and transparently.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(2)	N/A	The controller may comply with subsection (1) by making information generally available, where the controller considers it appropriate to do so.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(3)	N/A	The controller is not required under subsection (1) to give a data subject information that the data subject already has.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(4)	N/A	Where personal data relating to a data subject is collected by or on behalf of the controller from a person other than the data subject, the requirement in subsection (1) has effect, in relation to the personal data so collected, with the following exceptions—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(4)(a)	N/A	the requirement does not apply in relation to processing that is authorised by an enactment;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 93(4)(b)	N/A	the requirement does not apply in relation to the data subject if giving the information to the data subject would be impossible or involve disproportionate effort.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94	Right of access	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(1)	N/A	An individual is entitled to obtain from a controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(1)(a)	N/A	confirmation as to whether or not personal data concerning the individual is being processed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(1)(b)	N/A	where that is the case—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(1)(b)(i)	N/A	communication, in intelligible form, of the personal data of which that individual is the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(1)(b)(ii)	N/A	the information set out in subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)	N/A	That information is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(a)	N/A	the purposes of and legal basis for the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(b)	N/A	the categories of personal data concerned;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(c)	N/A	the recipients or categories of recipients to whom the personal data has been disclosed;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(d)	N/A	the period for which the personal data is to be preserved;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(e)	N/A	the existence of a data subject’s rights to rectification and erasure of personal data (see section 100);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(f)	N/A	the right to lodge a complaint with the Commissioner and the contact details of the Commissioner;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2)(g)	N/A	any information about the origin of the personal data concerned.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(2A)	N/A	Under subsection (1), the data subject is only entitled to such confirmation, personal data and other information as the controller is able to provide based on a reasonable and proportionate search for the personal data and other information described in that subsection.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(3)	N/A	A controller is not obliged to provide information under this section unless the controller has received such reasonable fee as the controller may require, subject to subsection (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(4)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(4)(a)	N/A	specify cases in which a controller may not charge a fee;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(4)(b)	N/A	specify the maximum amount of a fee.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(5)	N/A	Where a controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(5)(a)	N/A	reasonably requires further information—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(5)(a)(i)	N/A	in order that the controller be satisfied as to the identity of the individual making a request under subsection (1), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(5)(a)(ii)	N/A	to locate the information which that individual seeks, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(5)(b)	N/A	has informed that individual of that requirement, the controller is not obliged to comply with the request unless the controller is supplied with that further information.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(6)	N/A	Where a controller cannot comply with the request without disclosing information relating to another individual who can be identified from that information, the controller is not obliged to comply with the request unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(6)(a)	N/A	the other individual has consented to the disclosure of the information to the individual making the request, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(6)(b)	N/A	it is reasonable in all the circumstances to comply with the request without the consent of the other individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(7)	N/A	In subsection (6), the reference to information relating to another individual includes a reference to information identifying that individual as the source of the information sought by the request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(8)	N/A	Subsection (6) is not to be construed as excusing a controller from communicating so much of the information sought by the request as can be communicated without disclosing the identity of the other individual concerned, whether by the omission of names or other identifying particulars or otherwise.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(9)	N/A	In determining for the purposes of subsection (6)(b) whether it is reasonable in all the circumstances to comply with the request without the consent of the other individual concerned, regard must be had, in particular, to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(9)(a)	N/A	any duty of confidentiality owed to the other individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(9)(b)	N/A	any steps taken by the controller with a view to seeking the consent of the other individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(9)(c)	N/A	whether the other individual is capable of giving consent, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(9)(d)	N/A	any express refusal of consent by the other individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(10)	N/A	Subject to subsections (3), (5) and (6), a controller must comply with a request under subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(10)(a)	N/A	promptly, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(10)(b)	N/A	in any event before the end of the applicable time period.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 94(11)	N/A	If a court is satisfied on the application of an individual who has made a request under subsection (1) that the controller in question has failed to comply with the request in contravention of this section, the court may order the controller to comply with the request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(12)	N/A	A court may make an order under subsection (11) in relation to a joint controller whose responsibilities are determined in an arrangement under section 104 only if the controller is responsible for compliance with the obligation to which the order relates.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(13)	N/A	The jurisdiction conferred on a court by this section is exercisable by the High Court or, in Scotland, by the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14)	N/A	In this section—“the applicable time period” means the period of one month beginning with the relevant time, subject to subsection (14A); “the relevant time”, in relation to a request under subsection (1), means the latest of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14)(a)	N/A	when the controller receives the request,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14)(b)	N/A	when the fee (if any) is paid, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14)(c)	N/A	when the controller receives the information (if any) required under subsection (6) in connection with the request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14A)	N/A	The controller may, by giving notice to the data subject, extend the applicable time period by two further months where that is necessary by reason of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14A)(a)	N/A	the complexity of requests made by the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14A)(b)	N/A	the number of such requests.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14B)	N/A	A notice under subsection (14A) must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14B)(a)	N/A	be given before the end of the period of one month beginning with the relevant time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(14B)(b)	N/A	state the reasons for the delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 94(15)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95	Right of access: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(1)	N/A	The controller must comply with the obligation imposed by section 94(1)(b)(i) by supplying the data subject with a copy of the information in writing unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(1)(a)	N/A	the supply of such a copy is not possible or would involve disproportionate effort, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(1)(b)	N/A	the data subject agrees otherwise; and where any of the information referred to in section 94(1)(b)(i) is expressed in terms which are not intelligible without explanation the copy must be accompanied by an explanation of those terms.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(2)	N/A	Where a controller has previously complied with a request made under section 94 by an individual, the controller is not obliged to comply with a subsequent identical or similar request under that section by that individual unless a reasonable interval has elapsed between compliance with the previous request and the making of the current request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(3)	N/A	In determining for the purposes of subsection (2) whether requests under section 94 are made at reasonable intervals, regard must be had to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(3)(a)	N/A	the nature of the data,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(3)(b)	N/A	the purpose for which the data is processed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(3)(c)	N/A	the frequency with which the data is altered.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(4)	N/A	The information to be supplied pursuant to a request under section 94 must be supplied by reference to the data in question at the time when the request is received, except that it may take account of any amendment or deletion made between that time and the time when the information is supplied, being an amendment or deletion that would have been made regardless of the receipt of the request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(5)	N/A	For the purposes of section 94(6) to (8), an individual can be identified from information to be disclosed to a data subject by a controller if the individual can be identified from—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(5)(a)	N/A	that information, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 95(5)(b)	N/A	that and any other information that the controller reasonably believes the data subject making the request is likely to possess or obtain.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96	Right not to be subject to automated decision-making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(1)	N/A	The controller may not take a decision significantly affecting a data subject that is based on entirely automated processing of personal data relating to the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)	N/A	Subsection (1) does not prevent such a decision being made on that basis if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(a)	N/A	the decision is required or authorised by law,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(b)	N/A	the data subject has given consent to the decision being made on that basis, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(c)	N/A	the decision is a decision taken in the course of steps taken—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(c)(i)	N/A	for the purpose of considering whether to enter into a contract with the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(c)(ii)	N/A	with a view to entering into such a contract, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(2)(c)(iii)	N/A	in the course of performing such a contract.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(3)	N/A	For the purposes of this section and section 97, a decision that has legal effects as regards an individual is to be regarded as significantly affecting the individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 96(4)	N/A	For the purposes of this section and section 97, a decision is based on entirely automated processing if the decision-making process does not include an opportunity for a human being to accept, reject or influence the decision.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97	Right to intervene in automated decision-making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(1)	N/A	This section applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(1)(a)	N/A	the controller takes a decision significantly affecting a data subject that is based on entirely automated processing of personal data relating to the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(1)(b)	N/A	the decision is required or authorised by law.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(2)	N/A	This section does not apply to such a decision if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(2)(a)	N/A	the data subject has given consent to the decision being made on that basis, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(2)(b)	N/A	the decision is a decision taken in the course of steps taken—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(2)(b)(i)	N/A	for the purpose of considering whether to enter into a contract with the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(2)(b)(ii)	N/A	with a view to entering into such a contract, or	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 97(2)(b)(iii)	N/A	in the course of performing such a contract.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(3)	N/A	The controller must as soon as reasonably practicable notify the data subject that such a decision has been made.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(4)	N/A	The data subject may, before the end of the period of 1 month beginning with receipt of the notification, request the controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(4)(a)	N/A	to reconsider the decision, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(4)(b)	N/A	to take a new decision that is not based on entirely automated processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(5)	N/A	If a request is made to the controller under subsection (4), the controller must, before the end of the period of 1 month beginning with receipt of the request—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(5)(a)	N/A	consider the request, including any information provided by the data subject that is relevant to it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 97(5)(b)	N/A	by notice in writing inform the data subject of the outcome of that consideration.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 98	Right to information about decision-making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 98(1)	N/A	Where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 98(1)(a)	N/A	the controller processes personal data relating to a data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 98(1)(b)	N/A	results produced by the processing are applied to the data subject, the data subject is entitled to obtain from the controller, on request, knowledge of the reasoning underlying the processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 98(2)	N/A	Where the data subject makes a request under subsection (1), the controller must comply with the request without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99	Right to object to processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(1)	N/A	A data subject is entitled at any time, by notice given to the controller, to require the controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(1)(a)	N/A	not to process personal data relating to the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(1)(b)	N/A	not to process such data for a specified purpose or in a specified manner, on the ground that, for specified reasons relating to the situation of the data subject, the processing in question is an unwarranted interference with the interests or rights of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(2)	N/A	Where the controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(2)(a)	N/A	reasonably requires further information—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(2)(a)(i)	N/A	in order that the controller be satisfied as to the identity of the individual giving notice under subsection (1), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(2)(a)(ii)	N/A	to locate the data to which the notice relates, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(2)(b)	N/A	has informed that individual of that requirement, the controller is not obliged to comply with the notice unless the controller is supplied with that further information.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(3)	N/A	The controller must, before the end of 21 days beginning with the relevant time, give a notice to the data subject—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(3)(a)	N/A	stating that the controller has complied or intends to comply with the notice under subsection (1), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(3)(b)	N/A	stating the controller's reasons for not complying with the notice to any extent and the extent (if any) to which the controller has complied or intends to comply with the notice under subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(4)	N/A	If the controller does not comply with a notice under subsection (1) to any extent, the data subject may apply to a court for an order that the controller take steps for complying with the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(5)	N/A	If the court is satisfied that the controller should comply with the notice (or should comply to any extent), the court may order the controller to take such steps for complying with the notice (or for complying with it to that extent) as the court thinks fit.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(6)	N/A	A court may make an order under subsection (5) in relation to a joint controller whose responsibilities are determined in an arrangement under section 104 only if the controller is responsible for compliance with the obligation to which the order relates.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(7)	N/A	The jurisdiction conferred on a court by this section is exercisable by the High Court or, in Scotland, by the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(8)	N/A	In this section, "the relevant time", in relation to a notice under subsection (1), means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(8)(a)	N/A	when the controller receives the notice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 99(8)(b)	N/A	if later, when the controller receives the information (if any) required under subsection (2) in connection with the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100	Rights to rectification and erasure	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(1)	N/A	If a court is satisfied on the application of a data subject that personal data relating to the data subject is inaccurate, the court may order the controller to rectify that data without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(2)	N/A	If a court is satisfied on the application of a data subject that the processing of personal data relating to the data subject would infringe any of sections 86 to 91, the court may order the controller to erase that data without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(3)	N/A	If personal data relating to the data subject must be maintained for the purposes of evidence, the court may (instead of ordering the controller to rectify or erase the personal data) order the controller to restrict its processing without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(4)	N/A	If—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(4)(a)	N/A	the data subject contests the accuracy of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(4)(b)	N/A	the court is satisfied that the controller is not able to ascertain whether the data is accurate or not, the court may (instead of ordering the controller to rectify or erase the personal data) order the controller to restrict its processing without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(5)	N/A	A court may make an order under this section in relation to a joint controller whose responsibilities are determined in an arrangement under section 104 only if the controller is responsible for carrying out the rectification, erasure or restriction of processing that the court proposes to order.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 100(6)	N/A	The jurisdiction conferred on a court by this section is exercisable by the High Court or, in Scotland, by the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 4	Controller And Processor	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 101	Overview	This Chapter sets out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 101(a)	N/A	the general obligations of controllers and processors (see sections 102 to 106);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 101(b)	N/A	specific obligations of controllers and processors with respect to security (see section 107);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 101(c)	N/A	specific obligations of controllers and processors with respect to personal data breaches (see section 108). General obligations	Functional	No Relationship	N/A	N/A	N/A	0	
Section 102	General obligations of the controller	Each controller must implement appropriate measures—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 102(a)	N/A	to ensure, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 102(b)	N/A	to be able to demonstrate, in particular to the Commissioner, that the processing of personal data complies with the requirements of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 103	Data protection by design	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 103(1)	N/A	Where a controller proposes that a particular type of processing of personal data be carried out by or on behalf of the controller, the controller must, prior to the processing, consider the impact of the proposed processing on the rights and freedoms of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 103(2)	N/A	A controller must implement appropriate technical and organisational measures which are designed to ensure that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 103(2)(a)	N/A	the data protection principles are implemented, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 103(2)(b)	N/A	risks to the rights and freedoms of data subjects are minimised.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 104	Joint controllers	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 104(1)	N/A	Where two or more controllers jointly determine the purposes and means of processing personal data, they are joint controllers for the purposes of this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 104(2)	N/A	Joint controllers must, in a transparent manner, determine their respective responsibilities for compliance with this Part by means of an arrangement between them, except to the extent that those responsibilities are determined under or by virtue of an enactment.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 104(3)	N/A	The arrangement must designate the controller which is to be the contact point for data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105	Processors	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105(1)	N/A	This section applies to the use by a controller of a processor to carry out processing of personal data on behalf of the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105(2)	N/A	The controller may use only a processor who undertakes—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105(2)(a)	N/A	to implement appropriate measures that are sufficient to secure that the processing complies with this Part;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105(2)(b)	N/A	to provide to the controller such information as is necessary for demonstrating that the processing complies with this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 105(3)	N/A	If a processor determines, in breach of this Part, the purposes and means of processing, the processor is to be treated for the purposes of this Part as a controller in respect of that processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 106	Processing under the authority of the controller or processor	A processor, and any person acting under the authority of a controller or processor, who has access to personal data may not process the data except—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 106(a)	N/A	on instructions from the controller, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 106(b)	N/A	to comply with a legal obligation. Obligations relating to security	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107	Security of processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(1)	N/A	Each controller and each processor must implement security measures appropriate to the risks arising from the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(2)	N/A	In the case of automated processing, each controller and each processor must, following an evaluation of the risks, implement measures designed to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(2)(a)	N/A	prevent unauthorised processing or unauthorised interference with the systems used in connection with it,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(2)(b)	N/A	ensure that it is possible to establish the precise details of any processing that takes place,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(2)(c)	N/A	ensure that any systems used in connection with the processing function properly and may, in the case of interruption, be restored, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 107(2)(d)	N/A	ensure that stored personal data cannot be corrupted if a system used in connection with the processing malfunctions. Obligations relating to personal data breaches	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108	Communication of a personal data breach	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(1)	N/A	If a controller becomes aware of a serious personal data breach in relation to personal data for which the controller is responsible, the controller must notify the Commissioner of the breach without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(2)	N/A	Where the notification to the Commissioner is not made within 72 hours, the notification must be accompanied by reasons for the delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(3)	N/A	Subject to subsection (4), the notification must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(3)(a)	N/A	a description of the nature of the personal data breach including, where possible, the categories and approximate number of data subjects concerned;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(3)(b)	N/A	the name and contact details of the contact point from whom more information can be obtained;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(3)(c)	N/A	a description of the likely consequences of the personal data breach;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(3)(d)	N/A	a description of the measures taken or proposed to be taken by the controller to address the personal data breach, including, where appropriate, measures to mitigate its possible adverse effects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(4)	N/A	Where and to the extent that it is not possible to provide all the information mentioned in subsection (3) at the same time, the information may be provided in phases without undue further delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(5)	N/A	If a processor becomes aware of a personal data breach (in relation to data processed by the processor), the processor must notify the controller without undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(6)	N/A	Subsection (1) does not apply in relation to a personal data breach if the breach also constitutes a relevant error within the meaning given by section 231(9) of the Investigatory Powers Act 2016.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 108(7)	N/A	For the purposes of this section, a personal data breach is serious if the breach seriously interferes with the rights and freedoms of a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 5	Transfers Of Personal Data Outside The United Kingdom	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109	Transfers of personal data outside the United Kingdom	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(1)	N/A	A controller may not transfer personal data to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(1)(a)	N/A	a country or territory outside the United Kingdom, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(1)(b)	N/A	an international organisation, unless the transfer falls within subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(2)	N/A	A transfer of personal data falls within this subsection if the transfer is a necessary and proportionate measure carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(2)(a)	N/A	for the purposes of the controller's statutory functions, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 109(2)(b)	N/A	for other purposes provided for, in relation to the controller, in section 2(2)	Functional	No Relationship	N/A	N/A	N/A	0	
Part 4, Chapter 6	Exemptions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110	National security	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(1)	N/A	A provision mentioned in subsection (2) does not apply to personal data to which this Part applies if exemption from the provision is required for the purpose of safeguarding national security.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)	N/A	The provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 110(2)(a)	N/A	Chapter 2 of this Part (the data protection principles), except section 95(1)(a) and (2) and Schedules 9 and 10;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(b)	N/A	Chapter 3 of this Part (rights of data subjects);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(c)	N/A	in Chapter 4 of this Part, section 108 (communication of a personal data breach to the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(d)	N/A	in Part 5—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(d)(i)	N/A	section 119 (inspection in accordance with international obligations);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(d)(ii)	N/A	in Schedule 13 (other general functions of the Commissioner), paragraphs 1(a) and (g) and 2;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(e)	N/A	in Part 6—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(e)(i)	N/A	sections 142 to 154 and Schedule 15 (Commissioner's notices and powers of entry and inspection);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(e)(ii)	N/A	sections 170 to 173 (offences relating to personal data);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 110(2)(e)(iii)	N/A	sections 174 to 176 (provision relating to the special purposes).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111	National security: certificate	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(1)	N/A	Subject to subsection (3), a certificate signed by a Minister of the Crown certifying that exemption from all or any of the provisions mentioned in section 110(2) is, or at any time was, required for the purpose of safeguarding national security in respect of any personal data is conclusive evidence of that fact.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(2)	N/A	A certificate under subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(2)(a)	N/A	may identify the personal data to which it applies by means of a general description, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(2)(b)	N/A	may be expressed to have prospective effect.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(3)	N/A	Any person directly affected by the issuing of a certificate under subsection (1) may appeal to the Tribunal against the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(4)	N/A	If on an appeal under subsection (3), the Tribunal finds that, applying the principles applied by a court on an application for judicial review, the Minister did not have reasonable grounds for issuing the certificate, the Tribunal may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(4)(a)	N/A	allow the appeal, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(4)(b)	N/A	quash the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(5)	N/A	Where, in any proceedings under or by virtue of this Act, it is claimed by a controller that a certificate under subsection (1) which identifies the personal data to which it applies by means of a general description applies to any personal data, another party to the proceedings may appeal to the Tribunal on the ground that the certificate does not apply to the personal data in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(6)	N/A	But, subject to any determination under subsection (7), the certificate is to be conclusively presumed so to apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(7)	N/A	On an appeal under subsection (5), the Tribunal may determine that the certificate does not so apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(8)	N/A	A document purporting to be a certificate under subsection (1) is to be—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(8)(a)	N/A	received in evidence, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(8)(b)	N/A	deemed to be such a certificate unless the contrary is proved.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(9)	N/A	A document which purports to be certified by or on behalf of a Minister of the Crown as a true copy of a certificate issued by that Minister under subsection (1) is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(9)(a)	N/A	in any legal proceedings, evidence of that certificate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(9)(b)	N/A	in any legal proceedings in Scotland, sufficient evidence of that certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(10)	N/A	The power conferred by subsection (1) on a Minister of the Crown is exercisable only by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(10)(a)	N/A	a Minister who is a member of the Cabinet, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 111(10)(b)	N/A	the Attorney General or the Advocate General for Scotland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 112	Other exemptions	Schedule 11 provides for further exemptions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 113	Power to make further exemptions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 113(1)	N/A	The Secretary of State may by regulations amend Schedule 11—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 113(1)(a)	N/A	by adding exemptions from any provision of this Part;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 113(1)(b)	N/A	by omitting exemptions added by regulations under paragraph (a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 113(2)	N/A	Regulations under this section are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 5	The Information Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114	The Information Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114(1)	N/A	There is to continue to be an Information Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114(2)	N/A	Schedule 12 makes provision about the Commissioner. The Information Commission	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114A	The Information Commission	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114A(1)	N/A	A body corporate called the Information Commission is established.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 114A(2)	N/A	Schedule 12A makes further provision about the Commission. General functions	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115	General functions under the [UK GDPR] and safeguards	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(2)	N/A	General functions are conferred on the Commissioner by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(2)(a)	N/A	Article 57 of the UK GDPR (tasks), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(2)(b)	N/A	Article 58 of the UK GDPR (powers), (and see also the Commissioner's duty under section 2 and section 28(5)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(3)	N/A	The Commissioner's functions in relation to the processing of personal data to which the UK GDPR applies include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(3)(a)	N/A	a duty to advise Parliament, the government and other institutions and bodies on legislative and administrative measures relating to the protection of individuals' rights and freedoms with regard to the processing of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(3)(b)	N/A	a power to issue, on the Commissioner's own initiative or on request, opinions to Parliament, the government or other institutions and bodies as well as to the public on any issue related to the protection of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(4)	N/A	The Commissioner's functions under Article 58 of the UK GDPR are subject to the safeguards in subsections (5) to (9).	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 115(5)	N/A	The Commissioner's power under Article 58(1)(a) of the UK GDPR (power to require a controller or processor to provide information that the Commissioner requires for the performance of the Commissioner's tasks under the UK GDPR) is exercisable only by giving an information notice under section 142.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(6)	N/A	The Commissioner's power under Article 58(1)(b) of the UK GDPR (power to carry out data protection audits) is exercisable only in accordance with section 146.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(7)	N/A	The Commissioner's powers under Article 58(1)(e) and (f) of the UK GDPR (power to obtain information from controllers and processors and access to their premises) are exercisable only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(7)(a)	N/A	in accordance with Schedule 15 (see section 154), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(7)(b)	N/A	to the extent that they are exercised in conjunction with the power under Article 58(1)(b) of the UK GDPR, in accordance with section 146.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(8)	N/A	The following powers are exercisable only by giving an enforcement notice under section 149—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(8)(a)	N/A	the Commissioner's powers under Article 58(2)(c) to (g) and (j) of the UK GDPR (certain corrective powers);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(8)(b)	N/A	the Commissioner's powers under Article 58(2)(h) to order a certification body to withdraw, or not to issue, a certification under Articles 42 and 43 of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(9)	N/A	The Commissioner's powers under Articles 58(2)(i) and 83 of the UK GDPR (administrative fines) are exercisable only by giving a penalty notice under section 155.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 115(10)	N/A	This section is without prejudice to other functions conferred on the Commissioner, whether by the UK GDPR, this Act or otherwise.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 116	Other general functions	(A1) The Commissioner is responsible for monitoring the application of Part 3 of this Act, in order to protect the fundamental rights and freedoms of individuals in relation to processing by a competent authority for any of the law enforcement purposes (as defined in Part 3) and to facilitate the free flow of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 116(1)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 116(1)(b)	N/A	is to continue to be the designated authority in the United Kingdom for the purposes of Article 13 of the Data Protection Convention.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 116(2)	N/A	Schedule 13 confers general functions on the Commissioner in connection with processing to which the UK GDPR does not apply (and see also the Commissioner's duty under section 2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 116(3)	N/A	This section and Schedule 13 are without prejudice to other functions conferred on the Commissioner, whether by this Act or otherwise.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 117	Competence in relation to courts etc	Nothing in this Act or the UK GDPR permits or requires the Commissioner to exercise functions in relation to the processing of personal data by—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 117(a)	N/A	an individual acting in a judicial capacity, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 117(b)	N/A	a court or tribunal acting in its judicial capacity International role	Functional	No Relationship	N/A	N/A	N/A	0	
Section 118	Co-operation between parties to the Data Protection Convention]	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 118(5)	N/A	Part 2 of Schedule 14 makes provision as to the functions to be carried out by the Commissioner for the purposes of Article 13 of the Data Protection Convention (co- operation between parties).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119	Inspection of personal data in accordance with international obligations	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(1)	N/A	The Commissioner may inspect personal data where the inspection is necessary in order to discharge an international obligation of the United Kingdom, subject to the restriction in subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(2)	N/A	The power under subsection (1) is exercisable only if the personal data—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(2)(a)	N/A	is processed wholly or partly by automated means, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(2)(b)	N/A	is processed otherwise than by automated means and forms part of a filing system or is intended to form part of a filing system.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(3)	N/A	The power under subsection (1) includes power to inspect, operate and test equipment which is used for the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(4)	N/A	Before exercising the power under subsection (1), the Commissioner must by written notice inform the controller and any processor that the Commissioner intends to do so.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(5)	N/A	Subsection (4) does not apply if the Commissioner considers that the case is urgent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(6)	N/A	It is an offence—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(6)(a)	N/A	intentionally to obstruct a person exercising the power under subsection (1), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(6)(b)	N/A	to fail without reasonable excuse to give a person exercising that power any assistance the person may reasonably require.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(7)	N/A	Paragraphs (c) and (d) of section 3(14) do not apply to references in this section to personal data, the processing of personal data, a controller or a processor. 119AStandard clauses for transfers to third countries etc	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(3)(a)	N/A	must specify when it comes into force,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(3)(aa)	N/A	may make provision generally or in relation to types of transfer described in the document,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(3)(b)	N/A	may make different provision for different purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(3)(c)	N/A	may include transitional provision or savings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(4)(a)	N/A	trade associations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(4)(b)	N/A	data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(4)(c)	N/A	persons who appear to the Commissioner to represent the interests of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(5)(a)	N/A	the Commissioner must send a copy to the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(5)(b)	N/A	the Secretary of State must lay it before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(7)(a)	N/A	affects any transfer of personal data previously made in reliance on the document, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(7)(b)	N/A	prevents a further document being laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(8)	N/A	The Commissioner must publish—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(8)(a)	N/A	a document issued under this section, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(8)(b)	N/A	a notice identifying any document which, under subsection (6), is treated as not having been issued under this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(9)	N/A	The Commissioner must keep under review the clauses specified in a document issued under this section for the time being in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(10)	N/A	In this section, "the 40-day period" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(10)(a)	N/A	if the document is laid before both Houses of Parliament on the same day, the period of 40 days beginning with that day, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(10)(b)	N/A	if the document is laid before the Houses of Parliament on different days, the period of 40 days beginning with the later of those days.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 119(11)	N/A	In calculating the 40-day period, no account is to be taken of any whole days that fall within a period during which Parliament is dissolved or prorogued or during which both Houses of Parliament are adjourned for more than 4 days.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 119(12)	N/A	In this section, "trade association" includes a body representing controllers or processors.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120	Further international role	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(1)	N/A	The Commissioner must, in relation to third countries and international organisations, take appropriate steps to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(1)(a)	N/A	develop international co-operation mechanisms to facilitate the effective enforcement of legislation for the protection of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(1)(b)	N/A	provide international mutual assistance in the enforcement of legislation for the protection of personal data, subject to appropriate safeguards for the protection of personal data and ... fundamental rights and freedoms;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(1)(c)	N/A	engage relevant stakeholders in discussion and activities aimed at furthering international co-operation in the enforcement of legislation for the protection of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(1)(d)	N/A	promote the exchange and documentation of legislation and practice for the protection of personal data, including legislation and practice relating to jurisdictional conflicts with third countries.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(2)	N/A	Subsection (1) applies only in connection with the processing of personal data to which the UK GDPR does not apply, for the equivalent duty in connection with the processing of personal data to which the UK GDPR applies, see Article 50 of the UK GDPR (international co-operation for the protection of personal data).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(2A)	N/A	The Commissioner may contribute to the activities of international organisations with data protection functions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(3)	N/A	The Commissioner must carry out data protection functions which the Secretary of State directs the Commissioner to carry out for the purpose of enabling Her Majesty's Government in the United Kingdom to give effect to an international obligation of the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(4)	N/A	The Commissioner may provide an authority carrying out data protection functions under the law of a British overseas territory with assistance in carrying out those functions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(5)	N/A	The Secretary of State may direct that assistance under subsection (4) is to be provided on terms, including terms as to payment, specified or approved by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(6)	N/A	In this section—"data protection functions" means functions relating to the protection of individuals with respect to the processing of personal data; "mutual assistance in the enforcement of legislation for the protection of personal data" includes assistance in the form of notification, complaint referral, investigative assistance and information exchange; "third country" means a country or territory outside the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120(7)	N/A	Section 3(14)(c) does not apply to references to personal data and the processing of personal data in this section. Duties in carrying out functions	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120A	Principal objective	It is the principal objective of the Commissioner, in carrying out functions under the data protection legislation—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120A(a)	N/A	to secure an appropriate level of protection for personal data, having regard to the interests of data subjects, controllers and others and matters of general public interest, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120A(b)	N/A	to promote public trust and confidence in the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B	Duties in relation to functions under the data protection legislation	In carrying out functions under the data protection legislation, the Commissioner must have regard to such of the following as appear to the Commissioner to be relevant in the circumstances—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B(a)	N/A	the desirability of promoting innovation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B(b)	N/A	the desirability of promoting competition;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B(c)	N/A	the importance of the prevention, investigation, detection and prosecution of criminal offences;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B(d)	N/A	the need to safeguard public security and national security;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120B(e)	N/A	the fact that children merit specific protection with regard to their personal data because they may be less aware of the risks and consequences associated with processing of personal data and of their rights in relation to such processing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C	Strategy	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(1)	N/A	The Commissioner must prepare a strategy for carrying out the Commissioner's functions under the data protection legislation in accordance with the Commissioner's duties under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(1)(a)	N/A	sections 120A and 120B,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(1)(b)	N/A	section 108 of the Deregulation Act 2015 (exercise of regulatory functions: economic growth), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(1)(c)	N/A	section 21 of the Legislative and Regulatory Reform Act 2006 (exercise of regulatory functions: principles).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(2)	N/A	The Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(2)(a)	N/A	review the strategy from time to time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(2)(b)	N/A	revise the strategy as appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120C(3)	N/A	The Commissioner must publish the strategy and any revised strategy.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D	Duty to consult other regulators	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D(1)	N/A	The Commissioner must, at such times as the Commissioner considers appropriate, consult the persons mentioned in subsection (2) about how the manner in which the Commissioner exercises functions under the data protection legislation may affect economic growth, innovation and competition.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D(2)	N/A	The persons are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D(2)(a)	N/A	such persons exercising regulatory functions as the Commissioner considers appropriate;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D(2)(b)	N/A	such other persons as the Commissioner considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 120D(3)	N/A	In this section, "regulatory function" has the meaning given by section 111 of the Deregulation Act 2015. Codes of practice	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121	Data-sharing code	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(1)	N/A	The Commissioner must prepare a code of practice which contains—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(1)(a)	N/A	practical guidance in relation to the sharing of personal data in accordance with the requirements of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(1)(b)	N/A	such other guidance as the Commissioner considers appropriate to promote good practice in the sharing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(2)	N/A	Where a code under this section is in force, the Commissioner may prepare amendments of the code or a replacement code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(3)	N/A	Before preparing a code or amendments under this section, the Commissioner must consult the Secretary of State and such of the following as the Commissioner considers appropriate—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(3)(a)	N/A	trade associations;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 121(3)(b)	N/A	data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(3)(c)	N/A	persons who appear to the Commissioner to represent the interests of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(4)	N/A	A code under this section may include transitional provision or savings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 121(5)	N/A	In this section— "good practice in the sharing of personal data" means such practice in the sharing of personal data as appears to the Commissioner to be desirable having regard to the interests of data subjects and others, including compliance with the requirements of the data protection legislation; "the sharing of personal data" means the disclosure of personal data by transmission, dissemination or otherwise making it available; "trade association" includes a body representing controllers or processors.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122	Direct marketing code	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(1)	N/A	The Commissioner must prepare a code of practice which contains—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(1)(a)	N/A	practical guidance in relation to the carrying out of direct marketing in accordance with the requirements of the data protection legislation and the Privacy and Electronic Communications (EC Directive) Regulations 2003 (S.I. 2003/2426), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(1)(b)	N/A	such other guidance as the Commissioner considers appropriate to promote good practice in direct marketing.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(2)	N/A	Where a code under this section is in force, the Commissioner may prepare amendments of the code or a replacement code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(3)	N/A	Before preparing a code or amendments under this section, the Commissioner must consult the Secretary of State and such of the following as the Commissioner considers appropriate—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(3)(a)	N/A	trade associations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(3)(b)	N/A	data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(3)(c)	N/A	persons who appear to the Commissioner to represent the interests of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(4)	N/A	A code under this section may include transitional provision or savings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 122(5)	N/A	In this section— "direct marketing" means the communication (by whatever means) of advertising or marketing material which is directed to particular individuals; "good practice in direct marketing" means such practice in direct marketing as appears to the Commissioner to be desirable having regard to the interests of data subjects and others, including compliance with the requirements mentioned in subsection (1)(a); "trade association" includes a body representing controllers or processors.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123	Age-appropriate design code	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(1)	N/A	The Commissioner must prepare a code of practice which contains such guidance as the Commissioner considers appropriate on standards of age-appropriate design of relevant information society services which are likely to be accessed by children.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(2)	N/A	Where a code under this section is in force, the Commissioner may prepare amendments of the code or a replacement code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)	N/A	Before preparing a code or amendments under this section, the Commissioner must consult the Secretary of State and such other persons as the Commissioner considers appropriate, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)(a)	N/A	children,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)(b)	N/A	parents,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)(c)	N/A	persons who appear to the Commissioner to represent the interests of children,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)(d)	N/A	child development experts, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(3)(e)	N/A	trade associations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(4)	N/A	In preparing a code or amendments under this section, the Commissioner must have regard—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(4)(a)	N/A	to the fact that children have different needs at different ages, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(4)(b)	N/A	to the United Kingdom's obligations under the United Nations Convention on the Rights of the Child.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(5)	N/A	A code under this section may include transitional provision or savings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(6)	N/A	Any transitional provision included in the first code under this section must cease to have effect before the end of the period of 12 months beginning when the code comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 123(7)	N/A	In this section— "age-appropriate design" means the design of services so that they are appropriate for use by, and meet the development needs of, children; "information society services" has the same meaning as in the UK GDPR, but does not include preventive or counselling services; "relevant information society services" means information society services which involve the processing of personal data to which the UK GDPR applies; "standards of age-appropriate design of relevant information society services" means such standards of age-appropriate design of such services as appear to the Commissioner to be desirable having regard to the best interests of children; "trade association" includes a body representing controllers or processors; "the United Nations Convention on the Rights of the Child" means the Convention on the Rights of the Child adopted by the General Assembly of the United Nations on 20 November 1989 (including any Protocols to that Convention which are in force in relation to the United Kingdom), subject to any reservations, objections or interpretative declarations by the United Kingdom for the time being in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124	Data protection and journalism code	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(1)	N/A	The Commissioner must prepare a code of practice which contains—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(1)(a)	N/A	practical guidance in relation to the processing of personal data for the purposes of journalism in accordance with the requirements of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(1)(b)	N/A	such other guidance as the Commissioner considers appropriate to promote good practice in the processing of personal data for the purposes of journalism.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(2)	N/A	Where a code under this section is in force, the Commissioner may prepare amendments of the code or a replacement code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)	N/A	Before preparing a code or amendments under this section, the Commissioner must consult such of the following as the Commissioner considers appropriate—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)(a)	N/A	trade associations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)(b)	N/A	data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)(c)	N/A	persons who appear to the Commissioner to represent the interests of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)	N/A	A code under this section may include transitional provision or savings.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 124(5)	N/A	In this section— "good practice in the processing of personal data for the purposes of journalism" means such practice in the processing of personal data for those purposes as appears to the Commissioner to be desirable having regard to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(5)(a)	N/A	the interests of data subjects and others ..., and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(5)(b)	N/A	the special importance of the public interest in the freedom of expression and information; and includes compliance with the requirements of the data protection legislation; "trade association" includes a body representing controllers or processors. 124AOther codes of practice	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(2)(a)	N/A	must describe the personal data or processing to which the code of practice is to relate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(2)(b)	N/A	may describe the persons or classes of person to whom it is to relate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(a)	N/A	trade associations;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(b)	N/A	data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(c)	N/A	persons who appear to the Commissioner to represent the interests of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(6)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(7)	N/A	In this section— "good practice in the processing of personal data" means such practice in the processing of personal data as appears to the Commissioner to be desirable having regard to the interests of data subjects and others, including compliance with the requirements of the data protection legislation; "trade association" includes a body representing controllers or processors. 124BPanels to consider codes of practice	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)(b)(i)	N/A	are likely to be affected by the code, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(3)(b)(ii)	N/A	represent persons likely to be affected by the code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(b)(i)	N/A	states that a panel has been established to consider the code,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(b)(ii)	N/A	identifies the members of the panel,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(b)(iii)	N/A	explains the process by which they were selected, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(4)(b)(iv)	N/A	explains the reasons for their selection.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(5)(a)	N/A	identifies the member of the panel,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(5)(b)	N/A	explains the process by which the member was selected, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(6)(c)	N/A	explains the reasons for the member's selection.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(7)(a)	N/A	for the members of the panel to consider the code with one another (whether in person or otherwise), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(7)(b)	N/A	for the panel to prepare and submit to the Commissioner a report on the code within such reasonable period as is determined by the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)	N/A	If the panel submits to the Commissioner a report on the code within the period determined by the Commissioner, the Commissioner must as soon as reasonably practicable—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)(a)	N/A	make any alterations to the code that the Commissioner considers appropriate in the light of the report, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)(b)	N/A	publish—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)(b)(i)	N/A	the code in draft,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)(b)(ii)	N/A	the report or a summary of it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(8)(b)(iii)	N/A	in a case where a recommendation in the report to alter the code has not been accepted by the Commissioner, an explanation of why it has not been accepted.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(9)	N/A	The Commissioner may pay remuneration and expenses to the members of the panel.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(10)	N/A	This section applies in relation to amendments prepared under section 121, 122, 123, 124 or 124A as it applies in relation to codes prepared under those sections, subject to subsection (11).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(11)	N/A	The Secretary of State may by regulations provide that this section does not apply, or applies with modifications, in the case of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(11)(a)	N/A	a code prepared under section 124A, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(11)(b)	N/A	an amendment of such a code, that is specified or described in the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124(12)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124C	Impact assessments for codes of practice	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124C(1)	N/A	Where a code is prepared under section 121, 122, 123, 124 or 124A, the Commissioner must carry out and publish an assessment of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124C(1)(a)	N/A	who would be likely to be affected by the code, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124C(1)(b)	N/A	the effect the code would be likely to have on them.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 124C(2)	N/A	This section applies in relation to amendments prepared under section 121, 122, 123, 124 or 124A as it applies in relation to codes prepared under those sections.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125	Approval of codes prepared under sections 121 to 124A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(1)	N/A	When a code is prepared under section 121, 122, 123, 124 or 124A—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(1)(a)	N/A	the Commissioner must submit the final version to the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(1)(b)	N/A	the Secretary of State must lay the code before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(3)	N/A	If, within the 40-day period, either House of Parliament resolves not to approve a code prepared under section 121, 122, 123, 124 or 124A, the Commissioner must not issue the code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(4)	N/A	If no such resolution is made within that period—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(4)(a)	N/A	the Commissioner must issue the code, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(4)(b)	N/A	the code comes into force at the end of the period of 21 days beginning with the day on which it is issued.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(5)	N/A	If the Commissioner is prevented by subsection (3) from issuing a code that is not a replacement code, the Commissioner must prepare another version of the code.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(6)	N/A	Nothing in subsection (3) prevents another version of the code being laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(7)	N/A	In this section, "the 40-day period" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(7)(a)	N/A	if the code is laid before both Houses of Parliament on the same day, the period of 40 days beginning with that day, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(7)(b)	N/A	if the code is laid before the Houses of Parliament on different days, the period of 40 days beginning with the later of those days.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 125(8)	N/A	In calculating the 40-day period, no account is to be taken of any whole days that fall within a period during which Parliament is dissolved or prorogued or during which both Houses of Parliament are adjourned for more than 4 days.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 125(9)	N/A	This section, other than subsection (5), applies in relation to amendments prepared under section 121, 122, 123, 124 or 124A as it applies in relation to codes prepared under those sections.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126	Publication and review of codes issued under section 125(4)	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(1)	N/A	The Commissioner must publish a code issued under section 125(4).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(2)	N/A	Where an amendment of a code is issued under section 125(4), the Commissioner must publish—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(2)(a)	N/A	the amendment, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(2)(b)	N/A	the code as amended by it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(3)	N/A	The Commissioner must keep under review each code issued under section 125(4) for the time being in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 126(4)	N/A	Where the Commissioner becomes aware that the terms of such a code could result in a breach of an international obligation of the United Kingdom, the Commissioner must exercise the power under section 121(2), 122(2), 123(2), 124(2) or 124A(3) with a view to remedying the situation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127	Effect of codes issued under section 125(4)	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(1)	N/A	A failure by a person to act in accordance with a provision of a code issued under section 125(4) does not of itself make that person liable to legal proceedings in a court or tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(2)	N/A	A code issued under section 125(4), including an amendment or replacement code, is admissible in evidence in legal proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(3)	N/A	In any proceedings before a court or tribunal, the court or tribunal must take into account a provision of a code issued under section 125(4) in determining a question arising in the proceedings if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(3)(a)	N/A	the question relates to a time when the provision was in force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(3)(b)	N/A	the provision appears to the court or tribunal to be relevant to the question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(4)	N/A	Where the Commissioner is carrying out a function described in subsection (5), the Commissioner must take into account a provision of a code issued under section 125(4) in determining a question arising in connection with the carrying out of the function if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(4)(a)	N/A	the question relates to a time when the provision was in force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(4)(b)	N/A	the provision appears to the Commissioner to be relevant to the question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(5)	N/A	Those functions are functions under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(5)(a)	N/A	the data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 127(5)(b)	N/A	the Privacy and Electronic Communications (EC Directive) Regulations 2003 (S.I. 2003/2426), 128 Other codes of practice	Functional	No Relationship	N/A	N/A	N/A	0	
Section 129	Consensual audits	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 129(1)	N/A	The Commissioner's functions under Article 58(1) of the UK GDPR and paragraph 1 of Schedule 13 include power, with the consent of a controller or processor, to carry out an assessment of whether the controller or processor is complying with good practice in the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 129(2)	N/A	The Commissioner must inform the controller or processor of the results of such an assessment.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 129(3)	N/A	In this section, "good practice in the processing of personal data" has the same meaning as in section 124A. Records of national security certificates	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130	Records of national security certificates	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(1)	N/A	A Minister of the Crown who issues a certificate under section 27, 79 or 111 must send a copy of the certificate to the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(2)	N/A	If the Commissioner receives a copy of a certificate under subsection (1), the Commissioner must publish a record of the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(3)	N/A	The record must contain—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(3)(a)	N/A	the name of the Minister who issued the certificate,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(3)(b)	N/A	the date on which the certificate was issued, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(3)(c)	N/A	subject to subsection (4), the text of the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)	N/A	The Commissioner must not publish the text, or a part of the text, of the certificate if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)(a)	N/A	the Minister determines that publishing the text or that part of the text—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)(a)(i)	N/A	would be against the interests of national security,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)(a)(ii)	N/A	would be contrary to the public interest, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)(a)(iii)	N/A	might jeopardise the safety of any person, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(4)(b)	N/A	the Minister has notified the Commissioner of that determination.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(5)	N/A	The Commissioner must keep the record of the certificate available to the public while the certificate is in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 130(6)	N/A	If a Minister of the Crown revokes a certificate issued under section 27, 79 or 111, the Minister must notify the Commissioner. Information provided to the Commissioner	Functional	No Relationship	N/A	N/A	N/A	0	
Section 131	Disclosure of information to the Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 131(1)	N/A	No enactment or rule of law prohibiting or restricting the disclosure of information precludes a person from providing the Commissioner with information necessary for the discharge of the Commissioner's functions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 131(2)	N/A	But this section does not authorise the making of a disclosure which is prohibited by any of Parts 1 to 7 or Chapter 1 of Part 9 of the Investigatory Powers Act 2016.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 131(3)	N/A	Until the repeal of Part 1 of the Regulation of Investigatory Powers Act 2000 by paragraphs 45 and 54 of Schedule 10 to the Investigatory Powers Act 2016 is fully in force, subsection (2) has effect as if it included a reference to that Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132	Confidentiality of information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(1)	N/A	A person who is or has been the Commissioner, or a member of the Commissioner's staff or an agent of the Commissioner, must not disclose information which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(1)(a)	N/A	has been obtained by, or provided to, the Commissioner in the course of, or for the purposes of, the discharging of the Commissioner's functions,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(1)(b)	N/A	relates to an identified or identifiable individual or business, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 132(1)(c)	N/A	is not available to the public from other sources at the time of the disclosure and has not previously been available to the public from other sources, unless the disclosure is made with lawful authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)	N/A	For the purposes of subsection (1), a disclosure is made with lawful authority only if and to the extent that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)(a)	N/A	the disclosure was made with the consent of the individual or of the person for the time being carrying on the business,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)(b)	N/A	the information was obtained or provided as described in subsection (1)(a) for the purpose of its being made available to the public (in whatever manner),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)(c)	N/A	the disclosure was made for the purposes of, and is necessary for, the discharge of one or more of the Commissioner's functions,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)(e)	N/A	the disclosure was made for the purposes of criminal or civil proceedings, however arising, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(2)(f)	N/A	having regard to the rights, freedoms and legitimate interests of any person, the disclosure was necessary in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 132(3)	N/A	It is an offence for a person knowingly or recklessly to disclose information in contravention of subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133	Guidance about privileged communications	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(1)	N/A	The Commissioner must produce and publish guidance about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(1)(a)	N/A	how the Commissioner proposes to secure that privileged communications which the Commissioner obtains or has access to in the course of carrying out the Commissioner's functions are used or disclosed only so far as necessary for carrying out those functions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(1)(b)	N/A	how the Commissioner proposes to comply with restrictions and prohibitions on obtaining or having access to privileged communications which are imposed by an enactment.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(2)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(2)(a)	N/A	may alter or replace the guidance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(2)(b)	N/A	must publish any altered or replacement guidance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(3)	N/A	The Commissioner must consult the Secretary of State before publishing guidance under this section (including altered or replacement guidance).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(4)	N/A	The Commissioner must arrange for guidance under this section (including altered or replacement guidance) to be laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)	N/A	In this section, "privileged communications" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(a)	N/A	communications made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(a)(i)	N/A	between a professional legal adviser and the adviser's client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(a)(ii)	N/A	in connection with the giving of legal advice to the client with respect to legal obligations, liabilities or rights, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(b)	N/A	communications made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(b)(i)	N/A	between a professional legal adviser and the adviser's client or between such an adviser or client and another person,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(b)(ii)	N/A	in connection with or in contemplation of legal proceedings, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(5)(b)(iii)	N/A	for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(6)	N/A	In subsection (5)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(6)(a)	N/A	references to the client of a professional legal adviser include references to a person acting on behalf of the client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(6)(b)	N/A	references to a communication include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(6)(b)(i)	N/A	a copy or other record of the communication, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 133(6)(b)(ii)	N/A	anything enclosed with or referred to in the communication if made as described in subsection (5)(a)(ii) or in subsection (5)(b)(ii) and (iii). Fees	Functional	No Relationship	N/A	N/A	N/A	0	
Section 134	Fees for services	The Commissioner may require a person other than a data subject or a data protection officer to pay a reasonable fee for a service provided to the person, or at the person's request, which the Commissioner is required or authorised to provide under the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135	Manifestly unfounded or excessive requests by data subjects etc	(A1) This section makes provision about cases in which a request made to the Commissioner, to which the Commissioner is required or authorised to respond under the data protection legislation, is manifestly unfounded or excessive.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1)	N/A	... The Commissioner may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1)(a)	N/A	charge a reasonable fee for dealing with the request, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1)(b)	N/A	refuse to act on the request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1A)	N/A	In subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1A)(a)	N/A	the reference in paragraph (a) to charging a reasonable fee is, in a case in which section 134 is relevant, a reference to doing so under that section, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(1A)(b)	N/A	paragraph (b) is not to be read as implying anything about whether the Commissioner may refuse to act on requests that are neither manifestly unfounded nor excessive.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(2)	N/A	An example of a request that may be excessive is one that merely repeats the substance of previous requests.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(3)	N/A	In any proceedings where there is an issue as to whether a request described in subsection (A1) is manifestly unfounded or excessive, it is for the Commissioner to show that it is.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 135(5)	N/A	Article 57(3) of the UK GDPR (performance of Commissioner's tasks generally to be free of charge for data subject) has effect subject to this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 136	Guidance about fees	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 136(1)	N/A	The Commissioner must produce and publish guidance about the fees the Commissioner proposes to charge in accordance with—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 136(1)(a)	N/A	section 134 or 135, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 136(2)	N/A	Before publishing the guidance, the Commissioner must consult the Secretary of State. Charges	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137	Charges payable to the Commissioner by controllers	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(1)	N/A	The Secretary of State may by regulations require controllers to pay charges of an amount specified in the regulations to the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(2)	N/A	Regulations under subsection (1) may require a controller to pay a charge regardless of whether the Commissioner has provided, or proposes to provide, a service to the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(3)	N/A	Regulations under subsection (1) may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(3)(a)	N/A	make provision about the time or times at which, or period or periods within which, a charge must be paid;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 137(3)(b)	N/A	make provision for cases in which a discounted charge is payable;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(3)(c)	N/A	make provision for cases in which no charge is payable;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(3)(d)	N/A	make provision for cases in which a charge which has been paid is to be refunded.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)	N/A	In making regulations under subsection (1), the Secretary of State must have regard to the desirability of securing that the charges payable to the Commissioner under such regulations are sufficient to offset—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(a)	N/A	expenses incurred by the Commissioner in discharging the Commissioner's functions—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(a)(i)	N/A	under the data protection legislation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(a)(ii)	N/A	under the Data Protection Act 1998,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(a)(iii)	N/A	under or by virtue of sections 108 and 109 of the Digital Economy Act 2017, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(a)(iv)	N/A	under or by virtue of the Privacy and Electronic Communications (EC Directive) Regulations 2003 (S.I. 2003/2426),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(b)	N/A	any expenses of the Secretary of State in respect of the Commissioner so far as attributable to those functions,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(c)	N/A	to the extent that the Secretary of State considers appropriate, any deficit previously incurred (whether before or after the passing of this Act) in respect of the expenses mentioned in paragraph (a), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(4)(d)	N/A	to the extent that the Secretary of State considers appropriate, expenses incurred by the Secretary of State in respect of the inclusion of any officers or staff of the Commissioner in any scheme under section 1 of the Superannuation Act 1972 or section 1 of the Public Service Pensions Act 2013.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(5)	N/A	The Secretary of State may from time to time require the Commissioner to provide information about the expenses referred to in subsection (4)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(6)	N/A	The Secretary of State may by regulations make provision—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(6)(a)	N/A	requiring a controller to provide information to the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(6)(b)	N/A	enabling the Commissioner to require a controller to provide information to the Commissioner, for either or both of the purposes mentioned in subsection (7),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(7)	N/A	Those purposes are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(7)(a)	N/A	determining whether a charge is payable by the controller under regulations under subsection (1);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(7)(b)	N/A	determining the amount of a charge payable by the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 137(8)	N/A	The provision that may be made under subsection (6)(a) includes provision requiring a controller to notify the Commissioner of a change in the controller's circumstances of a kind specified in the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138	Regulations under section 137: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(1)	N/A	Before making regulations under section 137(1) or (6), the Secretary of State must consult such representatives of persons likely to be affected by the regulations as the Secretary of State thinks appropriate (and see also section 182).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(2)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(2)(a)	N/A	must keep under review the working of regulations under section 137(1) or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(6)	N/A	, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(6)(b)	N/A	may from time to time submit proposals to the Secretary of State for amendments to be made to the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(3)	N/A	The Secretary of State must review the working of regulations under section 137(1) or (6)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(3)(a)	N/A	at the end of the period of 5 years beginning with the making of the first set of regulations under section 108 of the Digital Economy Act 2017, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(3)(b)	N/A	at the end of each subsequent 5 year period.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(4)	N/A	Regulations under section 137(1) are subject to the negative resolution procedure if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(4)(a)	N/A	they only make provision increasing a charge for which provision is made by previous regulations under section 137(1) or section 108(1) of the Digital Economy Act 2017, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(4)(b)	N/A	they do so to take account of an increase in the retail prices index since the previous regulations were made.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(5)	N/A	Subject to subsection (4), regulations under section 137(1) or (6) are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(6)(a)	N/A	the general index of retail prices (for all items) published by the Statistics Board, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(7)	N/A	Regulations under section 137(1) or (6) may not apply to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(7)(a)	N/A	Her Majesty in her private capacity,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(7)(b)	N/A	Her Majesty in right of the Duchy of Lancaster, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 138(7)(c)	N/A	the Duke of Cornwall. Reports etc	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139	Reporting to Parliament	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1)	N/A	The Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1)(a)	N/A	produce a general report on the carrying out of the Commissioner's functions annually,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1)(b)	N/A	arrange for it to be laid before Parliament, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1)(c)	N/A	publish it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)	N/A	In connection with the Commissioner's functions under the data protection legislation, the report must contain (among other things)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)(a)	N/A	a review of what the Commissioner has done during the reporting period to comply with the duties under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)(a)(i)	N/A	sections 120A and 120B,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)(a)(ii)	N/A	section 108 of the Deregulation Act 2015, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)(a)(iii)	N/A	section 21 of the Legislative and Regulatory Reform Act 2006, including a review of the operation of the strategy prepared and published under section 120C;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1A)(b)	N/A	a review of what the Commissioner has done during the reporting period to comply with the duty under section 120D.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(1B)	N/A	In subsection (1A), "the reporting period" means the period to which the report relates.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(2A)	N/A	The report under this section may include the annual report under section 161A.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(3)	N/A	The Commissioner may produce other reports relating to the carrying out of the Commissioner's functions and arrange for them to be laid before Parliament. 139AAnalysis of performance	Functional	No Relationship	N/A	N/A	N/A	0	
Section 139(2)	N/A	The analysis must be prepared and published at least annually.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 140	Publication by the Commissioner	A duty under this Act for the Commissioner to publish a document is a duty for the Commissioner to publish it, or to arrange for it to be published, in such form and manner as the Commissioner considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141	Notices from the Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(1)	N/A	This section applies in relation to a notice authorised or required by this Act to be given to a person by the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(2)	N/A	The notice may be given to an individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(2)(a)	N/A	by delivering it to the individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(2)(b)	N/A	by sending it to the individual by post addressed to the individual at his or her usual or last-known place of residence or business, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(2)(c)	N/A	by leaving it for the individual at that place.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(3)	N/A	The notice may be given to a body corporate or unincorporate—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(3)(a)	N/A	by sending it by post to the proper officer of the body at its principal office, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(3)(b)	N/A	by addressing it to the proper officer of the body and leaving it at that office.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(4)	N/A	The notice may be given to a partnership in Scotland—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(4)(a)	N/A	by sending it by post to the principal office of the partnership, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(4)(b)	N/A	by addressing it to that partnership and leaving it at that office.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(5)	N/A	The notice may be given to the person by other means, including by electronic means, with the person's consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(6)	N/A	In this section— "principal office", in relation to a registered company, means its registered office; "proper officer", in relation to any body, means the secretary or other executive officer charged with the conduct of its general affairs; "registered company" means a company registered under the enactments relating to companies for the time being in force in the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 141(7)	N/A	This section is without prejudice to any other lawful method of giving a notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Part 6	Enforcement	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142	Information notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(1)	N/A	The Commissioner may, by written notice (an "information notice")—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(1)(a)	N/A	require a controller or processor to provide the Commissioner with information that the Commissioner reasonably requires for the purposes of carrying out the Commissioner's functions under the data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(1)(b)	N/A	require any person to provide the Commissioner with information that the Commissioner reasonably requires for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(1)(b)(i)	N/A	investigating a suspected failure of a type described in section 149(2) or a suspected offence under this Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(1)(b)(ii)	N/A	determining whether the processing of personal data is carried out by an individual in the course of a purely personal or household activity.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(2)	N/A	An information notice must state—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(2)(a)	N/A	whether it is given under subsection (1)(a), (b)(i) or (b)(ii), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(2)(b)	N/A	why the Commissioner requires the information.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(3)	N/A	An information notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(3)(a)	N/A	may specify or describe particular information or a category of information;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(3)(b)	N/A	may specify the form in which the information must be provided;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(3)(c)	N/A	may specify the time at which, or the period within which, the information must be provided;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(3)(d)	N/A	may specify the place where the information must be provided; (but see the restrictions in subsections (5) to (7)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(4)	N/A	An information notice must provide information about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(4)(a)	N/A	the consequences of failure to comply with it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(4)(b)	N/A	the rights under sections 162 and 164 (appeals etc).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(5)	N/A	An information notice may not require a person to provide information before the end of the period within which an appeal can be brought against the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(6)	N/A	If an appeal is brought against an information notice, the information need not be provided pending the determination or withdrawal of the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(7)	N/A	If an information notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(7)(a)	N/A	states that, in the Commissioner's opinion, the information is required urgently, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(7)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (5) and (6) do not apply but the notice must not require the information to be provided before the end of the period of 24 hours beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(8)	N/A	The Commissioner may cancel an information notice by written notice to the person to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(9)	N/A	In subsection (1), in relation to a person who is a controller or processor for the purposes of the UK GDPR, the reference to a controller or processor includes a representative of a controller or processor designated under Article 27 of the UK GDPR (representatives of controllers or processors not established in the United Kingdom).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 142(10)	N/A	Section 3(14)(c) does not apply to the reference to the processing of personal data in subsection (1)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143	Information notices: restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(1)	N/A	The Commissioner may not give an information notice with respect to the processing of personal data for the special purposes unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(1)(a)	N/A	a determination under section 174 with respect to the data or the processing has taken effect, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(1)(b)	N/A	the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(1)(b)(i)	N/A	has reasonable grounds for suspecting that such a determination could be made, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(1)(b)(ii)	N/A	the information is required for the purposes of making such a determination.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(2)	N/A	An information notice does not require a person to give the Commissioner information to the extent that requiring the person to do so would involve an infringement of the privileges of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(3)	N/A	An information notice does not require a person to give the Commissioner information in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(3)(a)	N/A	between a professional legal adviser and the adviser's client, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 143(3)(b)	N/A	in connection with the giving of legal advice to the client with respect to obligations, liabilities or rights under the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(4)	N/A	An information notice does not require a person to give the Commissioner information in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(4)(a)	N/A	between a professional legal adviser and the adviser's client or between such an adviser or client and another person,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(4)(b)	N/A	in connection with or in contemplation of proceedings under or arising out of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(4)(c)	N/A	for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(5)	N/A	In subsections (3) and (4), references to the client of a professional legal adviser include references to a person acting on behalf of the client.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(6)	N/A	An information notice does not require a person to provide the Commissioner with information if doing so would, by revealing evidence of the commission of an offence expose the person to proceedings for that offence.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(7)	N/A	The reference to an offence in subsection (6) does not include an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(7)(a)	N/A	this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(7)(b)	N/A	section 5 of the Perjury Act 1911 (false statements made otherwise than on oath);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(7)(c)	N/A	section 44(2) of the Criminal Law (Consolidation) (Scotland) Act 1995 (false statements made otherwise than on oath);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(7)(d)	N/A	Article 10 of the Perjury (Northern Ireland) Order 1979 (S.I. 1979/1714 (N.I. 19)) (false statutory declarations and other false unsworn statements).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(8)	N/A	An oral or written statement provided by a person in response to an information notice may not be used in evidence against that person on a prosecution for an offence under this Act (other than an offence under section 144) unless in the proceedings—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(8)(a)	N/A	in giving evidence the person provides information inconsistent with the statement, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(8)(b)	N/A	evidence relating to the statement is adduced, or a question relating to it is asked, by that person or on that person's behalf.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 143(9)	N/A	In subsection (8), in relation to an information notice given to a representative of a controller or processor designated under Article 27 of the UK GDPR, the reference to the person providing the information being exposed to proceedings for an offence includes a reference to the controller or processor being exposed to such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 144	False statements made in response to information notices	It is an offence for a person, in response to an information notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 144(a)	N/A	to make a statement which the person knows to be false in a material respect, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 144(b)	N/A	recklessly to make a statement which is false in a material respect.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145	Information orders	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(1)	N/A	This section applies if, on an application by the Commissioner, a court is satisfied that a person has failed to comply with a requirement of an information notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(2)	N/A	The court may make an order requiring the person to provide to the Commissioner some or all of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(2)(a)	N/A	information referred to in the information notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(2)(b)	N/A	other information which the court is satisfied the Commissioner requires, having regard to the statement included in the notice in accordance with section 142(2)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(3)	N/A	The order—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(3)(a)	N/A	may specify the form in which the information must be provided,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(3)(b)	N/A	must specify the time at which, or the period within which, the information must be provided, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 145(3)(c)	N/A	may specify the place where the information must be provided. Assessment notices	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146	Assessment notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(1)	N/A	The Commissioner may by written notice (an "assessment notice") require a controller or processor to permit the Commissioner to carry out an assessment of whether the controller or processor has complied or is complying with the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)	N/A	An assessment notice may require the controller or processor to do any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(a)	N/A	permit the Commissioner to enter specified premises;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(b)	N/A	direct the Commissioner to documents on the premises that are of a specified description;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(c)	N/A	assist the Commissioner to view information of a specified description that is capable of being viewed using equipment on the premises;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(d)	N/A	comply with a request from the Commissioner for a copy (in such form as may be requested) of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(d)(i)	N/A	the documents to which the Commissioner is directed;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(d)(ii)	N/A	the information which the Commissioner is assisted to view;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(e)	N/A	direct the Commissioner to equipment or other material on the premises which is of a specified description;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(f)	N/A	permit the Commissioner to inspect or examine the documents, information, equipment or material to which the Commissioner is directed or which the Commissioner is assisted to view;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(g)	N/A	provide the Commissioner with an explanation of such documents, information, equipment or material;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(h)	N/A	permit the Commissioner to observe the processing of personal data that takes place on the premises;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(h)(i)	N/A	make available for interview by the Commissioner a specified number of people of a specified description who process personal data on behalf of the controller, not exceeding the number who are willing to be interviewed;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(j)	N/A	make arrangements for an approved person to prepare a report on a specified matter;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(2)(k)	N/A	provide to the Commissioner a report prepared in pursuance of such arrangements.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3)	N/A	In subsection (2), references to the Commissioner include references to the Commissioner's officers and staff.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3A)	N/A	An assessment notice that requires a controller or processor to make arrangements for an approved person to prepare a report may require the arrangements to include specified terms as to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3A)(a)	N/A	the preparation of the report;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3A)(b)	N/A	the contents of the report;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3A)(c)	N/A	the form in which the report is to be provided;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(3A)(d)	N/A	the date by which the report is to be completed.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 146(4)	N/A	An assessment notice must, in relation to each requirement imposed by the notice, specify the time or times at which, or period or periods within which, the requirement must be complied with (but see the restrictions in subsections (6) to (9)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(5)	N/A	An assessment notice must provide information about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(5)(a)	N/A	the consequences of failure to comply with it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(5)(b)	N/A	the rights under sections 162 and 164 (appeals etc).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(6)	N/A	An assessment notice may not require a person to do anything before the end of the period within which an appeal can be brought against the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(7)	N/A	If an appeal is brought against an assessment notice, the controller or processor need not comply with a requirement in the notice pending the determination or withdrawal of the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(8)	N/A	If an assessment notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(8)(a)	N/A	states that, in the Commissioner's opinion, it is necessary for the controller or processor to comply with a requirement in the notice urgently,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(8)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(8)(c)	N/A	does not meet the conditions in subsection (9)(a) to (d), subsections (6) and (7) do not apply but the notice must not require the controller or processor to comply with the requirement before the end of the period of 7 days beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)	N/A	If an assessment notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)(a)	N/A	states that, in the Commissioner's opinion, there are reasonable grounds for suspecting that a controller or processor has failed or is failing as described in section 149(2) or that an offence under this Act has been or is being committed,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)(b)	N/A	indicates the nature of the suspected failure or offence,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)(c)	N/A	does not specify domestic premises,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)(d)	N/A	states that, in the Commissioner's opinion, it is necessary for the controller or processor to comply with a requirement in the notice in less than 7 days, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(9)(e)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (6) and (7) do not apply.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(10)	N/A	The Commissioner may cancel an assessment notice by written notice to the controller or processor to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(11)	N/A	Where the Commissioner gives an assessment notice to a processor, the Commissioner must, so far as reasonably practicable, give a copy of the notice to each controller for whom the processor processes personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(11A)	N/A	Where the Commissioner gives an assessment notice that requires the controller or processor to make arrangements for an approved person to prepare a report, the controller or processor is liable for the payment of the approved person's remuneration and expenses under the arrangements.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(12)	N/A	In this section— "approved person", in relation to a report, means a person approved to prepare the report in accordance with section 146A; "domestic premises" means premises, or a part of premises, used as a dwelling; "specified" means specified in an assessment notice 146AAssessment notices: approval of person to prepare report etc	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(4)(a)	N/A	inform the controller or processor that the Commissioner has decided not to approve the nominated person to prepare the report,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(4)(b)	N/A	inform the controller or processor of the reasons for that decision, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 146(4)(c)	N/A	approve a person who the Commissioner is satisfied is a suitable person to prepare the report to do so.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147	Assessment notices: restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(1)	N/A	An assessment notice does not require a person to do something to the extent that requiring the person to do it would involve an infringement of the privileges of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(2)	N/A	An assessment notice does not have effect so far as compliance would result in the disclosure of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(2)(a)	N/A	between a professional legal adviser and the adviser's client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(2)(b)	N/A	in connection with the giving of legal advice to the client with respect to obligations, liabilities or rights under the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(3)	N/A	An assessment notice does not have effect so far as compliance would result in the disclosure of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(3)(a)	N/A	between a professional legal adviser and the adviser's client or between such an adviser or client and another person,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(3)(b)	N/A	in connection with or in contemplation of proceedings under or arising out of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(3)(c)	N/A	for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(4)	N/A	In subsections (2) and (3)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(4)(a)	N/A	references to the client of a professional legal adviser include references to a person acting on behalf of such a client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(4)(b)	N/A	references to a communication include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(4)(b)(i)	N/A	a copy or other record of the communication, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(4)(b)(ii)	N/A	anything enclosed with or referred to in the communication if made as described in subsection (2)(b) or in subsection (3)(b) and (c).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(5)	N/A	The Commissioner may not give a controller or processor an assessment notice with respect to the processing of personal data for the special purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(6)	N/A	The Commissioner may not give an assessment notice to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 147(6)(a)	N/A	a body specified in section 23(3) of the Freedom of Information Act 2000 (bodies dealing with security matters), ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148	Destroying or falsifying information and documents etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(1)	N/A	This section applies where a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(1)(a)	N/A	has been given an information notice requiring the person to provide the Commissioner with information, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(1)(b)	N/A	has been given an assessment notice requiring the person to direct the Commissioner to a document, equipment or other material or to assist the Commissioner to view information.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(2)	N/A	It is an offence for the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(2)(a)	N/A	to destroy or otherwise dispose of, conceal, block or (where relevant) falsify all or part of the information, document, equipment or material, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148(2)(b)	N/A	to cause or permit the destruction, disposal, concealment, blocking or (where relevant) falsification of all or part of the information, document, equipment or material, with the intention of preventing the Commissioner from viewing, or being provided with or directed to, all or part of the information, document, equipment or material.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 148(3)	N/A	It is a defence for a person charged with an offence under subsection (2) to prove that the destruction, disposal, concealment, blocking or falsification would have occurred in the absence of the person being given the notice. <u>Interview notices</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A	Interview notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(1)	N/A	This section applies where the Commissioner suspects that a controller or processor—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(1)(a)	N/A	has failed or is failing as described in section 149(2), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(1)(b)	N/A	has committed or is committing an offence under this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(2)	N/A	For the purpose of investigating the suspected failure or offence, the Commissioner may, by written notice (an “interview notice”), require an individual within subsection (3) to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(2)(a)	N/A	attend at a place specified in the notice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(2)(b)	N/A	answer questions with respect to any matter relevant to the investigation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(3)	N/A	An individual is within this subsection if the individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(3)(a)	N/A	is the controller or processor,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(3)(b)	N/A	is or was at any time employed by, or otherwise working for, the controller or processor, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(3)(c)	N/A	is or was at any time concerned in the management or control of the controller or processor.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(4)	N/A	An interview notice must specify the time at which the individual must attend at the specified place and answer questions (but see the restrictions in subsections (6) and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(7)	N/A	).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(5)	N/A	An interview notice must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(5)(a)	N/A	indicate the nature of the suspected failure or offence that is the subject of the investigation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(5)(b)	N/A	provide information about the consequences of failure to comply with the notice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(5)(c)	N/A	provide information about the rights under sections 162 and 164 (appeals etc).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(6)	N/A	An interview notice may not require an individual to attend at the specified place and answer questions before the end of the period within which an appeal can be brought against the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(8)	N/A	If an interview notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(9)(a)	N/A	states that, in the Commissioner’s opinion, it is necessary for the individual to attend at the specified place and answer questions urgently, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(9)(b)	N/A	gives the Commissioner’s reasons for reaching that opinion, subsections (6) and (7) do not apply but the notice must not require the individual to attend at the specified place and answer questions before the end of the period of 24 hours beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148A(9)	N/A	The Commissioner may cancel or vary an interview notice by written notice to the individual to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B	Interview notices: restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(1)	N/A	An interview notice does not require an individual to answer questions to the extent that requiring the person to do so would involve an infringement of the privileges of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(2)	N/A	An interview notice does not require an individual to answer questions in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(2)(a)	N/A	between a professional legal adviser and the adviser’s client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(2)(b)	N/A	in connection with the giving of legal advice to the client with respect to obligations, liabilities or rights under the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(3)	N/A	An interview notice does not require an individual to answer questions in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(3)(a)	N/A	between a professional legal adviser and the adviser’s client or between such an adviser or client and another person.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(3)(b)	N/A	in connection with or in contemplation of proceedings under or arising out of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(3)(c)	N/A	for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(4)	N/A	In subsections (2) and (3), references to the client of a professional legal adviser include references to a person acting on behalf of the client.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(5)	N/A	An interview notice does not require an individual to answer questions if doing so would, by revealing evidence of the commission of an offence, expose the individual to proceedings for that offence.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(6)	N/A	The reference to an offence in subsection (5) does not include an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(6)(a)	N/A	this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(6)(b)	N/A	section 5 of the Perjury Act 1911 (false statements made otherwise than on oath);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(6)(c)	N/A	section 44(2) of the Criminal Law (Consolidation) (Scotland) Act 1995 (false statements made otherwise than on oath);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(6)(d)	N/A	Article 10 of the Perjury (Northern Ireland) Order 1979 (S.I. 1979/1714 (N.I. 19)) (false statutory declarations and other false unsworn statements).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(7)	N/A	A statement made by an individual in response to an interview notice may not be used in evidence against that individual on a prosecution for an offence under this Act (other than an offence under section 148C) unless in the proceedings—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(7)(a)	N/A	in giving evidence the individual provides information inconsistent with the statement, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(7)(b)	N/A	evidence relating to the statement is adduced, or a question relating to it is asked, by that individual or on that individual’s behalf.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(8)	N/A	The Commissioner may not give an interview notice with respect to the processing of personal data for the special purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148B(9)	N/A	The Commissioner may not give an interview notice to an individual for the purpose of investigating a suspected failure or offence if the controller or processor suspected of the failure or offence is a body specified in section 23(3) of the Freedom of Information Act 2000 (bodies dealing with security matters).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148C	False statements made in response to interview notices	It is an offence for an individual, in response to an interview notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148C(a)	N/A	to make a statement which the individual knows to be false in a material respect, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 148C(b)	N/A	recklessly to make a statement which is false in a material respect. <u>Enforcement notices</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149	Enforcement notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(1)	N/A	Where the Commissioner is satisfied that a person has failed, or is failing, as described in subsection (2), (3), (4) or (5), the Commissioner may give the person a written notice (an “enforcement notice”) which requires the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(1)(a)	N/A	to take steps specified in the notice, or	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 149(1)(b)	N/A	to refrain from taking steps specified in the notice, or both (and see also sections 150 and 151).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)	N/A	The first type of failure is where a controller or processor has failed, or is failing, to comply with any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)(a)	N/A	a provision of Chapter II of the UK GDPR or Chapter 2 of Part 3 or Chapter 2 of Part 4 of this Act (principles of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)(b)	N/A	a provision of or made under Articles 12 to 22D of the UK GDPR or Part 3 or 4 of this Act conferring rights on a data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)(c)	N/A	a provision of Articles 25 to 39 of the UK GDPR or section 64 or 65 of this Act (obligations of controllers and processors);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)(d)	N/A	a requirement to communicate a personal data breach to the Commissioner or a data subject under section 67, 68 or 108 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(2)(e)	N/A	the principles for transfers of personal data to third countries, non-Convention countries and international organisations in Articles 44A to 49A of the UK GDPR or in sections 73 to 78 or 109 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(3)	N/A	The second type of failure is where a monitoring body has failed, or is failing, to comply with an obligation under Article 41 of the UK GDPR (monitoring of approved codes of conduct).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(4)	N/A	The third type of failure is where a person who is a certification provider—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(4)(a)	N/A	does not meet the requirements for accreditation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(4)(b)	N/A	has failed, or is failing, to comply with an obligation under Article 42 or 43 of the UK GDPR (certification of controllers and processors), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(4)(c)	N/A	has failed, or is failing, to comply with any other provision of the UK GDPR (whether in the person's capacity as a certification provider or otherwise).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(5)	N/A	The fourth type of failure is where a controller has failed, or is failing, to comply with regulations under section 137.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(6)	N/A	An enforcement notice given in reliance on subsection (2), (3) or (5) may only impose requirements which the Commissioner considers appropriate for the purpose of remedying the failure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(7)	N/A	An enforcement notice given in reliance on subsection (4) may only impose requirements which the Commissioner considers appropriate having regard to the failure (whether or not for the purpose of remedying the failure).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(8)	N/A	The Secretary of State may by regulations confer power on the Commissioner to give an enforcement notice in respect of other failures to comply with the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(9)	N/A	Regulations under this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(9)(a)	N/A	may make provision about the giving of an enforcement notice in respect of the failure, including by amending this section and sections 150 to 152,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(9)(b)	N/A	may make provision about the giving of an information notice, an assessment notice, an interview notice or a penalty notice, or about powers of entry and inspection, in connection with the failure, including by amending sections 142, 143, 146, 147, 148A, 148B and 155 to 157 and Schedules 15 and 16, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 149(9)(c)	N/A	are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150	Enforcement notices: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(1)	N/A	An enforcement notice must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(1)(a)	N/A	state what the person has failed or is failing to do, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(1)(b)	N/A	give the Commissioner's reasons for reaching that opinion.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(2)	N/A	In deciding whether to give an enforcement notice in reliance on section 149(2), the Commissioner must consider whether the failure has caused or is likely to cause any person damage or distress.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)	N/A	In relation to an enforcement notice given in reliance on section 149(2), the Commissioner's power under section 149(1)(b) to require a person to refrain from taking specified steps includes power—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)(a)	N/A	to impose a ban relating to all processing of personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)(b)	N/A	to impose a ban relating only to a specified description of processing of personal data, including by specifying one or more of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)(b)(i)	N/A	a description of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)(b)(ii)	N/A	the purpose or manner of the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(3)(b)(iii)	N/A	the time when the processing takes place.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(4)	N/A	An enforcement notice may specify the time or times at which, or period or periods within which, a requirement imposed by the notice must be complied with (but see the restrictions in subsections (6) to (8)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(5)	N/A	An enforcement notice must provide information about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(5)(a)	N/A	the consequences of failure to comply with it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(5)(b)	N/A	the rights under sections 162 and 164 (appeals etc).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(6)	N/A	An enforcement notice must not specify a time for compliance with a requirement in the notice which falls before the end of the period within which an appeal can be brought against the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(7)	N/A	If an appeal is brought against an enforcement notice, a requirement in the notice need not be complied with pending the determination or withdrawal of the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(8)	N/A	If an enforcement notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(8)(a)	N/A	states that, in the Commissioner's opinion, it is necessary for a requirement to be complied with urgently, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(8)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (6) and (7) do not apply but the notice must not require the requirement to be complied with before the end of the period of 24 hours beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 150(9)	N/A	In this section, "specified" means specified in an enforcement notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151	Enforcement notices: rectification and erasure of personal data etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(1)	N/A	Subsections (2) and (3) apply where an enforcement notice is given in respect of a failure by a controller or processor—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(1)(a)	N/A	to comply with a data protection principle relating to accuracy, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(1)(b)	N/A	to comply with a data subject's request to exercise rights under Article 16, 17 or 18 of the UK GDPR (right to rectification, erasure or restriction on processing) or section 46, 47 or 100 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(2)	N/A	If the enforcement notice requires the controller or processor to rectify or erase inaccurate personal data, it may also require the controller or processor to rectify or erase any other data which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(2)(a)	N/A	is held by the controller or processor, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(2)(b)	N/A	contains an expression of opinion which appears to the Commissioner to be based on the inaccurate personal data.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 151(3)	N/A	Where a controller or processor has accurately recorded personal data provided by the data subject or a third party but the data is inaccurate, the enforcement notice may require the controller or processor—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(3)(a)	N/A	to take steps specified in the notice to ensure the accuracy of the data,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(3)(b)	N/A	if relevant, to secure that the data indicates the data subject's view that the data is inaccurate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(3)(c)	N/A	to supplement the data with a statement of the true facts relating to the matters dealt with by the data that is approved by the Commissioner, (as well as imposing requirements under subsection (2)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(4)	N/A	When deciding what steps it is reasonable to specify under subsection (3)(a), the Commissioner must have regard to the purpose for which the data was obtained and further processed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(5)	N/A	Subsections (6) and (7) apply where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(5)(a)	N/A	an enforcement notice requires a controller or processor to rectify or erase personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(5)(b)	N/A	the Commissioner is satisfied that the processing of personal data which has been rectified or erased by the controller or processor involved a failure described in subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(6)	N/A	An enforcement notice may, if reasonably practicable, require the controller or processor to notify third parties to whom the data has been disclosed of the rectification or erasure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(7)	N/A	In determining whether it is reasonably practicable to require such notification, the Commissioner must have regard, in particular, to the number of people who would have to be notified.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(8)	N/A	In this section, "data protection principle relating to accuracy" means the principle in—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(8)(a)	N/A	Article 5(1)(d) of the UK GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(8)(b)	N/A	section 38(1) of this Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 151(8)(c)	N/A	section 89 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152	Enforcement notices: restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(1)	N/A	The Commissioner may not give a controller or processor an enforcement notice in reliance on section 149(2) with respect to the processing of personal data for the special purposes unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(1)(a)	N/A	a determination under section 174 with respect to the data or the processing has taken effect, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(1)(b)	N/A	a court has granted leave for the notice to be given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(2)	N/A	A court must not grant leave for the purposes of subsection (1)(b) unless it is satisfied that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(2)(a)	N/A	the Commissioner has reason to suspect a failure described in section 149(2) which is of substantial public importance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(2)(b)	N/A	the controller or processor has been given notice of the application for leave in accordance with rules of court or the case is urgent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(3)	N/A	An enforcement notice does not require a person to do something to the extent that requiring the person to do it would involve an infringement of the privileges of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 152(4)	N/A	In the case of a joint controller in respect of the processing of personal data to which Part 3 or 4 applies whose responsibilities for compliance with that Part are determined in an arrangement under section 58 or 104, the Commissioner may only give the controller an enforcement notice in reliance on section 149(2) if the controller is responsible for compliance with the provision, requirement or principle in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153	Enforcement notices: cancellation and variation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153(1)	N/A	The Commissioner may cancel or vary an enforcement notice by giving written notice to the person to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153(2)	N/A	A person to whom an enforcement notice is given may apply in writing to the Commissioner for the cancellation or variation of the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153(3)	N/A	An application under subsection (2) may be made only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153(3)(a)	N/A	after the end of the period within which an appeal can be brought against the notice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 153(3)(b)	N/A	on the ground that, by reason of a change of circumstances, one or more of the provisions of that notice need not be complied with in order to remedy the failure identified in the notice. Powers of entry and inspection	Functional	No Relationship	N/A	N/A	N/A	0	
Section 154	Powers of entry and inspection	Schedule 15 makes provision about powers of entry and inspection. Penalties	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155	Penalty notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(1)	N/A	If the Commissioner is satisfied that a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(1)(a)	N/A	has failed or is failing as described in section 149(2), (3), (4) or (5), ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(1)(b)	N/A	has failed to comply with an information notice, an assessment notice , an interview notice or an enforcement notice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(1)(c)	N/A	has failed to comply with a duty imposed on the person by section 146A(6), the Commissioner may, by written notice (a "penalty notice"), require the person to pay to the Commissioner an amount in sterling specified in the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(2)	N/A	Subject to subsection (4), when deciding whether to give a penalty notice to a person and determining the amount of the penalty, the Commissioner must have regard to the following, so far as relevant—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(2)(a)	N/A	to the extent that the notice concerns a matter to which the UK GDPR applies, the matters listed in Article 83(1) and (2) of the UK GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(2)(b)	N/A	to the extent that the notice concerns another matter, the matters listed in subsection (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)	N/A	Those matters are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(a)	N/A	the nature, gravity and duration of the failure;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(b)	N/A	the intentional or negligent character of the failure;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(c)	N/A	any action taken by the controller or processor to mitigate the damage or distress suffered by data subjects;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(d)	N/A	the degree of responsibility of the controller or processor, taking into account technical and organisational measures implemented by the controller or processor in accordance with section 57, 66, 103 or 107;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(e)	N/A	any relevant previous failures by the controller or processor;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(f)	N/A	the degree of co-operation with the Commissioner, in order to remedy the failure and mitigate the possible adverse effects of the failure;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(g)	N/A	the categories of personal data affected by the failure;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(h)	N/A	the manner in which the infringement became known to the Commissioner, including whether, and if so to what extent, the controller or processor notified the Commissioner of the failure;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(h)(i)	N/A	the extent to which the controller or processor has complied with previous enforcement notices or penalty notices;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(j)	N/A	adherence to approved codes of conduct or certification mechanisms;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 155(3)(k)	N/A	any other aggravating or mitigating factor applicable to the case, including financial benefits gained, or losses avoided, as a result of the failure (whether directly or indirectly);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(3)(l)	N/A	whether the penalty would be effective, proportionate and dissuasive.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(4)	N/A	Subsections (2) and (3) do not apply in the case of a decision or determination relating to a failure described in section 149(5).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(5)	N/A	Schedule 16 makes further provision about penalty notices, including provision requiring the Commissioner to give a notice of intent to impose a penalty and provision about payment, variation, cancellation and enforcement.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(6)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(6)(a)	N/A	confer power on the Commissioner to give a penalty notice in respect of other failures to comply with the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(6)(b)	N/A	provide for the maximum penalty that may be imposed in relation to such failures to be either the standard maximum amount or the higher maximum amount.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(7)	N/A	Regulations under this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(7)(a)	N/A	may make provision about the giving of penalty notices in respect of the failure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(7)(b)	N/A	may amend this section and sections 156 to 158, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(7)(c)	N/A	are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 155(8)	N/A	In this section, "higher maximum amount" and "standard maximum amount" have the same meaning as in section 157.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156	Penalty notices: restrictions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(1)	N/A	The Commissioner may not give a controller or processor a penalty notice in reliance on section 149(2) with respect to the processing of personal data for the special purposes unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(1)(a)	N/A	a determination under section 174 with respect to the data or the processing has taken effect, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(1)(b)	N/A	a court has granted leave for the notice to be given.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(2)	N/A	A court must not grant leave for the purposes of subsection (1)(b) unless it is satisfied that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(2)(a)	N/A	the Commissioner has reason to suspect a failure described in section 149(2) which is of substantial public importance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(2)(b)	N/A	the controller or processor has been given notice of the application for leave in accordance with rules of court or the case is urgent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(3)	N/A	The Commissioner may not give a controller or processor a penalty notice with respect to the processing of personal data where the purposes and manner of the processing are determined by or on behalf of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(4)	N/A	The Commissioner may not give a penalty notice to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(4)(a)	N/A	the Crown Estate Commissioners, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(4)(b)	N/A	a person who is a controller by virtue of section 209(4) (controller for the Royal Household etc).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 156(5)	N/A	In the case of a joint controller in respect of the processing of personal data to which Part 3 or 4 applies whose responsibilities for compliance with that Part are determined in an arrangement under section 58 or 104, the Commissioner may only give the controller a penalty notice in reliance on section 149(2) if the controller is responsible for compliance with the provision, requirement or principle in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157	Maximum amount of penalty	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(1)	N/A	In relation to an infringement of a provision of the UK GDPR, the maximum amount of the penalty that may be imposed by a penalty notice is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(1)(a)	N/A	the amount specified in Article 83 of the UK GDPR, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(1)(b)	N/A	if an amount is not specified there, the standard maximum amount.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(2)	N/A	In relation to an infringement of a provision of Part 3 of this Act, the maximum amount of the penalty that may be imposed by a penalty notice is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(2)(a)	N/A	in relation to a failure to comply with section 35, 36, 37, 38(1), 39(1), 40, 44, 45, 46, 47, 48, 50B, 50C, 52, 53, 73, ... 75, 76, 77 or 78, the higher maximum amount, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(2)(b)	N/A	otherwise, the standard maximum amount.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(3)	N/A	In relation to an infringement of a provision of Part 4 of this Act, the maximum amount of the penalty that may be imposed by a penalty notice is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(3)(a)	N/A	in relation to a failure to comply with section 86, 87, 88, 89, 90, 91, 93, 94, 100 or 109, the higher maximum amount, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(3)(b)	N/A	otherwise, the standard maximum amount.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(4)	N/A	In relation to a failure to comply with an information notice, an assessment notice, an interview notice or an enforcement notice, the maximum amount of the penalty that may be imposed by a penalty notice is the higher maximum amount.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(5)	N/A	The "higher maximum amount" is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(5)(a)	N/A	in the case of an undertaking, £17,500,000 or 4% of the undertaking's total annual worldwide turnover in the preceding financial year, whichever is higher, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(5)(b)	N/A	in any other case, £17,500,000.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(6)	N/A	The "standard maximum amount" is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(6)(a)	N/A	in the case of an undertaking, £8,700,000 or 2% of the undertaking's total annual worldwide turnover in the preceding financial year, whichever is higher, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 157(6)(b)	N/A	in any other case, £8,700,000.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158	Fixed penalties for non-compliance with charges regulations	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(1)	N/A	The Commissioner must produce and publish a document specifying the amount of the penalty for a failure to comply with regulations made under section 137.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(2)	N/A	The Commissioner may specify different amounts for different types of failure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(3)	N/A	The maximum amount that may be specified is 150% of the highest charge payable by a controller in respect of a financial year in accordance with the regulations, disregarding any discount available under the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(4)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(4)(a)	N/A	may alter or replace the document, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(4)(b)	N/A	must publish any altered or replacement document.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(5)	N/A	Before publishing a document under this section (including any altered or replacement document), the Commissioner must consult—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 158(5)(a)	N/A	the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(5)(b)	N/A	such other persons as the Commissioner considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 158(6)	N/A	The Commissioner must arrange for a document published under this section (including any altered or replacement document) to be laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159	Amount of penalties: supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159(1)	N/A	For the purposes of Article 83 of the UK GDPR and section 157, the Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159(1)(a)	N/A	provide that a person of a description specified in the regulations is or is not an undertaking, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159(1)(b)	N/A	make provision about how an undertaking's turnover is to be determined.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159(2)	N/A	For the purposes of Article 83 of the UK GDPR, section 157 and section 158, the Secretary of State may by regulations provide that a period is or is not a financial year.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 159(3)	N/A	Regulations under this section are subject to the affirmative resolution procedure. Guidance and report	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160	Guidance about regulatory action	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)	N/A	The Commissioner must produce and publish guidance about how the Commissioner proposes to exercise the Commissioner's functions in connection with—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)(a)	N/A	information notices,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)(b)	N/A	assessment notices,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)(ba)	N/A	interview notices,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)(c)	N/A	enforcement notices, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(1)(d)	N/A	penalty notices.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(2)	N/A	The Commissioner may produce and publish guidance about how the Commissioner proposes to exercise the Commissioner's other functions under this Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(3)	N/A	In relation to information notices, the guidance must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(3)(a)	N/A	provision specifying factors to be considered in determining the time at which, or the period within which, information is to be required to be provided;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(3)(b)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to give an information notice to a person in reliance on section 142(7) (urgent cases);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(3)(c)	N/A	provision about how the Commissioner will determine how to proceed if a person does not comply with an information notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(4)	N/A	In relation to assessment notices, the guidance must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(4)(a)	N/A	provision specifying factors to be considered in determining whether to give an assessment notice to a person;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(4)(aa)	N/A	provision specifying factors to be considered in determining whether to give an assessment notice to a person that imposes a requirement of a sort mentioned in section 146(2)(i);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(4)(ab)	N/A	provision about the factors the Commissioner may take into account when determining the suitability of a person to prepare a report of a sort mentioned in section 146(2)(ii);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(4)(b)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to give an assessment notice in reliance on section 146(8) or (urgent cases);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)	N/A	provision specifying descriptions of documents or information that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(c)	N/A	are not to be examined or inspected in accordance with an assessment notice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(c)(i)	N/A	are to be so examined or inspected only by a person of a description specified in the guidance;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(d)	N/A	provision about the nature of inspections and examinations carried out in accordance with an assessment notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(e)	N/A	provision about the nature of interviews carried out in accordance with an assessment notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(f)	N/A	provision about the preparation, issuing and publication by the Commissioner of assessment reports in respect of controllers and processors that have been given assessment notices;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(g)	N/A	provision about how the Commissioner will determine how to proceed if a person does not comply with an assessment notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5)	N/A	The guidance produced in accordance with subsection (4)(c) must include provisions that relate to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5)(a)	N/A	documents and information concerning an individual's physical or mental health;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5)(b)	N/A	documents and information concerning the provision of social care for an individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)	N/A	In relation to interview notices, the guidance must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)(a)	N/A	provision specifying factors to be considered in determining whether to give an interview notice to an individual;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)(b)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to give an interview notice to an individual in reliance on section 148A(8) (urgent cases);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)(c)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to vary the place or time specified in an interview notice at the request of the individual to whom the notice is given;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)(d)	N/A	provision about the nature of interviews carried out in accordance with an interview notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(5A)(e)	N/A	provision about how the Commissioner will determine how to proceed if an individual does not comply with an interview notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(6)	N/A	In relation to enforcement notices, the guidance must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(6)(a)	N/A	provision specifying factors to be considered in determining whether to give an enforcement notice to a person;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(6)(b)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to give an enforcement notice to a person in reliance on section 150(8) (urgent cases);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(6)(c)	N/A	provision about how the Commissioner will determine how to proceed if a person does not comply with an enforcement notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(7)	N/A	In relation to penalty notices, the guidance must include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(7)(a)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to issue a penalty notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(7)(b)	N/A	provision about the circumstances in which the Commissioner would consider it appropriate to allow a person to make oral representations about the Commissioner's intention to give the person a penalty notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(7)(c)	N/A	provision explaining how the Commissioner will determine the amount of penalties;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(7)(d)	N/A	provision about how the Commissioner will determine how to proceed if a person does not comply with a penalty notice.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 160(7)(e)	N/A	provision about the circumstances in which the Commissioner would consider it necessary to comply with the duty in paragraph 4(A2) of Schedule 16 after the period of 6 months mentioned in that paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(8)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(8)(a)	N/A	may alter or replace guidance produced under this section, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(8)(b)	N/A	must publish any altered or replacement guidance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(a)	N/A	the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(9)(b)	N/A	such other persons as the Commissioner considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(10)	N/A	Section 161 applies in relation to the first guidance under subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(11)	N/A	The Commissioner must arrange for other guidance under this section (including any altered or replacement guidance) to be laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 160(12)	N/A	In this section, "social care" has the same meaning as in Part 1 of the Health and Social Care Act 2008 (see section 9(3) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161	Approval of first guidance about regulatory action	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(1)	N/A	When the first guidance is produced under section 160(1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(1)(a)	N/A	the Commissioner must submit the final version to the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(1)(b)	N/A	the Secretary of State must lay the guidance before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)	N/A	If, within the 40-day period, either House of Parliament resolves not to approve the guidance—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)(a)	N/A	the Commissioner must not issue the guidance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)(b)	N/A	the Commissioner must produce another version of the guidance (and this section applies to that version).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(3)	N/A	If, within the 40-day period, no such resolution is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(3)(a)	N/A	the Commissioner must issue the guidance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(3)(b)	N/A	the guidance comes into force at the end of the period of 21 days beginning with the day on which it is issued.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(4)	N/A	Nothing in subsection (2)(a) prevents another version of the guidance being laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(5)	N/A	In this section, "the 40-day period" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(5)(a)	N/A	if the guidance is laid before both Houses of Parliament on the same day, the period of 40 days beginning with that day, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(5)(b)	N/A	if the guidance is laid before the Houses of Parliament on different days, the period of 40 days beginning with the later of those days.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(6)	N/A	In calculating the 40-day period, no account is to be taken of any whole days that fall within a period during which Parliament is dissolved or prorogued or during which both Houses of Parliament are adjourned for more than 4 days. 161Annual report on regulatory action	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)(c)	N/A	the enforcement powers exercised by the Commissioner in the reporting period in connection with the investigations,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)(d)	N/A	the duration of investigations that ended in the reporting period, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(2)(e)	N/A	the different types of outcome in investigations that ended in that period.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(4)(a)	N/A	the number of penalty notices given in the reporting period that were given more than 6 months after the notice of intent was given under paragraph 2 of Schedule 16, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(4)(b)	N/A	the reasons why that happened.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(6)(a)	N/A	Article 58(1)(c) and (d) and (2)(a) and (b) of the UK GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(6)(b)	N/A	sections 142 to 159 of this Act,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(6)(c)	N/A	paragraph 2(a), (b) and (c) of Schedule 13 to this Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 161(6)(d)	N/A	Schedules 15 and 16 to this Act; "the law enforcement purposes" has the meaning given in section 31 of this Act; "the reporting period" means the period to which the report relates; "UK GDPR investigation" means an investigation required under Article 57(1)(h) of the UK GDPR (investigations on the application of the UK GDPR). Appeals etc	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162	Rights of appeal	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)	N/A	A person who is given any of the following notices may appeal to the Tribunal—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(a)	N/A	an information notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(b)	N/A	an assessment notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(ba)	N/A	an interview notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(c)	N/A	an enforcement notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(d)	N/A	a penalty notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(1)(e)	N/A	a penalty variation notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(2)	N/A	A person who is given an enforcement notice may appeal to the Tribunal against the refusal of an application under section 153 for the cancellation or variation of the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(3)	N/A	A person who is given a penalty notice or a penalty variation notice may appeal to the Tribunal against the amount of the penalty specified in the notice, whether or not the person appeals against the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 162(4)	N/A	Where a determination is made under section 174 in respect of the processing of personal data, the controller or processor may appeal to the Tribunal against the determination.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163	Determination of appeals	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(1)	N/A	Subsections (2) to (4) apply where a person appeals to the Tribunal under section 162(1) or (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(2)	N/A	The Tribunal may review any determination of fact on which the notice or decision against which the appeal is brought was based.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(3)	N/A	If the Tribunal considers—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(3)(a)	N/A	that the notice or decision against which the appeal is brought is not in accordance with the law, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(3)(b)	N/A	to the extent that the notice or decision involved an exercise of discretion by the Commissioner, that the Commissioner ought to have exercised the discretion differently, the Tribunal must allow the appeal or substitute another notice or decision which the Commissioner could have given or made.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(4)	N/A	Otherwise, the Tribunal must dismiss the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 163(5)	N/A	On an appeal under section 162(2), if the Tribunal considers that the enforcement notice ought to be cancelled or varied by reason of a change in circumstances, the Tribunal must cancel or vary the notice.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 163(6)	N/A	On an appeal under section 162(4), the Tribunal may cancel the Commissioner's determination.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164	Applications in respect of urgent notices	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(1)	N/A	This section applies where an information notice, an assessment notice, an interview notice or an enforcement notice given to a person contains an urgency statement.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(2)	N/A	The person may apply to the court for either or both of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(2)(a)	N/A	the disapplication of the urgency statement in relation to some or all of the requirements of the notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(2)(b)	N/A	a change to the time at which, or the period within which, a requirement of the notice must be complied with.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(3)	N/A	On an application under subsection (2), the court may do any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(3)(a)	N/A	direct that the notice is to have effect as if it did not contain the urgency statement;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(3)(b)	N/A	direct that the inclusion of the urgency statement is not to have effect in relation to a requirement of the notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(3)(c)	N/A	vary the notice by changing the time at which, or the period within which, a requirement of the notice must be complied with;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(3)(d)	N/A	vary the notice by making other changes required to give effect to a direction under paragraph (a) or (b) or in consequence of a variation under paragraph (c).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(4)	N/A	The decision of the court on an application under this section is final.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)	N/A	In this section, "urgency statement" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)(a)	N/A	in relation to an information notice, a statement under section 142(7)(a),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)(b)	N/A	in relation to an assessment notice, a statement under section 146(8)(a) or (9)	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)(d)	N/A	.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)(ba)	N/A	in relation to an interview notice, a statement under section 148A(8)(a), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(5)(c)	N/A	in relation to an enforcement notice, a statement under section 150(8)(a). Complaints 164AComplaints by data subjects to controllers	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(4)(a)	N/A	take appropriate steps to respond to the complaint, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164(4)(b)	N/A	inform the complainant of the outcome of the complaint.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B	Controllers to notify the Commissioner of the number of complaints	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(1)	N/A	The Secretary of State may by regulations require a controller to notify the Commissioner of the number of complaints made to the controller under section 164A in periods specified or described in the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(2)	N/A	Regulations under this section may provide that a controller is required to make a notification to the Commissioner in respect of a period only in circumstances specified in the regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(3)	N/A	Regulations under this section may include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(3)(a)	N/A	provision about a matter listed in subsection (4), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(3)(b)	N/A	provision conferring power on the Commissioner to determine those matters.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(4)	N/A	The matters are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(4)(a)	N/A	the form and manner in which a notification must be made,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(4)(b)	N/A	the time at which, or period within which, a notification must be made, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(4)(c)	N/A	how the number of complaints made to a controller during a period is to be calculated.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 164B(5)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165	Complaints by data subjects	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(1)	N/A	Articles 57(1)(f) and (2) and 77 of the UK GDPR (data subject's right to lodge a complaint) confer rights on data subjects to complain to the Commissioner if the data subject considers that, in connection with personal data relating to him or her, there is an infringement of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(2)	N/A	A data subject may make a complaint to the Commissioner if the data subject considers that, in connection with personal data relating to him or her, there is an infringement of Part 3 or 4 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(3)	N/A	The Commissioner must facilitate the making of complaints under subsection (2) by taking steps such as providing a complaint form which can be completed electronically and by other means.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(4)	N/A	If the Commissioner receives a complaint under subsection (2), the Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(4)(a)	N/A	take appropriate steps to respond to the complaint,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(4)(b)	N/A	inform the complainant of the outcome of the complaint,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(4)(c)	N/A	inform the complainant of the rights under section 166, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(4)(d)	N/A	if asked to do so by the complainant, provide the complainant with further information about how to pursue the complaint.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(5)	N/A	The reference in subsection (4)(a) to taking appropriate steps in response to a complaint includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(5)(a)	N/A	investigating the subject matter of the complaint, to the extent appropriate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(5)(b)	N/A	informing the complainant about progress on the complaint, including about whether further investigation or co-ordination with a foreign designated authority is necessary.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 165(7)	N/A	In this section— "foreign designated authority" means an authority designated for the purposes of Article 13 of the Data Protection Convention by a party, other than the United Kingdom, which is bound by that Convention; ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166	Orders to progress complaints	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(1)	N/A	This section applies where, after a data subject makes a complaint under section 165 or Article 77 of the UK GDPR, the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(1)(a)	N/A	fails to take appropriate steps to respond to the complaint,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(1)(b)	N/A	fails to provide the complainant with information about progress on the complaint, or of the outcome of the complaint, before the end of the period of 3 months beginning when the Commissioner received the complaint, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(1)(c)	N/A	if the Commissioner's consideration of the complaint is not concluded during that period, fails to provide the complainant with such information during a subsequent period of 3 months.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(2)	N/A	The Tribunal may, on an application by the data subject, make an order requiring the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(2)(a)	N/A	to take appropriate steps to respond to the complaint, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(2)(b)	N/A	to inform the complainant of progress on the complaint, or of the outcome of the complaint, within a period specified in the order.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 166(3)	N/A	An order under subsection (2)(a) may require the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(3)(a)	N/A	to take steps specified in the order;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(3)(b)	N/A	to conclude an investigation, or take a specified step, within a period specified in the order.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 166(4)	N/A	Section 165(5) applies for the purposes of subsections (1)(a) and (2)(a) as it applies for the purposes of section 165(4)(a). Remedies in the court	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167	Compliance orders	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(1)	N/A	This section applies if, on an application by a data subject, a court is satisfied that there has been an infringement of the data subject's rights under the data protection legislation in contravention of that legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(2)	N/A	A court may make an order for the purposes of securing compliance with the data protection legislation which requires the controller in respect of the processing, or a processor acting on behalf of that controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(2)(a)	N/A	to take steps specified in the order, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(2)(b)	N/A	to refrain from taking steps specified in the order.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(3)	N/A	The order may, in relation to each step, specify the time at which, or the period within which, it must be taken.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(4)	N/A	In subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(4)(a)	N/A	the reference to an application by a data subject includes an application made in exercise of the right under Article 79(1) of the UK GDPR (right to an effective remedy against a controller or processor);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(4)(b)	N/A	the reference to the data protection legislation does not include Part 4 of this Act or regulations made under that Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 167(5)	N/A	In relation to a joint controller in respect of the processing of personal data to which Part 3 applies whose responsibilities are determined in an arrangement under section 58, a court may only make an order under this section if the controller is responsible for compliance with the provision of the data protection legislation that is contravened.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168	Compensation for contravention of the [UK GDPR]	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(1)	N/A	In Article 82 of the UK GDPR (right to compensation for material or non-material damage), "non-material damage" includes distress.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(2)	N/A	Subsection (3) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(2)(a)	N/A	in accordance with rules of court, proceedings under Article 82 of the UK GDPR are brought by a representative body on behalf of a person, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(2)(b)	N/A	a court orders the payment of compensation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(3)	N/A	The court may make an order providing for the compensation to be paid on behalf of the person to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(3)(a)	N/A	the representative body, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 168(3)(b)	N/A	such other person as the court thinks fit.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169	Compensation for contravention of other data protection legislation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(1)	N/A	A person who suffers damage by reason of a contravention of a requirement of the data protection legislation, other than the UK GDPR, is entitled to compensation for that damage from the controller or the processor, subject to subsections (2) and (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(2)	N/A	Under subsection (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(2)(a)	N/A	a controller involved in processing of personal data is liable for any damage caused by the processing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(2)(b)	N/A	a processor involved in processing of personal data is liable for damage caused by the processing only if the processor—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(2)(b)(i)	N/A	has not complied with an obligation under the data protection legislation specifically directed at processors, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(2)(b)(ii)	N/A	has acted outside, or contrary to, the controller's lawful instructions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(3)	N/A	A controller or processor is not liable as described in subsection (2) if the controller or processor proves that the controller or processor is not in any way responsible for the event giving rise to the damage.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(4)	N/A	A joint controller in respect of the processing of personal data to which Part 3 or 4 applies whose responsibilities are determined in an arrangement under section 58 or 104 is only liable as described in subsection (2) if the controller is responsible for compliance with the provision of the data protection legislation that is contravened.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 169(5)	N/A	In this section, "damage" includes financial loss and damage not involving financial loss, such as distress. Offences relating to personal data	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170	Unlawful obtaining etc of personal data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(1)	N/A	It is an offence for a person knowingly or recklessly—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(1)(a)	N/A	to obtain or disclose personal data without the consent of the controller,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(1)(b)	N/A	to procure the disclosure of personal data to another person without the consent of the controller, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(1)(c)	N/A	after obtaining personal data, to retain it without the consent of the person who was the controller in relation to the personal data when it was obtained.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(2)	N/A	It is a defence for a person charged with an offence under subsection (1) to prove that the obtaining, disclosing, procuring or retaining—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(2)(a)	N/A	was necessary for the purposes of preventing, investigating or detecting crime,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(2)(b)	N/A	was required or authorised by an enactment, by a rule of law or by the order of a court or tribunal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(2)(c)	N/A	in the particular circumstances, was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)	N/A	It is also a defence for a person charged with an offence under subsection (1) to prove that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(a)	N/A	the person acted in the reasonable belief that the person had a legal right to do the obtaining, disclosing, procuring or retaining,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(b)	N/A	the person acted in the reasonable belief that the person would have had the consent of the controller if the controller had known about the obtaining, disclosing, procuring or retaining and the circumstances of it, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(c)	N/A	the person acted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(c)(i)	N/A	for the special purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(c)(ii)	N/A	with a view to the publication by a person of any journalistic, academic, artistic or literary material, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(3)(c)(iii)	N/A	in the reasonable belief that in the particular circumstances the obtaining, disclosing, procuring or retaining was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 170(4)	N/A	It is an offence for a person to sell personal data if the person obtained the data in circumstances in which an offence under subsection (1) was committed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(5)	N/A	It is an offence for a person to offer to sell personal data if the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(5)(a)	N/A	has obtained the data in circumstances in which an offence under subsection (1) was committed, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(5)(b)	N/A	subsequently obtains the data in such circumstances.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(6)	N/A	For the purposes of subsection (5), an advertisement indicating that personal data is or may be for sale is an offer to sell the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(7)	N/A	In this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(7)(a)	N/A	references to the consent of a controller do not include the consent of a person who is a controller by virtue of Article 28(10) of the UK GDPR or section 59(8) or 105(3) of this Act (processor to be treated as controller in certain circumstances);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 170(7)(b)	N/A	where there is more than one controller, such references are references to the consent of one or more of them.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171	Re-identification of de-identified personal data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(1)	N/A	It is an offence for a person knowingly or recklessly to re-identify information that is de-identified personal data without the consent of the controller responsible for de-identifying the personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(2)	N/A	For the purposes of this section and section 172—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(2)(a)	N/A	personal data is “de-identified” if it has been processed in such a manner that it can no longer be attributed, without more, to a specific data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(2)(b)	N/A	a person “re-identifies” information if the person takes steps which result in the information no longer being de-identified within the meaning of paragraph (a).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(3)	N/A	It is a defence for a person charged with an offence under subsection (1) to prove that the re-identification—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(3)(a)	N/A	was necessary for the purposes of preventing, investigating or detecting crime,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(3)(b)	N/A	was required or authorised by an enactment, by a rule of law or by the order of a court or tribunal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(3)(c)	N/A	in the particular circumstances, was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)	N/A	It is also a defence for a person charged with an offence under subsection (1) to prove that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(a)	N/A	the person acted in the reasonable belief that the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(a)(i)	N/A	is the data subject to whom the information relates,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(a)(ii)	N/A	had the consent of that data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(a)(iii)	N/A	would have had such consent if the data subject had known about the re-identification and the circumstances of it,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(b)	N/A	the person acted in the reasonable belief that the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(b)(i)	N/A	is the controller responsible for de-identifying the personal data,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(b)(ii)	N/A	had the consent of that controller, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(b)(iii)	N/A	would have had such consent if that controller had known about the re-identification and the circumstances of it,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(c)	N/A	the person acted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(c)(i)	N/A	for the special purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(c)(ii)	N/A	with a view to the publication by a person of any journalistic, academic, artistic or literary material, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(c)(iii)	N/A	in the reasonable belief that in the particular circumstances the re-identification was justified as being in the public interest, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(4)(d)	N/A	the effectiveness testing conditions were met (see section 172).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(5)	N/A	It is an offence for a person knowingly or recklessly to process personal data that is information that has been re-identified where the person does so—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(5)(a)	N/A	without the consent of the controller responsible for de-identifying the personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(5)(b)	N/A	in circumstances in which the re-identification was an offence under subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(6)	N/A	It is a defence for a person charged with an offence under subsection (5) to prove that the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(6)(a)	N/A	was necessary for the purposes of preventing, investigating or detecting crime,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(6)(b)	N/A	was required or authorised by an enactment, by a rule of law or by the order of a court or tribunal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(6)(c)	N/A	in the particular circumstances, was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)	N/A	It is also a defence for a person charged with an offence under subsection (5) to prove that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(a)	N/A	the person acted in the reasonable belief that the processing was lawful,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(b)	N/A	the person acted in the reasonable belief that the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(b)(i)	N/A	had the consent of the controller responsible for de-identifying the personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(b)(ii)	N/A	would have had such consent if that controller had known about the processing and the circumstances of it, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(c)	N/A	the person acted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(c)(i)	N/A	for the special purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(c)(ii)	N/A	with a view to the publication by a person of any journalistic, academic, artistic or literary material, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(7)(c)(iii)	N/A	in the reasonable belief that in the particular circumstances the processing was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(8)	N/A	In this section—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(8)(a)	N/A	references to the consent of a controller do not include the consent of a person who is a controller by virtue of Article 28(10) of the UK GDPR or section 59(8) or 105(3) of this Act (processor to be treated as controller in certain circumstances);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 171(8)(b)	N/A	where there is more than one controller, such references are references to the consent of one or more of them.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172	Re-identification: effectiveness testing conditions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(1)	N/A	For the purposes of section 171, in relation to a person who re-identifies information that is de-identified personal data, “the effectiveness testing conditions” means the conditions in subsections (2) and (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(2)	N/A	The first condition is that the person acted—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(2)(a)	N/A	with a view to testing the effectiveness of the de-identification of personal data,	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 172(2)(b)	N/A	without intending to cause, or threaten to cause, damage or distress to a person, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(2)(c)	N/A	in the reasonable belief that, in the particular circumstances, re-identifying the information was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(3)	N/A	The second condition is that the person notified the Commissioner or the controller responsible for de-identifying the personal data about the re-identification—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(3)(a)	N/A	without undue delay, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(3)(b)	N/A	where feasible, not later than 72 hours after becoming aware of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 172(4)	N/A	Where there is more than one controller responsible for de-identifying personal data, the requirement in subsection (3) is satisfied if one or more of them is notified.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173	Alteration etc of personal data to prevent disclosure to data subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(1)	N/A	Subsection (3) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(1)(a)	N/A	a request has been made in exercise of a data subject access right, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(1)(b)	N/A	the person making the request would have been entitled to receive information in response to that request.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(2)	N/A	In this section, "data subject access right" means a right under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(2)(a)	N/A	Article 15 of the UK GDPR (right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(2)(b)	N/A	Article 20 of the UK GDPR (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(2)(c)	N/A	section 45 of this Act (law enforcement processing: right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(2)(d)	N/A	section 94 of this Act (intelligence services processing: right of access by the data subject).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(3)	N/A	It is an offence for a person listed in subsection (4) to alter, deface, block, erase, destroy or conceal information with the intention of preventing disclosure of all or part of the information that the person making the request would have been entitled to receive.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(4)	N/A	Those persons are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(4)(a)	N/A	the controller, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(4)(b)	N/A	a person who is employed by the controller, an officer of the controller or subject to the direction of the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(5)	N/A	It is a defence for a person charged with an offence under subsection (3) to prove that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(5)(a)	N/A	the alteration, defacing, blocking, erasure, destruction or concealment of the information would have occurred in the absence of a request made in exercise of a data subject access right, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 173(5)(b)	N/A	the person acted in the reasonable belief that the person making the request was not entitled to receive the information in response to the request. The special purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174	The special purposes	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(1)	N/A	In this Part, "the special purposes" means one or more of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(1)(a)	N/A	the purposes of journalism;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(1)(b)	N/A	academic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(1)(c)	N/A	artistic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(1)(d)	N/A	literary purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(2)	N/A	In this Part, "special purposes proceedings" means legal proceedings against a controller or processor which relate, wholly or partly, to personal data processed for the special purposes and which are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(2)(a)	N/A	proceedings under section 167 (including proceedings on an application under Article 79 of the UK GDPR), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(2)(b)	N/A	proceedings under Article 82 of the UK GDPR or section 169.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(3)	N/A	The Commissioner may make a written determination, in relation to the processing of personal data, that—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(3)(a)	N/A	the personal data is not being processed only for the special purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(3)(b)	N/A	the personal data is not being processed with a view to the publication by a person of journalistic, academic, artistic or literary material which has not previously been published by the controller.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(4)	N/A	The Commissioner must give written notice of the determination to the controller and the processor.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(5)	N/A	The notice must provide information about the rights of appeal under section 162.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(6)	N/A	The determination does not take effect until one of the following conditions is satisfied—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(6)(a)	N/A	the period for the controller or the processor to appeal against the determination has ended without an appeal having been brought, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(6)(b)	N/A	an appeal has been brought against the determination and—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(6)(b)(i)	N/A	the appeal and any further appeal in relation to the determination has been decided or has otherwise ended, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 174(6)(b)(ii)	N/A	the time for appealing against the result of the appeal or further appeal has ended without another appeal having been brought.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175	Provision of assistance in special purposes proceedings	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(1)	N/A	An individual who is a party, or prospective party, to special purposes proceedings may apply to the Commissioner for assistance in those proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(2)	N/A	As soon as reasonably practicable after receiving an application under subsection (1), the Commissioner must decide whether, and to what extent, to grant it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(3)	N/A	The Commissioner must not grant the application unless, in the Commissioner's opinion, the case involves a matter of substantial public importance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(4)	N/A	If the Commissioner decides not to provide assistance, the Commissioner must, as soon as reasonably practicable, notify the applicant of the decision, giving reasons for the decision.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(5)	N/A	If the Commissioner decides to provide assistance, the Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(5)(a)	N/A	as soon as reasonably practicable, notify the applicant of the decision, stating the extent of the assistance to be provided, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(5)(b)	N/A	secure that the person against whom the proceedings are, or are to be, brought is informed that the Commissioner is providing assistance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(6)	N/A	The assistance that may be provided by the Commissioner includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(6)(a)	N/A	paying costs in connection with the proceedings, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(6)(b)	N/A	indemnifying the applicant in respect of liability to pay costs, expenses or damages in connection with the proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 175(7)	N/A	In England and Wales or Northern Ireland, the recovery of expenses incurred by the Commissioner in providing an applicant with assistance under this section (as taxed or assessed in accordance with rules of court) is to constitute a first charge for the benefit of the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(7)(a)	N/A	on any costs which, by virtue of any judgment or order of the court, are payable to the applicant by any other person in respect of the matter in connection with which the assistance is provided, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(7)(b)	N/A	on any sum payable to the applicant under a compromise or settlement arrived at in connection with that matter to avoid, or bring to an end, any proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(8)	N/A	In Scotland, the recovery of such expenses (as taxed or assessed in accordance with rules of court) is to be paid to the Commissioner, in priority to other debts—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(8)(a)	N/A	out of any expenses which, by virtue of any judgment or order of the court, are payable to the applicant by any other person in respect of the matter in connection with which the assistance is provided, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 175(8)(b)	N/A	out of any sum payable to the applicant under a compromise or settlement arrived at in connection with that matter to avoid, or bring to an end, any proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176	Staying special purposes proceedings	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(1)	N/A	In any special purposes proceedings before a court, if the controller or processor claims, or it appears to the court, that any personal data to which the proceedings relate—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(1)(a)	N/A	is being processed only for the special purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(1)(b)	N/A	is being processed with a view to the publication by any person of journalistic, academic, artistic or literary material, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(1)(c)	N/A	has not previously been published by the controller, the court must stay or, in Scotland, sist the proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(2)	N/A	In considering, for the purposes of subsection (1)(c), whether material has previously been published, publication in the immediately preceding 24 hours is to be ignored.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(3)	N/A	Under subsection (1), the court must stay or sist the proceedings until either of the following conditions is met—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(3)(a)	N/A	a determination of the Commissioner under section 174 with respect to the personal data or the processing takes effect;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 176(3)(b)	N/A	where the proceedings were stayed or sisted on the making of a claim, the claim is withdrawn.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177	Guidance about how to seek redress against media organisations	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(1)	N/A	The Commissioner must produce and publish guidance about the steps that may be taken where an individual considers that a media organisation is failing or has failed to comply with the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(2)	N/A	In this section, "media organisation" means a body or other organisation whose activities consist of or include journalism.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(3)	N/A	The guidance must include provision about relevant complaints procedures, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(3)(a)	N/A	who runs them,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(3)(b)	N/A	what can be complained about, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(3)(c)	N/A	how to make a complaint.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(4)	N/A	For the purposes of subsection (3), relevant complaints procedures include procedures for making complaints to the Commissioner, the Office of Communications, the British Broadcasting Corporation and other persons who produce or enforce codes of practice for media organisations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)	N/A	The guidance must also include provision about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)(a)	N/A	the powers available to the Commissioner in relation to a failure to comply with the data protection legislation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)(b)	N/A	when a claim in respect of such a failure may be made before a court and how to make such a claim,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)(c)	N/A	alternative dispute resolution procedures,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)(d)	N/A	the rights of bodies and other organisations to make complaints and claims on behalf of data subjects, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(5)(e)	N/A	the Commissioner's power to provide assistance in special purpose proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(6)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(6)(a)	N/A	may alter or replace the guidance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(6)(b)	N/A	must publish any altered or replacement guidance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 177(7)	N/A	The Commissioner must produce and publish the first guidance under this section before the end of the period of 1 year beginning when this Act is passed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178	Review of processing of personal data for the purposes of journalism	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)	N/A	The Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)(a)	N/A	review the extent to which, during each review period, the processing of personal data for the purposes of journalism complied with—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)(a)(i)	N/A	the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)(a)(ii)	N/A	good practice in the processing of personal data for the purposes of journalism,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)(b)	N/A	prepare a report of the review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(1)(c)	N/A	submit the report to the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(2)	N/A	In this section— "good practice in the processing of personal data for the purposes of journalism" has the same meaning as in section 124; "review period" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(2)(a)	N/A	the period of 4 years beginning with the day on which Chapter 2 of Part 2 of this Act comes into force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(2)(b)	N/A	each subsequent period of 5 years beginning with the day after the day on which the previous review period ended.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(3)	N/A	The Commissioner must start a review under this section, in respect of a review period, within the period of 6 months beginning when the review period ends.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(4)	N/A	The Commissioner must submit the report of a review under this section to the Secretary of State—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(4)(a)	N/A	in the case of the first review, before the end of the period of 18 months beginning when the Commissioner started the review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(4)(b)	N/A	in the case of each subsequent review, before the end of the period of 12 months beginning when the Commissioner started the review.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(5)	N/A	The report must include consideration of the extent of compliance (as described in subsection (1)(a)) in each part of the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(6)	N/A	The Secretary of State must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(6)(a)	N/A	lay the report before Parliament, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 178(6)(b)	N/A	send a copy of the report to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(6)(b)(i)	N/A	the Scottish Ministers,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(6)(b)(ii)	N/A	the Welsh Ministers, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(6)(b)(iii)	N/A	the Executive Office in Northern Ireland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 178(7)	N/A	Schedule 17 makes further provision for the purposes of a review under this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179	Effectiveness of the media's dispute resolution procedures	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(1)	N/A	The Secretary of State must, before the end of each review period, lay before Parliament a report produced by the Secretary of State or an appropriate person on—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(1)(a)	N/A	the use of relevant alternative dispute resolution procedures, during that period, in cases involving a failure, or alleged failure, by a relevant media organisation to comply with the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(1)(b)	N/A	the effectiveness of those procedures in such cases.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(2)	N/A	In this section—“appropriate person” means a person who the Secretary of State considers has appropriate experience and skills to produce a report described in subsection (1); “relevant alternative dispute resolution procedures” means alternative dispute resolution procedures provided by persons who produce or enforce codes of practice for relevant media organisations; “relevant media organisation” means a body or other organisation whose activities consist of or include journalism, other than a broadcaster; “review period” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(2)(a)	N/A	the period of 3 years beginning when this Act is passed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(2)(b)	N/A	each subsequent period of 3 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(3)	N/A	The Secretary of State must send a copy of the report to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(3)(a)	N/A	the Scottish Ministers,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(3)(b)	N/A	the Welsh Ministers, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 179(3)(c)	N/A	the Executive Office in Northern Ireland. Jurisdiction and court procedure	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180	Jurisdiction	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(1)	N/A	The jurisdiction conferred on a court by the provisions listed in subsection (2) is exercisable—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(1)(a)	N/A	in England and Wales, by the High Court or the county court,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(1)(b)	N/A	in Northern Ireland, by the High Court or a county court, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(1)(c)	N/A	in Scotland, by the Court of Session or the sheriff, subject to subsections (3) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)(a)	N/A	section 145 (information orders);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)(b)	N/A	section 152 (enforcement notices and processing for the special purposes);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)(c)	N/A	section 156 (penalty notices and processing for the special purposes);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)(d)	N/A	section 167 and Article 79 of the UK GDPR (compliance orders);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(2)(e)	N/A	sections 168 and 169 and Article 82 of the UK GDPR (compensation).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(3)	N/A	In relation to the processing of personal data to which Part 4 applies, the jurisdiction conferred by the provisions listed in subsection (2) is exercisable only by the High Court or, in Scotland, the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(4)	N/A	In relation to an information notice which contains a statement under section 142(7), the jurisdiction conferred on a court by section 145 is exercisable only by the High Court or, in Scotland, the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(5)	N/A	The jurisdiction conferred on a court by section 164 (applications in respect of urgent notices) is exercisable only by the High Court or, in Scotland, the Court of Session. 180AProcedure in connection with subject access requests	Functional	No Relationship	N/A	N/A	N/A	0	
Section 180(1)(d)	N/A	section 94 of this Act (intelligence services processing: right of access by the data subject).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 181	Interpretation of Part 6	In this Part— “assessment notice” has the meaning given in section 146; “certification provider” has the meaning given in section 17; “enforcement notice” has the meaning given in section 149; “information notice” has the meaning given in section 142; “interview notice” has the meaning given in section 148A; “penalty notice” has the meaning given in section 155; “penalty variation notice” has the meaning given in Schedule 16; ...	Functional	No Relationship	N/A	N/A	N/A	0	
Part 7	Supplementary And Final Provision	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182	Regulations and consultation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(1)	N/A	Regulations under this Act are to be made by statutory instrument.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(2)	N/A	Before making regulations under this Act, the Secretary of State must consult—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(2)(a)	N/A	the Commissioner, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(2)(b)	N/A	such other persons as the Secretary of State considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)	N/A	Subsection (2) does not apply to regulations made under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)(b)	N/A	section 30;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)(c)	N/A	section 211;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)(d)	N/A	section 212;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)(e)	N/A	section 213;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(3)(f)	N/A	paragraph 15 of Schedule 2.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(5)	N/A	Regulations under this Act may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(5)(a)	N/A	make different provision for different purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(5)(b)	N/A	include consequential, supplementary, incidental, transitional, transitory or saving provision.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(6)	N/A	For the purposes of this Act, where regulations are subject to “the negative resolution procedure” the statutory instrument containing the regulations is subject to annulment in pursuance of a resolution of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 182(7)	N/A	For the purposes of this Act, where regulations are subject to "the affirmative resolution procedure" the regulations may not be made unless a draft of the statutory instrument containing them has been laid before Parliament and approved by a resolution of each House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(8)	N/A	For the purposes of this Act, regulations are subject to "the made affirmative resolution procedure" if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(8)(a)	N/A	the statutory instrument containing the regulations must be laid before Parliament after being made, together with an urgency statement in respect of them, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(8)(b)	N/A	the regulations cease to have effect at the end of a period beginning with the day on which the instrument is made, unless within that period the instrument is approved by a resolution of each House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(11)	N/A	Any provision that may be included in regulations under this Act subject to the negative resolution procedure may be made by regulations made under this Act or another enactment that are subject to the affirmative resolution procedure or the made affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(12)	N/A	If a draft of a statutory instrument containing regulations under section 7 would, apart from this subsection, be treated for the purposes of the standing orders of either House of Parliament as a hybrid instrument, it is to proceed in that House as if it were not such an instrument.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(13)	N/A	A requirement under a provision of this Act to consult may be satisfied by consultation before, as well as by consultation after, the provision comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 182(14)	N/A	For the purposes of this section, an urgency statement is a reasoned statement that the Secretary of State considers it desirable for regulations to come into force without delay. Changes to the Data Protection Convention	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183	Power to reflect changes to the Data Protection Convention	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(1)	N/A	The Secretary of State may by regulations make such provision as the Secretary of State considers necessary or appropriate in connection with an amendment of, or an instrument replacing, the Data Protection Convention which has effect, or is expected to have effect, in the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)	N/A	The power under subsection (1) includes power—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(a)	N/A	to amend or replace the definition of "the Data Protection Convention" in section 3;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(b)	N/A	to amend Chapter 3 of Part 2 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(c)	N/A	to amend Part 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(d)	N/A	to make provision about the functions of the Commissioner, courts or tribunals in connection with relevant processing of personal data, including provision amending Parts 5 to 7 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(e)	N/A	to make provision about the functions of the Commissioner in connection with the Data Protection Convention or an instrument replacing that Convention, including provision amending Parts 5 to 7 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2)(f)	N/A	to consequentially amend this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2A)	N/A	In subsection (2)(d), "relevant processing of personal data" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2A)(a)	N/A	processing of personal data described in Article 2(1)(a) or (b) or (1A) of the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(2A)(b)	N/A	processing of personal data to which Part 4 of this Act applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(3)	N/A	Regulations under this section are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183(4)	N/A	Regulations under this section may not be made after the end of the period of 3 years beginning with the day on which this Act is passed. Prohibitions and restrictions etc on processing enactments	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A	Protection of prohibitions and restrictions etc on processing: relevant		Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(1)	N/A	A relevant enactment or rule of law which imposes a duty, or confers a power, to process personal data does not override a requirement under the main data protection legislation relating to the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(2)	N/A	Subsection (1) does not apply—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(2)(a)	N/A	to a relevant enactment forming part of the main data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(2)(b)	N/A	to the extent that an enactment makes express provision to the contrary referring to this section or to the main data protection legislation (or a provision of that legislation).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(3)	N/A	Subsection (1) does not prevent a duty or power to process personal data from being taken into account for the purpose of determining whether it is possible to rely on an exception to a requirement under the main data protection legislation that is available where there is such a duty or power.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(4)	N/A	In this section— "the main data protection legislation" means the data protection legislation other than provision of or made under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(4)(a)	N/A	Chapter 6 or 8 of the UK GDPR, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(4)(b)	N/A	Parts 5 to 7 of this Act; "relevant enactment" means an enactment so far as passed or made on or after 20th August 2025; "requirement" includes a prohibition or restriction.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(5)	N/A	The reference in subsection (1) to an enactment or rule of law which imposes a duty, or confers a power, to process personal data is a reference to an enactment or rule of law which, directly or indirectly, requires or authorises the processing of personal data, including (for example)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(5)(a)	N/A	by authorising one person to require another person to process personal data, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(5)(b)	N/A	by removing restrictions on processing personal data, and the references in subsection (3) to a duty or power are to be read accordingly. 183BProtection of prohibitions and restrictions etc on processing: other enactments	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(1)(a)	N/A	a pre-commencement enactment which imposes a duty, or confers a power, to process personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(1)(b)	N/A	a provision of the main data protection legislation containing a requirement relating to the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 183A(6)	N/A	Section 183A(5) applies for the purposes of subsection (1)(a) of this section as it applies for the purposes of section 183A(1). Rights of the data subject	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184	Prohibition of requirement to produce relevant records	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(1)	N/A	It is an offence for a person ("P1") to require another person to provide P1 with, or give P1 access to, a relevant record in connection with—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(1)(a)	N/A	the recruitment of an employee by P1,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(1)(b)	N/A	the continued employment of a person by P1, or	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 184(1)(c)	N/A	a contract for the provision of services to P1.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(2)	N/A	It is an offence for a person ("P2") to require another person to provide P2 with, or give P2 access to, a relevant record if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(2)(a)	N/A	P2 is involved in the provision of goods, facilities or services to the public or a section of the public, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(2)(b)	N/A	the requirement is a condition of providing or offering to provide goods, facilities or services to the other person or to a third party.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(3)	N/A	It is a defence for a person charged with an offence under subsection (1) or (2) to prove that imposing the requirement—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(3)(a)	N/A	was required or authorised by an enactment, by a rule of law or by the order of a court or tribunal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(3)(b)	N/A	in the particular circumstances, was justified as being in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(4)	N/A	The imposition of the requirement referred to in subsection (1) or (2) is not to be regarded as justified as being in the public interest on the ground that it would assist in the prevention, investigation or detection of crime, given —	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(4)(a)	N/A	Part 5 of the Police Act 1997 (certificates of criminal records etc), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(4)(b)	N/A	Part 1 of the Disclosure (Scotland) Act 2020 [disclosure of criminal history and other information].	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(5)	N/A	In subsections (1) and (2), the references to a person who requires another person to provide or give access to a relevant record include a person who asks another person to do so—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(5)(a)	N/A	knowing that, in the circumstances, it would be reasonable for the other person to feel obliged to comply with the request, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(5)(b)	N/A	being reckless as to whether, in the circumstances, it would be reasonable for the other person to feel obliged to comply with the request, and the references to a "requirement" in subsections (3) and (4) are to be interpreted accordingly.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(6)	N/A	In this section—"employment" means any employment, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(6)(a)	N/A	work under a contract for services or as an office-holder,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(6)(b)	N/A	work under an apprenticeship,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(6)(c)	N/A	work experience as part of a training course or in the course of training for employment, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 184(6)(d)	N/A	voluntary work, and "employee" is to be interpreted accordingly; "relevant record" has the meaning given in Schedule 18 and references to a relevant record include—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185	Avoidance of certain contractual terms relating to health records	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(1)	N/A	A term or condition of a contract is void in so far as it purports to require an individual to supply another person with a record which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(1)(a)	N/A	consists of the information contained in a health record, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(1)(b)	N/A	has been or is to be obtained by a data subject in the exercise of a data subject access right.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(2)	N/A	A term or condition of a contract is also void in so far as it purports to require an individual to produce such a record to another person.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(3)	N/A	The references in subsections (1) and (2) to a record include a part of a record and a copy of all or part of a record.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(4)	N/A	In this section, "data subject access right" means a right under—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(4)(a)	N/A	Article 15 of the UK GDPR (right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(4)(b)	N/A	Article 20 of the UK GDPR (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(4)(c)	N/A	section 45 of this Act (law enforcement processing: right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 185(4)(d)	N/A	section 94 of this Act (intelligence services processing: right of access by the data subject).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186	Protection of data subject's rights	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(1)	N/A	An enactment or rule of law prohibiting or restricting the disclosure of information, or authorising the withholding of information, does not remove or restrict the obligations and rights provided for in the provisions listed in subsection (2)....	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2)	N/A	The provisions providing obligations and rights are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2)(a)	N/A	Chapter III of the UK GDPR (rights of the data subject),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2)(b)	N/A	Chapter 3 of Part 3 of this Act (law enforcement processing: rights of the data subject), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2)(c)	N/A	Chapter 3 of Part 4 of this Act (intelligence services processing: rights of the data subject).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2A)	N/A	Subsection (1) does not apply—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2A)(a)	N/A	to an enactment contained in, or made under, a provision listed in subsection (2),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2A)(b)	N/A	to an enactment contained in, or made under, a provision listed in subsection (3),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2A)(c)	N/A	to the extent that an enactment makes express provision to the contrary referring to this section or to a provision listed in subsection (2), or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(2A)(d)	N/A	to the extent that subsection (1) is disapplied by section 186A(3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(3)	N/A	The provisions referred to in subsection (2A)(b) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(3)(a)	N/A	in Chapter 2 of Part 2 of this Act, sections 15 and 16 and Schedules 2, 3 and 4,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(3)(b)	N/A	in Chapter 3 of Part 2 of this Act, sections ... 24, 25 and 26,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(3)(ca)	N/A	in Part 3 of this Act, section 78A, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(3)(d)	N/A	in Part 4 of this Act, Chapter 6 . 186AProtection of data subject's rights: further provision	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(1)(a)	N/A	a pre-commencement enactment which prohibits or restricts the disclosure of information or authorises the withholding of information, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(1)(b)	N/A	a provision of the UK GDPR or this Act listed in section 186(2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(4)	N/A	Nothing is to be implied about a relationship described in subsection (1) merely due to the fact that express provision stating that section 186(1) applies (or with similar effect) is made in connection with one such relationship but not another.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 186(5)	N/A	In this section, "pre-commencement enactment" means an enactment so far as passed or made before 20th August 2025, other than an enactment contained in, or made under, a provision listed in section 186(2) or (3). Representation of data subjects	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187	Representation of data subjects with their authority	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(1)	N/A	In relation to the processing of personal data to which the UK GDPR applies, Article 80(1) of the UK GDPR (representation of data subjects)—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 187(1)(a)	N/A	... enables a data subject to authorise a body or other organisation which meets the conditions set out in subsections (3) and (4) to exercise the data subject's rights under Articles 77, 78 and 79 of the UK GDPR (rights to lodge complaints and to an effective judicial remedy) on the data subject's behalf, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(1)(b)	N/A	also authorises such a body or organisation to exercise the data subject's rights under Article 82 of the UK GDPR (right to compensation).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(2)	N/A	In relation to the processing of personal data to which the UK GDPR does not apply, a body or other organisation which meets the conditions in subsections (3) and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(4)	N/A	, if authorised to do so by a data subject, may exercise some or all of the following rights of a data subject on the data subject's behalf—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(4)(a)	N/A	rights under section 165(2) and (4)(d) (complaints to the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(4)(b)	N/A	rights under section 166(2) (orders for the Commissioner to progress complaints);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(4)(c)	N/A	rights under section 167(1) (compliance orders);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(4)(d)	N/A	the right to bring judicial review proceedings against the Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(3)	N/A	The first condition is that the body or organisation, by virtue of its constitution or an enactment—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(3)(a)	N/A	is required (after payment of outgoings) to apply the whole of its income and any capital it expends for charitable or public purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(3)(b)	N/A	is prohibited from directly or indirectly distributing amongst its members any part of its assets (otherwise than for charitable or public purposes), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(3)(c)	N/A	has objectives which are in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 187(5)	N/A	In this Act, references to a "representative body", in relation to a right of a data subject, are to a body or other organisation authorised to exercise the right on the data subject's behalf under Article 80 of the UK GDPR or this section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188	Representation of data subjects with their authority: collective proceedings	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(1)	N/A	The Secretary of State may by regulations make provision for representative bodies to bring proceedings before a court or tribunal in England and Wales or Northern Ireland combining two or more relevant claims.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(2)	N/A	In this section, "relevant claim", in relation to a representative body, means a claim in respect of a right of a data subject which the representative body is authorised to exercise on the data subject's behalf under Article 80(1) of the UK GDPR or section 187.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(3)	N/A	The power under subsection (1) includes power—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(3)(a)	N/A	to make provision about the proceedings;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(3)(b)	N/A	to confer functions on a person, including functions involving the exercise of a discretion;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(3)(c)	N/A	to make different provision in relation to England and Wales and in relation to Northern Ireland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)	N/A	The provision mentioned in subsection (3)(a) includes provision about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)(a)	N/A	the effect of judgments and orders;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)(b)	N/A	agreements to settle claims;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)(c)	N/A	the assessment of the amount of compensation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)(d)	N/A	the persons to whom compensation may or must be paid, including compensation not claimed by the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(4)(e)	N/A	costs.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 188(5)	N/A	Regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189	Duty to review provision for representation of data subjects	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(1)	N/A	Before the end of the review period, the Secretary of State must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(1)(a)	N/A	review the matters listed in subsection (2) in relation to England and Wales and Northern Ireland,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(1)(b)	N/A	prepare a report of the review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(1)(c)	N/A	lay a copy of the report before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)	N/A	Those matters are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)(a)	N/A	the operation of Article 80(1) of the UK GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)(b)	N/A	the operation of section 187,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)(c)	N/A	the merits of exercising the power under Article 80(2) of the UK GDPR (power to enable a body or other organisation which meets the conditions in Article 80(1) of the UK GDPR to exercise some or all of a data subject's rights under Articles 77, 78 and 79 of the UK GDPR without being authorised to do so by the data subject),	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)(d)	N/A	the merits of making equivalent provision in relation to data subjects' rights under Article 82 of the UK GDPR (right to compensation), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(2)(e)	N/A	the merits of making provision for a children's rights organisation to exercise some or all of a data subject's rights under Articles 77, 78, 79 and 82 of the UK GDPR on behalf of a data subject who is a child, with or without being authorised to do so by the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(3)	N/A	"The review period" is the period of 30 months beginning when section 187 comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)	N/A	In carrying out the review, the Secretary of State must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)(a)	N/A	consider the particular needs of children separately from the needs of adults.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)(b)	N/A	have regard to the fact that children have different needs at different stages of development,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)(c)	N/A	carry out an analysis of the particular challenges that children face in authorising, and deciding whether to authorise, other persons to act on their behalf under Article 80(1) of the UK GDPR or section 187,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)(d)	N/A	consider the support and advice available to children in connection with the exercise of their rights under Articles 77, 78, 79 and 82 of the UK GDPR by another person on their behalf and the merits of making available other support or advice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(4)(e)	N/A	have regard to the United Kingdom's obligations under the United Nations Convention on the Rights of the Child.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(5)	N/A	Before preparing the report under subsection (1), the Secretary of State must consult the Commissioner and such other persons as the Secretary of State considers appropriate, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(5)(a)	N/A	persons active in the field of protection of data subjects' rights and freedoms with regard to the protection of their personal data,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(5)(b)	N/A	children and parents,	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 189(5)(c)	N/A	children's rights organisations and other persons who appear to the Secretary of State to represent the interests of children,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(5)(d)	N/A	child development experts, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(5)(e)	N/A	trade associations.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(6)	N/A	In this section— "children's rights organisation" means a body or other organisation which—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(6)(a)	N/A	is active in representing the interests of children, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 189(6)(b)	N/A	has objectives which are in the public interest; "trade association" includes a body representing controllers or processors; "the United Nations Convention on the Rights of the Child" means the Convention on the Rights of the Child adopted by the General Assembly of the United Nations on 20 November 1989 (including any Protocols to that Convention which are in force in relation to the United Kingdom), subject to any reservations, objections or interpretative declarations by the United Kingdom for the time being in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190	Post-review powers to make provision about representation of data subjects	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(1)	N/A	After the report under section 189(1) is laid before Parliament, the Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(1)(a)	N/A	exercise the powers under Article 80(2) of the UK GDPR in relation to England and Wales and Northern Ireland,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(1)(b)	N/A	make provision enabling a body or other organisation which meets the conditions in Article 80(1) of the UK GDPR to exercise a data subject's rights under Article 82 of the UK GDPR in England and Wales and Northern Ireland without being authorised to do so by the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(1)(c)	N/A	make provision described in section 189(2)(e) in relation to the exercise in England and Wales and Northern Ireland of the rights of a data subject who is a child.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)	N/A	The powers under subsection (1) include power—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(a)	N/A	to make provision enabling a data subject to prevent a body or other organisation from exercising, or continuing to exercise, the data subject's rights;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(b)	N/A	to make provision about proceedings before a court or tribunal where a body or organisation exercises a data subject's rights;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(c)	N/A	to make provision for bodies or other organisations to bring proceedings before a court or tribunal combining two or more claims in respect of a right of a data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(d)	N/A	to confer functions on a person, including functions involving the exercise of a discretion;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(e)	N/A	to amend sections 166 to 168, 180, 187, 203, 205 and 206;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(f)	N/A	to insert new sections and Schedules into Part 6 or 7 ;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(2)(g)	N/A	to make different provision in relation to England and Wales and in relation to Northern Ireland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(3)	N/A	The powers under subsection (1)(a) and (b) include power to make provision in relation to data subjects who are children or data subjects who are not children or both.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)	N/A	The provision mentioned in subsection (2)(b) and (c) includes provision about	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)(a)	N/A	the effect of judgments and orders;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)(b)	N/A	agreements to settle claims;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)(c)	N/A	the assessment of the amount of compensation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)(d)	N/A	the persons to whom compensation may or must be paid, including compensation not claimed by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(4)(e)	N/A	costs.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 190(5)	N/A	Regulations under this section are subject to the affirmative resolution procedure. Framework for Data Processing by Government	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191	Framework for Data Processing by Government	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(1)	N/A	The Secretary of State may prepare a document, called the Framework for Data Processing by Government, which contains guidance about the processing of personal data in connection with the exercise of functions of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(1)(a)	N/A	the Crown, a Minister of the Crown or a United Kingdom government department, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(1)(b)	N/A	a person with functions of a public nature who is specified or described in regulations made by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(2)	N/A	The document may make provision relating to all of those functions or only to particular functions or persons.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(3)	N/A	The document may not make provision relating to, or to the functions of, a part of the Scottish Administration, the Welsh Government, a Northern Ireland Minister or a Northern Ireland department.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(4)	N/A	The Secretary of State may from time to time prepare amendments of the document or a replacement document.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(5)	N/A	Before preparing a document or amendments under this section, the Secretary of State must consult—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(5)(a)	N/A	the Commissioner, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(5)(b)	N/A	any other person the Secretary of State considers it appropriate to consult.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(6)	N/A	Regulations under subsection (1)(b) are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 191(7)	N/A	In this section, "Northern Ireland Minister" includes the First Minister and deputy First Minister in Northern Ireland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192	Approval of the Framework	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(1)	N/A	Before issuing a document prepared under section 191, the Secretary of State must lay it before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(2)	N/A	If, within the 40-day period, either House of Parliament resolves not to approve the document, the Secretary of State must not issue it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(3)	N/A	If no such resolution is made within that period—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(3)(a)	N/A	the Secretary of State must issue the document, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(3)(b)	N/A	the document comes into force at the end of the period of 21 days beginning with the day on which it is issued.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(4)	N/A	Nothing in subsection (2) prevents another version of the document being laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(5)	N/A	In this section, "the 40-day period" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(5)(a)	N/A	if the document is laid before both Houses of Parliament on the same day, the period of 40 days beginning with that day, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(5)(b)	N/A	if the document is laid before the Houses of Parliament on different days, the period of 40 days beginning with the later of those days.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 192(6)	N/A	In calculating the 40-day period, no account is to be taken of any whole days that fall within a period during which Parliament is dissolved or prorogued or during which both Houses of Parliament are adjourned for more than 4 days.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 192(7)	N/A	This section applies in relation to amendments prepared under section 191 as it applies in relation to a document prepared under that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193	Publication and review of the Framework	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(1)	N/A	The Secretary of State must publish a document issued under section 192(3).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(2)	N/A	Where an amendment of a document is issued under section 192(3), the Secretary of State must publish—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(2)(a)	N/A	the amendment, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(2)(b)	N/A	the document as amended by it.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(3)	N/A	The Secretary of State must keep under review the document issued under section 192(3) for the time being in force.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 193(4)	N/A	Where the Secretary of State becomes aware that the terms of such a document could result in a breach of an international obligation of the United Kingdom, the Secretary of State must exercise the power under section 191(4) with a view to remedying the situation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194	Effect of the Framework	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(1)	N/A	When carrying out processing of personal data which is the subject of a document issued under section 192(3) which is for the time being in force, a person must have regard to the document.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(2)	N/A	A failure to act in accordance with a provision of such a document does not of itself make a person liable to legal proceedings in a court or tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(3)	N/A	A document issued under section 192(3), including an amendment or replacement document, is admissible in evidence in legal proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(4)	N/A	In any legal proceedings before a court or tribunal, the court or tribunal must take into account a provision of any document issued under section 192(3) in determining a question arising in the proceedings if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(4)(a)	N/A	the question relates to a time when the provision was in force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(4)(b)	N/A	the provision appears to the court or tribunal to be relevant to the question.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(5)	N/A	In determining a question arising in connection with the carrying out of any of the Commissioner's functions, the Commissioner must take into account a provision of a document issued under section 192(3) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(5)(a)	N/A	the question relates to a time when the provision was in force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 194(5)(b)	N/A	the provision appears to the Commissioner to be relevant to the question. Data-sharing: HMRC and reserve forces	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195	Reserve forces: data-sharing by HMRC	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(1)	N/A	The Reserve Forces Act 1996 is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(2)	N/A	After section 125 insert— "125A Supply of contact details by HMRC	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(1)(a)	N/A	a member of an ex-regular reserve force, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(1)(b)	N/A	a person to whom section 66 (officers and former servicemen liable to recall) applies, which are held by HMRC in connection with a function of HMRC.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(2)(a)	N/A	to contact a member of an ex-regular reserve force in connection with the person's liability, or potential liability, to be called out for service under Part 6.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(2)(b)	N/A	to contact a person to whom section 66 applies in connection with the person's liability, or potential liability, to be recalled for service under Part 7.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(3)	N/A	Where a person's contact details are supplied under subsection (2) for a purpose described in that subsection, they may also be used for defence purposes connected with the person's service (whether past, present or future) in the reserve forces or regular services.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(4)	N/A	In this section, "HMRC" means Her Majesty's Revenue and Customs. 125B Prohibition on disclosure of contact details supplied under section 125A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(3)(a)	N/A	that the disclosure was lawful, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(3)(b)	N/A	that the information had already lawfully been made available to the public.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 195(5)	N/A	Nothing in section 107 or 108 (institution of proceedings and evidence) applies in relation to an offence under this section. 125C Data protection	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196	Penalties for offences	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(1)	N/A	A person who commits an offence under section 119 or 173 or paragraph 15 of Schedule 15 is liable—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(1)(a)	N/A	on summary conviction in England and Wales, to a fine;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(1)(b)	N/A	on summary conviction in Scotland or Northern Ireland, to a fine not exceeding level 5 on the standard scale.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(2)	N/A	A person who commits an offence under section 132, 144, 148, 148C, 170, 171 or 184 is liable—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(2)(a)	N/A	on summary conviction in England and Wales, to a fine;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(2)(b)	N/A	on summary conviction in Scotland or Northern Ireland, to a fine not exceeding the statutory maximum;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(2)(c)	N/A	on conviction on indictment, to a fine.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(3)	N/A	Subsections (4) and (5) apply where a person is convicted of an offence under section 170 or 184.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(4)	N/A	The court by or before which the person is convicted may order a document or other material to be forfeited, destroyed or erased if—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(4)(a)	N/A	it has been used in connection with the processing of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(4)(b)	N/A	it appears to the court to be connected with the commission of the offence, subject to subsection (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 196(5)	N/A	If a person, other than the offender, who claims to be the owner of the material, or to be otherwise interested in the material, applies to be heard by the court, the court must not make an order under subsection (4) without giving the person an opportunity to show why the order should not be made.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197	Prosecution	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(1)	N/A	In England and Wales, proceedings for an offence under this Act may be instituted only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(1)(a)	N/A	by the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(1)(b)	N/A	by or with the consent of the Director of Public Prosecutions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(2)	N/A	In Northern Ireland, proceedings for an offence under this Act may be instituted only—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(2)(a)	N/A	by the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(2)(b)	N/A	by or with the consent of the Director of Public Prosecutions for Northern Ireland.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(3)	N/A	Subject to subsection (4), summary proceedings for an offence under section 173 (alteration etc of personal data to prevent disclosure) may be brought within the period of 6 months beginning with the day on which the prosecutor first knew of evidence that, in the prosecutor's opinion, was sufficient to bring the proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Framework Description	Strength of Relationship	Notes
Section 197(4)	N/A	Such proceedings may not be brought after the end of the period of 3 years beginning with the day on which the offence was committed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(5)	N/A	A certificate signed by or on behalf of the prosecutor and stating the day on which the 6 month period described in subsection (3) began is conclusive evidence of that fact.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(6)	N/A	A certificate purporting to be signed as described in subsection (5) is to be treated as so signed unless the contrary is proved.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 197(7)	N/A	In relation to proceedings in Scotland, section 136(3) of the Criminal Procedure (Scotland) Act 1995 (deemed date of commencement of proceedings) applies for the purposes of this section as it applies for the purposes of that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198	Liability of directors etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(1)	N/A	Subsection (2) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(1)(a)	N/A	an offence under this Act has been committed by a body corporate, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(1)(b)	N/A	it is proved to have been committed with the consent or connivance of or to be attributable to neglect on the part of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(1)(b)(i)	N/A	a director, manager, secretary or similar officer of the body corporate, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(1)(b)(ii)	N/A	a person who was purporting to act in such a capacity.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(2)	N/A	The director, manager, secretary, officer or person, as well as the body corporate, is guilty of the offence and liable to be proceeded against and punished accordingly.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(3)	N/A	Where the affairs of a body corporate are managed by its members, subsections (1) and (2) apply in relation to the acts and omissions of a member in connection with the member's management functions in relation to the body as if the member were a director of the body corporate.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(4)	N/A	Subsection (5) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(4)(a)	N/A	an offence under this Act has been committed by a Scottish partnership, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(4)(b)	N/A	the contravention in question is proved to have occurred with the consent or connivance of, or to be attributable to any neglect on the part of, a partner.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 198(5)	N/A	The partner, as well as the partnership, is guilty of the offence and liable to be proceeded against and punished accordingly.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 199	Recordable offences	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 199(2)	N/A	Regulations under section 27(4) of the Police and Criminal Evidence Act 1984 (recordable offences) may repeal subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200	Guidance about PACE codes of practice	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(1)	N/A	The Commissioner must produce and publish guidance about how the Commissioner proposes to perform the duty under section 67(9) of the Police and Criminal Evidence Act 1984 (duty to have regard to codes of practice under that Act when investigating offences and charging offenders) in connection with offences under this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(2)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(2)(a)	N/A	may alter or replace the guidance, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(2)(b)	N/A	must publish any altered or replacement guidance.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(3)	N/A	The Commissioner must consult the Secretary of State before publishing guidance under this section (including any altered or replacement guidance).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 200(4)	N/A	The Commissioner must arrange for guidance under this section (including any altered or replacement guidance) to be laid before Parliament. The Tribunal	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201	Disclosure of information to the Tribunal	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201(1)	N/A	No enactment or rule of law prohibiting or restricting the disclosure of information precludes a person from providing the First-tier Tribunal or the Upper Tribunal with information necessary for the discharge of—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201(1)(a)	N/A	its functions under the data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201(1)(b)	N/A	its other functions relating to the Commissioner's acts and omissions.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201(2)	N/A	But this section does not authorise the making of a disclosure which is prohibited by any of Parts 1 to 7 or Chapter 1 of Part 9 of the Investigatory Powers Act 2016.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 201(3)	N/A	Until the repeal of Part 1 of the Regulation of Investigatory Powers Act 2000 by paragraphs 45 and 54 of Schedule 10 to the Investigatory Powers Act 2016 is fully in force, subsection (2) has effect as if it included a reference to that Part.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202	Proceedings in the First-tier Tribunal: contempt	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(1)	N/A	This section applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(1)(a)	N/A	a person does something, or fails to do something, in relation to proceedings before the First-tier Tribunal—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(1)(a)(i)	N/A	on an appeal under section 27, 79, 82E, 111 or 162, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(1)(a)(ii)	N/A	for an order under section 166, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(1)(b)	N/A	if those proceedings were proceedings before a court having power to commit for contempt, the act or omission would constitute contempt of court.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(2)	N/A	The First-tier Tribunal may certify the offence to the Upper Tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(3)	N/A	Where an offence is certified under subsection (2), the Upper Tribunal may—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(3)(a)	N/A	inquire into the matter, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(3)(b)	N/A	deal with the person charged with the offence in any manner in which it could deal with the person if the offence had been committed in relation to the Upper Tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(4)	N/A	Before exercising the power under subsection (3)(b), the Upper Tribunal must—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(4)(a)	N/A	hear any witness who may be produced against or on behalf of the person charged with the offence, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 202(4)(b)	N/A	hear any statement that may be offered in defence.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203	Tribunal Procedure Rules	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203(1)	N/A	Tribunal Procedure Rules may make provision for regulating—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203(1)(a)	N/A	the exercise of the rights of appeal conferred by section 27, 79, 82E, 111 or 162, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203(1)(b)	N/A	the exercise of the rights of data subjects under section 166, including their exercise by a representative body.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203(2)	N/A	In relation to proceedings involving the exercise of those rights, Tribunal Procedure Rules may make provision about—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 203(2)(a)	N/A	securing the production of material used for the processing of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 203(2)(b)	N/A	the inspection, examination, operation and testing of equipment or material used in connection with the processing of personal data. Interpretation	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204	Meaning of "health professional" and "social work professional"	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)	N/A	In this Act, "health professional" means any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(a)	N/A	a registered medical practitioner;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(b)	N/A	a registered nurse or midwife;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(c)	N/A	a registered dentist within the meaning of the Dentists Act 1984 (see section 53 of that Act);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(d)	N/A	a registered dispensing optician or a registered optometrist within the meaning of the Opticians Act 1989 (see section 36 of that Act);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(e)	N/A	a registered osteopath with the meaning of the Osteopaths Act 1993 (see section 41 of that Act);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(f)	N/A	a registered chiropractor within the meaning of the Chiropractors Act 1994 (see section 43 of that Act);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(g)	N/A	a person registered as a member of a profession to which the Health ... Professions Order 2001 (S.I. 2002/254) for the time being extends; ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(h)	N/A	a registered pharmacist or a registered pharmacy technician within the meaning of the Pharmacy Order 2010 (S.I. 2010/231) (see article 3 of that Order);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(h)(i)	N/A	a registered person within the meaning of the Pharmacy (Northern Ireland) Order 1976 (S.I. 1976/1213 (N.I. 22)) (see Article 2 of that Order);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(j)	N/A	a child psychotherapist;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(k)	N/A	a scientist employed by a health service body as head of a department.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(1)(l)	N/A	a person registered under the Anaesthesia Associates and Physician Associates Order 2024.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(2)	N/A	In this Act, "social work professional" means any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(2)(a)	N/A	a person registered as a social worker in the register maintained by Social Work England under section 39(1) of the Children and Social Work Act 2017;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(2)(b)	N/A	a person registered as a social worker in the register maintained by Social Care Wales under section 80 of the Regulation and Inspection of Social Care (Wales) Act 2016 (anaw 2);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(2)(c)	N/A	a person registered as a social worker in the register maintained by the Scottish Social Services Council under section 44 of the Regulation of Care (Scotland) Act 2001 (asp 8);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(2)(d)	N/A	a person registered as a social worker in the register maintained by the Northern Ireland Social Care Council under section 3 of the Health and Personal Social Services Act (Northern Ireland) 2001 (c. 3 (N.I.)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(3)	N/A	In subsection (1)(a) "registered medical practitioner" includes a person who is provisionally registered under section 15 or 21 of the Medical Act 1983 and is engaged in such employment as is mentioned in subsection (3) of that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)	N/A	In subsection (1)(k) "health service body" means any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(a)	N/A	the Secretary of State in relation to the exercise of functions under section 2A or 2B of, or paragraph 7C, 8 or 12 of Schedule 1 to, the National Health Service Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(b)	N/A	a local authority in relation to the exercise of functions under section 2B or 111 of, or any of paragraphs 1 to 7B or 13 of Schedule 1 to, the National Health Service Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(c)	N/A	a National Health Service trust first established under section 25 of the National Health Service Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(d)	N/A	a Special Health Authority established under section 28 of the National Health Service Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(e)	N/A	an NHS foundation trust;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(f)	N/A	the National Institute for Health and Care Excellence;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(g)	N/A	NHS England;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(h)	N/A	a National Health Service trust first established under section 5 of the National Health Service and Community Care Act 1990;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(h)(i)	N/A	a Local Health Board established under section 11 of the National Health Service (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(j)	N/A	a National Health Service trust first established under section 18 of the National Health Service (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(k)	N/A	a Special Health Authority established under section 22 of the National Health Service (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(l)	N/A	a Health Board within the meaning of the National Health Service (Scotland) Act 1978;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(m)	N/A	a Special Health Board within the meaning of the National Health Service (Scotland) Act 1978;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(n)	N/A	a National Health Service trust first established under section 12A of the National Health Service (Scotland) Act 1978;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(o)	N/A	the managers of a State Hospital provided under section 102 of the National Health Service (Scotland) Act 1978;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(q)	N/A	a special health and social care agency established under the Health and Personal Social Services (Special Agencies) (Northern Ireland) Order 1990 (S.I. 1990/247 (N.I. 3));	Functional	No Relationship	N/A	N/A	N/A	0	
Section 204(4)(r)	N/A	a Health and Social Care trust established under Article 10 of the Health and Personal Social Services (Northern Ireland) Order 1991 (S.I. 1991/194 (N.I. 1)).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205	General interpretation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)	N/A	In this Act— "biometric data" means personal data resulting from specific technical processing relating to the physical, physiological or behavioural characteristics of an individual, which allows or confirms the unique identification of that individual, such as facial images or dactyloscopic data; "data concerning health" means personal data relating to the physical or mental health of an individual, including the provision of health care services, which reveals information about his or her health status; "enactment" includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(a)	N/A	an enactment passed or made after this Act,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(b)	N/A	an enactment comprised in subordinate legislation,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(c)	N/A	an enactment comprised in, or in an instrument made under, a Measure or Act of the National Assembly for Wales,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(d)	N/A	an enactment comprised in, or in an instrument made under, an Act of the Scottish Parliament, ...	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(e)	N/A	an enactment comprised in, or in an instrument made under, Northern Ireland legislation; and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(1)(f)	N/A	any assimilated direct legislation; "genetic data" means personal data relating to the inherited or acquired genetic characteristics of an individual which gives unique information about the physiology or the health of that individual and which results, in particular, from an analysis of a biological sample from the individual in question; "government department" includes the following (except in the expression "United Kingdom government department")—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 205(1A)	N/A	In this Act, references to fundamental rights or fundamental freedoms (however expressed) are to the Convention rights within the meaning of the Human Rights Act 1998.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)	N/A	References in this Act to a period expressed in hours, days, weeks, months or years are to be interpreted in accordance with Article 3 of Regulation (EEC, Euratom) No. 1182/71 of the Council of 3 June 1971 determining the rules applicable to periods, dates and time limits, except in—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(za)	N/A	section 119A(10) and (11);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(a)	N/A	section 125(4), (7) and (8);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(b)	N/A	section 161(3), (5) and (6);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(c)	N/A	section 176(2);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(d)	N/A	section 178(2);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(e)	N/A	section 182(8) ...;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(f)	N/A	section 183(4);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(g)	N/A	section 192(3), (5) and (6);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(h)	N/A	section 197(3) and (4);	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(h)(i)	N/A	paragraph 23(4) and (5) of Schedule 1;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(j)	N/A	paragraphs 5(4) and 6(4) of Schedule 3;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(k)	N/A	Schedule 5;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(l)	N/A	paragraph 11(5) of Schedule 12;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(la)	N/A	paragraph 22(6) of Schedule 12A;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(2)(m)	N/A	Schedule 15; (and the references in section 5 to terms used in ... Part 2 do not include references to a period expressed in hours, days, weeks, months or years).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(4)	N/A	In the definition of “the UK GDPR” in section 3(10)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(4)(a)	N/A	the reference to Regulation (EU) 2016/679 as it forms part of the law of England and Wales, Scotland and Northern Ireland by virtue of section 3 of the European Union (Withdrawal) Act 2018 is to be treated as a reference to that Regulation as modified by Schedule 1 to the Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019 (“the 2019 Regulations”), but nothing in the definition or in paragraph (a) determines whether, where Regulation (EU) 2016/679 is modified on or after IP completion day by the law of England and Wales, Scotland or Northern Ireland (other than by Schedule 1 to the 2019 Regulations), the reference to Regulation (EU) 2016/679 is then to be read as a reference to that Regulation as modified.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(4)(b)	N/A		Functional	No Relationship	N/A	N/A	N/A	0	
Section 205(5)	N/A	Subsection (4) is not to be read as implying anything about how other references to Regulation (EU) 2016/679 or references to other assimilated law are to be interpreted.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 206	Index of defined expressions	The Table below lists provisions which define or otherwise explain terms defined for this Act, for a Part of this Act or for Chapter 2 or 3 of Part 2 of this Act. the affirmative resolution procedure section 182	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207	Territorial application of this Act	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(1)	N/A	This Act applies only to processing of personal data described in subsections (1A) and (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(1A)	N/A	In the case of the processing of personal data to which Part 2 (the UK GDPR) applies, it applies to the types of such processing to which the UK GDPR applies by virtue of Article 3 of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(2)	N/A	In the case of the processing of personal data to which Part 2 does not apply, it applies where such processing is carried out in the context of the activities of an establishment of a controller or processor in the United Kingdom, whether or not the processing takes place in the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(4)	N/A	Subsections (1), (1A) and (2) have effect subject to any provision in or made under section 120 providing for the Commissioner to carry out functions in relation to other processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(5)	N/A	Section 31(4)(c) does not apply to the reference to the processing of personal data in subsection (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(7)	N/A	In this section, references to a person who has an establishment in the United Kingdom include the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(7)(a)	N/A	an individual who is ordinarily resident in the United Kingdom,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(7)(b)	N/A	a body incorporated under the law of the United Kingdom or a part of the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(7)(c)	N/A	a partnership or other unincorporated association formed under the law of the United Kingdom or a part of the United Kingdom, and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 207(7)(d)	N/A	a person not within paragraph (a), (b) or (c) who maintains, and carries on activities through, an office, branch or agency or other stable arrangements in the United Kingdom, General	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208	Children in Scotland	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208(1)	N/A	Subsections (2) and (3) apply where a question falls to be determined in Scotland as to the legal capacity of a person aged under 16 to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208(1)(a)	N/A	exercise a right conferred by the data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208(1)(b)	N/A	give consent for the purposes of the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208(2)	N/A	The person is to be taken to have that capacity where the person has a general understanding of what it means to exercise the right or give such consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 208(3)	N/A	A person aged 12 or over is to be presumed to be of sufficient age and maturity to have such understanding, unless the contrary is shown.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209	Application to the Crown	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(1)	N/A	This Act binds the Crown.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(2)	N/A	For the purposes of the UK GDPR and this Act, each government department is to be treated as a person separate from the other government departments (to the extent that is not already the case).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(3)	N/A	Where government departments are not able to enter into contracts with each other, a provision of the UK GDPR or this Act that would require relations between them to be governed by a contract (or other binding legal act) in writing is to be treated as satisfied if the relations are the subject of a memorandum of understanding between them.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(4)	N/A	Where the purposes for which and the manner in which personal data is, or is to be, processed are determined by a person acting on behalf of the Royal Household, the Duchy of Lancaster or the Duchy of Cornwall, the controller in respect of that data for the purposes of the UK GDPR and this Act is—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(4)(a)	N/A	in relation to the Royal Household, the Keeper of the Privy Purse,	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(4)(b)	N/A	in relation to the Duchy of Lancaster, such person as the Chancellor of the Duchy appoints, and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 209(4)(c)	N/A	in relation to the Duchy of Cornwall, such person as the Duke of Cornwall, or the possessor for the time being of the Duchy of Cornwall, appoints.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(5)	N/A	Different persons may be appointed under subsection (4)(b) or (c) for different purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(6)	N/A	As regards criminal liability—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(6)(a)	N/A	a government department is not liable to prosecution under this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(6)(b)	N/A	nothing in subsection (4) makes a person who is a controller by virtue of that subsection liable to prosecution under this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(6)(c)	N/A	a person in the service of the Crown is liable to prosecution under the provisions of this Act listed in subsection (7).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)(a)	N/A	section 119;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)(b)	N/A	section 170;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)(c)	N/A	section 171;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)(d)	N/A	section 173;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 209(7)(e)	N/A	paragraph 15 of Schedule 15.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210	Application to Parliament	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(1)	N/A	Parts 1, 2 and 5 to 7 of this Act apply to the processing of personal data by or on behalf of either House of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(2)	N/A	Where the purposes for which and the manner in which personal data is, or is to be, processed are determined by or on behalf of the House of Commons, the controller in respect of that data for the purposes of the UK GDPR and this Act is the Corporate Officer of that House.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(3)	N/A	Where the purposes for which and the manner in which personal data is, or is to be, processed are determined by or on behalf of the House of Lords, the controller in respect of that data for the purposes of the UK GDPR and this Act is the Corporate Officer of that House.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(4)	N/A	Subsections (2) and (3) do not apply where the purposes for which and the manner in which the personal data is, or is to be, processed are determined by or on behalf of the Intelligence and Security Committee of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(5)	N/A	As regards criminal liability—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(5)(a)	N/A	nothing in subsection (2) or (3) makes the Corporate Officer of the House of Commons or the Corporate Officer of the House of Lords liable to prosecution under this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(5)(b)	N/A	a person acting on behalf of either House of Parliament is liable to prosecution under the provisions of this Act listed in subsection (6).	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(6)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(6)(a)	N/A	section 170;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(6)(b)	N/A	section 171;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(6)(c)	N/A	section 173;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 210(6)(d)	N/A	paragraph 15 of Schedule 15.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211	Minor and consequential provision	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(1)	N/A	In Schedule 19—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(1)(a)	N/A	Part 1 contains minor and consequential amendments of primary legislation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(1)(b)	N/A	Part 2 contains minor and consequential amendments of other legislation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(1)(c)	N/A	Part 3 contains consequential modifications of legislation;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(1)(d)	N/A	Part 4 contains supplementary provision.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(2)	N/A	The Secretary of State may by regulations make provision that is consequential on any provision made by this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(3)	N/A	Regulations under subsection (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(3)(a)	N/A	may include transitional, transitory or saving provision;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(3)(b)	N/A	may amend, repeal or revoke an enactment.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(4)	N/A	The reference to an enactment in subsection (3)(b) does not include an enactment passed or made after the end of the Session in which this Act is passed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(5)	N/A	Regulations under this section that amend, repeal or revoke primary legislation are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(6)	N/A	Any other regulations under this section are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(7)	N/A	In this section, "primary legislation" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(7)(a)	N/A	an Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(7)(b)	N/A	an Act of the Scottish Parliament;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(7)(c)	N/A	a Measure or Act of the National Assembly for Wales;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 211(7)(d)	N/A	Northern Ireland legislation. Final	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212	Commencement	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(1)	N/A	Except as provided by subsections (2) and (3), this Act comes into force on such day as the Secretary of State may by regulations appoint.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)	N/A	This section and the following provisions come into force on the day on which this Act is passed—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(a)	N/A	sections 1 and 3;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(b)	N/A	section 182;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(c)	N/A	sections 204, 205 and 206;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(d)	N/A	sections 209 and 210;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(e)	N/A	sections 213(2), 214 and 215;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(2)(f)	N/A	any other provision of this Act so far as it confers power to make regulations or Tribunal Procedure Rules or is otherwise necessary for enabling the exercise of such a power on or after the day on which this Act is passed.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(3)	N/A	The following provisions come into force at the end of the period of 2 months beginning when this Act is passed—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(3)(a)	N/A	section 124;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(3)(b)	N/A	sections 125, 126 and 127, so far as they relate to a code prepared under section 124;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Section 212(3)(c)	N/A	section 177;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(3)(d)	N/A	section 178 and Schedule 17;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(3)(e)	N/A	section 179.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 212(4)	N/A	Regulations under this section may make different provision for different areas.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 213	Transitional provision	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 213(1)	N/A	Schedule 20 contains transitional, transitory and saving provision.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 213(2)	N/A	The Secretary of State may by regulations make transitional, transitory or saving provision in connection with the coming into force of any provision of this Act or with the EU GDPR beginning to apply, including provision amending or repealing a provision of Schedule 20.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 213(3)	N/A	Regulations under this section that amend or repeal a provision of Schedule 20 are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 213(4)	N/A	Schedule 21 contains further transitional, transitory and saving provision made in connection with the amendment of this Act and the UK GDPR by regulations under section 8 of the European Union (Withdrawal) Act 2018.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214	Extent	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(1)	N/A	This Act extends to England and Wales, Scotland and Northern Ireland, subject to—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(1)(a)	N/A	subsections (2) to (5), and	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(1)(b)	N/A	paragraph 12 of Schedule 12.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(2)	N/A	Section 199 extends to England and Wales only.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(3)	N/A	Sections 188, 189 and 190 extend to England and Wales and Northern Ireland only.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(4)	N/A	An amendment, repeal or revocation made by this Act has the same extent in the United Kingdom as the enactment amended, repealed or revoked.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(5)	N/A	This subsection and the following provisions also extend to the Isle of Man—	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(5)(a)	N/A	paragraphs 332 and 434 of Schedule 19;	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(5)(b)	N/A	sections 211(1), 212(1) and 213(2), so far as relating to those paragraphs.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 214(6)	N/A	Where there is a power to extend a part of an Act by Order in Council to any of the Channel Islands, the Isle of Man or any of the British overseas territories, the power may be exercised in relation to an amendment or repeal of that part which is made by or under this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Section 215	Short title	This Act may be cited as the Data Protection Act 2018.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule A1	PROCESSING IN RELIANCE ON RELEVANT INTERNATIONAL LAW	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1	SPECIAL CATEGORIES OF PERSONAL DATA AND CRIMINAL CONVICTIONS ETC DATA	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1	Conditions Relating To Employment, Health And Research Etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1(1)(a)	N/A	the processing is necessary for the purposes of performing or exercising obligations or rights which are imposed or conferred by law on the controller or the data subject in connection with employment, social security or social protection, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1(1)(b)	N/A	when the processing is carried out, the controller has an appropriate policy document in place (see paragraph 39 in Part 4 of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1(2)	N/A	See also the additional safeguards in Part 4 of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 1(3)	N/A	In this paragraph— “social security” includes any of the branches of social security listed in Article 3(1) of Regulation (EC) No. 883/2004 of the European Parliament and of the Council on the co-ordination of social security systems (as amended from time to time); “social protection” includes an intervention described in Article 2(b) of Regulation (EC) 458/2007 of the European Parliament and of the Council of 25 April 2007 on the European system of integrated social protection statistics (ESSPROS) as it had effect in EU law immediately before IP completion day. Health or social care purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(1)	N/A	This condition is met if the processing is necessary for health or social care purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)	N/A	In this paragraph “health or social care purposes” means the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(a)	N/A	preventive or occupational medicine,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(b)	N/A	the assessment of the working capacity of an employee,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(c)	N/A	medical diagnosis,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(d)	N/A	the provision of health care or treatment,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(e)	N/A	the provision of social care, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(2)(f)	N/A	the management of health care systems or services or social care systems or services.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 2(3)	N/A	See also the conditions and safeguards in Article 9(3) of the UK GDPR (obligations of secrecy) and section 11(1). Public health	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 3(a)	N/A	is necessary for reasons of public interest in the area of public health, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 3(b)	N/A	is carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 3(c)	N/A	by or under the responsibility of a health professional, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 3(d)	N/A	by another person who in the circumstances owes a duty of confidentiality under an enactment or rule of law. Research etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 4(a)	N/A	is necessary for archiving purposes, scientific or historical research purposes or statistical purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 4(b)	N/A	is carried out in accordance with Article 84B of the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 1, Para 4(c)	N/A	is in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2	Substantial Public Interest Conditions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 2, Para 5(1)	N/A	Except as otherwise provided, a condition in this Part of this Schedule is met only if, when the processing is carried out, the controller has an appropriate policy document in place (see paragraph 39 in Part 4 of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 5(2)	N/A	See also the additional safeguards in Part 4 of this Schedule. Statutory etc and government purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(1)(a)	N/A	is necessary for a purpose listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(1)(b)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(2)	N/A	Those purposes are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(2)(a)	N/A	the exercise of a function conferred on a person by an enactment or rule of law;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 6(2)(b)	N/A	the exercise of a function of the Crown, a Minister of the Crown or a government department. Administration of justice and parliamentary purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 7(a)	N/A	for the administration of justice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 7(b)	N/A	for the exercise of a function of either House of Parliament. Equality of opportunity or treatment	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(1)(a)	N/A	is of a specified category of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(1)(b)	N/A	is necessary for the purposes of identifying or keeping under review the existence or absence of equality of opportunity or treatment between groups of people specified in relation to that category with a view to enabling such equality to be promoted or maintained, subject to the exceptions in sub-paragraphs (3) to (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(2)	N/A	In sub-paragraph (1), "specified" means specified in the following table— Category of personal data Groups of people (in relation to a category of personal data) Personal data revealing racial or ethnic origin People of different racial or ethnic origins Personal data revealing religious or philosophical beliefs People holding different religious or philosophical beliefs Data concerning health People with different states of physical or mental health Personal data concerning an individual's sexual orientation People of different sexual orientation	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(3)	N/A	Processing does not meet the condition in sub-paragraph (1) if it is carried out for the purposes of measures or decisions with respect to a particular data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(4)	N/A	Processing does not meet the condition in sub-paragraph (1) if it is likely to cause substantial damage or substantial distress to an individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(5)	N/A	Processing does not meet the condition in sub-paragraph (1) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(5)(a)	N/A	an individual who is the data subject (or one of the data subjects) has given notice in writing to the controller requiring the controller not to process personal data in respect of which the individual is the data subject (and has not given notice in writing withdrawing that requirement),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(5)(b)	N/A	the notice gave the controller a reasonable period in which to stop processing such data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 8(5)(c)	N/A	that period has ended. Racial and ethnic diversity at senior levels of organisations	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(1)(a)	N/A	is of personal data revealing racial or ethnic origin,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(1)(b)	N/A	is carried out as part of a process of identifying suitable individuals to hold senior positions in a particular organisation, a type of organisation or organisations generally,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(1)(c)	N/A	is necessary for the purposes of promoting or maintaining diversity in the racial and ethnic origins of individuals who hold senior positions in the organisation or organisations, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(1)(d)	N/A	can reasonably be carried out without the consent of the data subject, subject to the exception in sub-paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(2)	N/A	For the purposes of sub-paragraph (1)(d), processing can reasonably be carried out without the consent of the data subject only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(2)(a)	N/A	the controller cannot reasonably be expected to obtain the consent of the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(2)(b)	N/A	the controller is not aware of the data subject withholding consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(3)	N/A	Processing does not meet the condition in sub-paragraph (1) if it is likely to cause substantial damage or substantial distress to an individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(4)	N/A	For the purposes of this paragraph, an individual holds a senior position in an organisation if the individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(4)(a)	N/A	holds a position listed in sub-paragraph (5), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(4)(b)	N/A	does not hold such a position but is a senior manager of the organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(5)	N/A	Those positions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(5)(a)	N/A	a director, secretary or other similar officer of a body corporate;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(5)(b)	N/A	a member of a limited liability partnership;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(5)(c)	N/A	a partner in a partnership within the Partnership Act 1890, a limited partnership registered under the Limited Partnerships Act 1907 or an entity of a similar character formed under the law of a country or territory outside the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(6)	N/A	In this paragraph, "senior manager", in relation to an organisation, means a person who plays a significant role in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(6)(a)	N/A	the making of decisions about how the whole or a substantial part of the organisation's activities are to be managed or organised, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(6)(b)	N/A	the actual managing or organising of the whole or a substantial part of those activities.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 9(7)	N/A	The reference in sub-paragraph (2)(b) to a data subject withholding consent does not include a data subject merely failing to respond to a request for consent. Preventing etc unlawful acts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10(1)(a)	N/A	is necessary for the purposes of the prevention, investigation or detection of an unlawful act,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10(1)(b)	N/A	must be carried out without the consent of the data subject so as not to prejudice those purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10(1)(c)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 2, Para 10(2)	N/A	If the processing consists of the disclosure of personal data to a competent authority, or is carried out in preparation for such disclosure, the condition in sub-paragraph (1) is met even if, when the processing is carried out, the controller does not have an appropriate policy document in place (see paragraph 5 of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 10(3)	N/A	In this paragraph— “act” includes a failure to act; “competent authority” has the same meaning as in Part 3 of this Act (see section 30). Protecting the public against dishonesty etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(1)(a)	N/A	is necessary for the exercise of a protective function,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(1)(b)	N/A	must be carried out without the consent of the data subject so as not to prejudice the exercise of that function, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(1)(c)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(2)	N/A	In this paragraph, “protective function” means a function which is intended to protect members of the public against—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(2)(a)	N/A	dishonesty, malpractice or other seriously improper conduct,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(2)(b)	N/A	unfitness or incompetence,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(2)(c)	N/A	mismanagement in the administration of a body or association, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 11(2)(d)	N/A	failures in services provided by a body or association. Regulatory requirements relating to unlawful acts and dishonesty etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)(a)	N/A	the processing is necessary for the purposes of complying with, or assisting other persons to comply with, a regulatory requirement which involves a person taking steps to establish whether another person has—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)(i)	N/A	committed an unlawful act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)(a)(i)	N/A	been involved in dishonesty, malpractice or other seriously improper conduct,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(1)(c)	N/A	the processing is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(2)	N/A	In this paragraph— “act” includes a failure to act; “regulatory requirement” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(2)(a)	N/A	a requirement imposed by legislation or by a person in exercise of a function conferred by legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 12(2)(b)	N/A	a requirement forming part of generally accepted principles of good practice relating to a type of body or an activity. Journalism etc in connection with unlawful acts and dishonesty etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)(a)	N/A	the processing consists of, or is carried out in preparation for, the disclosure of personal data for the special purposes,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)(b)	N/A	it is carried out in connection with a matter described in sub-paragraph (2),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)(c)	N/A	it is necessary for reasons of substantial public interest,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)(d)	N/A	it is carried out with a view to the publication of the personal data by any person, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(1)(e)	N/A	the controller reasonably believes that publication of the personal data would be in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)	N/A	The matters mentioned in sub-paragraph (1)(b) are any of the following (whether alleged or established)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)(a)	N/A	the commission of an unlawful act by a person;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)(b)	N/A	dishonesty, malpractice or other seriously improper conduct of a person;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)(c)	N/A	unfitness or incompetence of a person;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)(d)	N/A	mismanagement in the administration of a body or association;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(2)(e)	N/A	a failure in services provided by a body or association.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(3)	N/A	The condition in sub-paragraph (1) is met even if, when the processing is carried out, the controller does not have an appropriate policy document in place (see paragraph 5 of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(4)	N/A	In this paragraph— “act” includes a failure to act; “the special purposes” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(4)(a)	N/A	the purposes of journalism;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(4)(b)	N/A	academic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(4)(c)	N/A	artistic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 13(4)(d)	N/A	literary purposes. Preventing fraud	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(a)	N/A	is necessary for the purposes of preventing fraud or a particular kind of fraud, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(b)	N/A	consists of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(b)(i)	N/A	the disclosure of personal data by a person as a member of an anti-fraud organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(b)(ii)	N/A	the disclosure of personal data in accordance with arrangements made by an anti-fraud organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(ia)	N/A	the processing of personal data carried out in preparation for disclosure described in sub-paragraph (i) or (ii), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(1)(ia)(iii)	N/A	the processing of personal data disclosed as described in sub-paragraph (i) or (ii).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 14(2)	N/A	In this paragraph, “anti-fraud organisation” has the same meaning as in section 68 of the Serious Crime Act 2007. Suspicion of terrorist financing or money laundering	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 15(a)	N/A	section 21CA of the Terrorism Act 2000 (disclosures between certain entities within regulated sector in relation to suspicion of commission of terrorist financing offence or for purposes of identifying terrorist property);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 15(b)	N/A	section 339ZB of the Proceeds of Crime Act 2002 (disclosures within regulated sector in relation to suspicion of money laundering). Support for individuals with a particular disability or medical condition	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 2, Para 16(1)(a)	N/A	is carried out by a not-for-profit body which provides support to individuals with a particular disability or medical condition.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(b)	N/A	is of a type of personal data falling within sub-paragraph (2) which relates to an individual falling within sub-paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(c)	N/A	is necessary for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(c)(i)	N/A	raising awareness of the disability or medical condition, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(c)(ii)	N/A	providing support to individuals falling within sub-paragraph (3) or enabling such individuals to provide support to each other.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(d)	N/A	can reasonably be carried out without the consent of the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(1)(e)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(2)	N/A	The following types of personal data fall within this sub-paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(2)(a)	N/A	personal data revealing racial or ethnic origin;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(2)(b)	N/A	genetic data or biometric data;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(2)(c)	N/A	data concerning health;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(2)(d)	N/A	personal data concerning an individual's sex life or sexual orientation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(3)	N/A	An individual falls within this sub-paragraph if the individual is or has been a member of the body mentioned in sub-paragraph (1)(a) and—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(3)(a)	N/A	has the disability or condition mentioned there, has had that disability or condition or has a significant risk of developing that disability or condition, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(3)(b)	N/A	is a relative or carer of an individual who satisfies paragraph (a) of this sub-paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(4)	N/A	For the purposes of sub-paragraph (1)(d), processing can reasonably be carried out without the consent of the data subject only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(4)(a)	N/A	the controller cannot reasonably be expected to obtain the consent of the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(4)(b)	N/A	the controller is not aware of the data subject withholding consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(5)	N/A	In this paragraph— “carer” means an individual who provides or intends to provide care for another individual other than—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(5)(a)	N/A	under or by virtue of a contract, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(5)(b)	N/A	as voluntary work; “disability” has the same meaning as in the Equality Act 2010 (see section 6 of, and Schedule 1 to, that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 16(6)	N/A	The reference in sub-paragraph (4)(b) to a data subject withholding consent does not include a data subject merely failing to respond to a request for consent. Counselling etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(1)(a)	N/A	is necessary for the provision of confidential counselling, advice or support or of another similar service provided confidentially.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(1)(b)	N/A	is carried out without the consent of the data subject for one of the reasons listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(1)(c)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(2)	N/A	The reasons mentioned in sub-paragraph (1)(b) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(2)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 17(2)(c)	N/A	the processing must be carried out without the consent of the data subject because obtaining the consent of the data subject would prejudice the provision of the service mentioned in sub-paragraph (1)(a). Safeguarding of children and of individuals at risk	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(a)	N/A	the processing is necessary for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(a)(i)	N/A	protecting an individual from neglect or physical, mental or emotional harm, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(a)(ii)	N/A	protecting the physical, mental or emotional well-being of an individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(b)	N/A	the individual is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(b)(i)	N/A	aged under 18, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(b)(ii)	N/A	aged 18 or over and at risk,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(c)	N/A	the processing is carried out without the consent of the data subject for one of the reasons listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(1)(d)	N/A	the processing is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(2)	N/A	The reasons mentioned in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(2)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(2)(c)	N/A	the processing must be carried out without the consent of the data subject because obtaining the consent of the data subject would prejudice the provision of the protection mentioned in sub-paragraph (1)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(3)	N/A	For the purposes of this paragraph, an individual aged 18 or over is “at risk” if the controller has reasonable cause to suspect that the individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(3)(a)	N/A	has needs for care and support,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(3)(b)	N/A	is experiencing, or at risk of, neglect or physical, mental or emotional harm, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(3)(c)	N/A	as a result of those needs is unable to protect himself or herself against the neglect or harm or the risk of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 18(4)	N/A	In sub-paragraph (1)(a), the reference to the protection of an individual or of the well-being of an individual includes both protection relating to a particular individual and protection relating to a type of individual. Safeguarding of economic well-being of certain individuals	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(1)(a)	N/A	is necessary for the purposes of protecting the economic well-being of an individual at economic risk who is aged 18 or over,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(1)(b)	N/A	is of data concerning health,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(1)(c)	N/A	is carried out without the consent of the data subject for one of the reasons listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(1)(d)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 2, Para 19(2)	N/A	The reasons mentioned in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(2)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(2)(c)	N/A	the processing must be carried out without the consent of the data subject because obtaining the consent of the data subject would prejudice the provision of the protection mentioned in sub-paragraph (1)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 19(3)	N/A	In this paragraph, “individual at economic risk” means an individual who is less able to protect his or her economic well-being by reason of physical or mental injury, illness or disability, insurance	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(1)(a)	N/A	is necessary for an insurance purpose,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(1)(b)	N/A	is of personal data revealing racial or ethnic origin, religious or philosophical beliefs or trade union membership, genetic data or data concerning health, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(1)(c)	N/A	is necessary for reasons of substantial public interest, subject to sub-paragraphs (2) and (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(2)	N/A	Sub-paragraph (3) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(2)(a)	N/A	the processing is not carried out for the purposes of measures or decisions with respect to the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(2)(b)	N/A	the data subject does not have and is not expected to acquire—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(2)(b)(i)	N/A	rights against, or obligations in relation to, a person who is an insured person under an insurance contract to which the insurance purpose mentioned in sub-paragraph (1)(a) relates, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(2)(b)(ii)	N/A	other rights or obligations in connection with such a contract.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(3)	N/A	Where this sub-paragraph applies, the processing does not meet the condition in sub-paragraph (1) unless, in addition to meeting the requirements in that sub-paragraph, it can reasonably be carried out without the consent of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(4)	N/A	For the purposes of sub-paragraph (3), processing can reasonably be carried out without the consent of the data subject only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(4)(a)	N/A	the controller cannot reasonably be expected to obtain the consent of the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(4)(b)	N/A	the controller is not aware of the data subject withholding consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(5)	N/A	In this paragraph— “insurance contract” means a contract of general insurance or long-term insurance, “insurance purpose” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(5)(a)	N/A	advising on, arranging, underwriting or administering an insurance contract,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(5)(b)	N/A	administering a claim under an insurance contract, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(5)(c)	N/A	exercising a right, or complying with an obligation, arising in connection with an insurance contract, including a right or obligation arising under an enactment or rule of law.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(6)	N/A	The reference in sub-paragraph (4)(b) to a data subject withholding consent does not include a data subject merely failing to respond to a request for consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 20(7)	N/A	Terms used in the definition of “insurance contract” in sub-paragraph (5) and also in an order made under section 22 of the Financial Services and Markets Act 2000 (regulated activities) have the same meaning in that definition as they have in that order. Occupational pensions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(1)(a)	N/A	is necessary for the purpose of making a determination in connection with eligibility for, or benefits payable under, an occupational pension scheme,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(1)(b)	N/A	is of data concerning health which relates to a data subject who is the parent, grandparent, great-grandparent or sibling of a member of the scheme,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(1)(c)	N/A	is not carried out for the purposes of measures or decisions with respect to the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(1)(d)	N/A	can reasonably be carried out without the consent of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(2)	N/A	For the purposes of sub-paragraph (1)(d), processing can reasonably be carried out without the consent of the data subject only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(2)(a)	N/A	the controller cannot reasonably be expected to obtain the consent of the data subject, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(2)(b)	N/A	the controller is not aware of the data subject withholding consent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(3)	N/A	In this paragraph— “occupational pension scheme” has the meaning given in section 1 of the Pension Schemes Act 1993: “member”, in relation to a scheme, includes an individual who is seeking to become a member of the scheme.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 21(4)	N/A	The reference in sub-paragraph (2)(b) to a data subject withholding consent does not include a data subject merely failing to respond to a request for consent. Political parties	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(1)(a)	N/A	is of personal data revealing political opinions,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(1)(b)	N/A	is carried out by a person or organisation included in the register maintained under section 23 of the Political Parties, Elections and Referendums Act 2000, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(1)(c)	N/A	is necessary for the purposes of the person's or organisation's political activities, subject to the exceptions in sub-paragraphs (2) and (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(2)	N/A	Processing does not meet the condition in sub-paragraph (1) if it is likely to cause substantial damage or substantial distress to a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(3)	N/A	Processing does not meet the condition in sub-paragraph (1) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(3)(a)	N/A	an individual who is the data subject (or one of the data subjects) has given notice in writing to the controller requiring the controller not to process personal data in respect of which the individual is the data subject (and has not given notice in writing withdrawing that requirement),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(3)(b)	N/A	the notice gave the controller a reasonable period in which to stop processing such data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(3)(c)	N/A	that period has ended.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 22(4)	N/A	In this paragraph, “political activities” include campaigning, fund-raising, political surveys and case-work. Elected representatives responding to requests	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

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FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 2, Para 23(1)(a)	N/A	the processing is carried out—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(1)(a)(i)	N/A	by an elected representative or a person acting with the authority of such a representative,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(1)(a)(ii)	N/A	in connection with the discharge of the elected representative's functions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(1)(a)(iii)	N/A	in response to a request by an individual that the elected representative take action on behalf of the individual, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(1)(b)	N/A	the processing is necessary for the purposes of, or in connection with, the action reasonably taken by the elected representative in response to that request, subject to sub-paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(2)	N/A	Where the request is made by an individual other than the data subject, the condition in sub-paragraph (1) is met only if the processing must be carried out without the consent of the data subject for one of the following reasons—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(2)(b)	N/A	in the circumstances, the elected representative cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(2)(c)	N/A	obtaining the consent of the data subject would prejudice the action taken by the elected representative;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(2)(d)	N/A	the processing is necessary in the interests of another individual and the data subject has withheld consent unreasonably.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)	N/A	In this paragraph, "elected representative" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(a)	N/A	a member of the House of Commons;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(b)	N/A	a member of the National Assembly for Wales;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(c)	N/A	a member of the Scottish Parliament;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(d)	N/A	a member of the Northern Ireland Assembly;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(f)	N/A	an elected member of a local authority within the meaning of section 270(1) of the Local Government Act 1972, namely—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(f)(i)	N/A	in England, a county council, a district council, a London borough council or a parish council;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(f)(ii)	N/A	in Wales, a county council, a county borough council or a community council;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(g)	N/A	an elected mayor of a local authority within the meaning of Part 1A or 2 of the Local Government Act 2000;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(h)	N/A	a mayor for the area of a combined authority established under section 103 of the Local Democracy, Economic Development and Construction Act 2009;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(ha)	N/A	a mayor for the area of a combined county authority established under section 9(1) of the Levelling-up and Regeneration Act 2023;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(ha)(i)	N/A	the Mayor of London or an elected member of the London Assembly;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(i)	N/A	an elected member of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(j)(i)	N/A	the Common Council of the City of London, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(j)(ii)	N/A	the Council of the Isles of Scilly;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(k)	N/A	an elected member of a council constituted under section 2 of the Local Government etc (Scotland) Act 1984;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(l)	N/A	an elected member of a district council within the meaning of the Local Government Act (Northern Ireland) 1972 (c. 9 (N.I.));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(3)(m)	N/A	a police and crime commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(4)	N/A	For the purposes of sub-paragraph (3), a person who is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(4)(a)	N/A	a member of the House of Commons immediately before Parliament is dissolved,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(4)(b)	N/A	a member of the National Assembly for Wales immediately before that Assembly is dissolved,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(4)(c)	N/A	a member of the Scottish Parliament immediately before that Parliament is dissolved, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(4)(d)	N/A	a member of the Northern Ireland Assembly immediately before that Assembly is dissolved, is to be treated as if the person were such a member until the end of the period of 30 days beginning with the day after the day on which the subsequent general election in relation to that Parliament or Assembly is held.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 23(5)	N/A	For the purposes of sub-paragraph (3), a person who is an elected member of the Common Council of the City of London and whose term of office comes to an end at the end of the day preceding the annual Wardmotes is to be treated as if he or she were such a member until the end of the fourth day after the day on which those Wardmotes are held. Disclosure to elected representatives	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)(a)	N/A	the processing consists of, or is carried out in preparation for, the disclosure of personal data—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)(a)(i)	N/A	to an elected representative or a person acting with the authority of such a representative, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)(a)(ii)	N/A	in response to a communication to the controller from that representative or person which was made in response to a request from an individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)(b)	N/A	the personal data is relevant to the subject matter of that communication, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(1)(c)	N/A	the disclosure is necessary for the purpose of responding to that communication, subject to sub-paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(2)	N/A	Where the request to the elected representative came from an individual other than the data subject, the condition in sub-paragraph (1) is met only if the disclosure must be made without the consent of the data subject for one of the following reasons—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(2)(b)	N/A	in the circumstances, the elected representative cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(2)(c)	N/A	obtaining the consent of the data subject would prejudice the action taken by the elected representative;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(2)(d)	N/A	the processing is necessary in the interests of another individual and the data subject has withheld consent unreasonably.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 24(3)	N/A	In this paragraph, "elected representative" has the same meaning as in paragraph 23. Informing elected representatives about prisoners	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 25	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 25(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 25(1)(a)	N/A	the processing consists of the processing of personal data about a prisoner for the purpose of informing a member of the House of Commons, a member of the National Assembly for Wales or a member of the Scottish Parliament about the prisoner, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 25(1)(b)	N/A	the member is under an obligation not to further disclose the personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 25(2)	N/A	The references in sub-paragraph (1) to personal data about, and to informing someone about, a prisoner include personal data about, and informing someone about, arrangements for the prisoner's release.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

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Schedule 1, Part 2, Para 25(3)	N/A	In this paragraph—“prison” includes a young offender institution, a remand centre, a secure training centre or a secure college; “prisoner” means a person detained in a prison. Publication of legal judgments	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 26(a)	N/A	consists of the publication of a judgment or other decision of a court or tribunal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 26(b)	N/A	is necessary for the purposes of publishing such a judgment or decision. Anti-doping in sport	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27(1)	N/A	This condition is met if the processing is necessary—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27(1)(a)	N/A	for the purposes of measures designed to eliminate doping which are undertaken by or under the responsibility of a body or association that is responsible for eliminating doping in a sport, at a sporting event or in sport generally, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27(1)(b)	N/A	for the purposes of providing information about doping, or suspected doping, to such a body or association.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27(2)	N/A	The reference in sub-paragraph (1)(a) to measures designed to eliminate doping includes measures designed to identify or prevent doping.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 27(3)	N/A	If the processing consists of the disclosure of personal data to a body or association described in sub-paragraph (1)(a), or is carried out in preparation for such disclosure, the condition in sub-paragraph (1) is met even if, when the processing is carried out, the controller does not have an appropriate policy document in place (see paragraph 5 of this Schedule). Standards of behaviour in sport	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(1)(a)	N/A	is necessary for the purposes of measures designed to protect the integrity of a sport or a sporting event,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(1)(b)	N/A	must be carried out without the consent of the data subject so as not to prejudice those purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(1)(c)	N/A	is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(2)	N/A	In sub-paragraph (1)(a), the reference to measures designed to protect the integrity of a sport or a sporting event is a reference to measures designed to protect a sport or a sporting event against—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(2)(a)	N/A	dishonesty, malpractice or other seriously improper conduct, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 2, Para 28(2)(b)	N/A	failure by a person participating in the sport or event in any capacity to comply with standards of behaviour set by a body or association with responsibility for the sport or event.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3	Additional Conditions Relating To Criminal Convictions Etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 30(a)	N/A	the processing is necessary to protect the vital interests of an individual, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 30(b)	N/A	the data subject is physically or legally incapable of giving consent. Processing by not-for-profit bodies	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 31(a)	N/A	in the course of its legitimate activities with appropriate safeguards by a foundation, association or other not-for-profit body with a political, philosophical, religious or trade union aim, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 31(b)	N/A	on condition that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 31(i)	N/A	the processing relates solely to the members or to former members of the body or to persons who have regular contact with it in connection with its purposes, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 31(ii)	N/A	the personal data is not disclosed outside that body without the consent of the data subjects. Personal data in the public domain	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 33(a)	N/A	is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 33(b)	N/A	is necessary for the purpose of obtaining legal advice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 33(c)	N/A	is otherwise necessary for the purposes of establishing, exercising or defending legal rights. Judicial acts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(1)(a)	N/A	the processing is of personal data about a conviction or caution for an offence listed in sub-paragraph (2),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(1)(b)	N/A	the processing is necessary for the purpose of administering an account relating to the payment card used in the commission of the offence or cancelling that payment card, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(1)(c)	N/A	when the processing is carried out, the controller has an appropriate policy document in place (see paragraph 39 in Part 4 of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)	N/A	Those offences are an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(a)	N/A	section 1 of the Protection of Children Act 1978 (indecent photographs of children),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(b)	N/A	Article 3 of the Protection of Children (Northern Ireland) Order 1978 (S.I. 1978/1047 (N.I. 7)) (indecent photographs of children),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(c)	N/A	section 52 of the Civic Government (Scotland) Act 1982 (indecent photographs etc of children),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(d)	N/A	section 160 of the Criminal Justice Act 1988 (possession of indecent photograph of child),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(e)	N/A	Article 15 of the Criminal Justice (Evidence etc) (Northern Ireland) Order 1988 (S.I. 1988/1847 (N.I. 17)) (possession of indecent photograph of child), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(2)(f)	N/A	section 62 of the Coroners and Justice Act 2009 (possession of prohibited images of children), or incitement to commit an offence under any of those provisions.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(3)	N/A	See also the additional safeguards in Part 4 of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 35(4)	N/A	In this paragraph—“caution” means a caution given to a person in England and Wales or Northern Ireland in respect of an offence which, at the time when the caution is given, is admitted; “conviction” has the same meaning as in the Rehabilitation of Offenders Act 1974 or the Rehabilitation of Offenders (Northern Ireland) Order 1978 (S.I. 1978/1908 (N.I. 27)); “payment card” includes a credit card, a charge card and a debit card. Extension of conditions in Part 2 of this Schedule referring to substantial public interest	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 37(a)	N/A	would meet the condition in paragraph 20 in Part 2 of this Schedule (the “insurance condition”), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 3, Para 37(b)	N/A	would meet the condition in paragraph 36 by virtue of the insurance condition, but for the requirement for the processing to be processing of a category of personal data specified in paragraph 20(1)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4	Appropriate Policy Document And Additional Safeguards	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 39(a)	N/A	explains the controller’s procedures for securing compliance with the principles in Article 5 of the UK GDPR (principles relating to processing of personal data) in connection with the processing of personal data in reliance on the condition in question, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 39(b)	N/A	explains the controller’s policies as regards the retention and erasure of personal data processed in reliance on the condition, giving an indication of how long such personal data is likely to be retained. Additional safeguard: retention of appropriate policy document	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 1, Part 4, Para 40	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(1)	N/A	Where personal data is processed in reliance on a condition described in paragraph 38, the controller must during the relevant period—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(1)(a)	N/A	retain the appropriate policy document,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(1)(b)	N/A	review and (if appropriate) update it from time to time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(1)(c)	N/A	make it available to the Commissioner, on request, without charge.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(2)	N/A	“Relevant period”, in relation to the processing of personal data in reliance on a condition described in paragraph 38, means a period which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(2)(a)	N/A	begins when the controller starts to carry out processing of personal data in reliance on that condition, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 40(2)(b)	N/A	ends at the end of the period of 6 months beginning when the controller ceases to carry out such processing. Additional safeguard: record of processing	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 41(a)	N/A	which condition is relied on,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 41(b)	N/A	how the processing satisfies Article 6 of the UK GDPR (lawfulness of processing), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 1, Part 4, Para 41(c)	N/A	whether the personal data is retained and erased in accordance with the policies described in paragraph 39(b) and, if it is not, the reasons for not following those policies.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2	EXEMPTIONS ETC FROM THE UK GDPR	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1	Adaptations And Restrictions As Described In Articles 6(3) And 23(1)	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(a)	N/A	the following provisions of the UK GDPR (the rights and obligations in which may be restricted by virtue of Article 23(1) of the UK GDPR) —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(i)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(ii)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(iii)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(iv)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(v)	N/A	Article 17(1) and (2) (right to erasure);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(vi)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(vii)	N/A	Article 19 (notification obligation regarding rectification or erasure of personal data or restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(viii)	N/A	Article 20(1) and (2) (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(ix)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(x)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in sub-paragraphs (i) to (ix); and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 1(b)	N/A	the following provisions of the UK GDPR (the application of which may be adapted by virtue of Article 6(3) of the UK GDPR)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(1)	N/A	The listed GDPR provisions and Article 34(1) and (4) of the UK GDPR (communication of personal data breach to the data subject) do not apply to personal data processed for any of the following purposes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(1)(a)	N/A	the prevention , investigation or detection of crime,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(1)(b)	N/A	the apprehension or prosecution of offenders, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(1)(c)	N/A	the assessment or collection of a tax or duty or an imposition of a similar nature, to the extent that the application of those provisions would be likely to prejudice any of the matters mentioned in paragraphs (a) to (c).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(2)	N/A	Sub-paragraph (3) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(2)(a)	N/A	personal data is processed by a person (“Controller 1”) for any of the purposes mentioned in sub-paragraph 1(i)(a) to (c), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(2)(b)	N/A	another person (“Controller 2”) obtains the data from Controller 1 for the purpose of discharging statutory functions and processes it for the purpose of discharging statutory functions.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(3)	N/A	Controller 2 is exempt from the obligations in the following provisions of the UK GDPR—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(3)(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(3)(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(3)(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 2(3)(d)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in paragraphs (a) to (c), to the same extent that Controller 1 is exempt from those obligations by virtue of sub-paragraph (1). Crime and taxation: risk assessment systems	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(1)	N/A	The UK GDPR provisions listed in sub-paragraph (3) do not apply to personal data which consists of a classification applied to the data subject as part of a risk assessment system falling within sub-paragraph (2) to the extent that the application of those provisions would prevent the system from operating effectively.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(2)	N/A	A risk assessment system falls within this sub-paragraph if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(2)(a)	N/A	it is operated by a government department, a local authority or another authority administering housing benefit, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(2)(b)	N/A	it is operated for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(2)(b)(i)	N/A	the assessment or collection of a tax or duty or an imposition of a similar nature, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(2)(b)(ii)	N/A	the prevention , investigation or detection of crime or apprehension or prosecution of offenders, where the offence concerned involves the unlawful use of public money or an unlawful claim for payment out of public money.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(3)	N/A	The UK GDPR provisions referred to in sub-paragraph (1) are the following provisions of the UK GDPR (the rights and obligations in which may be restricted by virtue of Article 23(1) of the UK GDPR)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(3)(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(3)(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(3)(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 3(3)(d)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in paragraphs (a) to (c). Immigration	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 1, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(1)	N/A	The relevant UK GDPR provisions do not apply to personal data processed by the Secretary of State for any of the following purposes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(1)(a)	N/A	the maintenance of effective immigration control, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(1)(b)	N/A	the investigation or detection of activities that would undermine the maintenance of effective immigration control, to the extent that the application of those provisions would be likely to prejudice any of the matters mentioned in paragraphs (a) and (b).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(1C)	N/A	Paragraphs 4A and 4B make provision about ... safeguards in connection with the exemption in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)	N/A	In sub-paragraph (1) and paragraph 4A, the “relevant UK GDPR provisions” are the following provisions of the UK GDPR (the rights and obligations in which may be restricted by virtue of Article 23(1) of the UK GDPR)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(d)	N/A	Article 17(1) and (2) (right to erasure);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(e)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(f)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(2)(g)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in sub-paragraphs	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)	N/A	When making an immigration exemption decision, the Secretary of State must take into account all the circumstances of the case, including at least the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(a)	N/A	any potential vulnerability of the data subject that is relevant to the decision,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(b)	N/A	all the rights and freedoms of the data subject including the data subject’s Convention rights, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(c)	N/A	any relevant duties or obligations of the United Kingdom, the Secretary of State or any other person, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(c)(i)	N/A	the United Kingdom’s obligations under the Refugee Convention and the Trafficking Convention,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(c)(ii)	N/A	any duty under section 55 of the Borders, Citizenship and Immigration Act 2009 (duty regarding the welfare of children), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(3)(c)(iii)	N/A	the need to ensure compliance with the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(4)	N/A	A decision that the application of a particular relevant UK GDPR provision mentioned in paragraph 4(2)(a) to (f) (or that provision in combination with the provision mentioned in paragraph 4(2)(g), so far as it applies) would be likely to prejudice any of the matters mentioned in paragraph 4(1)(a) and (b) may be made only if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(4)(a)	N/A	the application of that provision or those provisions would give rise to a substantial risk of prejudice to any of the matters mentioned in paragraph 4(1)(a) and (b),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(4)(b)	N/A	that risk outweighs the risk of prejudice to the interests of the data subject concerned that would arise if the exemption in paragraph 4(1) were to apply in relation to that provision or those provisions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(4)(c)	N/A	the application of the exemption in relation to that provision or those provisions is necessary and proportionate to the risks in the particular case.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 4(5)	N/A	In this paragraph— “Convention rights” has the same meaning as in the Human Rights Act 1998 (see section 1(1) of that Act); “the Refugee Convention” means the Convention relating to the Status of Refugees, done at Geneva on 28 July 1951, and its Protocol; “the Trafficking Convention” means the Council of Europe Convention on Action against Trafficking in Human Beings, done at Warsaw on 16 May 2005. Immigration safeguard: record of decision that exemption applies 4B.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(1)	N/A	The listed GDPR provisions do not apply to personal data consisting of information that the controller is obliged by an enactment to make available to the public, to the extent that the application of those provisions would prevent the controller from complying with that obligation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(2)	N/A	The listed GDPR provisions do not apply to personal data where disclosure of the data is required by an enactment, a rule of law or an order of a court or tribunal, to the extent that the application of those provisions would prevent the controller from making the disclosure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(3)	N/A	The listed GDPR provisions do not apply to personal data where disclosure of the data—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(3)(a)	N/A	is necessary for the purpose of, or in connection with, legal proceedings (including prospective legal proceedings),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(3)(b)	N/A	is necessary for the purpose of obtaining legal advice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 1, Para 5(3)(c)	N/A	is otherwise necessary for the purposes of establishing, exercising or defending legal rights, to the extent that the application of those provisions would prevent the controller from making the disclosure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2	Restrictions As Described In Article 23(1):	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(d)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(e)	N/A	Article 17(1) and (2) (right to erasure);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(f)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(g)	N/A	Article 19 (notification obligation regarding rectification or erasure of personal data or restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(h)	N/A	Article 20(1) and (2) (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(i)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 6(j)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in sub-paragraphs	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(a)	N/A	is designed as described in column 1 of the Table, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(b)	N/A	meets the condition relating to the function specified in column 2 of the Table, to the extent that the application of those provisions would be likely to prejudice the proper discharge of the function. TABLE Description of function design Condition 1. The function is designed to protect members of the public against—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(c)	N/A	of a public nature, and is exercised in the public interest. 2. The function is designed to protect members of the public against—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 2, Para 7(d)	N/A	the Public Services Ombudsman for Wales,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(e)	N/A	the Northern Ireland Public Services Ombudsman,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(f)	N/A	the Prison Ombudsman for Northern Ireland, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 7(g)	N/A	the Scottish Public Services Ombudsman. 6. The function is designed—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of discharging a function listed in sub-paragraph (2) to the extent that the application of those provisions would be likely to prejudice the proper discharge of the function.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(2)	N/A	The functions are any function that is conferred by an enactment on—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(2)(a)	N/A	the Comptroller and Auditor General;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(2)(b)	N/A	the Auditor General for Scotland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(2)(c)	N/A	the Auditor General for Wales;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 8(2)(d)	N/A	the Comptroller and Auditor General for Northern Ireland. Functions of the Bank of England	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of discharging a relevant function of the Bank of England to the extent that the application of those provisions would be likely to prejudice the proper discharge of the function.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9(2)	N/A	"Relevant function of the Bank of England" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9(2)(a)	N/A	a function discharged by the Bank acting in its capacity as a monetary authority (as defined in section 244(2)(c) and (2A) of the Banking Act 2009);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9(2)(b)	N/A	a public function of the Bank within the meaning of section 349 of the Financial Services and Markets Act 2000;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 9(2)(c)	N/A	a function conferred on the Prudential Regulation Authority by or under the Financial Services and Markets Act 2000 or by another enactment. Regulatory functions relating to legal services, the health service and children's services	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of discharging a function listed in sub-paragraph (2) to the extent that the application of those provisions would be likely to prejudice the proper discharge of the function.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)	N/A	The functions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(a)	N/A	a function of the Legal Services Board;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(b)	N/A	the function of considering a complaint under the scheme established under Part 6 of the Legal Services Act 2007 (legal complaints);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(c)	N/A	the function of considering a complaint under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(c)(i)	N/A	section 14 of the NHS Redress Act 2006,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(c)(ii)	N/A	section 113(1) or (2) or section 114(1) or (3) of the Health and Social Care (Community Health and Standards) Act 2003,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(c)(iii)	N/A	section 24D or 26 of the Children Act 1989, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(c)(iv)	N/A	Part 2A of the Public Services Ombudsman (Wales) Act 2005 or Part 5 of the Public Services Ombudsman (Wales) Act 2019;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 10(2)(d)	N/A	the function of considering a complaint or representations under Chapter 1 of Part 10 of the Social Services and Well-being (Wales) Act 2014 (anaw 4). Regulatory functions of certain other persons	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(a)	N/A	is a function of a person described in column 1 of the Table, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(b)	N/A	is conferred on that person as described in column 2 of the Table, to the extent that the application of those provisions would be likely to prejudice the proper discharge of the function. TABLE Person on whom function is conferred How function is conferred 1. The Commissioner. By or under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(c)	N/A	section 244 of the Investigatory Powers Act 2016;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(d)	N/A	the Privacy and Electronic Communications (EC Directive) Regulations 2003 (S.I. 2003/2426);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(e)	N/A	the Environmental Information Regulations 2004 (S.I. 2004/3391);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(f)	N/A	the INSPIRE Regulations 2009 (S.I. 2009/3157);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(g)	N/A	Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(h)	N/A	the Re-use of Public Sector Information Regulations 2015 (S.I. 2015/1415);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 11(i)	N/A	the Electronic Identification and Trust Services for Electronic Transactions Regulations 2016 (S.I. 2016/696). 2. The Scottish Information Commissioner. By or under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of assessing a person's suitability for judicial office or the office of Queen's Counsel.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14(2)	N/A	The listed GDPR provisions do not apply to personal data processed by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14(2)(a)	N/A	an individual acting in a judicial capacity, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14(2)(b)	N/A	a court or tribunal acting in its judicial capacity.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 14(3)	N/A	As regards personal data not falling within sub-paragraph (1) or (2), the listed GDPR provisions do not apply to the extent that the application of those provisions would be likely to prejudice judicial independence or judicial proceedings. Crown honours, dignities and appointments	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of the conferring by the Crown of any honour or dignity.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of assessing a person's suitability for any of the following offices—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(a)	N/A	archbishops and diocesan and suffragan bishops in the Church of England;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(b)	N/A	deans of cathedrals of the Church of England;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(c)	N/A	deans and canons of the two Royal Peculiars;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(d)	N/A	the First and Second Church Estates Commissioners;	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 2, Para 15(2)(a)	N/A	lord-lieutenants;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(f)	N/A	Masters of Trinity College and Churchill College, Cambridge;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(g)	N/A	the Provost of Eton;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(h)	N/A	the Poet Laureate;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(2)(h)(i)	N/A	the Astronomer Royal.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(3)	N/A	The Secretary of State may by regulations amend the list in sub-paragraph (2) to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(3)(a)	N/A	remove an office, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(3)(b)	N/A	add an office to which appointments are made by Her Majesty.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 2, Para 15(4)	N/A	Regulations under sub-paragraph (3) are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3	Restriction For The Protection Of Rights Of Others	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(1)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers), and Article 5 of the UK GDPR so far as its provisions correspond to the rights and obligations provided for in Article 15(1) to (3), do not oblige a controller to disclose information to the data subject to the extent that doing so would involve disclosing information relating to another individual who can be identified from the information.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(2)	N/A	Sub-paragraph (1) does not remove the controller's obligation where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(2)(a)	N/A	the other individual has consented to the disclosure of the information to the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(2)(b)	N/A	it is reasonable to disclose the information to the data subject without the consent of the other individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)	N/A	In determining whether it is reasonable to disclose the information without consent, the controller must have regard to all the relevant circumstances, including—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)(a)	N/A	the type of information that would be disclosed,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)(b)	N/A	any duty of confidentiality owed to the other individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)(c)	N/A	any steps taken by the controller with a view to seeking the consent of the other individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)(d)	N/A	whether the other individual is capable of giving consent, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(3)(e)	N/A	any express refusal of consent by the other individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(4)	N/A	For the purposes of this paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(4)(a)	N/A	"information relating to another individual" includes information identifying the other individual as the source of information;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(4)(b)	N/A	an individual can be identified from information to be provided to a data subject by a controller if the individual can be identified from—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(4)(b)(i)	N/A	that information, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 16(4)(b)(ii)	N/A	that information and any other information that the controller reasonably believes the data subject is likely to possess or obtain. Assumption of reasonableness for health workers, social workers and education workers	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(1)	N/A	For the purposes of paragraph 16(2)(b), it is to be considered reasonable for a controller to disclose information to a data subject without the consent of the other individual where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(1)(a)	N/A	the health data test is met,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(1)(b)	N/A	the social work data test is met, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(1)(c)	N/A	the education data test is met.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(2)	N/A	The health data test is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(2)(a)	N/A	the information in question is contained in a health record, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(2)(b)	N/A	the other individual is a health professional who has compiled or contributed to the health record or who, in his or her capacity as a health professional, has been involved in the diagnosis, care or treatment of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)	N/A	The social work data test is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(a)	N/A	the other individual is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(a)(i)	N/A	a children's court officer,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(a)(ii)	N/A	a person who is or has been employed by a person or body referred to in paragraph 8 of Schedule 3 in connection with functions exercised in relation to the information, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(a)(iii)	N/A	a person who has provided for reward a service that is similar to a service provided in the exercise of any relevant social services functions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(b)	N/A	the information relates to the other individual in an official capacity or the other individual supplied the information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(b)(i)	N/A	in an official capacity, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(3)(b)(ii)	N/A	in a case within paragraph (a)(iii), in connection with providing the service mentioned in paragraph (a)(iii).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(4)	N/A	The education data test is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(4)(a)	N/A	the other individual is an education-related worker, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(4)(b)	N/A	the other individual is employed by an education authority (within the meaning of the Education (Scotland) Act 1980) in pursuance of its functions relating to education and—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(4)(b)(i)	N/A	the information relates to the other individual in his or her capacity as such an employee, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(4)(b)(ii)	N/A	the other individual supplied the information in his or her capacity as such an employee.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(5)	N/A	In this paragraph— "children's court officer" means a person referred to in paragraph 8(1)(d),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(5)(i)	N/A	(i), (t) or (v) of Schedule 3; "education-related worker" means a person referred to in paragraph 14(4)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 3, Para 17(5)(a)	N/A	or (b) or 16(4)(a), (b) or (c) of Schedule 3 (educational records); "relevant social services functions" means functions specified in paragraph 8(1)(a), (b), (c) or (d) of Schedule 3.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4	Restrictions As Described In Article 23(1):	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 18(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 4, Para 18(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 18(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 18(d)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in sub-paragraphs	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 19(a)	N/A	information in respect of which a claim to legal professional privilege or, in Scotland, confidentiality of communications, could be maintained in legal proceedings; or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 19(b)	N/A	information in respect of which a duty of confidentiality is owed by a professional legal adviser to a client of the adviser. Self incrimination	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(1)	N/A	A person need not comply with the listed GDPR provisions to the extent that compliance would, by revealing evidence of the commission of an offence, expose the person to proceedings for that offence.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(2)	N/A	The reference to an offence in sub-paragraph (1) does not include an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(2)(a)	N/A	this Act,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(2)(b)	N/A	section 5 of the Perjury Act 1911 (false statements made otherwise than on oath),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(2)(c)	N/A	section 44(2) of the Criminal Law (Consolidation) (Scotland) Act 1995 (false statements made otherwise than on oath), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(2)(d)	N/A	Article 10 of the Perjury (Northern Ireland) Order 1979 (S.I. 1979/1714 (N.I. 19)) (false statutory declarations and other false unsworn statements).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 20(3)	N/A	Information disclosed by any person in compliance with Article 15 of the UK GDPR is not admissible against the person in proceedings for an offence under this Act. Corporate finance	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(1)	N/A	The listed GDPR provisions do not apply to personal data processed for the purposes of or in connection with a corporate finance service provided by a relevant person to the extent that either Condition A or Condition B is met.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(2)	N/A	Condition A is that the application of the listed GDPR provisions would be likely to affect the price of an instrument.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(3)	N/A	Condition B is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(3)(a)	N/A	the relevant person reasonably believes that the application of the listed GDPR provisions to the personal data in question could affect a decision of a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(3)(a)(i)	N/A	whether to deal in, subscribe for or issue an instrument, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(3)(a)(ii)	N/A	whether to act in a way likely to have an effect on a business activity (such as an effect on the industrial strategy of a person, the capital structure of an undertaking or the legal or beneficial ownership of a business or asset), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(3)(b)	N/A	the application of the listed GDPR provisions to that personal data would have a prejudicial effect on the orderly functioning of financial markets or the efficient allocation of capital within the economy.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)	N/A	In this paragraph— “corporate finance service” means a service consisting in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(a)	N/A	underwriting in respect of issues of, or the placing of issues of, any instrument,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(b)	N/A	services relating to such underwriting, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(c)	N/A	advice to undertakings on capital structure, industrial strategy and related matters and advice and service relating to mergers and the purchase of undertakings; “instrument” means an instrument listed in section C of Annex 1 to Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments, and references to an instrument include an instrument not yet in existence but which is to be or may be created; “price” includes value; “relevant person” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(c)(i)	N/A	as a result of an exemption order made under section 38(1) of that Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(c)(ii)	N/A	by reason of section 39(1) of that Act (appointed representatives);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(d)	N/A	a person, not falling within paragraph (a), (b) or (c), who may lawfully carry on a corporate finance service without contravening the general prohibition;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(e)	N/A	a person who, in the course of employment, provides to their employer a service falling within paragraph (b) or (c) of the definition of “corporate finance service”;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(4)(f)	N/A	a partner who provides to other partners in the partnership a service falling within either of those paragraphs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 21(5)	N/A	In the definition of “relevant person” in sub-paragraph (4), references to “the general prohibition” are to the general prohibition within the meaning of section 19 of the Financial Services and Markets Act 2000. Management forecasts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 24(a)	N/A	the education, training or employment (or prospective education, training or employment) of the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 24(b)	N/A	the placement (or prospective placement) of the data subject as a volunteer,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 24(c)	N/A	the appointment (or prospective appointment) of the data subject to any office, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 24(d)	N/A	the provision (or prospective provision) by the data subject of any service. Exam scripts and exam marks	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(1)	N/A	The listed GDPR provisions do not apply to personal data consisting of information recorded by candidates during an exam.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(2)	N/A	Where personal data consists of marks or other information processed by a controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(2)(a)	N/A	for the purposes of determining the results of an exam, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(2)(b)	N/A	in consequence of the determination of the results of an exam, the duty in Article 12(3) or (4) of the UK GDPR for the controller to provide information requested by the data subject within a certain time period, as it applies to Article 15 of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers), is modified as set out in sub-paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(3)	N/A	Where a question arises as to whether the controller is obliged by Article 15 of the UK GDPR to disclose personal data, and the question arises before the day on which the exam results are announced, the controller must provide the information mentioned in Article 12(3) or (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(3)(a)	N/A	before the end of the period of 5 months beginning when the question arises, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(3)(b)	N/A	if earlier, before the end of the period of 40 days beginning with the announcement of the results.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 4, Para 25(4)	N/A	In this paragraph, “exam” means an academic, professional or other examination used for determining the knowledge, intelligence, skill or ability of a candidate and may include an exam consisting of an assessment of the candidate’s performance while undertaking work or any other activity.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 4, Para 25(5)	N/A	For the purposes of this paragraph, the results of an exam are treated as announced when they are first published or, if not published, first communicated to the candidate.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5	Exemptions Etc ... For Reasons Of Freedom Of Expression And Information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(1)	N/A	In this paragraph, “the special purposes” means one or more of the following:	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(1)(a)	N/A	the purposes of journalism;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(1)(b)	N/A	academic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(1)(c)	N/A	artistic purposes;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(1)(d)	N/A	literary purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(2)	N/A	Sub-paragraph (3) applies to the processing of personal data carried out for the special purposes if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(2)(a)	N/A	the processing is being carried out with a view to the publication by a person of journalistic, academic, artistic or literary material, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(2)(b)	N/A	the controller reasonably believes that the publication of the material would be in the public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(3)	N/A	The listed GDPR provisions do not apply to the extent that the controller reasonably believes that the application of those provisions would be incompatible with the special purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(4)	N/A	In determining whether publication would be in the public interest the controller must take into account the special importance of the public interest in the freedom of expression and information.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(5)	N/A	In determining whether it is reasonable to believe that publication would be in the public interest, the controller must have regard to any of the codes of practice or guidelines listed in sub-paragraph (6) that is relevant to the publication in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(6)	N/A	The codes of practice and guidelines are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(6)(a)	N/A	BBC Editorial Guidelines;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(6)(b)	N/A	Ofcom Broadcasting Code;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(6)(c)	N/A	Editors' Code of Practice.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(7)	N/A	The Secretary of State may by regulations amend the list in sub-paragraph (6).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(8)	N/A	Regulations under sub-paragraph (7) are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)	N/A	For the purposes of this paragraph, the listed GDPR provisions are the following provisions of the UK GDPR (which may be exempted or derogated from by virtue of Article 85(2) of the UK GDPR)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)	N/A	in Chapter II of the UK GDPR (principles)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(i)	N/A	Article 5(1)(a) to (e) (principles relating to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(ii)	N/A	Article 6 (lawfulness);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(iii)	N/A	Article 7 (conditions for consent);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(iv)	N/A	Article 8(1) and (2) (child's consent);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(v)	N/A	Article 9 (processing of special categories of data);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(vi)	N/A	Article 10 (data relating to criminal convictions etc);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(a)(vii)	N/A	Article 11(2) (processing not requiring identification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(b)	N/A	in Chapter III of the UK GDPR (rights of the data subject)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(b)(i)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(b)(ii)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(b)(iii)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(b)(iv)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(v)(i)	N/A	Article 20(1) and (2) (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(v)(ii)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(v)(iii)	N/A	in Chapter IV of the UK GDPR (controller and processor)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(c)	N/A	Article 34(1) and (4) (communication of personal data breach to the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(c)(i)	N/A	Article 36 (requirement for controller to consult Commissioner prior to high risk processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 5, Para 26(9)(d)	N/A	in Chapter V of the UK GDPR (transfers of data to third countries etc), Article 44A (general principles for transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6	Derogations Etc ... For Research, Statistics And Archiving	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(1)	N/A	The listed GDPR provisions do not apply to personal data processed for—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(1)(a)	N/A	scientific or historical research purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(1)(b)	N/A	statistical purposes, to the extent that the application of those provisions would prevent or seriously impair the achievement of the purposes in question. This is subject to sub-paragraphs (3) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(2)	N/A	For the purposes of this paragraph, the listed GDPR provisions are the following provisions of the UK GDPR—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(2)(a)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(2)(b)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(2)(c)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(2)(d)	N/A	Article 21(1) (objections to processing).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(3)	N/A	The exemption in sub-paragraph (1) is available only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(3)(a)	N/A	the personal data is processed in accordance with Article 84B of the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(3)(b)	N/A	as regards the disapplication of Article 15(1) to (3), the results of the research or any resulting statistics are not made available in a form which identifies a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 27(4)	N/A	Where processing for a purpose described in sub-paragraph (1) serves at the same time another purpose, the exemption in sub-paragraph (1) is available only where the personal data is processed for a purpose referred to in that sub-paragraph. Archiving in the public interest	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 2, Part 6, Para 28	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(1)	N/A	The listed GDPR provisions do not apply to personal data processed for archiving purposes in the public interest to the extent that the application of those provisions would prevent or seriously impair the achievement of those purposes. This is subject to sub-paragraphs (3) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)	N/A	For the purposes of this paragraph, the listed GDPR provisions are the following provisions of the UK GDPR—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(a)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(b)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(c)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(d)	N/A	Article 19 (notification obligation regarding rectification or erasure of personal data or restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(e)	N/A	Article 20(1) (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(2)(f)	N/A	Article 21(1) (objections to processing).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(3)	N/A	The exemption in sub-paragraph (1) is available only where the personal data is processed in accordance with Article 84B of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 2, Part 6, Para 28(4)	N/A	Where processing for a purpose described in sub-paragraph (1) serves at the same time another purpose, the exemption in sub-paragraph (1) is available only where the personal data is processed for a purpose referred to in that sub-paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3	EXEMPTIONS ETC FROM THE UK GDPR: HEALTH	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1	UK Gdpr Provisions To Be Restricted	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(a)	N/A	Article 13(1) to (3) (personal data collected from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(b)	N/A	Article 14(1) to (4) (personal data collected other than from data subject: information to be provided);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(c)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(d)	N/A	Article 16 (right to rectification);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(e)	N/A	Article 17(1) and (2) (right to erasure);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(f)	N/A	Article 18(1) (restriction of processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(g)	N/A	Article 20(1) and (2) (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(h)	N/A	Article 21(1) (objections to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 1, Para 1(i)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in the provisions mentioned in sub-paragraphs	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2	Health Data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)	N/A	In this Part of this Schedule— “the appropriate health professional”, in relation to a question as to whether the serious harm test is met with respect to data concerning health, means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(a)	N/A	the health professional who is currently or was most recently responsible for the diagnosis, care or treatment of the data subject in connection with the matters to which the data relates,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(b)	N/A	where there is more than one such health professional, the health professional who is the most suitable to provide an opinion on the question, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(c)	N/A	a health professional who has the necessary experience and qualifications to provide an opinion on the question, where	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(c)(i)	N/A	there is no health professional available falling within paragraph (a) or (b), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(c)(ii)	N/A	the controller is the Secretary of State and data is processed in connection with the exercise of the functions conferred on the Secretary of State by or under the Child Support Act 1991 and the Child Support Act 1995, or the Secretary of State's functions in relation to social security or war pensions, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(1)(c)(iii)	N/A	the controller is the Department for Communities in Northern Ireland and data is processed in connection with the exercise of the functions conferred on the Department by or under the Child Support (Northern Ireland) Order 1991 (S.I. 1991/2628 (N.I. 23)) and the Child Support (Northern Ireland) Order 1995 (S.I. 1995/2702 (N.I. 13)); “war pension” has the same meaning as in section 25 of the Social Security Act 1989 (establishment and functions of war pensions committees).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 2(2)	N/A	For the purposes of this Part of this Schedule, the “serious harm test” is met with respect to data concerning health if the application of Article 15 of the UK GDPR to the data would be likely to cause serious harm to the physical or mental health of the data subject or another individual. Exemption from the listed GDPR provisions: data processed by a court	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(1)	N/A	The listed GDPR provisions do not apply to data concerning health if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(1)(a)	N/A	it is processed by a court,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(1)(b)	N/A	it consists of information supplied in a report or other evidence given to the court in the course of proceedings to which rules listed in subparagraph (2) apply, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(1)(c)	N/A	in accordance with those rules, the data may be withheld by the court in whole or in part from the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)	N/A	Those rules are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(a)	N/A	the Magistrates’ Courts (Children and Young Persons) Rules (Northern Ireland) 1969 (S.R. (N.I.) 1969 No. 221);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(b)	N/A	the Magistrates’ Courts (Children and Young Persons) Rules 1992 (S.I. 1992/2071 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(c)	N/A	the Family Proceedings Rules (Northern Ireland) 1996 (S.R. (N.I.) 1996 No. 322);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(d)	N/A	the Magistrates’ Courts (Children (Northern Ireland) Order 1995) Rules (Northern Ireland) 1996 (S.R. (N. I.) 1996 No. 323);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(e)	N/A	the Act of Sederunt (Child Care and Maintenance Rules) 1997 (S.I. 1997/291 (S. 19));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(f)	N/A	the Sheriff Court Adoption Rules 2009;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(g)	N/A	the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 3(2)(h)	N/A	the Children’s Hearings (Scotland) Act 2011 (Rules of Procedure in Children’s Hearings) Rules 2013 (S.S.I. 2013/194). Exemption from the listed GDPR provisions: data subject’s expectations and wishes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(1)	N/A	This paragraph applies where a request for data concerning health is made in exercise of a power conferred by an enactment or rule of law and—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 3, Part 2, Para 4(1)(a)	N/A	in relation to England and Wales or Northern Ireland, the data subject is an individual aged under 18 and the person making the request has parental responsibility for the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(1)(b)	N/A	in relation to Scotland, the data subject is an individual aged under 16 and the person making the request has parental responsibilities for the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(1)(c)	N/A	the data subject is incapable of managing his or her own affairs and the person making the request has been appointed by a court to manage those affairs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(2)	N/A	The listed GDPR provisions do not apply to data concerning health to the extent that complying with the request would disclose information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(2)(a)	N/A	which was provided by the data subject in the expectation that it would not be disclosed to the person making the request,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(2)(b)	N/A	which was obtained as a result of any examination or investigation to which the data subject consented in the expectation that the information would not be so disclosed, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(2)(c)	N/A	which the data subject has expressly indicated should not be so disclosed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 4(3)	N/A	The exemptions under sub-paragraph (2)(a) and (b) do not apply if the data subject has expressly indicated that he or she no longer has the expectation mentioned there. Exemption from Article 15 of the UK GDPR: serious harm	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(1)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) do not apply to data concerning health to the extent that the serious harm test is met with respect to the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(2)	N/A	A controller who is not a health professional may not rely on sub-paragraph (1) to withhold data concerning health unless the controller has obtained an opinion from the person who appears to the controller to be the appropriate health professional to the effect that the serious harm test is met with respect to the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(3)	N/A	An opinion does not count for the purposes of sub-paragraph (2) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(3)(a)	N/A	it was obtained before the beginning of the relevant period, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(3)(b)	N/A	it was obtained during that period but it is reasonable in all the circumstances to re-consult the appropriate health professional.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 5(4)	N/A	In this paragraph, “the relevant period” means the period of 6 months ending with the day on which the opinion would be relied on. Restriction of Article 15 of the UK GDPR: prior opinion of appropriate health professional	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(1)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) do not permit the disclosure of data concerning health by a controller who is not a health professional unless the controller has obtained an opinion from the person who appears to the controller to be the appropriate health professional to the effect that the serious harm test is not met with respect to the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(2)	N/A	Sub-paragraph (1) does not apply to the extent that the controller is satisfied that the data concerning health has already been seen by, or is within the knowledge of, the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(3)	N/A	An opinion does not count for the purposes of sub-paragraph (1) if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(3)(a)	N/A	it was obtained before the beginning of the relevant period, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(3)(b)	N/A	it was obtained during that period but it is reasonable in all the circumstances to re-consult the appropriate health professional.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 2, Para 6(4)	N/A	In this paragraph, “the relevant period” means the period of 6 months ending with the day on which the opinion would be relied on.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3	Social Work Data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(1)	N/A	In this Part of this Schedule— “education data” has the meaning given by paragraph 17 of this Schedule; “Health and Social Care trust” means a Health and Social Care trust established under the Health and Personal Social Services (Northern Ireland) Order 1991 (S.I. 1991/194 (N.I. 1)); “Principal Reporter” means the Principal Reporter appointed under the Children’s Hearings (Scotland) Act 2011 (asp 1), or an officer of the Scottish Children’s Reporter Administration to whom there is delegated under paragraph 10(1) of Schedule 3 to that Act any function of the Principal Reporter; “social work data” means personal data which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(1)(a)	N/A	is data to which paragraph 8 applies, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(1)(b)	N/A	is not education data or data concerning health.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(2)	N/A	For the purposes of this Part of this Schedule, the “serious harm test” is met with respect to social work data if the application of Article 15 of the UK GDPR to the data would be likely to prejudice carrying out social work, because it would be likely to cause serious harm to the physical or mental health of the data subject or another individual.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(3)	N/A	In sub-paragraph (2), “carrying out social work” is to be taken to include doing any of the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(3)(a)	N/A	the exercise of any functions mentioned in paragraph 8(1)(a), (d), (f) to (j),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(3)(m)	N/A	, (p), (s), (t), (u), (v) or (w);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(3)(b)	N/A	the provision of any service mentioned in paragraph 8(1)(b), (c) or (k);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(3)(c)	N/A	the exercise of the functions of a body mentioned in paragraph 8(1)(e) or a person mentioned in paragraph 8(1)(a) or (r).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 7(4)	N/A	In this Part of this Schedule, a reference to a local authority, in relation to data processed or formerly processed by it, includes a reference to the Council of the Isles of Scilly, in relation to data processed or formerly processed by the Council in connection with any functions mentioned in paragraph 8(1)(a)(ii) which are or have been conferred on the Council by an enactment.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)	N/A	This paragraph applies to personal data falling within any of the following descriptions—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(a)	N/A	data processed by a local authority—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(a)(i)	N/A	in connection with its social services functions (within the meaning of the Local Authority Social Services Act 1970 or the Social Services and Well-being (Wales) Act 2014 (new 4)) or any functions exercised by local authorities under the Social Work (Scotland) Act 1968 or referred to in section 5(1B) of that Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(a)(ii)	N/A	in the exercise of other functions but obtained or consisting of information obtained in connection with any of the functions mentioned in sub-paragraph (i);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(b)	N/A	data processed by the Department of Health in Northern Ireland or any person or body exercising functions by virtue of paragraph 22A of Schedule 3 to the Health and Personal Social Services (Northern Ireland) Order 1991—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 3, Part 3, Para 8(1)(b)(i)	N/A	in connection with the provision of social care within the meaning of section 2(5) of the Health and Social Care (Reform) Act (Northern Ireland) 2009 (c. 1 (N.I.)), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(b)(ii)	N/A	in the exercise of other functions but obtained or consisting of information obtained in connection with the provision of that care;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(c)	N/A	data processed by a Health and Social Care trust—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(c)(i)	N/A	in the exercise of social care and children functions within the meaning of Article 10A of the Health and Personal Social Services (Northern Ireland) Order 1991,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(ia)	N/A	in connection with the provision of social care within the meaning of section 2(5) of the Health and Social Care (Reform) Act (Northern Ireland) 2009 (c. 1 (N.I.)) by virtue of a delegation direction under Article 10B of the Health and Personal Social Services (Northern Ireland) Order 1991, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(ia)(ii)	N/A	in the exercise of other functions but obtained or consisting of information obtained in connection with the provision of that care;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(d)	N/A	data processed by a council in the exercise of its functions under Part 2 of Schedule 9 to the Health and Social Services and Social Security Adjudications Act 1983;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(e)	N/A	data processed by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(e)(i)	N/A	a probation trust established under section 5 of the Offender Management Act 2007, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(e)(ii)	N/A	the Probation Board for Northern Ireland established by the Probation Board (Northern Ireland) Order 1982 (S.I. 1982/713 (N.I. 10));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(f)	N/A	data processed by a local authority in the exercise of its functions under section 36 of the Children Act 1989 or Chapter 2 of Part 6 of the Education Act 1996, so far as those functions relate to ensuring that children of compulsory school age (within the meaning of section 8 of the Education Act 1996) receive suitable education whether by attendance at school or otherwise;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(g)	N/A	data processed by the Education Authority in the exercise of its functions under Article 55 of the Children (Northern Ireland) Order 1995 (S.I. 1995/755 (N.I. 2)) or Article 45 of, and Schedule 13 to, the Education and Libraries (Northern Ireland) Order 1986 (S.I. 1986/594 (N.I. 3)), so far as those functions relate to ensuring that children of compulsory school age (within the meaning of Article 46 of the Education and Libraries (Northern Ireland) Order 1986) receive efficient full-time education suitable to their age, ability and aptitude and to any special educational needs they may have, either by regular attendance at school or otherwise;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(h)	N/A	data processed by an education authority in the exercise of its functions under sections 35 to 42 of the Education (Scotland) Act 1980 so far as those functions relate to ensuring that children of school age (within the meaning of section 31 of the Education (Scotland) Act 1980) receive efficient education suitable to their age, ability and aptitude, whether by attendance at school or otherwise;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(i)(i)	N/A	data relating to persons detained in a hospital at which high security psychiatric services are provided under section 4 of the National Health Service Act 2006 and processed by a Special Health Authority established under section 28 of that Act in the exercise of any functions similar to any social services functions of a local authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(j)	N/A	data relating to persons detained in special accommodation provided under Article 110 of the Mental Health (Northern Ireland) Order 1986 (S.I. 1986/595 (N.I. 4)) and processed by a Health and Social Care trust in the exercise of any functions similar to any social services functions of a local authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(k)	N/A	data which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(k)(i)	N/A	is processed by the National Society for the Prevention of Cruelty to Children, or by any other voluntary organisation or other body designated under this paragraph by the Secretary of State or the Department of Health in Northern Ireland, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(k)(ii)	N/A	appears to the Secretary of State or the Department, as the case may be, to be processed for the purposes of the provision of any service similar to a service provided in the exercise of any functions specified in paragraph (a), (b), (c) or (d);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(l)	N/A	data processed by a body mentioned in sub-paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(l)(i)	N/A	which was obtained, or consists of information which was obtained, from an authority or body mentioned in any of paragraphs (a) to (k) or from a government department, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(l)(ii)	N/A	in the case of data obtained, or consisting of information obtained, from an authority or body mentioned in any of paragraphs (a) to (k), fell within any of those paragraphs while processed by the authority or body;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(m)	N/A	data processed by a National Health Service trust first established under section 25 of the National Health Service Act 2006, section 18 of the National Health Service (Wales) Act 2006 or section 5 of the National Health Service and Community Care Act 1990 in the exercise of any functions similar to any social services functions of a local authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(n)	N/A	data processed by an NHS foundation trust in the exercise of any functions similar to any social services functions of a local authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(o)	N/A	data processed by a government department—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(o)(i)	N/A	which was obtained, or consists of information which was obtained, from an authority or body mentioned in any of paragraphs (a) to (n), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(o)(ii)	N/A	which fell within any of those paragraphs while processed by that authority or body;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(p)	N/A	data processed for the purposes of the functions of the Secretary of State pursuant to section 82(5) of the Children Act 1989;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(q)	N/A	data processed by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(q)(i)	N/A	a children's guardian appointed under Part 16 of the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17)),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(q)(ii)	N/A	a guardian ad litem appointed under Article 60 of the Children (Northern Ireland) Order 1995 (S.I. 1995/755 (N.I. 2)) or Article 66 of the Adoption (Northern Ireland) Order 1987 (S.I. 1987/2203 (N.I. 22)), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(q)(iii)	N/A	a safeguarder appointed under section 30(2) or 31(3) of the Children's Hearings (Scotland) Act 2011 (asp 1);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(r)	N/A	data processed by the Principal Reporter;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(s)	N/A	data processed by an officer of the Children and Family Court Advisory and Support Service for the purpose of the officer's functions under section 7 of the Children Act 1989 or Part 16 of the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(t)	N/A	data processed by the Welsh family proceedings officer for the purposes of the functions under section 7 of the Children Act 1989 or Part 16 of the Family Procedure Rules 2010;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(u)	N/A	data processed by an officer of the service appointed as guardian ad litem under Part 16 of the Family Procedure Rules 2010;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(v)	N/A	data processed by the Children and Family Court Advisory and Support Service for the purpose of its functions under section 12(1) and (2) and section 13(1), (2) and (4) of the Criminal Justice and Court Services Act 2000;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 3, Part 3, Para 8(1)(w)	N/A	data processed by the Welsh Ministers for the purposes of their functions under section 35(1) and (2) and section 36(1), (2), (4), (5) and (6) of the Children Act 2004;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(1)(x)	N/A	data processed for the purposes of the functions of the appropriate Minister pursuant to section 12 of the Adoption and Children Act 2002 (independent review of determinations).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)	N/A	The bodies referred to in sub-paragraph (1)(i) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(a)	N/A	a National Health Service trust first established under section 25 of the National Health Service Act 2006 or section 18 of the National Health Service (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(b)	N/A	a National Health Service trust first established under section 5 of the National Health Service and Community Care Act 1990;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(c)	N/A	an NHS foundation trust;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(d)	N/A	an integrated care board established under section 14225 of the National Health Service Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(e)	N/A	NHS England;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(f)	N/A	a Local Health Board established under section 11 of the National Health Service (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 8(2)(g)	N/A	a Health Board established under section 2 of the National Health Service (Scotland) Act 1978. Exemption from the listed GDPR provisions: data processed by a court	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(1)	N/A	The listed GDPR provisions do not apply to data that is not education data or data concerning health if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(1)(a)	N/A	it is processed by a court,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(1)(b)	N/A	it consists of information supplied in a report or other evidence given to the court in the course of proceedings to which rules listed in subparagraph (2) apply, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(1)(c)	N/A	in accordance with any of those rules, the data may be withheld by the court in whole or in part from the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)	N/A	Those rules are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(a)	N/A	the Magistrates' Courts (Children and Young Persons) Rules (Northern Ireland) 1969 (S.R. (N.I.) 1969 No. 221);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(b)	N/A	the Magistrates' Courts (Children and Young Persons) Rules 1992 (S.I. 1992/2071 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(c)	N/A	the Family Proceedings Rules (Northern Ireland) 1996 (S.R. (N.I.) 1996 No. 322);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(d)	N/A	the Magistrates' Courts (Children (Northern Ireland) Order 1995) Rules (Northern Ireland) 1996 (S.R. (N. I.) 1996 No. 323);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(e)	N/A	the Act of Sederunt (Child Care and Maintenance Rules) 1997 (S.I. 1997/291 (S. 19));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(f)	N/A	the Sheriff Court Adoption Rules 2009;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(g)	N/A	the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 9(2)(h)	N/A	the Children's Hearings (Scotland) Act 2011 (Rules of Procedure in Children's Hearings) Rules 2013 (S.S.I. 2013/194). Exemption from the listed GDPR provisions: data subject's expectations and wishes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(1)	N/A	This paragraph applies where a request for social work data is made in exercise of a power conferred by an enactment or rule of law and—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(1)(a)	N/A	in relation to England and Wales or Northern Ireland, the data subject is an individual aged under 18 and the person making the request has parental responsibility for the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(1)(b)	N/A	in relation to Scotland, the data subject is an individual aged under 16 and the person making the request has parental responsibilities for the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(1)(c)	N/A	the data subject is incapable of managing his or her own affairs and the person making the request has been appointed by a court to manage those affairs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(2)	N/A	The listed GDPR provisions do not apply to social work data to the extent that complying with the request would disclose information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(2)(a)	N/A	which was provided by the data subject in the expectation that it would not be disclosed to the person making the request,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(2)(b)	N/A	which was obtained as a result of any examination or investigation to which the data subject consented in the expectation that the information would not be so disclosed, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(2)(c)	N/A	which the data subject has expressly indicated should not be so disclosed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 10(3)	N/A	The exemptions under sub-paragraph (2)(a) and (b) do not apply if the data subject has expressly indicated that he or she no longer has the expectation mentioned there. Exemption from Article 15 of the UK GDPR: serious harm	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(1)	N/A	This paragraph applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(1)(a)	N/A	a question arises as to whether a controller who is a social work authority is obliged by Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) to disclose social work data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(1)(b)	N/A	the data—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(1)(b)(i)	N/A	originated from or was supplied by the Principal Reporter acting in pursuance of the Principal Reporter's statutory duties, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(1)(b)(ii)	N/A	is not data which the data subject is entitled to receive from the Principal Reporter.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(2)	N/A	The controller must inform the Principal Reporter of the fact that the question has arisen before the end of the period of 14 days beginning when the question arises.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(3)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) do not permit the controller to disclose the data to the data subject unless the Principal Reporter has informed the controller that, in the opinion of the Principal Reporter, the serious harm test is not met with respect to the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 3, Para 12(4)	N/A	In this paragraph "social work authority" means a local authority for the purposes of the Social Work (Scotland) Act 1968.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4	Education Data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(1)	N/A	This paragraph applies to a record of information which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(1)(a)	N/A	is processed by or on behalf of the proprietor of, or a teacher at, a school in England and Wales specified in sub-paragraph (3),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(1)(b)	N/A	relates to an individual who is or has been a pupil at the school, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(1)(c)	N/A	originated from, or was supplied by or on behalf of, any of the persons specified in sub-paragraph (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(2)	N/A	But this paragraph does not apply to information which is processed by a teacher solely for the teacher's own use.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(3)	N/A	The schools referred to in sub-paragraph (1)(a) are—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 3, Part 4, Para 14(3)(a)	N/A	a school maintained by a local authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(3)(b)	N/A	an Academy school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(3)(c)	N/A	an alternative provision Academy;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(3)(d)	N/A	an independent school that is not an Academy school or an alternative provision Academy;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(3)(e)	N/A	a non-maintained special school.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)	N/A	The persons referred to in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(a)	N/A	an employee of the local authority which maintains the school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(b)	N/A	in the case of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(b)(i)	N/A	a voluntary aided, foundation or foundation special school (within the meaning of the School Standards and Framework Act 1998),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(b)(ii)	N/A	an Academy school,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(b)(iii)	N/A	an alternative provision Academy,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(b)(iv)	N/A	an independent school that is not an Academy school or an alternative provision Academy, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(v)	N/A	a non-maintained special school, a teacher or other employee at the school (including an educational psychologist engaged by the proprietor under a contract for services);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(g)	N/A	the pupil to whom the record relates;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(4)(d)	N/A	a parent, as defined by section 576(1) of the Education Act 1996, of that pupil.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 14(5)	N/A	In this paragraph—“independent school” has the meaning given by section 463 of the Education Act 1996; “local authority” has the same meaning as in that Act (see sections 579(1) and 581 of that Act); “non-maintained special school” has the meaning given by section 337A of that Act; “proprietor” has the meaning given by section 579(1) of that Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(1)	N/A	This paragraph applies to a record of information which is processed—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(1)(a)	N/A	by an education authority in Scotland, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(1)(b)	N/A	for the purpose of the relevant function of the authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(2)	N/A	But this paragraph does not apply to information which is processed by a teacher solely for the teacher's own use.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(3)	N/A	For the purposes of this paragraph, information processed by an education authority is processed for the purpose of the relevant function of the authority if the processing relates to the discharge of that function in respect of a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(3)(a)	N/A	who is or has been a pupil in a school provided by the authority, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(3)(b)	N/A	who receives, or has received, further education provided by the authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 15(4)	N/A	In this paragraph “the relevant function” means, in relation to each education authority, its function under section 1 of the Education (Scotland) Act 1980 and section 7(1) of the Self-Governing Schools etc. (Scotland) Act 1989.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(1)	N/A	This paragraph applies to a record of information which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(1)(a)	N/A	is processed by or on behalf of the Board of Governors, proprietor or trustees of, or a teacher at, a school in Northern Ireland specified in sub-paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(1)(b)	N/A	relates to an individual who is or has been a pupil at the school, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(1)(c)	N/A	originated from, or was supplied by or on behalf of, any of the persons specified in sub-paragraph (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(2)	N/A	But this paragraph does not apply to information which is processed by a teacher solely for the teacher's own use.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(3)	N/A	The schools referred to in sub-paragraph (1)(a) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(3)(a)	N/A	a grant-aided school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(3)(b)	N/A	an independent school.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)	N/A	The persons referred to in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)(a)	N/A	a teacher at the school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)(b)	N/A	an employee of the Education Authority, other than a teacher at the school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)(c)	N/A	an employee of the Council for Catholic Maintained Schools, other than a teacher at the school;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)(d)	N/A	the pupil to whom the record relates;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(4)(e)	N/A	a parent, as defined by Article 2(2) of the Education and Libraries (Northern Ireland) Order 1986 (S.I. 1986/594 (N.I. 3)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 16(5)	N/A	In this paragraph, “grant-aided school”, “independent school”, “proprietor” and “trustees” have the same meaning as in the Education and Libraries (Northern Ireland) Order 1986 (S.I. 1986/594 (N.I. 3)). Other definitions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17(1)	N/A	In this Part of this Schedule—“education authority” and “further education” have the same meaning as in the Education (Scotland) Act 1980; “education data” means personal data consisting of information which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17(1)(a)	N/A	constitutes an educational record, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17(1)(b)	N/A	is not data concerning health; “Principal Reporter” means the Principal Reporter appointed under the Children's Hearings (Scotland) Act 2011 (asp 1), or an officer of the Scottish Children's Reporter Administration to whom there is delegated under paragraph 10(1) of Schedule 3 to that Act any function of the Principal Reporter; “pupil” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17(1)(c)	N/A	in relation to a school in Northern Ireland, a registered pupil within the meaning of the Education and Libraries (Northern Ireland) Order 1986 (S.I. 1986/594 (N.I. 3)); “school”—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 17(2)	N/A	For the purposes of this Part of this Schedule, the “serious harm test” is met with respect to education data if the application of Article 15 of the UK GDPR to the data would be likely to cause serious harm to the physical or mental health of the data subject or another individual. Exemption from the listed GDPR provisions: data processed by a court	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(1)	N/A	The listed GDPR provisions do not apply to education data if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(1)(a)	N/A	it is processed by a court,	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 3, Part 4, Para 18(1)(b)	N/A	it consists of information supplied in a report or other evidence given to the court in the course of proceedings to which rules listed in subparagraph (2) apply; and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(1)(c)	N/A	in accordance with those rules, the data may be withheld by the court in whole or in part from the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)	N/A	Those rules are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(a)	N/A	the Magistrates' Courts (Children and Young Persons) Rules (Northern Ireland) 1969 (S.R. (N.I.) 1969 No. 221);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(b)	N/A	the Magistrates' Courts (Children and Young Persons) Rules 1992 (S.I. 1992/2071 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(c)	N/A	the Family Proceedings Rules (Northern Ireland) 1996 (S.R. (N.I.) 1996 No. 322);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(d)	N/A	the Magistrates' Courts (Children (Northern Ireland) Order 1995) Rules (Northern Ireland) 1996 (S.R. (N. I.) 1996 No. 323);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(e)	N/A	the Act of Sederunt (Child Care and Maintenance Rules) 1997 (S.I. 1997/291 (S. 19));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(f)	N/A	the Sheriff Court Adoption Rules 2009;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(g)	N/A	the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 18(2)(h)	N/A	the Children's Hearings (Scotland) Act 2011 (Rules of Procedure in Children's Hearings) Rules 2013 (S.S.I. 2013/194). Exemption from Article 15 of the UK GDPR: serious harm	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(1)	N/A	This paragraph applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(1)(a)	N/A	a question arises as to whether a controller who is an education authority is obliged by Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) to disclose education data; and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(1)(b)	N/A	the controller believes that the data—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(1)(b)(i)	N/A	originated from or was supplied by or on behalf of the Principal Reporter acting in pursuance of the Principal Reporter's statutory duties; and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(1)(b)(ii)	N/A	is not data which the data subject is entitled to receive from the Principal Reporter.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(2)	N/A	The controller must inform the Principal Reporter of the fact that the question has arisen before the end of the period of 14 days beginning when the question arises.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 4, Para 20(3)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) do not permit the controller to disclose the data to the data subject unless the Principal Reporter has informed the controller that, in the opinion of the Principal Reporter, the serious harm test is not met with respect to the data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5	Child Abuse Data	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(1)	N/A	This paragraph applies where a request for child abuse data is made in exercise of a power conferred by an enactment or rule of law and—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(1)(a)	N/A	the data subject is an individual aged under 18 and the person making the request has parental responsibility for the data subject; or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(1)(b)	N/A	the data subject is incapable of managing his or her own affairs and the person making the request has been appointed by a court to manage those affairs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(2)	N/A	Article 15(1) to (3) of the UK GDPR (confirmation of processing, access to data and safeguards for third country transfers) do not apply to child abuse data to the extent that the application of that provision would not be in the best interests of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(3)	N/A	"Child abuse data" is personal data consisting of information as to whether the data subject is or has been the subject of, or may be at risk of, child abuse.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(4)	N/A	For this purpose, "child abuse" includes physical injury (other than accidental injury) to, and physical and emotional neglect, ill-treatment and sexual abuse of, an individual aged under 18.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 3, Part 5, Para 21(5)	N/A	This paragraph does not apply in relation to Scotland.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4	EXEMPTIONS ETC FROM THE UK GDPR: DISCLOSURE	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 1(a)	N/A	Article 15(1) to (3) (confirmation of processing, access to data and safeguards for third country transfers);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 1(b)	N/A	Article 5 (general principles) so far as its provisions correspond to the rights and obligations provided for in Article 15(1) to (3). Human fertilisation and embryology information	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(1)	N/A	The listed GDPR provisions do not apply to personal data consisting of information the disclosure of which is prohibited or restricted by an enactment listed in sub-paragraph (2), (3) or (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)	N/A	The enactments extending to England and Wales are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(a)	N/A	regulation 14 of the Adoption Agencies Regulations 1983 (S.I. 1983/1964);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(b)	N/A	regulation 41 of the Adoption Agencies Regulations 2005 (S.I. 2005/389);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(c)	N/A	regulation 42 of the Adoption Agencies (Wales) Regulations 2005 (S.I. 2005/1313 (W. 95));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(d)	N/A	rules 5, 6, 9, 17, 18, 21, 22 and 53 of the Adoption Rules 1984 (S.I. 1984/269);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(e)	N/A	rules 24, 29, 30, 65, 72, 73, 77, 78 and 83 of the Family Procedure (Adoption) Rules 2005 (S.I. 2005/2795 (L. 22));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(2)(f)	N/A	in the Family Procedure Rules 2010 (S.I. 2010/2955 (L. 17)), rules 14.6, 14.11, 14.12, 14.13, 14.14, 14.24, 16.20 (so far as it applies to a children's guardian appointed in proceedings to which Part 14 of those Rules applies), 16.32 and 16.33 (so far as it applies to a children and family reporter in proceedings to which Part 14 of those Rules applies).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)	N/A	The enactments extending to Scotland are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(a)	N/A	regulation 23 of the Adoption Agencies (Scotland) Regulations 1996 (S.I. 1996/3266 (S. 254));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(b)	N/A	rule 67.3 of the Act of Sederunt (Rules of the Court of Session 1994) 1994 (S.I. 1994/1443 (S. 69));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(c)	N/A	rules 10.3, 17.2, 21, 25, 39, 43.3, 46.2 and 47 of the Act of Sederunt (Sheriff Court Rules Amendment) (Adoption and Children (Scotland) Act 2007) 2009 (S.S.I. 2009/284);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(d)	N/A	sections 53 and 55 of the Adoption and Children (Scotland) Act 2007 (asp 4);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(e)	N/A	regulation 28 of the Adoption Agencies (Scotland) Regulations 2009 (S.S.I. 2009/154);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(3)(f)	N/A	regulation 3 of the Adoption (Disclosure of Information and Medical Information about Natural Parents) (Scotland) Regulations 2009 (S.S.I. 2009/268).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(4)	N/A	The enactments extending to Northern Ireland are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(4)(a)	N/A	Articles 50 and 54 of the Adoption (Northern Ireland) Order 1987 (S.I. 1987/2203 (N.I. 22));	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 4, Para 3(4)(b)	N/A	rule 53 of Order 84 of the Rules of the Court of Judicature (Northern Ireland) 1980 (S.R. (N.I.) 1980 No. 346).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 3(4)(c)	N/A	rules 4A.4(5), 4A.5(1), 4A.6(6), 4A.22(5) and 4C.7 of Part IVA of the Family Proceedings Rules (Northern Ireland) 1996 (S.R. (N.I.) 1996 No. 322). Statements of special educational needs	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4(1)	N/A	The listed GDPR provisions do not apply to personal data consisting of information the disclosure of which is prohibited or restricted by an enactment listed in sub- paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4(2)	N/A	The enactments are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4(2)(a)	N/A	regulation 17 of the Special Educational Needs and Disability Regulations 2014 (S.I. 2014/1530);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4(2)(b)	N/A	regulation 10 of the Additional Support for Learning (Co-ordinated Support Plan) (Scotland) Amendment Regulations 2005 (S.S.I. 2005/518);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 4(2)(c)	N/A	regulation 22 of the Education (Special Educational Needs) Regulations (Northern Ireland) 2005 (S.R. (N.I.) 2005 No. 384). Parental order records and reports	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(1)	N/A	The listed GDPR provisions do not apply to personal data consisting of information the disclosure of which is prohibited or restricted by an enactment listed in sub- paragraph (2), (3) or (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)	N/A	The enactments extending to England and Wales are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(a)	N/A	sections 60, 77, 78 and 79 of the Adoption and Children Act 2002, as applied with modifications by regulation 2 of and Schedule 1 to the Human Fertilisation and Embryology (Parental Orders) Regulations 2010 (S.I. 2010/985) in relation to parental orders made under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(a)(i)	N/A	section 30 of the Human Fertilisation and Embryology Act 1990, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(a)(ii)	N/A	section 54 of the Human Fertilisation and Embryology Act 2008;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(b)	N/A	rules made under section 144 of the Magistrates' Courts Act 1980 by virtue of section 141(1) of the Adoption and Children Act 2002, as applied with modifications by regulation 2 of and Schedule 1 to the Human Fertilisation and Embryology (Parental Orders) Regulations 2010, so far as the rules relate to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(b)(i)	N/A	the appointment and duties of the parental order reporter, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(b)(ii)	N/A	the keeping of registers and the custody, inspection and disclosure of documents and information relating to parental order proceedings or related proceedings;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(c)	N/A	rules made under section 75 of the Courts Act 2003 by virtue of section 141(1) of the Adoption and Children Act 2002, as applied with modifications by regulation 2 of and Schedule 1 to the Human Fertilisation and Embryology (Parental Orders) Regulations 2010 (S.I. 2010/985), so far as the rules relate to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(c)(i)	N/A	the appointment and duties of the parental order reporter, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(2)(c)(ii)	N/A	the keeping of registers and the custody, inspection and disclosure of documents and information relating to parental order proceedings or related proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)	N/A	The enactments extending to Scotland are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)(a)	N/A	sections 53 and 55 of the Adoption and Children (Scotland) Act 2007 (asp 4), as applied with modifications by regulation 4 of and Schedule 3 to the Human Fertilisation and Embryology (Parental Orders) Regulations 2010 (S.I. 2010/985) in relation to parental orders made under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)(a)(i)	N/A	section 30 of the Human Fertilisation and Embryology Act 1990, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)(a)(ii)	N/A	section 54 of the Human Fertilisation and Embryology Act 2008;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)(b)	N/A	rules 2.47 and 2.59 of the Act of Sederunt (Child Care and Maintenance Rules) 1997 (S.I. 1997/291 (S. 191));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(3)(c)	N/A	rules 21 and 25 of the Sheriff Court Adoption Rules 2009.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)	N/A	The enactments extending to Northern Ireland are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)(a)	N/A	Articles 50 and 54 of the Adoption (Northern Ireland) Order 1987 (S.I. 1987/2203 (N.I. 22)), as applied with modifications by regulation 3 of and Schedule 2 to the Human Fertilisation and Embryology (Parental Orders) Regulations 2010 in respect of parental orders made under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)(a)(i)	N/A	section 30 of the Human Fertilisation and Embryology Act 1990, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)(a)(ii)	N/A	section 54 of the Human Fertilisation and Embryology Act 2008;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)(b)	N/A	rules 4, 5 and 16 of Order 84A of the Rules of the Court of Judicature (Northern Ireland) 1980 (S.R. (N.I.) 1980 No. 346);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 5(4)(c)	N/A	rules 3, 4 and 15 of Order 50A of the County Court Rules (Northern Ireland) 1981 (S.R. (N.I.) 1981 No. 225). Information provided by Principal Reporter for children's hearing	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 6(a)	N/A	section 178 of the Children's Hearings (Scotland) Act 2011 (asp 1);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 4, Para 6(b)	N/A	the Children's Hearings (Scotland) Act 2011 (Rules of Procedure in Children's Hearings) Rules 2013 (S.S.I. 2013/194).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5	ACCREDITATION OF CERTIFICATION PROVIDERS: REVIEWS AND APPEALS	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(1)	N/A	This Schedule applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(1)(a)	N/A	a person ("the applicant") applies to an accreditation authority for accreditation as a certification provider, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(1)(b)	N/A	is dissatisfied with the decision on that application.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(2)	N/A	In this Schedule—"accreditation authority" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(2)(a)	N/A	the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 1(2)(b)	N/A	the UK national accreditation body; "certification provider" and "UK national accreditation body" have the same meaning as in section 17. Review	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(1)	N/A	The applicant may ask the accreditation authority to review the decision.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(2)	N/A	The request must be made in writing before the end of the period of 28 days beginning with the day on which the person receives written notice of the accreditation authority's decision.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(3)	N/A	The request must specify—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(3)(a)	N/A	the decision to be reviewed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(3)(b)	N/A	the reasons for asking for the review.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-gmea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 5, Para 2(4)	N/A	The request may be accompanied by additional documents which the applicant wants the accreditation authority to take into account for the purposes of the review.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(5)	N/A	If the applicant makes a request in accordance with sub-paragraphs (1) to (4), the accreditation authority must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(5)(a)	N/A	review the decision, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 2(5)(b)	N/A	inform the applicant of the outcome of the review in writing before the end of the period of 28 days beginning with the day on which the request for a review is received. Right to appeal	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(1)	N/A	If the applicant is dissatisfied with the decision on the review under paragraph 2, the applicant may ask the accreditation authority to refer the decision to an appeal panel constituted in accordance with paragraph 4.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(2)	N/A	The request must be made in writing before the end of the period of 3 months beginning with the day on which the person receives written notice of the decision on the review.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(3)	N/A	A request must specify—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(3)(a)	N/A	the decision to be referred to the appeal panel, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(3)(b)	N/A	the reasons for asking for it to be referred.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(4)	N/A	The request may be accompanied by additional documents which the applicant wants the appeal panel to take into account.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 3(5)	N/A	The applicant may discontinue an appeal at any time by giving notice in writing to the accreditation authority. Appeal panel	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(1)	N/A	If the applicant makes a request in accordance with paragraph 3, an appeal panel must be established in accordance with this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(2)	N/A	An appeal panel must consist of a chair and at least two other members.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(3)	N/A	Where the request relates to a decision of the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(3)(a)	N/A	the Secretary of State may appoint one person to be a member of the appeal panel other than the chair, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(3)(b)	N/A	subject to paragraph (a), the Commissioner must appoint the members of the appeal panel.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(4)	N/A	Where the request relates to a decision of the UK national accreditation body—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(4)(a)	N/A	the Secretary of State—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(4)(i)	N/A	may appoint one person to be a member of the appeal panel other than the chair, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(4)(ii)	N/A	may direct the Commissioner to appoint one person to be a member of the appeal panel other than the chair, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(4)(b)	N/A	subject to paragraph (a), the chair of the UK national accreditation body must appoint the members of the appeal panel.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(5)	N/A	A person may not be a member of an appeal panel if the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(5)(a)	N/A	has a commercial interest in the decision referred to the panel,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(5)(b)	N/A	has had any prior involvement in any matters relating to the decision, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(5)(c)	N/A	is an employee or officer of the accreditation authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(6)	N/A	The Commissioner may not be a member of an appeal panel to which a decision of the Commissioner is referred.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(7)	N/A	The applicant may object to all or any of the members of the appeal panel appointed under sub-paragraph (3) or (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(8)	N/A	If the applicant objects to a member of the appeal panel under sub-paragraph (7), the person who appointed that member must appoint a replacement.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 4(9)	N/A	The applicant may not object to a member of the appeal panel appointed under sub-paragraph (8). Hearing	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 5(1)	N/A	If the appeal panel considers it necessary, a hearing must be held at which both the applicant and the accreditation authority may be represented.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 5(2)	N/A	Any additional documents which the applicant or the accreditation authority want the appeal panel to take into account must be submitted to the chair of the appeal panel at least 5 working days before the hearing.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 5(3)	N/A	The appeal panel may allow experts and witnesses to give evidence at a hearing. Decision following referral to appeal panel	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(1)	N/A	The appeal panel must, before the end of the period of 28 days beginning with the day on which the appeal panel is established in accordance with paragraph 4—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(1)(a)	N/A	make a reasoned recommendation in writing to the accreditation authority, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(1)(b)	N/A	give a copy of the recommendation to the applicant.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(2)	N/A	For the purposes of sub-paragraph (1), where there is an objection under paragraph 4(7), an appeal panel is not to be taken to be established in accordance with paragraph 4 until the replacement member is appointed (or, if there is more than one objection, until the last replacement member is appointed).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(3)	N/A	The accreditation authority must, before the end of the period of 3 working days beginning with the day on which the authority receives the recommendation—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(3)(a)	N/A	make a reasoned final decision in writing, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(3)(b)	N/A	give a copy of the decision to the applicant.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 6(4)	N/A	Where the accreditation authority is the UK national accreditation body, the recommendation must be given to, and the final decision must be made by, the chief executive of that body. Meaning of “working day”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 7(a)	N/A	Saturday or Sunday,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 7(b)	N/A	Christmas Day or Good Friday, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 5, Para 7(c)	N/A	a day which is a bank holiday under the Banking and Financial Dealings Act 1971 in any part of the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 6	THE APPLIED GDPR AND THE APPLIED CHAPTER 2	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 6, Part 1	Modifications To The Gdpr	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 6, Part 2	Modifications To Chapter 2 Of Part 2	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7	COMPETENT AUTHORITIES	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 16(a)	N/A	a body of constables appointed under provision incorporating section 79 of the Harbours, Docks, and Piers Clauses Act 1847,	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 7, Para 16(b)	N/A	a body of constables appointed under an order made under section 14 of the Harbours Act 1964;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 16(c)	N/A	the body of constables appointed under section 154 of the Port of London Act 1968 (c.xxiii);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 43(a)	N/A	a prison or young offender institution under section 84 of the Criminal Justice Act 1991, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 43(b)	N/A	a secure training centre under section 7 of the Criminal Justice and Public Order Act 1994;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 44(a)	N/A	under section 80 of the Criminal Justice Act 1991 for the purposes of prisoner escort arrangements, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 7, Para 44(b)	N/A	under paragraph 1 of Schedule 1 to the Criminal Justice and Public Order Act 1994 for the purposes of escort arrangements.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8	(5)	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 1(a)	N/A	is necessary for the exercise of a function conferred on a person by an enactment or rule of law, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 1(b)	N/A	is necessary for reasons of substantial public interest. Administration of justice	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(a)	N/A	the processing is necessary for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(a)(i)	N/A	protecting an individual from neglect or physical, mental or emotional harm, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(a)(ii)	N/A	protecting the physical, mental or emotional well-being of an individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(b)	N/A	the individual is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(b)(i)	N/A	aged under 18, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(b)(ii)	N/A	aged 18 or over and at risk,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(c)	N/A	the processing is carried out without the consent of the data subject for one of the reasons listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(1)(d)	N/A	the processing is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(2)	N/A	The reasons mentioned in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(2)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(2)(c)	N/A	the processing must be carried out without the consent of the data subject because obtaining the consent of the data subject would prejudice the provision of the protection mentioned in sub-paragraph (1)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(3)	N/A	For the purposes of this paragraph, an individual aged 18 or over is "at risk" if the controller has reasonable cause to suspect that the individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(3)(a)	N/A	has needs for care and support,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(3)(b)	N/A	is experiencing, or at risk of, neglect or physical, mental or emotional harm, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(3)(c)	N/A	as a result of those needs is unable to protect himself or herself against the neglect or harm or the risk of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 4(4)	N/A	In sub-paragraph (1)(a), the reference to the protection of an individual or of the well- being of an individual includes both protection relating to a particular individual and protection relating to a type of individual. Personal data already in the public domain	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 6(a)	N/A	is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 6(b)	N/A	is necessary for the purpose of obtaining legal advice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 6(c)	N/A	is otherwise necessary for the purposes of establishing, exercising or defending legal rights. Judicial acts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)	N/A	This condition is met if the processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(a)	N/A	is necessary for the purposes of preventing fraud or a particular kind of fraud, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(b)	N/A	consists of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(b)(i)	N/A	the disclosure of personal data by a competent authority as a member of an anti-fraud organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(b)(ii)	N/A	the disclosure of personal data by a competent authority in accordance with arrangements made by an anti-fraud organisation,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(iia)	N/A	the processing of personal data carried out in preparation for disclosure described in sub-paragraph (i) or (ii), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(1)(iia)(iii)	N/A	the processing of personal data disclosed as described in sub- paragraph (i) or (ii).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 8(2)	N/A	In this paragraph, "anti-fraud organisation" has the same meaning as in section 68 of the Serious Crime Act 2007. Archiving etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 9(a)	N/A	for archiving purposes in the public interest,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 9(b)	N/A	for scientific or historical research purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 8, Para 9(c)	N/A	for statistical purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9	CONDITIONS FOR PROCESSING UNDER PART 4	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 2(a)	N/A	for the performance of a contract to which the data subject is a party, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 2(b)	N/A	in order to take steps at the request of the data subject prior to entering into a contract.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 5(a)	N/A	for the administration of justice,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 5(b)	N/A	for the exercise of any functions of either House of Parliament,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 5(c)	N/A	for the exercise of any functions conferred on a person by an enactment or rule of law,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 5(d)	N/A	for the exercise of any functions of the Crown, a Minister of the Crown or a government department, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 5(e)	N/A	for the exercise of any other functions of a public nature exercised in the public interest by a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 6(1)	N/A	The processing is necessary for the purposes of legitimate interests pursued by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 6(1)(a)	N/A	the controller, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 6(1)(b)	N/A	the third party or parties to whom the data is disclosed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 9, Para 6(2)	N/A	Sub-paragraph (1) does not apply where the processing is unwarranted in any particular case because of prejudice to the rights and freedoms or legitimate interests of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 9, Para 6(3)	N/A	In this paragraph, "third party", in relation to personal data, means a person other than the data subject, the controller or a processor or other person authorised to process personal data for the controller or processor.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10	CONDITIONS FOR SENSITIVE PROCESSING UNDER PART 4	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 3(a)	N/A	in order to protect the vital interests of the data subject or of another person, in a case where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 3(i)	N/A	consent cannot be given by or on behalf of the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 3(ii)	N/A	the controller cannot reasonably be expected to obtain the consent of the data subject, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 3(b)	N/A	in order to protect the vital interests of another person, in a case where consent by or on behalf of the data subject has been unreasonably withheld. Safeguarding of children and of individuals at risk	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)	N/A	This condition is met if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(a)	N/A	the processing is necessary for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(a)(i)	N/A	protecting an individual from neglect or physical, mental or emotional harm, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(a)(ii)	N/A	protecting the physical, mental or emotional well-being of an individual,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(b)	N/A	the individual is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(b)(i)	N/A	aged under 18, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(b)(ii)	N/A	aged 18 or over and at risk,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(c)	N/A	the processing is carried out without the consent of the data subject for one of the reasons listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(1)(d)	N/A	the processing is necessary for reasons of substantial public interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(2)	N/A	The reasons mentioned in sub-paragraph (1)(c) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(2)(a)	N/A	in the circumstances, consent to the processing cannot be given by the data subject;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(2)(b)	N/A	in the circumstances, the controller cannot reasonably be expected to obtain the consent of the data subject to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(2)(c)	N/A	the processing must be carried out without the consent of the data subject because obtaining the consent of the data subject would prejudice the provision of the protection mentioned in sub-paragraph (1)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(3)	N/A	For the purposes of this paragraph, an individual aged 18 or over is "at risk" if the controller has reasonable cause to suspect that the individual—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(3)(a)	N/A	has needs for care and support,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(3)(b)	N/A	is experiencing, or at risk of, neglect or physical, mental or emotional harm, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(3)(c)	N/A	as a result of those needs is unable to protect himself or herself against the neglect or harm or the risk of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 4(4)	N/A	In sub-paragraph (1)(a), the reference to the protection of an individual or of the well-being of an individual includes both protection relating to a particular individual and protection relating to a type of individual. Data already published by data subject	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 6(a)	N/A	is necessary for the purpose of, or in connection with, any legal proceedings (including prospective legal proceedings),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 6(b)	N/A	is necessary for the purpose of obtaining legal advice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 6(c)	N/A	is otherwise necessary for the purposes of establishing, exercising or defending legal rights. Administration of justice, parliamentary, statutory etc and government purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 7(a)	N/A	for the administration of justice,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 7(b)	N/A	for the exercise of any functions of either House of Parliament,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 7(c)	N/A	for the exercise of any functions conferred on any person by an enactment or rule of law, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 7(d)	N/A	for the exercise of any functions of the Crown, a Minister of the Crown or a government department. Medical purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 8(1)	N/A	The processing is necessary for medical purposes and is undertaken by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 8(1)(a)	N/A	a health professional, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 8(1)(b)	N/A	a person who in the circumstances owes a duty of confidentiality which is equivalent to that which would arise if that person were a health professional.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 8(2)	N/A	In this paragraph, "medical purposes" includes the purposes of preventative medicine, medical diagnosis, medical research, the provision of care and treatment and the management of healthcare services. Equality	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9(1)	N/A	The processing—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9(1)(a)	N/A	is of sensitive personal data consisting of information as to racial or ethnic origin.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9(1)(b)	N/A	is necessary for the purpose of identifying or keeping under review the existence or absence of equality of opportunity or treatment between persons of different racial or ethnic origins, with a view to enabling such equality to be promoted or maintained, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9(1)(c)	N/A	is carried out with appropriate safeguards for the rights and freedoms of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 10, Para 9(2)	N/A	In this paragraph, "sensitive personal data" means personal data the processing of which constitutes sensitive processing (see section 86(7)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11	OTHER EXEMPTIONS UNDER PART 4	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 1(a)	N/A	Chapter 2 of Part 4 (the data protection principles), except section 86(1)(a) and (2) and Schedules 9 and 10;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 1(b)	N/A	Chapter 3 of Part 4 (rights of data subjects);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 1(c)	N/A	in Chapter 4 of Part 4, section 108 (communication of personal data breach to the Commissioner). Crime	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 2(a)	N/A	the prevention, investigation and detection of crime, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 2(b)	N/A	the apprehension and prosecution of offenders, to the extent that the application of the listed provisions would be likely to prejudice any of the matters mentioned in paragraph (a) or (b). Information required to be disclosed by law etc or in connection with legal proceedings	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 11, Para 3(1)	N/A	The listed provisions do not apply to personal data consisting of information that the controller is obliged by an enactment to make available to the public, to the extent that the application of the listed provisions would prevent the controller from complying with that obligation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3(2)	N/A	The listed provisions do not apply to personal data where disclosure of the data is required by an enactment, a rule of law or the order of a court, to the extent that the application of the listed provisions would prevent the controller from making the disclosure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3(3)	N/A	The listed provisions do not apply to personal data where disclosure of the data—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3(3)(a)	N/A	is necessary for the purpose of, or in connection with, legal proceedings (including prospective legal proceedings).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3(3)(b)	N/A	is necessary for the purpose of obtaining legal advice, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 3(3)(c)	N/A	is otherwise necessary for the purposes of establishing, exercising or defending legal rights, to the extent that the application of the listed provisions would prevent the controller from making the disclosure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 9(a)	N/A	Parliamentary privilege information in respect of which a claim to legal professional privilege or, in Scotland, confidentiality of communications, could be maintained in legal proceedings, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 9(b)	N/A	information in respect of which a duty of confidentiality is owed by a professional legal adviser to a client of the adviser. Negotiations	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 11(a)	N/A	the education, training or employment (or prospective education, training or employment) of the data subject,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 11(b)	N/A	the appointment (or prospective appointment) of the data subject to any office, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 11(c)	N/A	the provision (or prospective provision) by the data subject of any service. Exam scripts and marks	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(1)	N/A	The listed provisions do not apply to personal data consisting of information recorded by candidates during an exam.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(2)	N/A	Where personal data consists of marks or other information processed by a controller—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(2)(a)	N/A	for the purposes of determining the results of an exam, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(2)(b)	N/A	in consequence of the determination of the results of an exam, section 94 has effect subject to sub-paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(3)	N/A	Where the relevant time falls before the results of the exam are announced, the period mentioned in section 94(10)(b) is extended until the earlier of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(3)(a)	N/A	the end of the period of 5 months beginning with the relevant time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(3)(b)	N/A	the end of the period of 40 days beginning with the announcement of the results.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(4)	N/A	In this paragraph— “exam” means an academic, professional or other examination used for determining the knowledge, intelligence, skill or ability of a candidate and may include an exam consisting of an assessment of the candidate’s performance while undertaking work or any other activity; “the relevant time” has the same meaning as in section 94.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 12(5)	N/A	For the purposes of this paragraph, the results of an exam are treated as announced when they are first published or, if not published, first communicated to the candidate. Research and statistics	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(1)	N/A	The listed provisions do not apply to personal data processed for—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(1)(a)	N/A	scientific or historical research purposes, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(1)(b)	N/A	statistical purposes, to the extent that the application of those provisions would prevent or seriously impair the achievement of the purposes in question.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(2)	N/A	The exemption in sub-paragraph (1) is available only where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(2)(a)	N/A	the personal data is processed subject to appropriate safeguards for the rights and freedoms of data subjects, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 13(2)(b)	N/A	the results of the research or any resulting statistics are not made available in a form which identifies a data subject. Archiving in the public interest	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 14(1)	N/A	The listed provisions do not apply to personal data processed for archiving purposes in the public interest to the extent that the application of those provisions would prevent or seriously impair the achievement of those purposes.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 11, Para 14(2)	N/A	The exemption in sub-paragraph (1) is available only where the personal data is processed subject to appropriate safeguards for the rights and freedoms of data subjects.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12	THE INFORMATION COMMISSIONER	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 1(1)	N/A	The Commissioner is to continue to be a corporation sole.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 1(2)	N/A	The Commissioner and the Commissioner’s officers and staff are not to be regarded as servants or agents of the Crown. Appointment	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 2(1)	N/A	The Commissioner is to be appointed by Her Majesty by Letters Patent.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 2(2)	N/A	No recommendation may be made to Her Majesty for the appointment of a person as the Commissioner unless the person concerned has been selected on merit on the basis of fair and open competition.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 2(3)	N/A	The Commissioner is to hold office for such term not exceeding 7 years as may be determined at the time of the Commissioner’s appointment, subject to paragraph 3.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 2(4)	N/A	A person cannot be appointed as the Commissioner more than once. Resignation and removal	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3(1)	N/A	The Commissioner may be relieved of office by Her Majesty at the Commissioner’s own request.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3(2)	N/A	The Commissioner may be removed from office by Her Majesty on an Address from both Houses of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3(3)	N/A	No motion is to be made in either House of Parliament for such an Address unless a Minister of the Crown has presented a report to that House stating that the Minister is satisfied that one or both of the following grounds is made out—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3(3)(a)	N/A	the Commissioner is guilty of serious misconduct;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 3(3)(b)	N/A	the Commissioner no longer fulfils the conditions required for the performance of the Commissioner’s functions. Salary etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(1)	N/A	The Commissioner is to be paid such salary as may be specified by a resolution of the House of Commons.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(2)	N/A	There is to be paid in respect of the Commissioner such pension as may be specified by a resolution of the House of Commons.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 12, Para 4(3)	N/A	A resolution for the purposes of this paragraph may—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(3)(a)	N/A	specify the salary or pension,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(3)(b)	N/A	specify the salary or pension and provide for it to be increased by reference to such variables as may be specified in the resolution, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(3)(c)	N/A	provide that the salary or pension is to be the same as, or calculated on the same basis as, that payable to, or in respect of, a person employed in a specified office under, or in a specified capacity in the service of, the Crown.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(4)	N/A	A resolution for the purposes of this paragraph may take effect from—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(4)(a)	N/A	the date on which it is passed, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(4)(b)	N/A	from an earlier date or later date specified in the resolution.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(5)	N/A	A resolution for the purposes of this paragraph may make different provision in relation to the pension payable to, or in respect of, different holders of the office of Commissioner.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(6)	N/A	A salary or pension payable under this paragraph is to be charged on and issued out of the Consolidated Fund.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 4(7)	N/A	In this paragraph, "pension" includes an allowance or gratuity and a reference to the payment of a pension includes a reference to the making of payments towards the provision of a pension. Officers and staff	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(1)	N/A	The Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(1)(a)	N/A	must appoint one or more deputy commissioners, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(1)(b)	N/A	may appoint other officers and staff.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(2)	N/A	The Commissioner is to determine the remuneration and other conditions of service of people appointed under this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(3)	N/A	The Commissioner may pay pensions, allowances or gratuities to, or in respect of, people appointed under this paragraph, including pensions, allowances or gratuities paid by way of compensation in respect of loss of office or employment.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(4)	N/A	The references in sub-paragraph (3) to paying pensions, allowances or gratuities includes making payments towards the provision of pensions, allowances or gratuities.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(5)	N/A	In making appointments under this paragraph, the Commissioner must have regard to the principle of selection on merit on the basis of fair and open competition.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 5(6)	N/A	The Employers' Liability (Compulsory Insurance) Act 1969 does not require insurance to be effected by the Commissioner. Carrying out of the Commissioner's functions by officers and staff	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6(1)	N/A	The functions of the Commissioner are to be carried out by the deputy commissioner or deputy commissioners if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6(1)(a)	N/A	there is a vacancy in the office of the Commissioner, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6(1)(b)	N/A	the Commissioner is for any reason unable to act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6(2)	N/A	When the Commissioner appoints a second or subsequent deputy commissioner, the Commissioner must specify which deputy commissioner is to carry out which of the Commissioner's functions in the circumstances referred to in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 6(3)	N/A	A function of the Commissioner may, to the extent authorised by the Commissioner, be carried out by any of the Commissioner's officers or staff. <u>Authentication of the seal of the Commissioner</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 7(a)	N/A	the Commissioner's signature, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 7(b)	N/A	the signature of another person authorised for the purpose. Presumption of authenticity of documents issued by the Commissioner	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 8(a)	N/A	duly executed under the Commissioner's seal, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 8(b)	N/A	signed by or on behalf of the Commissioner, is to be received in evidence and is to be deemed to be such an instrument unless the contrary is shown. <u>Money</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 10(1)	N/A	All fees, charges, penalties and other sums received by the Commissioner in carrying out the Commissioner's functions are to be paid by the Commissioner to the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 10(2)	N/A	Sub-paragraph (1) does not apply where the Secretary of State, with the consent of the Treasury, otherwise directs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 10(3)	N/A	Any sums received by the Secretary of State under sub-paragraph (1) are to be paid into the Consolidated Fund. <u>Accounts</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(1)	N/A	The Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(1)(a)	N/A	keep proper accounts and other records in relation to the accounts, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(1)(b)	N/A	prepare in respect of each financial year a statement of account in such form as the Secretary of State may direct.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(2)	N/A	The Commissioner must send a copy of the statement to the Comptroller and Auditor General—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(2)(a)	N/A	on or before 31 August next following the end of the year to which the statement relates, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(2)(b)	N/A	on or before such earlier date after the end of that year as the Treasury may direct.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(3)	N/A	The Comptroller and Auditor General must examine, certify and report on the statement.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(4)	N/A	The Commissioner must arrange for copies of the statement and the Comptroller and Auditor General's report to be laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12, Para 11(5)	N/A	In this paragraph, "financial year" means a period of 12 months beginning with 1 April. <u>Scotland</u>	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A	THE INFORMATION COMMISSION	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(1)	N/A	The Commission is not to be regarded—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(1)(a)	N/A	as a servant or agent of the Crown, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(1)(b)	N/A	as enjoying any status, immunity or privilege of the Crown.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(2)	N/A	The Commission's property is not to be regarded—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(2)(a)	N/A	as property of the Crown, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 1(2)(b)	N/A	as property held on behalf of the Crown. Number of members	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2(1)	N/A	The number of members of the Commission is to be determined by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 12A, Para 2(2)	N/A	That number must not be—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2(2)(a)	N/A	less than 3, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2(2)(b)	N/A	more than 14.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2(3)	N/A	The Secretary of State may by regulations substitute a different number for the number for the time being specified in sub-paragraph (2)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 2(4)	N/A	Regulations under this paragraph are subject to the negative resolution procedure. Membership: general	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(1)	N/A	The Commission is to consist of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(1)(a)	N/A	the non-executive members, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(1)(b)	N/A	the executive members.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(2)	N/A	The non-executive members are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(2)(a)	N/A	a chair appointed by His Majesty by Letters Patent on the recommendation of the Secretary of State, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(2)(b)	N/A	such other members as the Secretary of State may appoint.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(3)	N/A	The executive members are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(3)(a)	N/A	a chief executive appointed by the non-executive members or in accordance with paragraph 25, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(3)(b)	N/A	such other members, if any, as the non-executive members may appoint.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(4)	N/A	The Secretary of State must consult the chair of the Commission before appointing a non-executive member.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(5)	N/A	The non-executive members must consult the Secretary of State before appointing the chief executive.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(6)	N/A	The non-executive members must consult the chief executive about whether there should be any executive members within sub-paragraph (3)(b) and, if so, how many there should be.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(7)	N/A	The Secretary of State may by direction set a maximum and a minimum number of executive members.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 3(8)	N/A	The Commission may appoint one of the non-executive members as a deputy to the chair. Membership: non-executive members to outnumber executive members	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 5(1)	N/A	The Secretary of State may not recommend a person for appointment as the chair of the Commission unless the person has been selected on merit on the basis of fair and open competition.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 5(2)	N/A	A person may not be appointed as a member of the Commission unless the person has been selected on merit on the basis of fair and open competition. Membership: conflicts of interests	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(1)	N/A	Before—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(1)(a)	N/A	recommending a person for appointment as the chair of the Commission, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(1)(b)	N/A	appointing a person as a non-executive member of the Commission, the Secretary of State must be satisfied that the person does not have a conflict of interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(2)	N/A	The Secretary of State must check from time to time that none of the non-executive members has a conflict of interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(3)	N/A	The Secretary of State may require a non-executive member to provide whatever information the Secretary of State considers necessary for the purpose of checking that the member does not have a conflict of interest.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(4)	N/A	A non-executive member who is required to provide information under sub-paragraph (3) must provide it within such period as may be specified by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 6(5)	N/A	In this Schedule, “conflict of interest”, in relation to a person, means a financial or other interest which is likely to affect prejudicially the discharge by the person of the person’s functions as a member of the Commission. Tenure of the chair	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(1)	N/A	The chair of the Commission holds and vacates office in accordance with the terms of the chair’s appointment, subject to the provisions of this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(2)	N/A	The chair must be appointed for a term of not more than 7 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(3)	N/A	On the recommendation of the Secretary of State, His Majesty may by Letters Patent extend the term of the chair’s appointment but not so the term as extended is more than 7 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(4)	N/A	A person cannot be appointed as the chair more than once.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(5)	N/A	The chair may be relieved from office by His Majesty at the chair’s own request.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(6)	N/A	The chair may be removed from office by His Majesty on an Address from both Houses of Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(7)	N/A	No motion is to be made in either House of Parliament for such an Address unless the Secretary of State has presented a report to that House stating that the Secretary of State is satisfied that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(7)(a)	N/A	the chair is guilty of serious misconduct,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(7)(b)	N/A	the chair has a conflict of interest (see paragraph 6(5)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(7)(c)	N/A	the chair has failed to comply with paragraph 6(4), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 7(7)(d)	N/A	the chair is unable, unfit or unwilling to carry out the chair’s functions. Tenure of deputy chair	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 8(1)	N/A	A deputy chair of the Commission may resign that office by giving written notice to the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 8(2)	N/A	A deputy chair of the Commission ceases to hold that office on ceasing to be a non-executive member of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 8(3)	N/A	A deputy chair of the Commission may be removed from that office by the Commission. Tenure of the other non-executive members	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(1)	N/A	This paragraph applies to a non-executive member of the Commission appointed by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(2)	N/A	The member holds and vacates office in accordance with the terms of their appointment, subject to the provisions of this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(3)	N/A	The member must be appointed for a term of not more than 7 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(4)	N/A	The Secretary of State may extend the term of the member’s appointment but not so that the term as extended is more than 7 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(5)	N/A	The Secretary of State may not appoint the member as a non-executive member of the Commission on a subsequent occasion.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(6)	N/A	The member may resign from office by giving written notice to the Secretary of State and the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 12A, Para 9(7)	N/A	The Secretary of State may remove the member from office by written notice if satisfied that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(7)(a)	N/A	the member is guilty of serious misconduct,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(7)(b)	N/A	the member has a conflict of interest (see paragraph 6(5)),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(7)(c)	N/A	the member has failed to comply with paragraph 6(4), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(7)(d)	N/A	the member is unable, unfit or unwilling to carry out the member's functions.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(8)	N/A	At the time of removing the member from office the Secretary of State must make public the decision to do so.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(9)	N/A	The Secretary of State must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(9)(a)	N/A	give the member a statement of reasons for the removal, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 9(9)(b)	N/A	if asked to do so by the member, publish the statement. Remuneration and pensions of non-executive members	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10(1)	N/A	The Commission may pay to the non-executive members of the Commission such remuneration and allowances as the Secretary of State may determine.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10(2)	N/A	The Commission may pay, or make provision for paying, to or in respect of the non- executive members of the Commission, such sums by way of pensions, allowances or gratuities (including pensions, allowances or gratuities paid by way of compensation in respect of loss of office) as the Secretary of State may determine.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10(3)	N/A	The Commission may make a payment to a person of such amount as the Secretary of State may determine where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10(3)(a)	N/A	the person ceases to be a non-executive member of the Commission otherwise than on the expiry of the person's term of office, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 10(3)(b)	N/A	it appears to the Secretary of State that there are special circumstances which make it appropriate for the person to receive compensation. Executive members: terms and conditions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11(1)	N/A	The executive members of the Commission are to be employees of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11(2)	N/A	The executive members are to be employed by the Commission on such terms and conditions, including those as to remuneration, as the non-executive members of the Commission may determine.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11(3)	N/A	The Commission must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11(3)(a)	N/A	pay to or in respect of the executive members of the Commission such pensions, allowances or gratuities (including pensions, allowances or gratuities paid by way of compensation in respect of loss of office) as the non-executive members of the Commission may determine, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 11(3)(b)	N/A	provide and maintain for them such pension schemes (whether contributory or not) as the non-executive members of the Commission may determine. Other staff: appointment, terms and conditions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(1)	N/A	The Commission may—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(1)(a)	N/A	appoint other employees, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(1)(b)	N/A	make such other arrangements for the staffing of the Commission as it considers appropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(2)	N/A	In appointing an employee, the Commission must have regard to the principle of selection on merit on the basis of fair and open competition.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(3)	N/A	Employees appointed by the Commission are to be appointed on such terms and conditions, including those as to remuneration, as the Commission may determine.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(4)	N/A	The Commission may—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(4)(a)	N/A	pay to or in respect of those employees such pensions, allowances or gratuities (including pensions, allowances or gratuities paid by way of compensation in respect of loss of employment) as the Commission may determine, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 12(4)(b)	N/A	provide and maintain for them such pension schemes (whether contributory or not) as the Commission may determine. Committees	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 13(1)	N/A	The Commission may establish committees.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 13(2)	N/A	A committee of the Commission may consist of or include persons who are neither members nor employees of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 13(3)	N/A	But a committee of the Commission to which functions are delegated under paragraph 14(1)(c) must include at least one person who is either a member or an employee of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 13(4)	N/A	Where a person who is neither a member nor an employee of the Commission is a member of a committee of the Commission, the Commission may pay to that person such remuneration and expenses as it may determine. Delegation of functions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(1)	N/A	The Commission may delegate any of its functions to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(1)(a)	N/A	a member of the Commission,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(1)(b)	N/A	an employee of the Commission, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(1)(c)	N/A	a committee of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(2)	N/A	A function is delegated under sub-paragraph (1) to the extent and on the terms that the Commission determines.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(3)	N/A	A committee of the Commission may delegate any function delegated to it to a member of the committee.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(4)	N/A	A function is delegated under sub-paragraph (3) to the extent and on the terms that the committee determines.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(5)	N/A	The power of a committee of the Commission to delegate a function, and to determine the extent and terms of the delegation, is subject to the Commission's power to direct what a committee established by it may and may not do.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 14(6)	N/A	The delegation of a function by the Commission or a committee of the Commission under this paragraph does not prevent the Commission or the committee from exercising that function. Advice from committees	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(1)	N/A	The Commission may make arrangements for regulating—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(1)(a)	N/A	its own procedure, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(1)(b)	N/A	the procedure of a committee of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(2)	N/A	The non-executive members of the Commission may by majority make arrangements for regulating the procedure for the carrying out of the separate functions which are conferred on them under this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 12A, Para 16(3)	N/A	Arrangements under this paragraph may include arrangements as to quorum and the making of decisions by a majority.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(4)	N/A	The Commission must publish arrangements which it makes under this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 16(5)	N/A	This paragraph is subject to paragraph 18. Records of proceedings	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 17(a)	N/A	its proceedings,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 17(b)	N/A	the proceedings of a committee of the Commission,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 17(c)	N/A	the proceedings at a meeting of the non-executive members of the Commission,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 17(d)	N/A	anything done by a member or employee of the Commission under paragraph 14(1), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 17(e)	N/A	anything done by a member of a committee of the Commission under paragraph 14(3). Disqualification for acting in relation to certain matters	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(1)	N/A	This paragraph applies if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(1)(a)	N/A	a member of the Commission has a direct or indirect interest in a matter falling to be considered at a meeting of the Commission,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(1)(b)	N/A	a non-executive member of the Commission has a direct or indirect interest in a matter falling to be considered at a meeting of the non-executive members, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(1)(c)	N/A	a member of a committee of the Commission has a direct or indirect interest in a matter falling to be considered at a meeting of the committee.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(2)	N/A	The member with the interest must declare it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(3)	N/A	The declaration must be recorded in the minutes of the meeting.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(4)	N/A	The member with the interest may not take part in a discussion or decision at the meeting relating to the matter, unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(4)(a)	N/A	in the case of a meeting of the Commission, the other members of the Commission who are present have resolved unanimously that the interest is to be disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(4)(b)	N/A	in the case of a meeting of the non-executive members, the other non-executive members who are present have resolved unanimously that the interest is to be disregarded, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(4)(c)	N/A	in the case of a meeting of a committee, the other members of the committee who are present have, in the manner authorised by the Commission, resolved that the interest is to be disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(5)	N/A	In giving authorisation for the purposes of sub-paragraph (4)(c), the Commission must secure that a resolution for those purposes does not allow a member to take part in a discussion or decision at a meeting of a committee to which functions are delegated under paragraph 14(1)(c) unless the number of other members of the committee in favour of the resolution—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(5)(a)	N/A	is not less than two thirds of those who are both present and entitled to vote on the resolution, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(5)(b)	N/A	is not less than its quorum.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(6)	N/A	For the purposes of this paragraph, a notification given at or sent to a meeting of the Commission that a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(6)(a)	N/A	is a member of a company or firm, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(6)(b)	N/A	is to be regarded as interested in any matter involving that company or firm, is to be regarded as compliance with sub-paragraph (2) in relation to any such matter for the purposes of that meeting and subsequent meetings of the Commission, of the non-executive members or of a committee.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(7)	N/A	For the purposes of this paragraph, a notification given at or sent to a meeting of the non-executive members of the Commission or of a committee of the Commission that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(7)(a)	N/A	a person is a member of a company or firm, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(7)(b)	N/A	is to be regarded as interested in any matter involving that company or firm, is to be regarded as compliance with sub-paragraph (2) in relation to any such matter for the purposes of that meeting and subsequent meetings of the non-executive members or (as the case may be) of the committee.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(8)	N/A	A notification described in sub-paragraph (6) or (7) remains in force until it is withdrawn.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(9)	N/A	A person required to make a declaration for the purposes of this paragraph in relation to any meeting—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(9)(a)	N/A	is not required to attend the meeting, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 18(9)(b)	N/A	is to be taken to have complied with the requirements of this paragraph if the person takes reasonable steps to secure that notice of the person's interest is read out, and taken into consideration, at the meeting in question. Validity of proceedings	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(1)	N/A	The validity of proceedings of the Commission, of the non-executive members of the Commission or of a committee of the Commission is not affected by—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(1)(a)	N/A	a vacancy in the membership of the Commission or of the committee,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(1)(b)	N/A	a defect in the appointment of a member of the Commission,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(1)(c)	N/A	a failure of the Secretary of State to comply with the requirements of paragraph 4, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(1)(d)	N/A	a failure to comply with arrangements under paragraph 16 or with a requirement under paragraph 18.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(2)	N/A	Nothing in sub-paragraph (1)(d) validates proceedings of a meeting which is inquorate unless it is inquorate by reason only of a matter within sub-paragraph (1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 19(2)(b)	N/A	or (c). Money	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 21(1)	N/A	All fees, charges, penalties and other sums received by the Commission in carrying out its functions are to be paid to the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 21(2)	N/A	Sub-paragraph (1) does not apply where the Secretary of State otherwise directs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 21(3)	N/A	Any sums received by the Secretary of State under this paragraph are to be paid into the Consolidated Fund. Accounts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(1)	N/A	The Commission must keep proper accounts and proper records in relation to them.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(2)	N/A	The Commission must prepare a statement of accounts in respect of each financial year in the form specified by the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(3)	N/A	The Commission must send a copy of each statement of accounts to the Secretary of State and the Comptroller and Auditor General before the end of August next following the financial year to which the statement relates.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 12A, Para 22(4)	N/A	The Comptroller and Auditor General must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(4)(a)	N/A	examine, certify and report on the statement of accounts, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(4)(b)	N/A	send a copy of the certified statement and the report to the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(5)	N/A	The Secretary of State must lay before Parliament each document received under sub-paragraph (4)(b).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(6)	N/A	In this paragraph “financial year” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(6)(a)	N/A	the period beginning with the date on which the Commission is established and ending with the 31 March following that date, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 22(6)(b)	N/A	each successive period of 12 months. Authentication of seal and presumption of authenticity of documents	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(1)	N/A	The application of the Commission’s seal must be authenticated by the signature of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(1)(a)	N/A	the chair of the Commission, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(1)(b)	N/A	another person authorised for that purpose by the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(2)	N/A	A document purporting to be duly executed under the Commission’s seal or signed on its behalf—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(2)(a)	N/A	is to be received in evidence, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(2)(b)	N/A	is to be taken to be executed or signed in that way, unless the contrary is shown.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 23(3)	N/A	This paragraph does not extend to Scotland. Supplementary powers	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(1)	N/A	The first chief executive of the Commission is to be appointed by the chair of the Commission.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(2)	N/A	Before making the appointment the chair must consult the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(3)	N/A	The appointment must be for a term of not more than 2 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(4)	N/A	The chair may extend the term of the appointment but not so the term as extended is more than 2 years.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(5)	N/A	For the term of appointment, the person appointed under sub-paragraph (1) is “the interim chief executive”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(6)	N/A	Until the expiry of the term of appointment, the powers conferred on the non- executive members by paragraph 11(2) and (3) are exercisable in respect of the interim chief executive by the chair (instead of by the non-executive members).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 25(7)	N/A	In sub-paragraphs (5) and (6), the references to the term of appointment are to the term of appointment described in sub-paragraph (3), including any extension of the term under sub-paragraph (4). Interpretation	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 26(a)	N/A	references to pensions, allowances or gratuities include references to any similar benefits provided on death or retirement, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 12A, Para 26(b)	N/A	references to the payment of pensions, allowances or gratuities to or in respect of a person include references to the making of payments towards the provision of pensions, allowances or gratuities to be paid to or in respect of a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13	OTHER GENERAL FUNCTIONS OF THE COMMISSIONER	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)	N/A	The Commissioner must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(a)	N/A	monitor and enforce Parts 3 and 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(b)	N/A	promote public awareness and understanding of the risks, rules, safeguards and rights in relation to processing of personal data to which those Parts apply;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(c)	N/A	advise Parliament, the government and other institutions and bodies on legislative and administrative measures relating to the protection of individuals’ rights and freedoms with regard to processing of personal data to which those Parts apply;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(d)	N/A	promote the awareness of controllers and processors of their obligations under Parts 3 and 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(e)	N/A	on request, provide information to a data subject concerning the exercise of the data subject’s rights under Parts 3 and 4 of this Act and, if appropriate, co-operate with ... foreign designated authorities to provide such information;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(f)	N/A	co-operate with ... foreign designated authorities with a view to ensuring the consistency of application and enforcement of ... the Data Protection Convention, including by sharing information and providing mutual assistance;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(g)	N/A	conduct investigations on the application of Parts 3 and 4 of this Act, including on the basis of information received from ... a foreign designated authority or another public authority;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(1)(h)	N/A	monitor relevant developments to the extent that they have an impact on the protection of personal data, including the development of information and communication technologies;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(2)	N/A	Section 3(14)(c) does not apply to the reference to personal data in sub-paragraph (1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 1(2)(h)	N/A	. General powers	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 2(a)	N/A	to notify the controller or the processor of an alleged infringement of Part 3 or 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 2(b)	N/A	to issue warnings to a controller or processor that intended processing operations are likely to infringe provisions of Part 3 or 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 2(c)	N/A	to issue reprimands to a controller or processor where processing operations have infringed provisions of Part 3 or 4 of this Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 13, Para 2(d)	N/A	to issue, on the Commissioner’s own initiative or on request, opinions to Parliament, the government or other institutions and bodies as well as to the public on any issue related to the protection of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14	CO-OPERATION AND MUTUAL ASSISTANCE	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 1	Law Enforcement Directive	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2	Data Protection Convention	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6(1)	N/A	The Commissioner must, at the request of a foreign designated authority—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6(1)(a)	N/A	provide that authority with such information referred to in Article 13(3)(a) of the Data Protection Convention (information on law and administrative practice in the field of data protection) as is the subject of the request, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6(1)(b)	N/A	take appropriate measures in accordance with Article 13(3)(b) of the Data Protection Convention for providing that authority with information relating to the processing of personal data in the United Kingdom.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 14, Part 2, Para 6(2)	N/A	The Commissioner may ask a foreign designated authority—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6(2)(a)	N/A	to provide the Commissioner with information referred to in Article 13(3) of the Data Protection Convention, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 6(2)(b)	N/A	to take appropriate measures to provide such information. Assisting persons resident outside the UK with requests under Article 14 of the Convention	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 7(1)	N/A	This paragraph applies where a request for assistance in exercising any of the rights referred to in Article 8 of the Data Protection Convention in the United Kingdom is made by a person resident outside the United Kingdom, including where the request is forwarded to the Commissioner through the Secretary of State or a foreign designated authority.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 7(2)	N/A	The Commissioner must take appropriate measures to assist the person to exercise those rights. Assisting UK residents with requests under Article 8 of the Convention	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8(1)	N/A	This paragraph applies where a request for assistance in exercising any of the rights referred to in Article 8 of the Data Protection Convention in a country or territory (other than the United Kingdom) specified in the request is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8(1)(a)	N/A	made by a person resident in the United Kingdom, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8(1)(b)	N/A	submitted through the Commissioner under Article 14(2) of the Convention.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8(2)	N/A	If the Commissioner is satisfied that the request contains all necessary particulars referred to in Article 14(3) of the Data Protection Convention, the Commissioner must send the request to the foreign designated authority in the specified country or territory.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 8(3)	N/A	Otherwise, the Commissioner must, where practicable, notify the person making the request of the reasons why the Commissioner is not required to assist. Restrictions on use of information	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 9(a)	N/A	a request made by the Commissioner under paragraph 6(2), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 14, Part 2, Para 9(b)	N/A	a request received by the Commissioner under paragraph 6(1) or 7, the Commissioner may use the information only for the purposes specified in the request. Foreign designated authority	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15	POWERS OF ENTRY AND INSPECTION	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(1)	N/A	This paragraph applies if a judge of the High Court, a circuit judge or a District Judge (Magistrates' Courts) is satisfied by information on oath supplied by the Commissioner that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(1)(a)	N/A	there are reasonable grounds for suspecting that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(1)(a)(i)	N/A	a controller or processor has failed or is failing as described in section 149(2), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(1)(a)(ii)	N/A	an offence under this Act has been or is being committed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(1)(b)	N/A	there are reasonable grounds for suspecting that evidence of the failure or of the commission of the offence is to be found on premises specified in the information or is capable of being viewed using equipment on such premises	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 1(2)	N/A	The judge may grant a warrant to the Commissioner. Issue of warrants in connection with assessment notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 2(1)	N/A	This paragraph applies if a judge of the High Court, a circuit judge or a District Judge (Magistrates' Courts) is satisfied by information on oath supplied by the Commissioner that a controller or processor has failed to comply with a requirement imposed by an assessment notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 2(2)	N/A	The judge may, for the purpose of enabling the Commissioner to determine whether the controller or processor has complied or is complying with the data protection legislation, grant a warrant to the Commissioner in relation to premises that were specified in the assessment notice. Restrictions on issuing warrants: processing for the special purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(1)	N/A	A judge must not issue a warrant under this Schedule unless satisfied that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(1)(a)	N/A	the conditions in sub-paragraphs (2) to (4) are met,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(1)(b)	N/A	compliance with those conditions would defeat the object of entry to the premises in question, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(1)(c)	N/A	the Commissioner requires access to the premises in question urgently.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(2)	N/A	The first condition is that the Commissioner has given 7 days' notice in writing to the occupier of the premises in question demanding access to the premises.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(3)	N/A	The second condition is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(3)(a)	N/A	access to the premises was demanded at a reasonable hour and was unreasonably refused, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(3)(b)	N/A	entry to the premises was granted but the occupier unreasonably refused to comply with a request by the Commissioner or the Commissioner's officers or staff to be allowed to do any of the things referred to in paragraph 5.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(4)	N/A	The third condition is that, since the refusal, the occupier of the premises—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(4)(a)	N/A	has been notified by the Commissioner of the application for the warrant, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(4)(b)	N/A	has had an opportunity to be heard by the judge on the question of whether or not the warrant should be issued.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 4(5)	N/A	In determining whether the first condition is met, an assessment notice given to the occupier is to be disregarded. Content of warrants	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(1)	N/A	A warrant issued under this Schedule must authorise the Commissioner or any of the Commissioner's officers or staff—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(1)(a)	N/A	to enter the premises,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(1)(b)	N/A	to search the premises, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(1)(c)	N/A	to inspect, examine, operate and test any equipment found on the premises which is used or intended to be used for the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(2)	N/A	A warrant issued under paragraph 1 must authorise the Commissioner or any of the Commissioner's officers or staff—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(2)(a)	N/A	to inspect and seize any documents or other material found on the premises which may be evidence of the failure or offence mentioned in that paragraph,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(2)(b)	N/A	to require any person on the premises to provide, in an appropriate form, a copy of information capable of being viewed using equipment on the premises which may be evidence of that failure or offence,	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 15, Para 5(2)(c)	N/A	to require any person on the premises to provide an explanation of any document or other material found on the premises and of any information capable of being viewed using equipment on the premises, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(2)(d)	N/A	to require any person on the premises to provide such other information as may reasonably be required for the purpose of determining whether the controller or processor has failed or is failing as described in section 149(2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(3)	N/A	A warrant issued under paragraph 2 must authorise the Commissioner or any of the Commissioner's officers or staff— to inspect and seize any documents or other material found on the premises which may enable the Commissioner to determine whether the controller or processor has complied or is complying with the data protection legislation,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(3)(a)	N/A	to require any person on the premises to provide, in an appropriate form, a copy of information capable of being viewed using equipment on the premises which may enable the Commissioner to make such a determination,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(3)(b)	N/A	to require any person on the premises to provide an explanation of any document or other material found on the premises and of any information capable of being viewed using equipment on the premises, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(3)(c)	N/A	to require any person on the premises to provide such other information as may reasonably be required for the purpose of determining whether the controller or processor has complied or is complying with the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(3)(d)	N/A	A warrant issued under this Schedule must authorise the Commissioner or any of the Commissioner's officers or staff to do the things described in sub-paragraphs (1) to —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(4)	N/A	For the purposes of this paragraph, a copy of information is in an "appropriate form" if —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(5)	N/A	it can be taken away, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(5)(a)	N/A	it is visible and legible or it can readily be made visible and legible. Copies of warrants	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 5(5)(b)	N/A	issue two copies of it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 6(a)	N/A	certify them clearly as copies. Execution of warrants: reasonable force	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 6(b)	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 9	N/A	If an occupier of the premises in respect of which a warrant is issued under this Schedule is present when the warrant is executed, the person executing the warrant must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 9(1)	N/A	show the occupier the warrant, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 9(1)(a)	N/A	give the occupier a copy of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 9(1)(b)	N/A	Otherwise, a copy of the warrant must be left in a prominent place on the premises. Execution of warrants: seizure of documents etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 9(2)	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(1)	N/A	This paragraph applies where a person executing a warrant under this Schedule seizes something.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(2)	N/A	The person must, on request—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(2)(a)	N/A	give a receipt for it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(2)(b)	N/A	give an occupier of the premises a copy of it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(3)	N/A	Sub-paragraph (2)(b) does not apply if the person executing the warrant considers that providing a copy would result in undue delay.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 10(4)	N/A	Anything seized may be retained for so long as is necessary in all the circumstances. Matters exempt from inspection and seizure: privileged communications	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(1)	N/A	The powers of inspection and seizure conferred by a warrant issued under this Schedule are not exercisable in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(1)(a)	N/A	between a professional legal adviser and the adviser's client, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(1)(b)	N/A	in connection with the giving of legal advice to the client with respect to obligations, liabilities or rights under the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(2)	N/A	The powers of inspection and seizure conferred by a warrant issued under this Schedule are not exercisable in respect of a communication which is made—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(2)(a)	N/A	between a professional legal adviser and the adviser's client or between such an adviser or client and another person,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(2)(b)	N/A	in connection with or in contemplation of proceedings under or arising out of the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(2)(c)	N/A	for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(3)	N/A	Sub-paragraphs (1) and (2) do not prevent the exercise of powers conferred by a warrant issued under this Schedule in respect of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(3)(a)	N/A	anything in the possession of a person other than the professional legal adviser or the adviser's client, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(3)(b)	N/A	anything held with the intention of furthering a criminal purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(4)	N/A	The references to a communication in sub-paragraphs (1) and (2) include—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(4)(a)	N/A	a copy or other record of the communication, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(4)(b)	N/A	anything enclosed with or referred to in the communication if made as described in sub-paragraph (1)(b) or in sub-paragraph (2)(b) and (c).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 11(5)	N/A	In sub-paragraphs (1) to (3), the references to the client of a professional legal adviser include a person acting on behalf of such a client. Matters exempt from inspection and seizure: Parliamentary privilege	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 13(1)	N/A	This paragraph applies if a person in occupation of premises in respect of which a warrant is issued under this Schedule objects to the inspection or seizure of any material under the warrant on the grounds that it consists partly of matters in respect of which those powers are not exercisable.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 13(2)	N/A	The person must, if the person executing the warrant so requests, provide that person with a copy of so much of the material as is not exempt from those powers. Return of warrants	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 14(1)	N/A	Where a warrant issued under this Schedule is executed—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 14(1)(a)	N/A	it must be returned to the court from which it was issued after being executed, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 14(1)(b)	N/A	the person by whom it is executed must write on the warrant a statement of the powers that have been exercised under the warrant.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 14(2)	N/A	Where a warrant issued under this Schedule is not executed, it must be returned to the court from which it was issued within the time authorised for its execution. Offences	Functional	No Relationship	N/A	N/A	N/A	0	

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Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 15, Para 15	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(1)	N/A	It is an offence for a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(1)(a)	N/A	intentionally to obstruct a person in the execution of a warrant issued under this Schedule, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(1)(b)	N/A	to fail without reasonable excuse to give a person executing such a warrant such assistance as the person may reasonably require for the execution of the warrant.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(2)	N/A	It is an offence for a person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(2)(a)	N/A	to make a statement in response to a requirement under paragraph 5(2)(c) or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(2)(d)	N/A	or (3)(c) or (d) which the person knows to be false in a material respect, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 15(2)(b)	N/A	recklessly to make a statement in response to such a requirement which is false in a material respect. Self-incrimination	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(1)	N/A	An explanation given, or information provided, by a person in response to a requirement under paragraph 5(2)(c) or (d) or (3)(c) or (d) may only be used in evidence against that person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(1)(a)	N/A	on a prosecution for an offence under a provision listed in sub-paragraph (2), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(1)(b)	N/A	on a prosecution for any other offence where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(1)(b)(i)	N/A	in giving evidence that person makes a statement inconsistent with that explanation or information, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(1)(b)(ii)	N/A	evidence relating to that explanation or information is adduced, or a question relating to it is asked, by that person or on that person's behalf.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(2)	N/A	Those provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(2)(a)	N/A	paragraph 15,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(2)(b)	N/A	section 5 of the Perjury Act 1911 (false statements made otherwise than on oath),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(2)(c)	N/A	section 44(2) of the Criminal Law (Consolidation) (Scotland) Act 1995 (false statements made otherwise than on oath), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 16(2)(d)	N/A	Article 10 of the Perjury (Northern Ireland) Order 1979 (S.I. 1979/1714 (N.I. 19)) (false statutory declarations and other false unsworn statements). Vessels, vehicles etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 17(a)	N/A	"premises" includes a vehicle, vessel or other means of transport, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 17(b)	N/A	references to the occupier of premises include the person in charge of a vehicle, vessel or other means of transport. Scotland	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 18(a)	N/A	references to a judge of the High Court have effect as if they were references to a judge of the Court of Session.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 18(b)	N/A	references to a circuit judge have effect as if they were references to the sheriff or the summary sheriff.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 18(c)	N/A	references to information on oath have effect as if they were references to evidence on oath, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 18(d)	N/A	references to the court from which the warrant was issued have effect as if they were references to the sheriff clerk. Northern Ireland	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 19(a)	N/A	references to a circuit judge have effect as if they were references to a county court judge, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 15, Para 19(b)	N/A	references to information on oath have effect as if they were references to a complaint on oath.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16	PENALTIES	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 2(1)	N/A	Before giving a person a penalty notice, the Commissioner must, by written notice (a "notice of intent") inform the person that the Commissioner intends to give a penalty notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(1)	N/A	A notice of intent must contain the following information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(1)(a)	N/A	the name and address of the person to whom the Commissioner proposes to give a penalty notice;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(1)(b)	N/A	the reasons why the Commissioner proposes to give a penalty notice (see sub-paragraph (2));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(1)(c)	N/A	an indication of the amount of the penalty the Commissioner proposes to impose, including any aggravating or mitigating factors that the Commissioner proposes to take into account.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(2)	N/A	The information required under sub-paragraph (1)(b) includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(2)(a)	N/A	a description of the circumstances of the failure, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(2)(b)	N/A	where the notice is given in respect of a failure described in section 149(2), the nature of the personal data involved in the failure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(3)	N/A	A notice of intent must also—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(3)(a)	N/A	state that the person may make written representations about the Commissioner's intention to give a penalty notice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(3)(b)	N/A	specify the period within which such representations may be made.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(4)	N/A	The period specified for making written representations must be a period of not less than 21 days beginning when the notice of intent is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(5)	N/A	If the Commissioner considers that it is appropriate for the person to have an opportunity to make oral representations about the Commissioner's intention to give a penalty notice, the notice of intent must also—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(5)(a)	N/A	state that the person may make such representations, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 3(5)(b)	N/A	specify the arrangements for making such representations and the time at which, or the period within which, they may be made. Giving a penalty notice 4(A1) This paragraph applies where the Commissioner gives a notice of intent to a person. (A2) Within the period of 6 months beginning when the notice is given, or as soon as reasonably practicable thereafter, the Commission must give to the person—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)	N/A	A penalty notice must contain the following information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(a)	N/A	the name and address of the person to whom it is addressed;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(b)	N/A	details of the notice of intent given to the person;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(c)	N/A	whether the Commissioner received oral or written representations in accordance with the notice of intent;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(d)	N/A	the reasons why the Commissioner proposes to impose the penalty (see sub-paragraph (2));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(e)	N/A	the reasons for the amount of the penalty, including any aggravating or mitigating factors that the Commissioner has taken into account;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(f)	N/A	details of how the penalty is to be paid;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(1)(g)	N/A	details of the rights of appeal under section 162;	Functional	No Relationship	N/A	N/A	N/A	0	

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Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

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FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 16, Para 5(1)(h)	N/A	details of the Commissioner's enforcement powers under this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(2)	N/A	The information required under sub-paragraph (1)(d) includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(2)(a)	N/A	a description of the circumstances of the failure, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 5(2)(b)	N/A	where the notice is given in respect of a failure described in section 149(2), the nature of the personal data involved in the failure. Period for payment of penalty	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 6(1)	N/A	A penalty must be paid to the Commissioner within the period specified in the penalty notice.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 6(2)	N/A	The period specified must be a period of not less than 28 days beginning when the penalty notice is given. Variation of penalty	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(1)	N/A	The Commissioner may vary a penalty notice by giving written notice (a "penalty variation notice") to the person to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(2)	N/A	A penalty variation notice must specify—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(2)(a)	N/A	the penalty notice concerned, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(2)(b)	N/A	how it is varied.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(3)	N/A	A penalty variation notice may not—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(3)(a)	N/A	reduce the period for payment of the penalty;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(3)(b)	N/A	increase the amount of the penalty;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(3)(c)	N/A	otherwise vary the penalty notice to the detriment of the person to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(4)	N/A	If—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(4)(a)	N/A	a penalty variation notice reduces the amount of the penalty, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 7(4)(b)	N/A	when that notice is given, an amount has already been paid that exceeds the amount of the reduced penalty, the Commissioner must repay the excess. Cancellation of penalty	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 8(1)	N/A	The Commissioner may cancel a penalty notice by giving written notice to the person to whom it was given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 8(2)	N/A	If a penalty notice is cancelled, the Commissioner—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 8(2)(a)	N/A	may not take any further action under section 155 or this Schedule in relation to the failure to which that notice relates, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 8(2)(b)	N/A	must repay any amount that has been paid in accordance with that notice. Enforcement of payment	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(1)	N/A	The Commissioner must not take action to recover a penalty unless—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(1)(a)	N/A	the period specified in accordance with paragraph 6 has ended,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(1)(b)	N/A	any appeals against the penalty notice have been decided or otherwise ended.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(1)(c)	N/A	if the penalty notice has been varied, any appeals against the penalty variation notice have been decided or otherwise ended, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(1)(d)	N/A	the period for the person to whom the penalty notice was given to appeal against the penalty, and any variation of it, has ended.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(2)	N/A	In England and Wales, a penalty is recoverable—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(2)(a)	N/A	if the county court so orders, as if it were payable under an order of that court.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(2)(b)	N/A	if the High Court so orders, as if it were payable under an order of that court.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(3)	N/A	In Scotland, a penalty may be enforced in the same manner as an extract registered decree arbitral bearing a warrant for execution issued by the sheriff court of any sheriffdom in Scotland.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(4)	N/A	In Northern Ireland, a penalty is recoverable—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(4)(a)	N/A	if a county court so orders, as if it were payable under an order of that court.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 16, Para 9(4)(b)	N/A	if the High Court so orders, as if it were payable under an order of that court.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17	REVIEW OF PROCESSING OF PERSONAL DATA FOR THE PURPOSES OF JOURNALISM	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 1(a)	N/A	the period of 18 months beginning when the Commissioner starts the first review under section 178; and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 1(b)	N/A	the period of 12 months beginning when the Commissioner starts a subsequent review under that section; "the relevant review", in relation to a relevant period, means the review under section 178 which the Commissioner must produce a report about by the end of that period. Information notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 2(1)	N/A	This paragraph applies where the Commissioner gives an information notice during a relevant period.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 2(2)	N/A	If the information notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 2(2)(a)	N/A	states that, in the Commissioner's opinion, the information is required for the purposes of the relevant review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 2(2)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (5) and (6) of section 142 do not apply but the notice must not require the information to be provided before the end of the period of 24 hours beginning when the notice is given. Assessment notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3(1)	N/A	Sub-paragraph (2) applies where the Commissioner gives an assessment notice to a person during a relevant period.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3(2)	N/A	If the assessment notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3(2)(a)	N/A	states that, in the Commissioner's opinion, it is necessary for the controller or processor to comply with a requirement in the notice for the purposes of the relevant review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3(2)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (6) and (7) of section 146 do not apply but the notice must not require the controller or processor to comply with the requirement before the end of the period of 7 days beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3(3)	N/A	During a relevant period, section 147 has effect as if for subsection (5) there were substituted— "(5) The Commissioner may not give a controller or processor an assessment notice with respect to the processing of personal data for the special purposes unless a determination under section 174 with respect to the data or the processing has taken effect." Interview notices	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 17, Para 3A	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3A(1)	N/A	Sub-paragraph (2) applies where the Commissioner gives an interview notice to an individual during a relevant period.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3A(2)	N/A	If the interview notice—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3A(2)(a)	N/A	states that, in the Commissioner's opinion, it is necessary for the individual to comply with a requirement in the notice for the purposes of the relevant review, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3A(2)(b)	N/A	gives the Commissioner's reasons for reaching that opinion, subsections (6) and (7) of section 146A do not apply but the notice must not require the individual to comply with the requirement before the end of the period of 24 hours beginning when the notice is given.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 3A(3)	N/A	During a relevant period, section 148B has effect as if for subsection (8) there were substituted— "(8) The Commissioner may not give an individual an interview notice with respect to the processing of personal data for the special purposes unless a determination under section 174 with respect to the data or the processing has taken effect." Applications in respect of urgent notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 17, Para 4(a)	N/A	, 146(8)(a) or 148A(8)(a).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18	RELEVANT RECORDS	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1(1)	N/A	In section 184, "relevant record" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1(1)(a)	N/A	a relevant health record (see paragraph 2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1(1)(b)	N/A	a relevant record relating to a conviction or caution (see paragraph 3), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1(1)(c)	N/A	a relevant record relating to statutory functions (see paragraph 4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 1(2)	N/A	A record is not a "relevant record" to the extent that it relates, or is to relate, only to personal data which falls within Article 2(1A) of the UK GDPR (manual unstructured personal data held by FOI public authorities). Relevant health records	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(1)	N/A	"Relevant record relating to a conviction or caution" means a record which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(1)(a)	N/A	has been or is to be obtained by a data subject in the exercise of a data subject access right from a person listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(1)(b)	N/A	contains information relating to a conviction or caution.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)	N/A	Those persons are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(a)	N/A	the chief constable of a police force maintained under section 2 of the Police Act 1996;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(b)	N/A	the Commissioner of Police of the Metropolis;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(c)	N/A	the Commissioner of Police for the City of London;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(d)	N/A	the Chief Constable of the Police Service of Northern Ireland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(e)	N/A	the chief constable of the Police Service of Scotland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(f)	N/A	the Director General of the National Crime Agency;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(fa)	N/A	the Independent Commission for Reconciliation and Information Recovery;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(2)(g)	N/A	the Secretary of State.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 3(3)	N/A	In this paragraph— "caution" means a caution given to a person in England and Wales or Northern Ireland in respect of an offence which, at the time when the caution is given, is admitted; "conviction" has the same meaning as in the Rehabilitation of Offenders Act 1974 or the Rehabilitation of Offenders (Northern Ireland) Order 1978 (S.I. 1978/1908 (N.I. 27)). Relevant records relating to statutory functions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(1)	N/A	"Relevant record relating to statutory functions" means a record which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(1)(a)	N/A	has been or is to be obtained by a data subject in the exercise of a data subject access right from a person listed in sub-paragraph (2), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(1)(b)	N/A	contains information relating to a relevant function in relation to that person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)	N/A	Those persons are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(a)	N/A	the Secretary of State;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(b)	N/A	the Department for Communities in Northern Ireland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(c)	N/A	the Department of Justice in Northern Ireland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(d)	N/A	the Scottish Ministers;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(e)	N/A	the Disclosure and Barring Service;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(2)(f)	N/A	the independent reviewer appointed under section 12 of the Age of Criminal Responsibility (Scotland) Act 2019.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)	N/A	In relation to the Secretary of State, the "relevant functions" are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(a)	N/A	the Secretary of State's functions in relation to a person sentenced to detention under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(a)(i)	N/A	section 92 of the Powers of Criminal Courts (Sentencing) Act 2000,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(a)(ii)	N/A	section 260 of the Sentencing Code,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(a)(iii)	N/A	section 205(2) or 208 of the Criminal Procedure (Scotland) Act 1995, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(a)(iv)	N/A	Article 45 of the Criminal Justice (Children) (Northern Ireland) Order 1998 (S.I. 1998/1504 (N.I. 9)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(b)	N/A	the Secretary of State's functions in relation to a person imprisoned or detained under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(b)(i)	N/A	the Prison Act 1952,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(b)(ii)	N/A	the Prisons (Scotland) Act 1989, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(b)(iii)	N/A	the Prison Act (Northern Ireland) 1953 (c. 18 (N.I.3));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(c)	N/A	the Secretary of State's functions under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(c)(i)	N/A	the Social Security Contributions and Benefits Act 1992,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(c)(ii)	N/A	the Social Security Administration Act 1992,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(c)(iii)	N/A	the Jobseekers Act 1995,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(c)(iv)	N/A	Part 5 of the Police Act 1997,	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 18, Para 4(3)(v)	N/A	Part 1 of the Welfare Reform Act 2007, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(3)(v)(vi)	N/A	Part 1 of the Welfare Reform Act 2012.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(4)	N/A	In relation to the Department for Communities in Northern Ireland, the "relevant functions" are its functions under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(4)(a)	N/A	the Social Security Contributions and Benefits (Northern Ireland) Act 1992,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(4)(b)	N/A	the Social Security Administration (Northern Ireland) Act 1992,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(4)(c)	N/A	the Jobseekers (Northern Ireland) Order 1995 (S.I. 1995/2705 (N.I. 15)), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(4)(d)	N/A	Part 1 of the Welfare Reform Act (Northern Ireland) 2007 (c. 2 (N.I.1)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(5)	N/A	In relation to the Department of Justice in Northern Ireland, the "relevant functions" are its functions under Part 5 of the Police Act 1997.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(6)	N/A	In relation to the Scottish Ministers, the "relevant functions" are their functions under	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(6)(a)	N/A	Part 1 of the Disclosure (Scotland) Act 2020, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(6)(b)	N/A	Parts 1 and 2 of the Protection of Vulnerable Groups (Scotland) Act 2007 (asp 14).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(7)	N/A	In relation to the Disclosure and Barring Service, the "relevant functions" are its functions under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(7)(a)	N/A	Part 5 of the Police Act 1997,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(7)(b)	N/A	the Safeguarding Vulnerable Groups Act 2006, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(7)(c)	N/A	the Safeguarding Vulnerable Groups (Northern Ireland) Order 2007 (S.I. 2007/1351 (N.I. 11)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 4(8)	N/A	In relation to the independent reviewer mentioned in sub-paragraph (2)(f), the "relevant functions" are the reviewer's functions under Part 2 of the Age of Criminal Responsibility (Scotland) Act 2019. Data subject access right	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 5(a)	N/A	Article 15 of the UK GDPR (right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 5(b)	N/A	Article 20 of the UK GDPR (right to data portability);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 5(c)	N/A	section 45 of this Act (law enforcement processing: right of access by the data subject);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 5(d)	N/A	section 94 of this Act (intelligence services processing: right of access by the data subject). Records stating that personal data is not processed	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 7(1)	N/A	The Secretary of State may by regulations amend this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 18, Para 7(2)	N/A	Regulations under this paragraph are subject to the affirmative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19	MINOR AND CONSEQUENTIAL AMENDMENTS	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1	Amendments Of Primary Legislation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 1(1)	N/A	Section 19AC of the Registration Service Act 1953 (codes of practice) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 1(2)	N/A	In subsection (2), for "issued under section 52B (data-sharing code) of the Data Protection Act 1998" substitute " prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(d) of that Act ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 1(3)	N/A	In subsection (11), for "section 51(3) of the Data Protection Act 1998" substitute " section 128 of the Data Protection Act 2018 ". Veterinary Surgeons Act 1966 (c. 36)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(1)	N/A	Section 1A of the Veterinary Surgeons Act 1966 (functions of the Royal College of Veterinary Surgeons as competent authority) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(2)	N/A	In subsection (8)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(2)(a)	N/A	omit "personal data protection legislation in the United Kingdom that implements"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(2)(b)	N/A	for paragraph (a) substitute— "(a) the GDPR; and", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(2)(c)	N/A	in paragraph (b), at the beginning insert " legislation in the United Kingdom that implements ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 2(3)	N/A	In subsection (9), after "section" insert "— "the GDPR" means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018;". Parliamentary Commissioner Act 1967 (c. 13)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 3(a)	N/A	in paragraph (a), for sub-paragraph (i) substitute— "(i) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 3(b)	N/A	for paragraph (b) substitute— "(b) the commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 3(i)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 3(ii)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure). " Local Government Act 1974 (c. 7)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 5(a)	N/A	in paragraph (a), for sub-paragraph (i) substitute— "(i) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 5(b)	N/A	for paragraph (b) substitute— "(b) the commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 5(i)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 5(ii)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure). "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 6(a)	N/A	in paragraph (a), for sub-paragraph (i) substitute— "(i) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 6(b)	N/A	for paragraph (b) substitute— "(b) the commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 6(i)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 6(ii)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure). " Consumer Credit Act 1974 (c. 39)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 8(a)	N/A	in paragraph (a), for "the Data Protection Act 1998" substitute " the GDPR ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 8(b)	N/A	in paragraph (b), after "any" insert " other ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 15	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 15(1)	N/A	Schedule 2C (Directive 2005/36/EC: European professional card) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 15(2)	N/A	In paragraph 8(1) (access to data), for "Directive 95/46/EC" substitute " the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 15(3)	N/A	In paragraph 9 (processing data), omit sub-paragraph (2) (deeming the Society to be the controller for the purposes of Directive 95/46/EC).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 16	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 16(1)	N/A	The table in Schedule 2D (functions of the Society under Directive 2005/36/EC) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 16(2)	N/A	In the entry for Article 56(2), in the second column, for "Directive 95/46/EC" substitute " the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 16(3)	N/A	In the entry for Article 56a(4), in the second column, for "Directive 95/46/EC" substitute " the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 17(1)	N/A	Paragraph 2 of Schedule 3 (fitness to practice: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 17(2)	N/A	In sub-paragraph (2)(a), after "provision" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 17(3)	N/A	For sub-paragraph (3) substitute—"(3) In determining for the purposes of sub-paragraph (2)(a) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this paragraph."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 17(4)	N/A	After sub-paragraph (4) insert—"(5) In this paragraph, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)." Representation of the People Act 1983 (c. 2)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(1)	N/A	Schedule 2 to the Representation of the People Act 1983 (provisions which may be contained in regulations as to registration etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(2)	N/A	In paragraph 1A(5), for "the Data Protection Act 1998" substitute " Parts 5 to 7 of the Data Protection Act 2018 (see section 3(4) and (14) of that Act) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(3)	N/A	In paragraph 8C(2), for "the Data Protection Act 1998" substitute " Parts 5 to 7 of the Data Protection Act 2018 (see section 3(4) and (14) of that Act) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(4)	N/A	In paragraph 11A—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(4)(a)	N/A	in sub-paragraph (1) for "who are data users to supply data, or documents containing information extracted from data and" substitute " to supply information ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 18(4)(b)	N/A	omit sub-paragraph (2). Medical Act 1983 (c. 54)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 20(1)	N/A	Section 29E (evidence) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 20(2)	N/A	In subsection (5), after "enactment" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 20(3)	N/A	For subsection (7) substitute—"(7) In determining for the purposes of subsection (5) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this section."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 20(4)	N/A	In subsection (9), at the end insert—" "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)." "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 21(1)	N/A	Section 35A (General Medical Council's power to require disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 21(2)	N/A	In subsection (4), after "enactment" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 21(3)	N/A	For subsection (5A) substitute—" (5A) In determining for the purposes of subsection (4) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this section."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 21(4)	N/A	In subsection (7), at the end insert—" "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)." "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 24	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 24(1)	N/A	Paragraph 9B of Schedule 1 (incidental powers of the General Medical Council) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 24(2)	N/A	In sub-paragraph (2)(a), after "enactment" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 24(3)	N/A	After sub-paragraph (3) insert—" (4) In this paragraph, "the GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act)." "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 25	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 25(1)	N/A	Paragraph 5A of Schedule 4 (professional performance assessments and health assessments) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 25(2)	N/A	In sub-paragraph (8), after "enactment" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 25(3)	N/A	For sub-paragraph (8A) substitute—" (8A) In determining for the purposes of sub-paragraph (8) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this paragraph."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 25(4)	N/A	After sub-paragraph (13) insert—" (14) In this paragraph, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)." "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 26	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 26(1)	N/A	The table in Schedule 4A (functions of the General Medical Council as competent authority under Directive 2005/36) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 26(2)	N/A	In the entry for Article 56(2), in the second column, for "Directive 95/46/EC" substitute " the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 26(3)	N/A	In the entry for Article 56a(4), in the second column, for "Directive 95/46/EC" substitute " the GDPR ". Dentists Act 1984 (c. 24)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28(1)	N/A	Section 33B (the General Dental Council's power to require disclosure of information: the dental profession) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28(2)	N/A	In subsection (3), after "enactment" insert " or relevant provision of the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28(3)	N/A	For subsection (4) substitute—" (4) For the purposes of subsection (3)— "relevant enactment" means any enactment other than—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 28(3)(a)	N/A	this Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28(3)(b)	N/A	the listed provisions in paragraph 1 of Schedule 11 to the Data Protection Act 2018 (exemptions to Part 4 : disclosures required by law); "relevant provision of the GDPR" means any provision of the GDPR apart from the listed GDPR provisions in paragraph 1 of Schedule 2 to the Data Protection Act 2018 (GDPR provisions to be adapted or restricted: disclosures required by law)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 28(4)	N/A	After subsection (10) insert— "(11) In this section, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(1)	N/A	Section 36Y (the General Dental Council's power to require disclosure of information: professions complementary to dentistry) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(2)	N/A	In subsection (3), after "enactment" insert " or relevant provision of the GDPR "	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(3)	N/A	For subsection (4) substitute— "(4) For the purposes of subsection (3)— "relevant enactment" means any enactment other than—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(3)(a)	N/A	this Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(3)(b)	N/A	the listed provisions in paragraph 1 of Schedule 11 to the Data Protection Act 2018 (exemptions to Part 4 : disclosures required by law); "relevant provision of the GDPR" means any provision of the GDPR apart from the listed GDPR provisions in paragraph 1 of Schedule 2 to the Data Protection Act 2018 (GDPR provisions to be adapted or restricted: disclosures required by law)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 30(4)	N/A	After subsection (10) insert— "(11) In this section, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 32	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 32(1)	N/A	The table in Schedule 4ZA (Directive 2005/36: functions of the General Dental Council under section 36ZA(3)) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 32(2)	N/A	In the entry for Article 56(2), in the second column, for "Directive 95/46/EC" substitute " the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 32(3)	N/A	In the entry for Article 56(4), in the second column, for "Directive 95/46/EC" substitute " the GDPR ", Companies Act 1985 (c. 6)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 35	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 35(1)	N/A	Section 13B of the Opticians Act 1989 (the Council's power to require disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 35(2)	N/A	In subsection (3), after "enactment" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 35(3)	N/A	For subsection (4) substitute— "(4) In determining for the purposes of subsection (3) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this section."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 35(4)	N/A	After subsection (9) insert— "(10) In this section, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 38	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 38(1)	N/A	Section 3 (right of access to health records) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 38(2)	N/A	In subsection (2), omit "Subject to subsection (4) below,".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 38(3)	N/A	In subsection (4), omit from "other than the following" to the end. Human Fertilisation and Embryology Act 1990 (c. 37)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 39	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 39(1)	N/A	Section 33D of the Human Fertilisation and Embryology Act 1990 (disclosure for the purposes of medical or other research) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 39(2)	N/A	In subsection (6), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 39(3)	N/A	In subsection (9), at the appropriate place insert— ""the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Trade Union and Labour Relations (Consolidation) Act 1992 (c. 52)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 40	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 40(1)	N/A	Section 251B of the Trade Union and Labour Relations (Consolidation) Act 1992 (prohibition on disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 40(2)	N/A	In subsection (3), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 40(3)	N/A	After subsection (6) insert— "(7) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Tribunals and Inquiries Act 1992 (c. 53)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 42	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 42(1)	N/A	Article 90B of the Industrial Relations (Northern Ireland) Order 1992 (prohibition on disclosure of information held by the Labour Relations Agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 42(2)	N/A	In paragraph (3), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 42(3)	N/A	After paragraph (6) insert— "(7) In this Article, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Health Service Commissioners Act 1993 (c. 46)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 43(a)	N/A	in paragraph (a), for sub-paragraph (i) substitute— "(i) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 43(b)	N/A	for paragraph (b) substitute— "(b) the commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 43(i)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc.) or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 43(ii)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure)." Data Protection Act 1998 (c. 29)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 46	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 46(1)	N/A	Section 19 of the Food Standards Act 1999 (publication etc by the Food Standards Agency of advice and information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 46(2)	N/A	In subsection (2), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 46(3)	N/A	In subsection (8), after "section" insert "— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Immigration and Asylum Act 1999 (c. 33)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 47	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 47(1)	N/A	Section 13 of the Immigration and Asylum Act 1999 (proof of identity of persons to be removed or deported) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 47(2)	N/A	For subsection (4) substitute—“(4) For the purposes of Article 49(1)(d) of the GDPR, the provision under this section of identification data is a transfer of personal data which is necessary for important reasons of public interest.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 47(3)	N/A	After subsection (4) insert—“(4A) “The GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).” Financial Services and Markets Act 2000 (c. 8)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 56(a)	N/A	section 40(2) so far as relating to cases where the first condition referred to in that subsection is satisfied,”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(1)	N/A	Section 40 (personal information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(2)	N/A	In subsection (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(2)(a)	N/A	in paragraph (a), for “do” substitute “ does ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(2)(b)	N/A	in paragraph (b), for “either the first or the second” substitute “ the first, second or third ”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(3)	N/A	For subsection (3) substitute—“(3A) The first condition is that the disclosure of the information to a member of the public otherwise than under this Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(3B)	N/A	The second condition is that the disclosure of the information to a member of the public otherwise than under this Act would contravene Article 21 of the GDPR (general processing: right to object to processing).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(4)	N/A	For subsection (4) substitute—“(4A) The third condition is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(4)(a)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for access to personal data, the information would be withheld in reliance on provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(4)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5)	N/A	For subsection (5) substitute—“(5A) The duty to confirm or deny does not arise in relation to information which is (or if it were held by the public authority would be) exempt information by virtue of subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)	N/A	The duty to confirm or deny does not arise in relation to other information if or to the extent that any of the following applies—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(a)	N/A	giving a member of the public the confirmation or denial that would have to be given to comply with section 1(1)(a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(a)(i)	N/A	would (apart from this Act) contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(a)(ii)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(b)	N/A	giving a member of the public the confirmation or denial that would have to be given to comply with section 1(1)(a) would (apart from this Act) contravene Article 21 of the GDPR (general processing: right to object to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(c)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for confirmation of whether personal data is being processed, the information would be withheld in reliance on a provision listed in subsection (4A)(a);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(5B)(d)	N/A	on a request under section 45(1)(a) of the Data Protection Act 2018 (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(6)	N/A	Omit subsection (6).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(7)	N/A	For subsection (7) substitute—“(7) In this section— “the data protection principles” means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(7)(a)	N/A	Article 5(1) of the GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(7)(b)	N/A	section 34(1) of the Data Protection Act 2018, “data subject” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); “the GDPR”, “personal data”, “processing” and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(2)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 58(8)	N/A	In determining for the purposes of this section whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(1)	N/A	Tribunal Procedure Rules may make provision for regulating the exercise of rights of appeal conferred by sections 57(1) and (2) and 60(1) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(2)	N/A	In relation to appeals under those provisions, Tribunal Procedure Rules may make provision about—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(2)(a)	N/A	securing the production of material used for the processing of personal data, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(2)(b)	N/A	the inspection, examination, operation and testing of equipment or material used in connection with the processing of personal data.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(3)	N/A	Subsection (4) applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(3)(a)	N/A	a person does something, or fails to do something, in relation to proceedings before the First-tier Tribunal on an appeal under those provisions, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(3)(b)	N/A	if those proceedings were proceedings before a court having power to commit for contempt, the act or omission would constitute contempt of court.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(4)	N/A	The First-tier Tribunal may certify the offence to the Upper Tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(5)	N/A	Where an offence is certified under subsection (4), the Upper Tribunal may—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(5)(a)	N/A	inquire into the matter, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(5)(b)	N/A	deal with the person charged with the offence in any manner in which it could deal with the person if the offence had been committed in relation to the Upper Tribunal.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(6)	N/A	Before exercising the power under subsection (5)(b), the Upper Tribunal must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(6)(a)	N/A	hear any witness who may be produced against or on behalf of the person charged with the offence, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(6)(b)	N/A	hear any statement that may be offered in defence.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 60(7)	N/A	In this section, “personal data” and “processing” have the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2), (4) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 62	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 62(1)	N/A	No enactment or rule of law prohibiting or restricting the disclosure of information precludes a person from providing the First-tier Tribunal or the Upper Tribunal with information necessary for the discharge of their functions in connection with appeals under section 60 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 62(2)	N/A	But this section does not authorise the making of a disclosure which is prohibited by any of Parts 1 to 7 or Chapter 1 of Part 9 of the Investigatory Powers Act 2016.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 62(3)	N/A	Until the repeal of Part 1 of the Regulation of Investigatory Powers Act 2000 by paragraphs 45 and 54 of Schedule 10 to the Investigatory Powers Act 2016 is fully in force, subsection (2) has effect as if it included a reference to that Part."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 65	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 65(1)	N/A	Paragraph 28 of Schedule 19C to the Political Parties, Elections and Referendums Act 2000 (civil sanctions: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 65(2)	N/A	In sub-paragraph 4(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 65(3)	N/A	After sub-paragraph (5) insert— "(6) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Public Finance and Accountability (Scotland) Act 2000 (asp 1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 71(a)	N/A	omit paragraph (m), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 71(b)	N/A	after paragraph (s) insert— "(t) paragraph 10 of Schedule 15 to the Data Protection Act 2018";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 72(a)	N/A	for "paragraph 1 of Schedule 9 to the Data Protection Act 1998 (c. 29)" substitute " paragraphs 1 and 2 of Schedule 15 to the Data Protection Act 2018 ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 72(b)	N/A	for "paragraph 9" substitute " paragraph 11 (matters exempt from inspection and seizure: privileged communications) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 73(a)	N/A	omit paragraph 65, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 73(b)	N/A	after paragraph 73T insert— "Data Protection Act 2018	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 75	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 75(1)	N/A	Section 19 (disclosure of information held by revenue departments) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 75(2)	N/A	In subsection (7), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 75(3)	N/A	In subsection (9), after "section" insert "— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 77	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 77(1)	N/A	Section 7A of the Health and Personal Social Services Act (Northern Ireland) 2001 (power to obtain information etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 77(2)	N/A	In subsection (3), after "provision" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 77(3)	N/A	For subsection (5) substitute— "(5) In determining for the purposes of subsection (3) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this section."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 77(4)	N/A	After subsection (7) insert— "(8) In this section, "the GDPR" and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act)." Justice (Northern Ireland) Act 2002 (c. 26)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 78	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 78(1)	N/A	Section 5A of the Justice (Northern Ireland) Act 2002 (disclosure of information to the Commission) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 78(2)	N/A	In subsection (3)(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 78(3)	N/A	After subsection (9) insert— "(10) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Proceeds of Crime Act 2002 (c. 29)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 86	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 86(1)	N/A	Section 237 of the Enterprise Act 2002 (general restriction on disclosure) is amended as follows	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 86(2)	N/A	In subsection (4), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 86(3)	N/A	After subsection (6) insert— "(7) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Scottish Public Services Ombudsman Act 2002 (asp 11)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87(1)	N/A	In Schedule 5 to the Scottish Public Services Ombudsman Act 2002 (disclosure of information by the Ombudsman), the entry for the Information Commissioner is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87(2)	N/A	In paragraph 1, for sub-paragraph (a) substitute— "(a) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87(3)	N/A	For paragraph 2 substitute— "2 The commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87(3)(a)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 87(3)(b)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure)." Freedom of Information (Scotland) Act 2002 (asp 13)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(1)	N/A	Section 38 (personal information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(2)	N/A	In subsection (1), for paragraph (b) substitute— "(b) personal data and the first, second or third condition is satisfied (see subsections (2A) to (3A));".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(3)	N/A	For subsection (2) substitute— "(2A) The first condition is that the disclosure of the information to a member of the public otherwise than under this Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(2B)	N/A	The second condition is that the disclosure of the information to a member of the public otherwise than under this Act would contravene Article 21 of the GDPR (general processing: right to object to processing)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(4)	N/A	For subsection (3) substitute— "(3A) The third condition is that—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 90(4)(a)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for access to personal data, the information would be withheld in reliance on provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(4)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(5)	N/A	Omit subsection (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(6)	N/A	In subsection (5), for the definitions of "the data protection principles" and of "data subject" and "personal data" substitute— ""the data protection principles" means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(6)(a)	N/A	Article 5(1) of the GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(6)(b)	N/A	section 34(1) of the Data Protection Act 2018; "data subject" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); "the GDPR", "personal data", "processing" and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(2), (4), (10), (11) and (14) of that Act);"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 90(7)	N/A	After that subsection insert— "(5A) In determining for the purposes of this section whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted." Courts Act 2003 (c. 39)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 92	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 92(1)	N/A	Paragraph 9C (disclosure of information in connection with making of attachment of earnings orders or applications for benefit deductions: supplementary) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 92(2)	N/A	In sub-paragraph (5), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 92(3)	N/A	After sub-paragraph (5) insert— "(6) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 93	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 93(1)	N/A	Paragraph 10A (attachment of earnings orders (Justice Act (Northern Ireland) 2016): disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 93(2)	N/A	In sub-paragraph (7), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 93(3)	N/A	In sub-paragraph (8), at the appropriate place insert— ""the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Sexual Offences Act 2003 (c. 42)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 94	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 94(1)	N/A	Section 94 of the Sexual Offences Act 2003 (Part 2: supply of information to the Secretary of State etc for verification) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 94(2)	N/A	In subsection (6), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 94(3)	N/A	In subsection (8), at the appropriate place insert— ""the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Criminal Justice Act 2003 (c. 44)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 98	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 98(1)	N/A	Section 279 of the Mental Health (Care and Treatment) (Scotland) Act 2003 (information for research) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 98(2)	N/A	In subsection (2), for "research purposes within the meaning given by section 33 of the Data Protection Act 1998 (c. 29) (research, history and statistics)" substitute " purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 98(3)	N/A	After subsection (9) insert— "(10) In this section, "the GDPR" means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation)." Public Audit (Wales) Act 2004 (c. 23)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 99	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 99(1)	N/A	Section 64C of the Public Audit (Wales) Act 2004 (voluntary provision of data) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 99(2)	N/A	In subsection (3)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 99(3)	N/A	In subsection (5), at the beginning insert "In this section— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Companies (Audit, Investigations and Community Enterprise) Act 2004 (c. 27)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101(1)	N/A	Section 15A (disclosure of information by tax authorities) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101(2)	N/A	In subsection (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101(2)(a)	N/A	omit "within the meaning of the Data Protection Act 1998", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101(2)(b)	N/A	for "that Act" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 101(3)	N/A	After subsection (7) insert— "(8) In this section— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); "personal data" has the same meaning as in Parts 5 to 7 of that Act (see section 3(2) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 102	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 102(1)	N/A	Section 15D (permitted disclosure of information obtained under compulsory powers) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 102(2)	N/A	In subsection (7), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 102(3)	N/A	After subsection (7) insert— "(8) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Domestic Violence, Crime and Victims Act 2004 (c. 28)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 103	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 103(1)	N/A	Section 54 of the Domestic Violence, Crime and Victims Act 2004 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 103(2)	N/A	In subsection (7), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 103(3)	N/A	After subsection (8) insert— "(8) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Children Act 2004 (c. 31)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 105	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 105(1)	N/A	Section 12 (information databases) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 105(2)	N/A	In subsection (13)(e) for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 105(3)	N/A	After subsection (13) insert— “(14) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 106	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 106(1)	N/A	Section 29 (information databases: Wales) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 106(2)	N/A	In subsection (14)(e) for “the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 106(3)	N/A	After subsection (14) insert— “(15) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Constitutional Reform Act 2005 (c. 4)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 107	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 107(1)	N/A	Section 107 of the Constitutional Reform Act 2005 (disclosure of information to the Commission) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 107(2)	N/A	In subsection (3)(a), for “the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 107(3)	N/A	After subsection (9) insert— “(10) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Mental Capacity Act 2005 (c. 9)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109(1)	N/A	Section 34X of the Public Services Ombudsman (Wales) Act 2005 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109(2)	N/A	In subsection (4), for paragraph (a) substitute— “(a) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement);”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109(3)	N/A	For subsection (5) substitute— “(5) The offences are those under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109(3)(a)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 109(3)(b)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).” Commissioners for Revenue and Customs Act 2005 (c. 11)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 110	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 110(1)	N/A	Section 22 of the Commissioners for Revenue and Customs Act 2005 (data protection, etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 110(2)	N/A	The existing text becomes subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 110(3)	N/A	In that subsection, in paragraph (a), for “the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 110(4)	N/A	After that subsection insert— “(2) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Gambling Act 2005 (c. 19)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 111	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 111(1)	N/A	Section 352 of the Gambling Act 2005 (data protection) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 111(2)	N/A	The existing text becomes subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 111(3)	N/A	In that subsection, for “the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 111(4)	N/A	After that subsection insert— “(2) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Commissioner for Older People (Wales) Act 2006 (c. 30)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112(1)	N/A	Section 18 of the Commissioner for Older People (Wales) Act 2006 (power to disclose information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112(2)	N/A	In subsection (7), for paragraph (a) substitute— “(a) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement);”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112(3)	N/A	For subsection (8) substitute— “(8) The offences are those under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112(3)(a)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 112(3)(b)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).” National Health Service Act 2006 (c. 41)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 114	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 114(1)	N/A	Section 251 (control of patient information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 114(2)	N/A	In subsection (7), for “made by or under the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 114(3)	N/A	In subsection (13), at the appropriate place insert— ““the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 115	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 115(1)	N/A	Section 264C (provision and disclosure of information about health service products: supplementary) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 115(2)	N/A	In subsection (2), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 115(3)	N/A	After subsection (3) insert— “(4) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 118	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 118(1)	N/A	Section 201C (provision of information about medical supplies: supplementary) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 118(2)	N/A	In subsection (2), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 118(3)	N/A	After subsection (3) insert— “(4) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 121(a)	N/A	for “within the meaning of the Data Protection Act 1998 (c. 29)” substitute “ within the meaning of Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act) ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 121(b)	N/A	for “that Act” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 132	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 132(6)	N/A	of the Data Protection Act 1998 (c. 29)” substitute “ section 27(3) or (5), 79(5) or (7) or 111(3) or (5) of the Data Protection Act 2018 ”. Statistics and Registration Service Act 2007 (c. 18)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 134	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 134(1)	N/A	Section 45 (information held by HMRC) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 134(2)	N/A	In subsection (4A), for “section 51(3) of the Data Protection Act 1998” substitute “ section 128 of the Data Protection Act 2018 ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 134(3)	N/A	In subsection (4B), for “the Data Protection Act 1998” substitute “ the Data Protection Act 2018 ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 135	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 135(1)	N/A	Section 45A (information held by other public authorities) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 135(2)	N/A	In subsection (8), for "section 51(3) of the Data Protection Act 1998" substitute " section 128 of the Data Protection Act 2018 ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 135(3)	N/A	In subsection (9), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 135(4)	N/A	In subsection (12)(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 135(5)	N/A	In subsection 12(c), after the first "legislation" insert " (which is not part of the data protection legislation) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 136	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 136(1)	N/A	Section 45B(3) (access to information held by Crown bodies etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 136(2)	N/A	In paragraph (a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 136(3)	N/A	In paragraph (c), after the first "legislation" insert " (which is not part of the data protection legislation) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 137	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 137(1)	N/A	Section 45C(13) (power to require disclosures by other public authorities) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 137(2)	N/A	In subsection (b), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 137(3)	N/A	In paragraph (d), after the first "legislation" insert " (which is not part of the data protection legislation) ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 139	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 139(1)	N/A	Section 45E (further provision about powers in sections 45B, 45C and 45D) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 139(2)	N/A	In subsection (6), for "issued under section 52B (data-sharing code) of the Data Protection Act 1998" substitute " prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(4) of that Act ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 139(3)	N/A	In subsection (16), for "section 51(3) of the Data Protection Act 1998" substitute " section 128 of the Data Protection Act 2018 ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 139(4)	N/A	In subsection (17), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 140	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 140(1)	N/A	Section 53A (disclosure by the Statistics Board to devolved administrations) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 140(2)	N/A	In subsection (9), for "section 51(3) of the Data Protection Act 1998" substitute " section 128 of the Data Protection Act 2018 ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 140(3)	N/A	In subsection (10), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 140(4)	N/A	In subsection (12)(b), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 141	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 141(1)	N/A	Section 54 (Data Protection Act 1998 and Human Rights Act 1998) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 141(2)	N/A	In the heading, omit "Data Protection Act 1998 and".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 141(3)	N/A	Omit paragraph (a) (together with the final "or").	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144(1)	N/A	Section 5A (verification and disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144(2)	N/A	In subsection (6)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144(2)(a)	N/A	for "the Data Protection Act 1998" substitute " the data protection legislation ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144(2)(b)	N/A	for "are" substitute " is ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 144(3)	N/A	After subsection (6) insert—" (7) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 145	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 145(1)	N/A	Section 68 (disclosure of information to prevent fraud) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 145(2)	N/A	In subsection 4(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 145(3)	N/A	In subsection (8), at the appropriate place insert—" "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 146	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 146(1)	N/A	Section 85 (disclosure of information by Revenue and Customs) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 146(2)	N/A	In subsection (8)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 146(3)	N/A	In subsection (9), at the appropriate place insert—" "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 147	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 147(1)	N/A	Section 169 of the Legal Services Act 2007 (disclosure of information to the Legal Services Board) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 147(2)	N/A	In subsection (3)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 147(3)	N/A	After subsection (8) insert—" (9) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 150(a)	N/A	section 77 (power to alter penalty for unlawfully obtaining etc personal data), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 150(b)	N/A	section 78 (new defence for obtaining etc for journalism and other special purposes).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 151	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 151(1)	N/A	Section 114 (supply of information to Secretary of State etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 151(2)	N/A	In subsection (5), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 151(3)	N/A	After subsection (6) insert—" (6A) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 152	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 152(1)	N/A	Section 70 of the Regulatory Enforcement and Sanctions Act 2008 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 152(2)	N/A	In subsection 4(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 152(3)	N/A	After subsection (5) insert—" (6) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 154	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 154(1)	N/A	Section 20 of the Counter-Terrorism Act 2008 (disclosure and the intelligence services: supplementary provisions) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 154(2)	N/A	In subsection (2)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 154(3)	N/A	After subsection (4) insert— "(5) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Public Health etc. (Scotland) Act 2006 (asp 5)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 155	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 155(1)	N/A	Section 117 of the Public Health etc. (Scotland) Act 2008 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 155(2)	N/A	In subsection (6), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 155(3)	N/A	After subsection (7) insert— "(7A) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Banking Act 2009 (c. 1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 156	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 156(1)	N/A	Section 83ZV of the Banking Act 2009 (special resolution regime: publication of notices etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 156(2)	N/A	In subsection (10), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 156(3)	N/A	In subsection (11), after "section" insert "— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Borders, Citizenship and Immigration Act 2009 (c. 11)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 157	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 157(1)	N/A	Section 19 of the Borders, Citizenship and Immigration Act 2009 (use and disclosure of customs information: application of statutory provisions) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 157(2)	N/A	In subsection (1)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 157(3)	N/A	After subsection (4) insert— "(5) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Marine and Coastal Access Act 2009 (c. 23)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 159	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 159(1)	N/A	Paragraph 13 of Schedule 7 (further provision about civil sanctions under Part 4: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 159(2)	N/A	In sub-paragraph (5)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 159(3)	N/A	After sub-paragraph (6) insert— "(7) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Coroners and Justice Act 2009 (c. 25)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 160	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 160(1)	N/A	Paragraph 9 of Schedule 10 (further provision about fixed monetary penalties: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 160(2)	N/A	In sub-paragraph (5)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 160(3)	N/A	After sub-paragraph (6) insert— "(7) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Coroners and Justice Act 2009 (c. 25)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 162	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 162(1)	N/A	Section 38 of the Broads Authority Act 2009 (provision of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 162(2)	N/A	In subsection (3), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 162(3)	N/A	In subsection (6), after "section" insert "— "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Health and Social Care (Reform) Act (Northern Ireland) 2009 (c. 1 (N.I.))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 163	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 163(1)	N/A	Section 13 of the Health and Social Care (Reform) Act (Northern Ireland) 2009 (functions of the Regional Agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 163(2)	N/A	In subsection (8), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 163(3)	N/A	After subsection (8) insert— "(8) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Terrorist Asset-Freezing etc. Act 2010 (c. 38)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 164	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 164(1)	N/A	Section 25 of the Terrorist Asset-Freezing etc. Act 2010 (application of provisions) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 164(2)	N/A	In subsection (2)(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 164(3)	N/A	In subsection (6), at the appropriate place insert— ""the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Marine (Scotland) Act 2010 (asp 5)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 165	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 165(1)	N/A	Paragraph 12 of Schedule 2 to the Marine (Scotland) Act 2010 (further provision about civil sanctions under Part 4: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 165(2)	N/A	In sub-paragraph (5)(a), for "the Data Protection Act 1998 (c. 29)" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 165(3)	N/A	After sub-paragraph (6) insert— "(7) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Charities Act 2011 (c. 25)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 166	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 166(1)	N/A	Section 59 of the Charities Act 2011 (disclosure: supplementary) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 166(2)	N/A	The existing text becomes subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 166(3)	N/A	In that subsection, in paragraph (a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 166(4)	N/A	After that subsection insert— "(2) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Welsh Language (Wales) Measure 2011 (nawm 1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(1)	N/A	Section 22 (power to disclose information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(2)	N/A	In subsection (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(2)(a)	N/A	in the English language text, for paragraph (a) substitute— "(a) sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement);", and	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 168(2)(b)	N/A	in the Welsh language text, for paragraph (a) substitute—“(a) adranau 142 i 154, 160 i 164, neu 174 i 176 o Ddeddf Diogelu Data 2018 neu Atodlen 15 i'r Ddeddf honno [darpariaethau penodol ymwneud â gorfodi];”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(3)	N/A	For subsection (5)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(3)(a)	N/A	in the English language text substitute—“(5) The offences referred to under subsection (3)(b) are those under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(3)(b)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(4)	N/A	In subsection (8)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(4)(a)	N/A	in the English language text, for “the Data Protection Act 1998” substitute “the data protection legislation”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(4)(b)	N/A	in the Welsh language text, for “gymhwysio Deddf Diogelu Data 1998” substitute “gymhwysio'r ddeddfwriaeth diogelu data”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(5)	N/A	In subsection (9)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(5)(a)	N/A	at the appropriate place in the English language text insert—““the data protection legislation” (“y ddeddfwriaeth diogelu data”) has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 168(5)(b)	N/A	at the appropriate place in the Welsh language text insert—““mae i’ y ddeddfwriaeth diogelu data” yr un ystyr ag a roddir i “the data protection legislation” yn Neddf Diogelu Data 2018 [gweler adran 3 o'r Ddeddf honno];”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(1)	N/A	Paragraph 8 of Schedule 2 (inquiries by the Commissioner: reports) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(2)	N/A	In sub-paragraph (7)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(2)(a)	N/A	in the English language text, for “the Data Protection Act 1998” substitute “the data protection legislation”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(2)(b)	N/A	in the Welsh language text, for “gymhwysio Deddf Diogelu Data 1998” substitute “gymhwysio'r ddeddfwriaeth diogelu data”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(3)	N/A	In sub-paragraph (8)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(3)(a)	N/A	in the English language text, after “this paragraph” insert “— “the data protection legislation” (“y ddeddfwriaeth diogelu data”) has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 169(3)(b)	N/A	in the Welsh language text, after “hwn” insert—““mae i’ y ddeddfwriaeth diogelu data” yr un ystyr ag a roddir i “the data protection legislation” yn Neddf Diogelu Data 2018 [gweler adran 3 o'r Ddeddf honno];”. Safeguarding Board Act (Northern Ireland) 2011 (c. 7 (N.I))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 170	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 170(1)	N/A	Section 10 of the Safeguarding Board Act (Northern Ireland) 2011 (duty to co- operate) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 170(2)	N/A	In subsection (3), for “the Data Protection Act 1998 (c. 29)” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 170(3)	N/A	After subsection (3) insert—“(4) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Health and Social Care Act 2012 (c. 7)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 173	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 173(1)	N/A	Section 251A (consistent identifiers) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 173(2)	N/A	In subsection (7)(a), for “made by or under the Data Protection Act 1998” substitute “of the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 173(3)	N/A	After subsection (8) insert—“(8) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 174	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 174(1)	N/A	Section 251B (duty to share information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 174(2)	N/A	In subsection (5)(a), for “made by or under the Data Protection Act 1998” substitute “of the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 174(3)	N/A	After subsection (6) insert—“(7) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Protection of Freedoms Act 2012 (c. 9)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 176	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 176(1)	N/A	Section 27 (exceptions and further provision about consent and notification) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 176(2)	N/A	In subsection (5), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 176(3)	N/A	After subsection (5) insert—“(6) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 179	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 179(1)	N/A	Section 14A of the HGV Road User Levy Act 2013 (disclosure of information by Revenue and Customs) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 179(2)	N/A	In subsection (5), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 179(3)	N/A	After subsection (5) insert—“(6) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Crime and Courts Act 2013 (c. 22)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 181	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 181(1)	N/A	Section 42 (other interpretive provisions) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 181(2)	N/A	In subsection (5)(a), for “section 13 of the Data Protection Act 1998 (damage or distress suffered as a result of a contravention of a requirement of that Act)” substitute “Article 82 of the GDPR or section 168 or 169 of the Data Protection Act 2018 (compensation for contravention of the data protection legislation)”. After subsection (5) insert—“(5A) In subsection (5)(a), “the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(1)	N/A	Paragraph 1 of Schedule 7 (statutory restrictions on disclosure) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(3)	N/A	In that sub-paragraph, in paragraph (a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(3)(a)	N/A	for “the Data Protection Act 1998” substitute “the data protection legislation”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(3)(b)	N/A	for “are” substitute “is”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 182(4)	N/A	After that sub-paragraph, insert—“(2) In this paragraph, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Marine Act (Northern Ireland) 2013 (c. 10 (N.I.))	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 183	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 183(1)	N/A	Paragraph 8 of Schedule 2 to the Marine Act (Northern Ireland) 2013 (further provision about fixed monetary penalties under section 35: disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 183(2)	N/A	In sub-paragraph (5)(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 183(3)	N/A	After sub-paragraph (6) insert— "(7) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Local Audit and Accountability Act 2014 (c. 2)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 184	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 184(1)	N/A	Paragraph 3 of Schedule 9 to the Local Audit and Accountability Act 2014 (data matching: voluntary provision of data) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 184(2)	N/A	In sub-paragraph (3)(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 184(3)	N/A	After sub-paragraph (3) insert— "(3A) "The data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 184(4)	N/A	In sub-paragraph (4), for "comprise or include" substitute " comprises or includes ". Anti-social Behaviour, Crime and Policing Act 2014 (c. 12)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185(1)	N/A	Paragraph 7 of Schedule 4 to the Anti-social Behaviour, Crime and Policing Act 2014 (anti-social behaviour case reviews: information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185(2)	N/A	In sub-paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185(2)(a)	N/A	for "the Data Protection Act 1998" substitute " the data protection legislation ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185(2)(b)	N/A	for "are" substitute " is ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 185(3)	N/A	After sub-paragraph (5) insert— "(6) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Immigration Act 2014 (c. 22)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(1)	N/A	Paragraph 6 of Schedule 6 to the Immigration Act 2014 (information: limitation on powers) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(3)	N/A	In that sub-paragraph, in paragraph (a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(3)(a)	N/A	for "the Data Protection Act 1998" substitute " the data protection legislation ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(3)(b)	N/A	for "are" substitute " is ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 186(4)	N/A	After that sub-paragraph insert— "(2) In this paragraph, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Care Act 2014 (c. 23)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 188(a)	N/A	in the English language text, for " (within the meaning of the Data Protection Act 1998) " substitute " (within the meaning of Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act)) ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 188(b)	N/A	in the Welsh language text, for "(o fewn ystyr "personal data" yn Neddf Diogelu Data 1998)" substitute "(o fewn ystyr " personal data " yn Rhan 5 i 7 o Ddeddf Diogelu Data 2018 (gweler adran 3(2) a (14) o'r Ddeddf honno))". Counter-Terrorism and Security Act 2015 (c. 6)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 189	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 189(1)	N/A	Section 38 of the Counter-Terrorism and Security Act 2015 (support etc for people vulnerable to being drawn into terrorism: co-operation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 189(2)	N/A	In subsection 4(a), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 189(3)	N/A	After subsection (4) insert— "(4A) "The data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Small Business, Enterprise and Employment Act 2015 (c. 26)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190(1)	N/A	Section 6 of the Small Business, Enterprise and Employment Act 2015 (application of listed provisions to designated credit reference agencies) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190(2)	N/A	In subsection (7)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190(2)(a)	N/A	for paragraph (b) substitute— "(b) Article 15(1) to (3) of the GDPR (confirmation of processing, access to data and safeguards for third country transfers);", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190(2)(b)	N/A	omit paragraph (c).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 190(3)	N/A	After subsection (7) insert— "(7A) In subsection (7) "the GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act)." Modern Slavery Act 2015 (c. 30)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 196	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 196(1)	N/A	Section 72 of the Justice Act (Northern Ireland) 2015 (supply of information to relevant Northern Ireland departments or Secretary of State) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 196(2)	N/A	In subsection (5), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 196(3)	N/A	In subsection (7), at the appropriate place insert— ""the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);". Immigration Act 2016 (c. 19)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 200(a)	N/A	personal data within the meaning of section 3(2) of the Data Protection Act 2018 which is subject to processing described in section 82(1) of that Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 200(b)	N/A	data relating to a deceased individual where the data would fall within paragraph (a) if it related to a living individual."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 203	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 203(1)	N/A	Section 237 (information gateway) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 203(2)	N/A	In subsection (2), for "the Data Protection Act 1998" substitute " the data protection legislation ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 203(3)	N/A	After subsection (2) insert— "(3) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)." Public Services Ombudsman Act (Northern Ireland) 2016 (c. 4 (N.I.))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204(1)	N/A	Section 49 of the Police Services Ombudsman Act (Northern Ireland) 2016 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204(2)	N/A	In subsection (4), for paragraph (a) substitute— "(a) sections 142 to 154, 160 to 164 and 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 (certain provisions relating to enforcement);".	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/stmr/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 204(3)	N/A	For subsection (5) substitute—“(5) The offences are those under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204(3)(a)	N/A	any provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (powers of entry and inspection: offences).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204(3)(b)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 204(4)	N/A	After subsection (6) insert—“(7) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Health and Social Care (Control of Data Processing) Act (Northern Ireland) 2016 (c. 12 [N.I.])	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 205	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 205(1)	N/A	Section 1 of the Health and Social Care (Control of Data Processing) Act (Northern Ireland) 2016 (control of information of a relevant person) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 205(2)	N/A	In subsection (8), for “made by or under the Data Protection Act 1998” substitute “ of the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 205(3)	N/A	After subsection (12) insert—“(12A) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Mental Capacity Act (Northern Ireland) 2016 (c. 18 [N.I.])	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 208	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 208(1)	N/A	Section 17 (disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 208(2)	N/A	In subsection (7), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 208(3)	N/A	In subsection (8), after “section” insert “—” “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 209(a)	N/A	in paragraph (a), for “Part 5 of the Data Protection Act 1998” substitute “ sections 142 to 154, 160 to 164 or 174 to 176 of, or Schedule 15 to, the Data Protection Act 2018 ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 209(b)	N/A	for paragraph (b) substitute—“(b) the commission of an offence under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 209(i)	N/A	a provision of the Data Protection Act 2018 other than paragraph 15 of Schedule 15 (obstruction of execution of warrant etc); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 209(ii)	N/A	section 77 of the Freedom of Information Act 2000 (offence of altering etc records with intent to prevent disclosure).” Policing and Crime Act 2017 (c. 3)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 210	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 210(1)	N/A	Section 50 of the Policing and Crime Act 2017 (Freedom of Information Act etc: Police Federation for England and Wales) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 210(2)	N/A	The existing text becomes subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 210(3)	N/A	In that subsection, in paragraph (b), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 210(4)	N/A	After that subsection, insert—“(2) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Children and Social Work Act 2017 (c. 12)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 211(a)	N/A	in Part 1 (general amendments to do with social workers etc in England), omit paragraph 6, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 211(b)	N/A	in Part 2 (renaming of Health and Social Work Professions Order 2001), omit paragraph 47(g). Higher Education and Research Act 2017 (c. 29)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 213	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 213(1)	N/A	Section 63 (cooperation and information sharing by the Office for Students) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 213(2)	N/A	In subsection (6), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 213(3)	N/A	In subsection (7), at the appropriate place insert— “ “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 214	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 214(1)	N/A	Section 112 (cooperation and information sharing between the Office for Students and UKRI) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 214(2)	N/A	In subsection (6), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 214(3)	N/A	After subsection (6) insert —“(7) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Digital Economy Act 2017 (c. 30)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 216	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 216(1)	N/A	Section 40 (further provisions about disclosures under sections 35 to 39) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 216(2)	N/A	In subsection (8)(a), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 216(3)	N/A	After subsection (10) insert—“(11) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 217	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 217(1)	N/A	Section 43 (codes of practice) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 217(2)	N/A	In subsection (2), for “issued under section 52B (data-sharing code) of the Data Protection Act 1998” substitute “ prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(4) of that Act ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 217(3)	N/A	In subsection (13), for “section 51(3) of the Data Protection Act 1998” substitute “ section 128 of the Data Protection Act 2018 ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 218	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 218(1)	N/A	Section 49 (further provision about disclosures under section 48) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 218(2)	N/A	In subsection (8)(a), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 218(3)	N/A	After subsection (10) insert—“(11) In this section, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 219	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 219(1)	N/A	Section 52 (code of practice) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 219(2)	N/A	In subsection (2), for “issued under section 52B (data-sharing code) of the Data Protection Act 1998” substitute “ prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(4) of that Act ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 219(3)	N/A	In subsection (13), for “section 51(3) of the Data Protection Act 1998” substitute “ section 128 of the Data Protection Act 2018 (other codes of practice) ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 220	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 220(1)	N/A	Section 57 (further provision about disclosures under section 56) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 220(2)	N/A	In subsection (8)(a), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 1, Para 220(3)	N/A	After subsection (10) insert— "(11) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 221	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 221(1)	N/A	Section 60 (code of practice) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 221(2)	N/A	In subsection (2), for "issued under section 52B (data-sharing code) of the Data Protection Act 1998" substitute "prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(4) of that Act".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 221(3)	N/A	In subsection (13), for "section 51(3) of the Data Protection Act 1998" substitute "section 128 of the Data Protection Act 2018 (other codes of practice)".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 222	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 222(1)	N/A	Section 65 (supplementary provision about disclosures under section 64) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 222(2)	N/A	In subsection (2)(a), for "the Data Protection Act 1998" substitute "the data protection legislation".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 222(3)	N/A	After subsection (8) insert— "(9) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 223	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 223(1)	N/A	Section 70 (code of practice) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 223(2)	N/A	In subsection (2), for "issued under section 52B (data-sharing code) of the Data Protection Act 1998" substitute "prepared under section 121 of the Data Protection Act 2018 (data-sharing code) and issued under section 125(4) of that Act".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 223(3)	N/A	In subsection (15), for "section 51(3) of the Data Protection Act 1998" substitute "section 128 of the Data Protection Act 2018 (other codes of practice)".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(1)	N/A	Section 60 of the Landfill Disposals Tax (Wales) Act 2017 (disclosure of information to the Welsh Revenue Authority) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(2)	N/A	In subsection (4)(a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(2)(a)	N/A	in the English language text, for "the Data Protection Act 1998 (c. 29)" substitute "the data protection legislation", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(2)(b)	N/A	in the Welsh language text, for "torri Deddf Diogelu Data 1998 (p. 29)" substitute "torri'r ddeddfwriaeth diogelu data".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(3)	N/A	After subsection (7)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(3)(a)	N/A	in the English language text insert— "(8) In this section, "the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 225(3)(b)	N/A	in the Welsh language text insert— "(8) Yn yr adran hon, mae i "y ddeddfwriaeth diogelu data" yr un ystyr ag a roddir i "the data protection legislation" yn Neddf Diogelu Data 2018 [gweler adran 3 o'r Ddeddf honno]." Additional Learning Needs and Educational Tribunal (Wales) Act 2018 (anaw 2)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(1)	N/A	Section 4 of the Additional Learning Needs and Educational Tribunal (Wales) Act 2018 (additional learning needs code) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(2)	N/A	In the English language text—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(2)(a)	N/A	in subsection (9), omit from "and in this subsection" to the end, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(2)(b)	N/A	after subsection (9) insert— "(8A) In subsection (9)— "data subject" ("testun y data") has the meaning given by section 3(5) of the Data Protection Act 2018; "personal data" ("data personol") has the same meaning as in Parts 5 to 7 of that Act (see section 3(2) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(3)	N/A	In the Welsh language text—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(3)(a)	N/A	in subsection (9), omit from "ac yn yr is-adran hon" to the end, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 226(3)(b)	N/A	after subsection (9) insert— "(8A) Yn is-adran (9)— mae i "data personol" yr un ystyr ag a roddir i "personal data" yn Rhannau 5 i 7 o Ddeddf Diogelu Data 2018 [gweler adran 3(2) a (14) o'r Ddeddf honno]; mae i "testun y data" yr ystyr a roddir i "data subject" gan adran 3(5) o'r Ddeddf honno." This Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227(1)	N/A	Section 204 of this Act (meaning of "health professional" and "social work professional") is amended as follows (to reflect the arrangements for the registration of social workers in England under Part 2 of the Children and Social Work Act 2017).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227(2)	N/A	In subsection (1)(g)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227(2)(a)	N/A	omit "and Social Work", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227(2)(b)	N/A	omit " , other than the social work profession in England".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 1, Para 227(3)	N/A	In subsection (2), for paragraph (a) substitute— "(a) a person registered as a social worker in the register maintained by Social Work England under section 39(1) of the Children and Social Work Act 2017;".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2	Amendments Of Other Legislation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(1)	N/A	Article 4 of the Channel Tunnel (International Arrangements) Order 1993 (application of enactments) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(2)	N/A	In paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(2)(a)	N/A	for "section 5 of the Data Protection Act 1998 ("the 1998 Act"), data which are" substitute "section 207 of the Data Protection Act 2018 ("the 2018 Act"), data which is ",	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(2)(b)	N/A	for "data controller" substitute "controller".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(2)(c)	N/A	after "in the context of" insert "the activities of ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(2)(d)	N/A	for "and the 1998 Act" substitute " and the 2018 Act ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(3)	N/A	In paragraph (3)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(3)(a)	N/A	for "section 5 of the 1998 Act, data which are" substitute "section 207 of the 2018 Act, data which is ",	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(3)(b)	N/A	for "data controller" substitute "controller".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(3)(c)	N/A	after "in the context of" insert "the activities of ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 229(3)(d)	N/A	for "and the 1998 Act" substitute " and the 2018 Act ". Access to Health Records (Northern Ireland) Order 1993 (S.I. 1993/1250 (N.I. 4))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 233	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 233(3)	N/A	For the purposes of section 207 of the 2018 Act, data which is processed in a control zone in Belgium, in connection with the carrying out of frontier controls, by an officer belonging to the Kingdom of Belgium is to be treated as processed by a controller established in the Kingdom of Belgium in the context of the activities of that establishment (and accordingly the 2018 Act does not apply in respect of such data).” European Primary and Specialist Dental Qualifications Regulations 1998 (S.I. 1998/811)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 235	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 235(1)	N/A	Regulation 2(1) (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 235(2)	N/A	Omit the definition of “Directive 95/46/EC”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 235(3)	N/A	At the appropriate place insert— “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018.”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 236	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 236(1)	N/A	The table in Schedule A1 (functions of the GDC under Directive 2005/36) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 236(2)	N/A	In the entry for Article 56(2), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 236(3)	N/A	In the entry for Article 56a(4), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”. Scottish Parliamentary Corporate Body (Crown Status) Order 1999 (S.I. 1999/677)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(1)	N/A	The Parliamentary corporation is to be treated as a Crown body for the purposes of the Data Protection Act 2018 to the extent specified in this article.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)	N/A	The Parliamentary corporation is to be treated as a government department for the purposes of the following provisions—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)(a)	N/A	section 8(d) (lawfulness of processing under the GDPR: public interest etc),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)(b)	N/A	section 209 (application to the Crown),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)(c)	N/A	paragraph 6 of Schedule 1 (statutory etc and government purposes),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)(d)	N/A	paragraph 7 of Schedule 2 (exemptions from the GDPR: functions designed to protect the public etc), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(2)(e)	N/A	paragraph 8(1)(c) of Schedule 3 (exemptions from the GDPR: health data).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(3)	N/A	In the provisions mentioned in paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(3)(a)	N/A	references to employment by or under the Crown are to be treated as including employment as a member of staff of the Parliamentary corporation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(3)(b)	N/A	references to a person in the service of the Crown are to be treated as including a person so employed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(4)	N/A	The provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(4)(a)	N/A	section 24(3) (exemption for certain data relating to employment under the Crown), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(4)(b)	N/A	section 209(6) (application of certain provisions to a person in the service of the Crown).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 7(5)	N/A	In this article, references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(14) of that Act).” Northern Ireland Assembly Commission (Crown Status) Order 1999 (S.I. 1999/3145)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(1)	N/A	The Commission is to be treated as a Crown body for the purposes of the Data Protection Act 2018 to the extent specified in this article.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)	N/A	The Commission is to be treated as a government department for the purposes of the following provisions—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)(a)	N/A	section 8(d) (lawfulness of processing under the GDPR: public interest etc),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)(b)	N/A	section 209 (application to the Crown),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)(c)	N/A	paragraph 6 of Schedule 1 (statutory etc and government purposes),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)(d)	N/A	paragraph 7 of Schedule 2 (exemptions from the GDPR: functions designed to protect the public etc), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(2)(e)	N/A	paragraph 8(1)(c) of Schedule 3 (exemptions from the GDPR: health data).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(3)	N/A	In the provisions mentioned in paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(3)(a)	N/A	references to employment by or under the Crown are to be treated as including employment as a member of staff of the Commission, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(3)(b)	N/A	references to a person in the service of the Crown are to be treated as including a person so employed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(4)	N/A	The provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(4)(a)	N/A	section 24(3) (exemption for certain data relating to employment under the Crown), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(4)(b)	N/A	section 209(6) (application of certain provisions to a person in the service of the Crown).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 9(5)	N/A	In this article, references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(14) of that Act).” Data Protection (Corporate Finance Exemption) Order 2000 (S.I. 2000/184)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 258	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 258(1)	N/A	Regulation 92(2) (interpretation and application of Part VI etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 258(2)	N/A	After sub-paragraph (b) insert— “(ba) “relevant requirement” means the requirement under Article 89 of the GDPR, read with section 19 of the Data Protection Act 2018, that personal data processed for Article 89 GDPR purposes must be subject to appropriate safeguards.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 258(3)	N/A	Omit sub-paragraphs (c) and (d).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 272	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 272(1)	N/A	Regulation 92(2) (interpretation of Part VI etc) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 272(2)	N/A	After sub-paragraph (b) insert— “(ba) “relevant requirement” means the requirement under Article 89 of the GDPR, read with section 19 of the Data Protection Act 2018, that personal data processed for Article 89 GDPR purposes must be subject to appropriate safeguards.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 272(3)	N/A	Omit sub-paragraphs (c) and (d).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 278	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 278(1)	N/A	Article 9 of the Financial Services and Markets 2000 (Disclosure of Confidential Information) Regulations 2001 (disclosure by regulators or regulator workers to certain other persons) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 278(2)	N/A	In paragraph (2B), for sub-paragraph (a) substitute—“(a) the disclosure is made in accordance with Chapter V of the GDPR.”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 278(3)	N/A	After paragraph (5) insert—“(6) In this article, “the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).” Nursing and Midwifery Order 2001 (S.I. 2002/253)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 280	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 280(1)	N/A	Article 3 (the Nursing and Midwifery Council and its Committees) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 280(2)	N/A	In paragraph (18), after “enactment” insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 280(3)	N/A	After paragraph (18) insert—“(19) In this paragraph, “the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281(1)	N/A	Article 25 (the Council’s power to require disclosure of information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281(2)	N/A	In paragraph (3), after “enactment” insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281(3)	N/A	In paragraph (6)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281(3)(a)	N/A	for “paragraph (5),” substitute “ paragraph (3)— ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 281(3)(b)	N/A	at the appropriate place insert—““the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 284	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 284(1)	N/A	Schedule 2B (Directive 2005/36/EC: European professional card) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 284(2)	N/A	In paragraph 8(1) (access to data) for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 284(3)	N/A	In paragraph 9 (processing data), omit sub-paragraph (2) (deeming the Society to be the controller for the purposes of Directive 95/46/EC).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 285	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 285(1)	N/A	The table in Schedule 3 (functions of the Council under Directive 2005/36) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 285(2)	N/A	In the entry for Article 56(2), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 285(3)	N/A	In the entry for Article 56a(4), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 289(a)	N/A	omit the definitions of “Data Protection Directive” and “Telecommunications Data Protection Directive”;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 289(b)	N/A	at the appropriate place insert—““the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation);”. Data Protection (Processing of Sensitive Personal Data) (Elected Representatives) Order 2002 (S.I. 2002/2905)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293(1)	N/A	Regulation 4 (relationship between these Regulations and the Data Protection Act 1998) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293(3)	N/A	In that sub-paragraph, for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293(4)	N/A	After that sub-paragraph insert—“(2) In this regulation— “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); “personal data” and “processing” have the same meaning as in Parts 5 to 7 of that Act (see section 3(2), (4) and (14) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 293(5)	N/A	In the heading of that regulation, for “the Data Protection Act 1998” substitute “ the data protection legislation ”. Nationality, Immigration and Asylum Act 2002 (Juxtaposed Controls) Order 2003 (S.I. 2003/2818)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 295(a)	N/A	for “The Data Protection Act 1998” substitute “ The Data Protection Act 2018 ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 295(b)	N/A	for “are” substitute “ is ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 295(a)	N/A	for “The Data Protection Act 1998” substitute “ The Data Protection Act 2018 ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 295(b)	N/A	for “are” substitute “ is ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 296(c)	N/A	for “section 5” substitute “ section 207 ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 296(d)	N/A	for “data controller” substitute “ controller ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 296(e)	N/A	after “in the context of” insert “ the activities of ”. Pupils’ Educational Records (Scotland) Regulations 2003 (S.S.I. 2003/581)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 298	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 298(1)	N/A	Regulation 2 (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 298(2)	N/A	Omit the definition of “the 1998 Act”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 298(3)	N/A	At the appropriate place insert—““the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018;”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(1)	N/A	Regulation 6 (circumstances where information should not be disclosed) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(2)	N/A	After “any information” insert “ to the extent that any of the following conditions are satisfied ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(3)	N/A	For paragraphs (a) to (c) substitute—“(aa) the pupil to whom the information relates would have no right of access to the information under the GDPR;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(3)(ab)	N/A	the information is personal data described in Article 9(1) or 10 of the GDPR (special categories of personal data and personal data relating to criminal convictions and offences);”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(4)	N/A	In paragraph (d), for “to the extent that its disclosure” substitute “ the disclosure of the information ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 299(5)	N/A	In paragraph (e), for “that” substitute “ the information ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 300	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 300(1B)	N/A	Where paragraph (1A) permits the charging of a fee, the responsible body may not charge a fee that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 300(1B)(a)	N/A	exceeds the cost of supply, or	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 300(1)(b)	N/A	exceeds any limit in regulations made under section 12 of the Data Protection Act 2018 that would apply if the request had been made by the pupil to whom the information relates under Article 15 of the GDPR." European Parliamentary Elections (Northern Ireland) Regulations 2004 (S.I. 2004/1267)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 302	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 302(1)	N/A	Paragraph 74(1) (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 302(2)	N/A	Omit the definitions of "relevant conditions" and "research purposes".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 302(3)	N/A	At the appropriate places insert—"Article 89 GDPR purposes" means the purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);,";"the GDPR" means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation);,".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(1)	N/A	Regulation 2 (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(2)	N/A	In paragraph (1), at the appropriate places, insert—"the data protection principles" means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(2)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(2)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(2)(c)	N/A	section 85(1) of that Act;" "data subject" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);,";"the GDPR" and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act);,";"personal data" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act);,".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(3)	N/A	For paragraph (4) substitute—"(4A) In these Regulations, references to the Data Protection Act 2018 have effect as if in Chapter 3 of Part 2 of that Act (other general processing:—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(3)(a)	N/A	the references to an FOI public authority were references to a public authority as defined in these Regulations, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 306(3)(b)	N/A	the references to personal data held by such an authority were to be interpreted in accordance with regulation 3(2)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(1)	N/A	Regulation 13 (personal data) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2)	N/A	For paragraph (1) substitute—"(1) To the extent that the information requested includes personal data of which the applicant is not the data subject, a public authority must not disclose the personal data if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2)(a)	N/A	the first condition is satisfied, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2)(b)	N/A	the second or third condition is satisfied and, in all the circumstances of the case, the public interest in not disclosing the information outweighs the public interest in disclosing it."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(3)	N/A	For paragraph (2) substitute—"(2A) The first condition is that the disclosure of the information to a member of the public otherwise than under these Regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2B)	N/A	The second condition is that the disclosure of the information to a member of the public otherwise than under these Regulations would contravene—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2B)(a)	N/A	Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(2B)(b)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(4)	N/A	For paragraph (3) substitute—"(3A) The third condition is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(4)(a)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for access to personal data, the information would be withheld in reliance on provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(4)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(4)(c)	N/A	on a request under section 94(1)(b) of that Act (intelligence services processing: rights of access by the data subject), the information would be withheld in reliance on a provision of Chapter 6 of Part 4 of that Act."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5)	N/A	Omit paragraph (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(6)	N/A	For paragraph (5) substitute—"(5A) For the purposes of this regulation a public authority may respond to a request by neither confirming nor denying whether such information exists and is held by the public authority, whether or not it holds such information, to the extent that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(6)(a)	N/A	the condition in paragraph (5B)(a) is satisfied, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(6)(b)	N/A	a condition in paragraph (5B)(b) to (e) is satisfied and in all the circumstances of the case, the public interest in not confirming or denying whether the information exists outweighs the public interest in doing so.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)	N/A	The conditions mentioned in paragraph (5A) are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(a)	N/A	giving a member of the public the confirmation or denial—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(a)(i)	N/A	would (apart from these Regulations) contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(a)(ii)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(b)	N/A	giving a member of the public the confirmation or denial would (apart from these Regulations) contravene Article 21 of the GDPR or section 99 of the Data Protection Act 2018 (right to object to processing);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(c)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for confirmation of whether personal data is being processed, the information would be withheld in reliance on a provision listed in paragraph (3A)(a);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(d)	N/A	on a request under section 45(1)(a) of the Data Protection Act 2018 (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 307(5B)(e)	N/A	on a request under section 94(1)(a) of that Act (intelligence services processing: rights of access by the data subject), the information would be withheld in reliance on a provision of Chapter 6 of Part 4 of that Act."	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 307(7)	N/A	After that paragraph insert—“(6) In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(1)	N/A	Regulation 2 (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(2)	N/A	In paragraph (1), at the appropriate places, insert—““the data protection principles” means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(2)(a)	N/A	Article 5(1) of the GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(2)(b)	N/A	section 34(1) of the Data Protection Act 2018;—; ““data subject” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);; ““the GDPR” and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act);; ““personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act);;”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(3)	N/A	For paragraph (3) substitute—“(3A) In these Regulations, references to the Data Protection Act 2018 have effect as if in Chapter 3 of Part 2 of that Act (other general processing)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(3)(a)	N/A	the references to an FOI public authority were references to a Scottish public authority as defined in these Regulations, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 311(3)(b)	N/A	the references to personal data held by such an authority were to be interpreted in accordance with paragraph (2) of this regulation.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(1)	N/A	Regulation 11 (personal data) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(2)	N/A	For paragraph (2) substitute—“(2) To the extent that environmental information requested includes personal data of which the applicant is not the data subject, a Scottish public authority must not make the personal data available if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(2)(a)	N/A	the first condition set out in paragraph (3A) is satisfied, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(2)(b)	N/A	the second or third condition set out in paragraph (3B) or (4A) is satisfied and, in all the circumstances of the case, the public interest in making the information available is outweighed by that in not doing so.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(3)	N/A	For paragraph (3) substitute—“(3A) The first condition is that the disclosure of the information to a member of the public otherwise than under these Regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(3)(e)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(3B)	N/A	The second condition is that the disclosure of the information to a member of the public otherwise than under these Regulations would contravene Article 21 of the GDPR (general processing: right to object to processing).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(4)	N/A	For paragraph (4) substitute—“(4A) The third condition is that any of the following applies to the information—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(4)(a)	N/A	it is exempt from the obligation under Article 15(1) of the GDPR (general processing: right of access by the data subject) to provide access to, and information about, personal data by virtue of provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(4)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(5)	N/A	Omit paragraph (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 312(6)	N/A	After paragraph (6) insert—“(7) In determining, for the purposes of this regulation, whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.” Licensing Act 2003 (Personal Licences) Regulations 2005 (S.I. 2005/41)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313(1)	N/A	Regulation 7 of the Licensing Act 2003 (Personal Licences) Regulations 2005 (application for grant of a personal licence) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313(2)	N/A	In paragraph (1)(b)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313(2)(a)	N/A	for paragraph (iii) (but not the final “, and”) substitute—“(iii) the results of a request made under Article 15 of the GDPR or section 45 of the Data Protection Act 2018 (rights of access by the data subject) to the National Identification Service for information contained in the Police National Computer”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313(2)(b)	N/A	in the words following paragraph (iii), omit “search”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 313(3)	N/A	After paragraph (2) insert—“(3) In this regulation, “the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act).” Education (Pupil Information) (England) Regulations 2005 (S.I. 2005/1437)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316(1)	N/A	Regulation 5 (disclosure of curricular and educational records) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316(2)	N/A	In paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316(2)(a)	N/A	in sub-paragraph (a), for “the Data Protection Act 1998” substitute “ the GDPR ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316(2)(b)	N/A	in sub-paragraph (b), for “that Act or by virtue of any order made under section 30(2) or section 38(1) of the Act” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 316(3)	N/A	After paragraph (6) insert—“(7) In this regulation, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018.” Civil Contingencies Act 2004 (Contingency Planning) Regulations 2005 (S.I. 2005/2042)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1)	N/A	Regulation 45 of the Civil Contingencies Act 2004 (Contingency Planning) Regulations 2005 (sensitive information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(2)	N/A	In paragraph (1)(d)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(2)(a)	N/A	omit “, within the meaning of section 1(1) of the Data Protection Act 1998”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(2)(b)	N/A	for “(2) or (3)” substitute “ (1A), (1B) or (1C) ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(3)	N/A	After paragraph (1) insert—“(1A) The condition in this paragraph is that the disclosure of the information to a member of the public—	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 317(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1B)	N/A	The condition in this paragraph is that the disclosure of the information to a member of the public would contravene—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1B)(a)	N/A	Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1B)(b)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1C)	N/A	The condition in this paragraph is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1C)(a)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for access to personal data, the information would be withheld in reliance on provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1C)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1C)(c)	N/A	on a request under section 94(1)(b) of that Act (intelligence services processing: rights of access by the data subject), the information would be withheld in reliance on a provision of Chapter 6 of Part 4 of that Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1D)	N/A	In this regulation— “the data protection principles” means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1D)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1D)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1D)(c)	N/A	section 85(1) of that Act; “the GDPR” and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act); “personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(1E)	N/A	In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 317(4)	N/A	Omit paragraphs (2) to (4). Register of Judgments, Orders and Fines Regulations 2005 (S.I. 2005/3595)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 318(a)	N/A	for the definition of “data protection principles” substitute— ““data protection principles” means the principles set out in Article 5(1) of the GDPR”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 318(b)	N/A	at the appropriate place insert— ““the GDPR” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act); “Civil Contingencies Act 2004 (Contingency Planning) (Scotland) Regulations 2005 (S.S.I. 2005/494)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1)	N/A	Regulation 39 (sensitive information) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(2)	N/A	In paragraph (1)(d)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(2)(a)	N/A	omit “, within the meaning of section 1(1) of the Data Protection Act 1998”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(2)(b)	N/A	for “(2) or (3)” substitute “ (1A), (1B) or (1C) ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(3)	N/A	After paragraph (1) insert— “(1A) The condition in this paragraph is that the disclosure of the information to a member of the public—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(3)(b)	N/A	would do so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1B)	N/A	The condition in this paragraph is that the disclosure of the information to a member of the public would contravene—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1B)(a)	N/A	Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1B)(b)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1C)	N/A	The condition in this paragraph is that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1C)(a)	N/A	on a request under Article 15(1) of the GDPR (general processing: right of access by the data subject) for access to personal data, the information would be withheld in reliance on provision made by or under section 15, 16 or 26 of, or Schedule 2, 3 or 4 to, the Data Protection Act 2018,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1C)(b)	N/A	on a request under section 45(1)(b) of that Act (law enforcement processing: right of access by the data subject), the information would be withheld in reliance on subsection (4) of that section, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1C)(c)	N/A	on a request under section 94(1)(b) of that Act (intelligence services processing: rights of access by the data subject), the information would be withheld in reliance on a provision of Chapter 6 of Part 4 of that Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1D)	N/A	In this regulation— “the data protection principles” means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1D)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1D)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1D)(c)	N/A	section 85(1) of that Act; “data subject” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); “the GDPR” and references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act); “personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(1E)	N/A	In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 320(4)	N/A	Omit paragraphs (2) to (4). Data Protection (Processing of Sensitive Personal Data) Order 2006 (S.I. 2006/2068)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 322	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 322(1)	N/A	Paragraph 14 of Schedule 1 to the National Assembly for Wales (Representation of the People) Order 2007 (absent voting at Assembly elections: conditions on the use, supply and inspection of absent vote records or lists) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 322(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 322(3)	N/A	For paragraph (a) of that sub-paragraph (but not the final “or”) substitute— “(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 322(a)	N/A	After that sub-paragraph insert—“(2) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Mental Capacity Act 2005 (Loss of Capacity during Research Project) (England) Regulations 2007 (S.I. 2007/679)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(1)	N/A	The Assembly Commission is to be treated as a Crown body for the purposes of the Data Protection Act 2018 to the extent specified in this article.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)	N/A	The Assembly Commission is to be treated as a government department for the purposes of the following provisions—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)(a)	N/A	section 8(d) (lawfulness of processing under the GDPR: public interest etc),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)(b)	N/A	section 209 (application to the Crown),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)(c)	N/A	paragraph 6 of Schedule 1 (statutory etc and government purposes),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)(d)	N/A	paragraph 7 of Schedule 2 (exemptions from the GDPR: functions designed to protect the public etc), and,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(2)(e)	N/A	paragraph 8(1)(c) of Schedule 3 (exemptions from the GDPR: health data).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(3)	N/A	In the provisions mentioned in paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(3)(a)	N/A	references to employment by or under the Crown are to be treated as including employment as a member of staff of the Assembly Commission, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(3)(b)	N/A	references to a person in the service of the Crown are to be treated as including a person so employed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(4)	N/A	The provisions are—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(4)(a)	N/A	section 24(3) (exemption for certain data relating to employment under the Crown), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(4)(b)	N/A	section 209(6) (application of certain provisions to a person in the service of the Crown).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 5(5)	N/A	In this article, references to a provision of Chapter 2 of Part 2 of the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(14) of that Act).” Mental Capacity Act 2005 (Loss of Capacity during Research Project) (Wales) Regulations 2007 (S.I. 2007/837 (W.72))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 325(a)	N/A	in the English language text, for paragraph (c) substitute—“(c) any material used consists of or includes human cells or human DNA; and”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 325(b)	N/A	in the Welsh language text, for paragraph (c) substitute—“(c) os yw unrhyw ddeunydd a ddefnyddir yn gelloedd dynol neu’n DNA dynol neu yn eu cynnyrs; ac”; Representation of the People (Absent Voting at Local Elections) (Scotland) Regulations 2007 (S.I. 2007/170)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 326	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 326(1)	N/A	Regulation 18 of the Representation of the People (Absent Voting at Local Elections) (Scotland) Regulations 2007 (conditions on the supply and inspection of absent voter records or lists) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 326(2)	N/A	In paragraph (1), for sub-paragraph (a) (but not the final “or”) substitute—“(a) purposes mentioned in Article 69(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 326(3)	N/A	After paragraph (1) insert—“(2) In this regulation, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Representation of the People (Post-Local Government Elections Supply and Inspection of Documents) (Scotland) Regulations 2007 (S.I. 2007/264)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 327(a)	N/A	in paragraph (2), for sub-paragraph (i) (but not the final “or”) substitute—“(i) purposes mentioned in Article 69(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 327(b)	N/A	after paragraph (3) insert—“(4) In this regulation, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Education (Pupil Records and Reporting) (Transitional) Regulations (Northern Ireland) 2007 (S.R. (N.I.) 2007 No. 43)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 331(a)	N/A	in paragraph (2), for “research purposes within the meaning of that term in section 33 of the Data Protection Act 1998” substitute “ purposes mentioned in Article 69(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics) ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 331(b)	N/A	after paragraph (3) insert—“(4) In this regulation, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Companies Act 2006 (Extension of Takeover Panel Provisions) (Tele of Man) Order 2008 (S.I. 2008/3122)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 334(a)	N/A	at the appropriate place in the English language text insert—““the GDPR” (“y GDPR”) and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 334(b)	N/A	at the appropriate place in the Welsh language text insert—““mae i’r GDPR” a chyfeiriadau at Atodlen 2 i Ddeddf Diogelu Data 2018 yr un ystyr ag a nodir; “the GDPR” a chyfeiriadau at yr Atodlen honno yn Rhannau 5 i 7 o’r Ddeddf honno [gweler adran 3(10), (11) a (14) o’r Ddeddf honno];”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(1)	N/A	Regulation 25 (duty to co-operate by disclosing information as regards relevant persons) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(2)	N/A	In paragraph (7)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(2)(a)	N/A	in the English language text, at the end insert “ or the GDPR ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(2)(b)	N/A	in the Welsh language text, at the end insert “neu’r GDPR”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(3)	N/A	For paragraph (8)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(3)(a)	N/A	in the English language text substitute—“(8) In determining for the purposes of paragraph (7) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 335(3)(b)	N/A	in the Welsh language text substitute—“(8) Wrth benderfynu at ddibenion paragraff (7) a yw datgelriad wedi’i wahardd, mae i’w dybied at ddibenion paragraff 5(2) o Atodlen 2 i Ddeddf Diogelu Data 2018 a pharagraff 3(2) o Atodlen 11 i’r Ddeddf honno (esemptiadau rhag darpariaethau penodol o’r ddeddfwriaeth diogelu data: datgelriadau sy’n ofynnol gan y gyfraith) bod y datgelriad yn ofynnol gan y rheolriad hwn.”	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 336	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(1)	N/A	Regulation 26 (responsible bodies requesting additional information be disclosed about relevant persons) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(2)	N/A	In paragraph (6)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(2)(a)	N/A	in the English language text, at the end insert " or the GDPR ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(2)(b)	N/A	in the Welsh language text, at the end insert "neu'r GDPR".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(3)	N/A	For paragraph (7)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(3)(a)	N/A	in the English language text substitute—" (7) In determining for the purposes of paragraph (6) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 336(3)(b)	N/A	in the Welsh language text substitute—" (7) Wrth benderfynu at ddibenion paragraff (6) a yw datgaliad wedi'i wahardd, mae i'w dybied at ddibenion paragraff 5(2) o Atodlen 2 i Ddeddf Diogelu Data 2018 a pharagraff 3(2) o Atodlen 11 i'r Ddeddf honno (esemptiadau rhag darpariaethau penodol o'r ddeddfwriaeth diogelu data: datgaliadau sy'n ofynnol gan y gyfraith) bod y datgaliad yn ofynnol gan y rheoliad hwn."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(1)	N/A	Regulation 29 (occurrence reports) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(2)	N/A	In paragraph (3)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(2)(a)	N/A	in the English language text, at the end insert " or the GDPR ", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(2)(b)	N/A	in the Welsh language text, at the end insert "neu'r GDPR".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(3)	N/A	For paragraph (4)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(3)(a)	N/A	in the English language text substitute—" (4) In determining for the purposes of paragraph (3) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 337(3)(b)	N/A	in the Welsh language text substitute—" (4) Wrth benderfynu at ddibenion paragraff (3) a yw datgaliad wedi'i wahardd, mae i'w dybied at ddibenion paragraff 5(2) o Atodlen 2 i Ddeddf Diogelu Data 2018 a pharagraff 3(2) o Atodlen 11 i'r Ddeddf honno (esemptiadau rhag darpariaethau penodol o'r ddeddfwriaeth diogelu data: datgaliadau sy'n ofynnol gan y gyfraith) bod y datgaliad yn ofynnol gan y rheoliad hwn." Energy Order 2003 (Supply of Information) Regulations (Northern Ireland) 2008 (S.R. (N.I.) 2008 No. 3)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(1)	N/A	Regulation 5 of the Energy Order 2003 (Supply of Information) Regulations (Northern Ireland) 2008 (information whose disclosure would be affected by the application of other legislation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(2)	N/A	In paragraph (3)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(2)(a)	N/A	omit "within the meaning of section 1(1) of the Data Protection Act 1998", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(2)(b)	N/A	for the words from "where" to the end substitute " if the condition in paragraph (3A) or (3B) is satisfied ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3)	N/A	After paragraph (3) insert—" (3A) The condition in this paragraph is that the disclosure of the information to a member of the public—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3)(a)	N/A	would contravene any of the data protection principles, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3)(b)	N/A	would so if the exemptions in section 24(1) of the Data Protection Act 2018 (manual unstructured data held by public authorities) were disregarded.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3B)	N/A	The condition in this paragraph is that the disclosure of the information to a member of the public would contravene—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3B)(a)	N/A	Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(3B)(b)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(4)	N/A	After paragraph (4) insert—" (5) In this regulation—"the data protection principles" means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(4)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(4)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 338(4)(c)	N/A	section 85(1) of that Act; "the GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act); "personal data" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(1)	N/A	Paragraph 6 of Schedule 2 to the Companies (Disclosure of Address) Regulations 2009 (conditions for permitted disclosure to a credit reference agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(3)	N/A	In paragraph (b) of that sub-paragraph, for sub-paragraph (ii) substitute—" (ii) for the purposes of ensuring that it complies with its data protection obligations;".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(4)	N/A	In paragraph (c) of that sub-paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(4)(a)	N/A	omit "or" at the end of sub-paragraph (i), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(4)(b)	N/A	at the end insert "; or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(4)(b)(i)(i)	N/A	section 144 of the Data Protection Act 2018 (false statements made in response to an information notice) or section 148 of that Act (destroying or falsifying information and documents etc.);"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(5)	N/A	After paragraph (c) of that sub-paragraph insert—" (d) has not been given a penalty notice under section 155 of the Data Protection Act 2018 in circumstances described in paragraph (c)(i)(i), other than a penalty notice that has been cancelled."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(6)	N/A	After sub-paragraph (1) insert—" (2) In this paragraph, "data protection obligations", in relation to a credit reference agency, means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(6)(a)	N/A	where the agency carries on business in the United Kingdom, obligations under the data protection legislation (as defined in section 3 of the Data Protection Act 2018);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(6)(b)	N/A	where the agency carries on business in a EEA State other than the United Kingdom, obligations under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(6)(b)(i)	N/A	the GDPR (as defined in section 3(10) of the Data Protection Act 2018),	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 339(6)(b)(i)	N/A	legislation made in exercise of powers conferred on member States under the GDPR (as so defined), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 339(6)(b)(ii)	N/A	legislation implementing the Law Enforcement Directive (as defined in section 3(12) of the Data Protection Act 2018), "Overseas Companies Regulations 2009 (S.I. 2009/1801)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(1)	N/A	Paragraph 6 of Schedule 2 to the Overseas Companies Regulations 2009 (conditions for permitted disclosure to a credit reference agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(3)	N/A	In paragraph (b) of that sub-paragraph, for sub-paragraph (ii) substitute—" (i) for the purposes of ensuring that it complies with its data protection obligations";"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(4)	N/A	In paragraph (c) of that sub-paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(4)(a)	N/A	omit "or" at the end of sub-paragraph (i), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(4)(b)	N/A	at the end insert "; or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(4)(b)(i)	N/A	section 144 of the Data Protection Act 2018 (false statements made in response to an information notice) or section 148 of that Act (destroying or falsifying information and documents etc);"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(5)	N/A	After paragraph (c) of that sub-paragraph insert—" (d) has not been given a penalty notice under section 155 of the Data Protection Act 2018 in circumstances described in paragraph (c)(ii), other than a penalty notice that has been cancelled."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)	N/A	After sub-paragraph (1) insert—" (2) In this paragraph, "data protection obligations", in relation to a credit reference agency, means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)(a)	N/A	where the agency carries on business in the United Kingdom, obligations under the data protection legislation (as defined in section 3 of the Data Protection Act 2018);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)(b)	N/A	where the agency carries on business in a EEA State other than the United Kingdom, obligations under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)(b)(i)	N/A	the GDPR (as defined in section 3(10) of the Data Protection Act 2018),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)(b)(ii)	N/A	legislation made in exercise of powers conferred on member States under the GDPR (as so defined), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 340(6)(b)(iii)	N/A	legislation implementing the Law Enforcement Directive (as defined in section 3(12) of the Data Protection Act 2018), "Data Protection (Processing of Sensitive Personal Data) Order 2009 (S.I. 2009/1811)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(1)	N/A	Regulation 9 of the INSPIRE Regulations 2009 (public access to spatial data sets and spatial data services) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(2)	N/A	In paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(2)(a)	N/A	omit "or" at the end of sub-paragraph (a),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(2)(b)	N/A	for sub-paragraph (b) substitute—" (b) Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(2)(c)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(3)	N/A	After paragraph (7) insert—" (8) In this regulation—"the data protection principles" means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(3)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(3)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(3)(c)	N/A	section 85(1) of that Act; "the GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act); "personal data" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 343(9)	N/A	In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted." INSPIRE (Scotland) Regulations 2009 (S.I. 2009/440)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(1)	N/A	Regulation 10 of the INSPIRE (Scotland) Regulations 2009 (public access to spatial data sets and spatial data services) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(2)	N/A	In paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(2)(a)	N/A	omit "or" at the end of sub-paragraph (a),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(2)(b)	N/A	for sub-paragraph (b) substitute—" (b) Article 21 of the GDPR (general processing: right to object to processing), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(2)(c)	N/A	section 99 of the Data Protection Act 2018 (intelligence services processing: right to object to processing).", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(3)	N/A	After paragraph (6) insert—" (7) In this regulation—"the data protection principles" means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(3)(a)	N/A	Article 5(1) of the GDPR,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(3)(b)	N/A	section 34(1) of the Data Protection Act 2018, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(3)(c)	N/A	section 85(1) of that Act; "the GDPR" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(10), (11) and (14) of that Act); "personal data" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 344(8)	N/A	In determining for the purposes of this regulation whether the lawfulness principle in Article 5(1)(a) of the GDPR would be contravened by the disclosure of information, Article 6(1) of the GDPR (lawfulness) is to be read as if the second sub-paragraph (disapplying the legitimate interests gateway in relation to public authorities) were omitted." Controlled Drugs (Supervision of Management and Use) Regulations (Northern Ireland) 2009 (S.R (N.I.) 2009 No. 225)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 347	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 347(1)	N/A	Regulation 25 (duty to co-operate by disclosing information as regards relevant persons) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 347(2)	N/A	In paragraph (7), at the end insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 347(3)	N/A	For paragraph (8) substitute—" (8) In determining for the purposes of paragraph (7) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exceptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 348	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 348(1)	N/A	Regulation 26 (responsible bodies requesting additional information be disclosed about relevant persons) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 348(2)	N/A	In paragraph (6), at the end insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 348(3)	N/A	For paragraph (7) substitute—“(7) In determining for the purposes of paragraph (6) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 349	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 349(1)	N/A	Regulation 29 (occurrence reports) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 349(2)	N/A	In paragraph (3), at the end insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 349(3)	N/A	For paragraph (4) substitute—“(4) In determining for the purposes of paragraph (3) whether disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.” Data Protection (Monetary Penalties) (Maximum Penalty and Notices) Regulations 2010 (S.I. 2010/31)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 353	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 353(1)	N/A	Article 9 (inspection and enforcement) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 353(2)	N/A	For paragraph (4) substitute—“(4) If a report that the Council proposes to publish pursuant to paragraph (3) includes personal data, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure of the personal data is required by paragraph (3) of this article.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 353(3)	N/A	After paragraph (4) insert—“(5) In this article, “personal data” and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(2) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 355	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 355(1)	N/A	Article 49 (disclosure of information: general) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 355(2)	N/A	In paragraph (2)(a), after “enactment” insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 355(3)	N/A	For paragraph (3) substitute—“(3) In determining for the purposes of paragraph (2)(a) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by paragraph (1) of this article.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 355(4)	N/A	After paragraph (5) insert—“(6) In this article, “the GDPR” and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 356	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 356(1)	N/A	Article 55 (professional performance assessments) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 356(2)	N/A	In paragraph (5)(a), after “enactment” insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 356(3)	N/A	For paragraph (6) substitute—“(6) In determining for the purposes of paragraph (5)(a) whether a disclosure is prohibited, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by paragraph (4) of this article.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 356(4)	N/A	After paragraph (8) insert—“(9) In this article, “the GDPR” and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(10), (11) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358(1)	N/A	Schedule 2A (Directive 2005/36/EC: European professional card) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358(2)	N/A	In paragraph 8(1) (access to data), for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358(3)	N/A	In paragraph 9 (processing data)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358(3)(a)	N/A	omit sub-paragraph (2) (deeming the Council to be the controller for the purposes of Directive 95/46/EC), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 358(3)(b)	N/A	after sub-paragraph (2) insert—“(3) In this paragraph, “personal data” has the same meaning as in the Data Protection Act 2018 (see section 3(2) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 359	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 359(1)	N/A	The table in Schedule 3 (Directive 2005/36/EC: designation of competent authority etc.) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 359(2)	N/A	In the entry for Article 56(2), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 359(3)	N/A	In the entry for Article 56a(4), in the second column, for “Directive 95/46/EC” substitute “ the GDPR ”. Data Protection (Monetary Penalties) Order 2010 (S.I. 2010/910)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 362(a)	N/A	omit the definition of “data” and “personal data”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 362(b)	N/A	at the appropriate place insert—“ “personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(1)	N/A	Article 10 (disclosure of requested data to the Secretary of State) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(2)	N/A	In paragraph (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(2)(a)	N/A	for “disclosure of data” substitute “ disclosure of information ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(2)(b)	N/A	for “requested data” substitute “ requested information ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(3)	N/A	In paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(3)(a)	N/A	for “requested data” substitute “ requested information ”,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(3)(b)	N/A	for “those data are” substitute “ the information is ”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(3)(c)	N/A	for “receive those data” substitute “ receive that information ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(4)	N/A	In paragraph (3), for “requested data” substitute “ requested information ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 363(5)	N/A	In paragraph (4), for “requested data” substitute “ requested information ”. Local Elections (Northern Ireland) Order 2010 (S.I. 2010/2977)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 364	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 364(1)	N/A	Schedule 3 to the Local Elections (Northern Ireland) Order 2010 (access to marked registers and other documents open to public inspection after an election) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 364(2)	N/A	In paragraph 1(1) (interpretation and general)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 364(2)(e)	N/A	omit the definition of “research purposes”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 364(2)(b)	N/A	at the appropriate places insert— ““Article 89 GDPR purposes” means the purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation);”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 364(3)	N/A	In paragraph 5(3) (restrictions on the use, supply and disclosure of documents open to public inspection), for “research purposes” substitute “Article 89 GDPR purposes”. Pupil Information (Wales) Regulations 2011 (S.I. 2011/1942 (W.209))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(1)	N/A	Regulation 5 of the Pupil Information (Wales) Regulations 2011 (duties of head teacher - educational records) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(2)	N/A	In paragraph (5)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(2)(a)	N/A	in the English language text, for “documents which are subject to any order under section 30(2) of the Data Protection Act 1998” substitute “information —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(2)(b)	N/A	to which the pupil would have no right of access under the GDPR.”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(3)	N/A	After paragraph (5)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(3)(a)	N/A	in the English language text insert— “(6) In this regulation, “the GDPR” (“y GDPR”) means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018.”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 365(3)(b)	N/A	in the Welsh language text insert— “(6) Yn y rheoliad hwn, ystyr “y GDPR” (“the GDPR”) yw Rheoliad (EU) 2016/679 Senedd Ewrop a’r Cyngor dyddiedd 27 Ebrill 2016 ar ddiogelu personau naturiol o ran prosesu data personol a rhyddid symud data o’r fath (y Rheoliad Diogelu Data Cyffredinol), fel y’i darlennir ynghyd â Phennod 2 o Ran 2 o Ddeddf Diogelu Data 2018.” Debt Arrangement Scheme (Scotland) Regulations 2011 (S.S.I. 2011/141)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(1)	N/A	Schedule 2 (absent voting in Police and Crime Commissioner elections) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(2)	N/A	In paragraph 20 (absent voter lists: supply of copies etc)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(2)(a)	N/A	in sub-paragraph (8), for paragraph (a) (but not the final “or”) substitute— “(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(2)(b)	N/A	after sub-paragraph (10) insert— “(11) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(3)	N/A	In paragraph 24 (restriction on use of absent voter records or lists or the information contained in them)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(3)(a)	N/A	in sub-paragraph (3), for paragraph (a) (but not the final “or”) substitute— “(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 368(3)(b)	N/A	after that sub-paragraph insert— “(4) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369(1)	N/A	Schedule 10 (access to marked registers and other documents open to public inspection after an election) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369(2)	N/A	In paragraph 1(2) (interpretation), omit paragraphs (c) and (d) (but not the final “and”).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369(3)	N/A	In paragraph 5 (restriction on use of documents or of information contained in them) —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369(3)(a)	N/A	in sub-paragraph (3), for paragraph (a) (but not the final “or”) substitute— “(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 369(3)(b)	N/A	after sub-paragraph (4) insert— “(5) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Data Protection (Processing of Sensitive Personal Data) Order 2012 (S.I. 2012/1978)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 372	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 372(1)	N/A	Paragraph 29(1) (interpretation of Part 8) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 372(2)	N/A	At the appropriate places insert— ““Article 89 GDPR purposes” means the purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation);”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 372(3)	N/A	For the definition of “relevant conditions” substitute— ““relevant requirement” means the requirement under Article 89 of the GDPR, read with section 19 of the Data Protection Act 2018, that personal data processed for Article 89 GDPR purposes must be subject to appropriate safeguards;”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 372(4)	N/A	Omit the definition of “research purposes”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 378	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 378(1)	N/A	Regulation 20 of the Controlled Drugs (Supervision of Management and Use) Regulations 2013 (information management) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 378(2)	N/A	For paragraph (4) substitute— “(4) Where a CDAO, a responsible body or someone acting on their behalf is permitted to share information which includes personal data by virtue of a function under these Regulations, it is to be assumed for the purposes of paragraph 5(2) of Schedule 2 to the Data Protection Act 2018 and paragraph 3(2) of Schedule 11 to that Act (exemptions from certain provisions of the data protection legislation: disclosures required by law) that the disclosure is required by this regulation.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 378(3)	N/A	In paragraph (5), after “enactment” insert “ or the GDPR ”.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-amea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 378(4)	N/A	After paragraph (6) insert—“(7) In this regulation, “the GDPR”, “personal data” and references to Schedule 2 to the Data Protection Act 2018 have the same meaning as in Parts 5 to 7 of that Act (see section 3(2), (10), (11) and (14) of that Act).” Communications Act 2003 (Disclosure of Information) Order 2014 (S.I. 2014/1825)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 379	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 379(1)	N/A	Article 3 of the Communications Act 2003 (Disclosure of Information) Order 2014 (specification of relevant functions) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 379(2)	N/A	The existing text becomes paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 379(3)	N/A	In that paragraph, in sub-paragraph (a), for “the Data Protection Act 1998” substitute “ the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 379(4)	N/A	After that paragraph insert—“(2) In this article, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).” Criminal Justice and Data Protection (Protocol No. 36) Regulations 2014 (S.I. 2014/3141)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 382(a)	N/A	in paragraph (9), omit sub-paragraph (b) and the word “and” before it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 382(b)	N/A	in paragraph (11), omit the definition of “processing” and “sensitive personal data” and the word “and” before it. Control of Poisons and Explosives Precursors Regulations 2015 (S.I. 2015/966)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 383(a)	N/A	in paragraph (7), omit sub-paragraph (b) and the word “and” before it, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 383(b)	N/A	omit paragraph (8). Companies (Disclosure of Date of Birth Information) Regulations 2015 (S.I. 2015/1694)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(1)	N/A	Paragraph 6 of Schedule 2 to the Companies (Disclosure of Date of Birth Information) Regulations 2015 (conditions for permitted disclosure to a credit reference agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(3)	N/A	In paragraph (b) of that sub-paragraph, for sub-paragraph (ii) substitute—“(ii) for the purposes of ensuring that it complies with its data protection obligations.”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(4)	N/A	In paragraph (c) of that sub-paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(4)(a)	N/A	omit “or” at the end of sub-paragraph (i), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(4)(b)	N/A	at the end insert “; or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(4)(b)(ii)	N/A	section 144 of the Data Protection Act 2018 (false statements made in response to an information notice) or section 148 of that Act (destroying or falsifying information and documents etc).”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(5)	N/A	After paragraph (c) of that sub-paragraph insert—“(d) has not been given a penalty notice under section 155 of the Data Protection Act 2018 in circumstances described in paragraph (c)(ii), other than a penalty notice that has been cancelled.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)	N/A	After sub-paragraph (1) insert—“(2) In this paragraph, “data protection obligations”, in relation to a credit reference agency, means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)(a)	N/A	where the agency carries on business in the United Kingdom, obligations under the data protection legislation (as defined in section 3 of the Data Protection Act 2018);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)(b)	N/A	where the agency carries on business in a EEA State other than the United Kingdom, obligations under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)(b)(i)	N/A	the GDPR (as defined in section 3(10) of the Data Protection Act 2018),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)(b)(ii)	N/A	legislation made in exercise of powers conferred on member States under the GDPR (as so defined), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 384(6)(b)(iii)	N/A	legislation implementing the Law Enforcement Directive (as defined in section 3(12) of the Data Protection Act 2018).” Small and Medium Sized Business (Credit Information) Regulations 2015 (S.I. 2015/1945)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 386	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 386(1)	N/A	Regulation 12 (criteria for the designation of a credit reference agency) is amended as follows	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 386(2)	N/A	In paragraph (1)(b), for “the Data Protection Act 1998” substitute “the data protection legislation ”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 386(3)	N/A	After paragraph (2) insert—“(3) In this regulation, “the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 387	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 387(1)	N/A	Regulation 15 (access to and correction of information for individuals and small firms) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 387(2)	N/A	For paragraph (1) substitute—“(1) Section 13 of the Data Protection Act 2018 (rights of the data subject under the GDPR: obligations of credit reference agencies) applies in respect of a designated credit reference agency which is not a credit reference agency within the meaning of section 145(8) of the Consumer Credit Act 1974 as if it were such an agency.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 387(3)	N/A	After paragraph (3) insert—“(4) In this regulation, the reference to section 13 of the Data Protection Act 2018 has the same meaning as in Parts 5 to 7 of that Act (see section 3(14) of that Act).” European Union (Recognition of Professional Qualifications) Regulations 2015 (S.I. 2015/2059)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 389	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 389(1)	N/A	Regulation 2(1) (interpretation) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 389(2)	N/A	Omit the definition of “Directive 95/46/EC”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 389(3)	N/A	At the appropriate place insert—““the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation), read with Chapter 2 of Part 2 of the Data Protection Act 2018:”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(1)	N/A	Schedule 3 (absent voting) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(2)	N/A	In paragraph 16 (absent voting lists: supply of copies etc)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(2)(a)	N/A	in sub-paragraph (4), for paragraph (a) (but not the final “or”) substitute—“(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(2)(b)	N/A	after sub-paragraph (10) insert—“(11) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(3)	N/A	In paragraph 20 (restriction on use of absent voting lists)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 396(3)(a)	N/A	in sub-paragraph (3), for paragraph (a) (but not the final “or”) substitute—“(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 396(3)(b)	N/A	after that sub-paragraph insert—“(4) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397(1)	N/A	Schedule 8 (access to marked registers and other documents open to public inspection after an election) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397(2)	N/A	In paragraph 1(2) (interpretation), omit paragraphs (c) and (d) (but not the final “and”).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397(3)	N/A	In paragraph 5 (restriction on use of documents or of information contained in them) —	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397(3)(a)	N/A	in sub-paragraph (3), for paragraph (a) (but not the final “or”) substitute—“(a) purposes mentioned in Article 89(1) of the GDPR (archiving in the public interest, scientific or historical research and statistics);”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 397(3)(b)	N/A	after sub-paragraph (4) insert—“(5) In this paragraph, “the GDPR” means Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation).” Recall of MPs Act 2015 (Recall Petition) Regulations 2016 (S.I. 2016/295)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(1)	N/A	Paragraph 6 (disclosure to a credit reference agency) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(2)	N/A	In sub-paragraph (b), for paragraph (ii) (together with the final “; and”) substitute—“(ii) for the purposes of ensuring that it complies with its data protection obligations.”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(3)	N/A	In sub-paragraph (c)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(3)(a)	N/A	omit “or” at the end of paragraph (ii), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(3)(b)	N/A	at the end insert—“(iv) section 144 of the Data Protection Act 2018 (false statements made in response to an information notice); or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(3)(v)	N/A	section 148 of that Act (destroying or falsifying information and documents etc).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(4)	N/A	After sub-paragraph (c) insert—“(d) has not been given a penalty notice under section 155 of the Data Protection Act 2018 in circumstances described in sub-paragraph (c)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 400(4)(iii)	N/A	, other than a penalty notice that has been cancelled.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 402(a)	N/A	where the agency or institution carries on business in the United Kingdom, obligations under the data protection legislation (as defined in section 3 of the Data Protection Act 2018);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 402(b)	N/A	where the agency or institution carries on business in a EEA State other than the United Kingdom, obligations under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 402(i)	N/A	the GDPR (as defined in section 3(10) of the Data Protection Act 2018),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 402(ii)	N/A	legislation made in exercise of powers conferred on member States under the GDPR (as so defined), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 402(iii)	N/A	legislation implementing the Law Enforcement Directive (as defined in section 3(12) of the Data Protection Act 2018).” Electronic Identification and Trust Services for Electronic Transactions Regulations 2016 (S.I. 2016/696)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(a)	N/A	section 140 (publication by the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(b)	N/A	section 141 (notices from the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(c)	N/A	section 142 (information notices);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(d)	N/A	section 143 (information notices: restrictions);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(e)	N/A	section 144 (false statements made in response to an information notice);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(f)	N/A	section 145 (information orders);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(g)	N/A	section 146 (assessment notices);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(h)	N/A	section 147 (assessment notices: restrictions);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(i)	N/A	section 148 (destroying or falsifying information and documents etc);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(j)	N/A	section 149 (enforcement notices);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(k)	N/A	section 150 (enforcement notices: supplementary);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(l)	N/A	section 152 (enforcement notices: restrictions);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(m)	N/A	section 153 (enforcement notices: cancellation and variation);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(n)	N/A	section 154 and Schedule 15 (powers of entry and inspection);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(o)	N/A	section 155 and Schedule 16 (penalty notices);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(p)	N/A	section 156(4)(a) (penalty notices: restrictions);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(q)	N/A	section 157 (maximum amount of penalty);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(r)	N/A	section 159 (amount of penalties: supplementary);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(s)	N/A	section 160 (guidance about regulatory action);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(t)	N/A	section 161 (approval of first guidance about regulatory action);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(u)	N/A	section 162 (rights of appeal);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(v)	N/A	section 163 (determination of appeals);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(w)	N/A	section 164 (applications in respect of urgent notices);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(x)	N/A	section 180 (jurisdiction);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(y)	N/A	section 182(1), (2), (5), (7) and (13) (regulations and consultation);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 1(z)	N/A	section 196 (penalties for offences); (z1) section 197 (prosecution); (z2) section 202 (proceedings in the First-tier Tribunal: contempt); (z3) section 203 (Tribunal Procedure Rules). General modification of references to the Data Protection Act 2018	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 2(a)	N/A	references to the Data Protection Act 2018 were references to the provisions of that Act as applied by these Regulations;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 2(b)	N/A	references to a particular provision of that Act were references to that provision as applied by these Regulations. Modification of section 142 (information notices)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(1)	N/A	Section 142 has effect as if subsections (9) and (10) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(2)	N/A	In that section, subsection (1) has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 3(2)(a)	N/A	in paragraph (a)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(2)(a)(i)	N/A	for "controller or processor" there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(2)(a)(ii)	N/A	for "the data protection legislation" there were substituted " the eIDAS Regulation and the EITSET Regulations ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(2)(b)	N/A	paragraph (b) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 3(3)	N/A	In that section, subsection (2) has effect as if paragraph (a) were omitted. Modification of section 143 (information notices: restrictions)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4(1)	N/A	Section 143 has effect as if subsections (1) and (9) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4(2)	N/A	In that section—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4(2)(a)	N/A	subsections (3)(b) and (4)(b) have effect as if for "the data protection legislation" there were substituted " the eIDAS Regulation or the EITSET Regulations ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4(2)(b)	N/A	subsection (7)(a) has effect as if for "this Act" there were substituted " section 144 or 148 or paragraph 15 of Schedule 15 ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 4(2)(c)	N/A	subsection (8) has effect as if for "this Act (other than an offence under section 144)" there were substituted " section 148 or paragraph 15 of Schedule 15 ". Modification of section 145 (information orders)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(1)	N/A	Section 146 has effect as if subsection (11) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)	N/A	In that section—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(a)	N/A	subsection (1) has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(a)(i)	N/A	for "controller or processor" (in both places) there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(a)(ii)	N/A	for "the data protection legislation" there were substituted " the eIDAS requirements ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(b)	N/A	subsection (2) has effect as if paragraphs (h) and (i) were omitted;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(c)	N/A	subsections (7), (8), (9) and (10) have effect as if for "controller or processor" (in each place) there were substituted "trust service provider.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 6(2)(d)	N/A	subsection (9)(a) has effect as if for "as described in section 149(2) or that an offence under this Act" there were substituted " to comply with the eIDAS requirements or that an offence under section 144 or 148 or paragraph 15 of Schedule 15 ". Modification of section 147 (assessment notices: restrictions)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(1)	N/A	Section 149 has effect as if subsections (2) to (5) and (7) to (9) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(2)	N/A	In that section—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(2)(a)	N/A	subsection (1) has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(2)(a)(i)	N/A	for "as described in subsection (2), (3), (4) or (5)" there were substituted " to comply with the eIDAS requirements ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(2)(a)(ii)	N/A	for "sections 150 and 151" there were substituted " section 150 ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 8(2)(b)	N/A	subsection (6) has effect as if the words "given in reliance on subsection (2), (3) or (5)" were omitted. Modification of section 150 (enforcement notices: supplementary)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(1)	N/A	The Commissioner may, by written notice (a "withdrawal notice"), withdraw the qualified status from a trust service provider, or the qualified status of a service provided by a trust service provider, if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(1)(a)	N/A	the Commissioner is satisfied that the trust service provider has failed to comply with an information notice or an enforcement notice, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(1)(b)	N/A	the condition in subsection (2) or (3) is met.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(2)	N/A	The condition in this subsection is met if the period for the trust service provider to appeal against the information notice or enforcement notice has ended without an appeal having been brought.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(3)	N/A	The condition in this subsection is met if an appeal against the information notice or enforcement notice has been brought and—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(3)(a)	N/A	the appeal and any further appeal in relation to the notice has been decided or has otherwise ended, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(3)(b)	N/A	the time for appealing against the result of the appeal or further appeal has ended without another appeal having been brought.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(4)	N/A	A withdrawal notice must—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(4)(a)	N/A	state when the withdrawal takes effect, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 11(4)(b)	N/A	provide information about the rights of appeal under section 162." Modification of Schedule 15 (powers of entry and inspection)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(1)	N/A	Schedule 15 has effect as if paragraph 3 were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(2)	N/A	Paragraph 1(1) of that Schedule (issue of warrants in connection with non-compliance and offences) has effect as if for paragraph (a) (but not the final "and") there were substituted—" (a) there are reasonable grounds for suspecting that—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(2)(i)	N/A	a trust service provider has failed or is failing to comply with the eIDAS requirements, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(2)(ii)	N/A	an offence under section 144 or 148 or paragraph 15 of Schedule 15 has been or is being committed,".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(3)	N/A	Paragraph 2 of that Schedule (issue of warrants in connection with assessment notices) has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(3)(a)	N/A	in sub-paragraphs (1) and (2), for "controller or processor" there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(3)(b)	N/A	in sub-paragraph (2), for "the data protection legislation" there were substituted " the eIDAS requirements ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)	N/A	Paragraph 5 of that Schedule (content of warrants) has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(a)	N/A	in sub-paragraph (1)(c), for "the processing of personal data" there were substituted " the provision of trust services ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(b)	N/A	in sub-paragraph (2)(d)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(b)(i)	N/A	for "controller or processor" there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(b)(ii)	N/A	for "as described in section 149(2)" there were substituted " to comply with the eIDAS requirements ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(c)	N/A	in sub-paragraph (3)(a) and (d)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(c)(i)	N/A	for "controller or processor" there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 12(4)(c)(ii)	N/A	for "the data protection legislation" there were substituted " the eIDAS requirements ".	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 12(5)	N/A	Paragraph 11 of that Schedule (privileged communications) has effect as if, in sub-paragraphs (1)(b) and (2)(b), for "the data protection legislation" there were substituted " the eIDAS Regulation or the EITSET Regulations ". Modification of section 155 (penalty notices)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(1)	N/A	Section 155 has effect as if subsections (1)(a), (2)(a), (3)(g), (4) and (6) to	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(6)	N/A	were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(2)	N/A	Subsection (2) of that section has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(2)(a)	N/A	the words "Subject to subsection (4)," were omitted;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(2)(b)	N/A	in paragraph (b), the words "to the extent that the notice concerns another matter," were omitted,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(3)	N/A	Subsection (3) of that section has effect as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(3)(a)	N/A	for "controller or processor", in each place, there were substituted " trust service provider ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(3)(b)	N/A	in paragraph (c), the words "or distress" were omitted;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(3)(c)	N/A	in paragraph (c), for "data subjects" there were substituted " relying parties ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 13(3)(d)	N/A	in paragraph (d), for "section 57, 66, 103 or 107" there were substituted " Article 19(1) of the eIDAS Regulation ". Modification of Schedule 16 (penalties)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 16(a)	N/A	in subsection (1), the words "Article 83 of the GDPR and" were omitted;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 16(b)	N/A	in subsection (2), the words "Article 83 of the GDPR" and "and section 158" were omitted. Modification of section 160 (guidance about regulatory action)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 17(1)	N/A	Section 160 has effect as if subsections (5) and (12) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 17(2)	N/A	In that section, subsection (4)(f) has effect as if for "controllers and processors" there were substituted " trust service providers ". Modification of section 162 (rights of appeal)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 18(1)	N/A	Section 162 has effect as if subsection (4) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 18(2)	N/A	In that section, subsection (1) has effect as if, after paragraph (c), there were inserted— "(ca) a withdrawal notice;" . Modification of section 163 (determination of appeals)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 20(1)	N/A	Section 180 has effect as if subsections (2)(d) and (e) and (3) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 20(2)	N/A	Subsection (1) of that section has effect as if for "subsections (3) and (4)" there were substituted " subsection (4) ". Modification of section 182 (regulations and consultation)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 22	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 22(1)	N/A	Section 196 has effect as if subsections (3) to (5) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 22(2)	N/A	In that section—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 22(2)(a)	N/A	subsection (1) has effect as if the words "section 119 or 173 or" were omitted;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 22(2)(b)	N/A	subsection (2) has effect as if for "section 132, 144, 148, 170, 171 or 184" there were substituted " section 144 or 148 ". Modification of section 197 (prosecution)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 24(a)	N/A	there were substituted " on an appeal under section 162 ". Modification of section 203 (Tribunal Procedure Rules)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 25(a)	N/A	in subsection (1), for paragraphs (a) and (b) there were substituted " the exercise of the rights of appeal conferred by section 162 ";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 25(b)	N/A	in subsection (2)(a) and (b), for "the processing of personal data" there were substituted " the provision of trust services ". Approval of first guidance about regulatory action	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 26	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 26(1)	N/A	This paragraph applies if the first guidance produced under section 160(1) of the Data Protection Act 2018 and the first guidance produced under that provision as applied by this Schedule are laid before Parliament as a single document ("the combined guidance")	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 26(2)	N/A	Section 161 of that Act (including that section as applied by this Schedule) has effect as if the references to "the guidance" were references to the combined guidance, except in subsections (2)(b) and (4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 26(3)	N/A	Nothing in subsection (2)(a) of that section (including as applied by this Schedule) prevents another version of the combined guidance being laid before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 26(4)	N/A	Any duty under subsection (2)(b) of that section (including as applied by this Schedule) may be satisfied by producing another version of the combined guidance. Interpretation	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 408(a)	N/A	Article 5(1) of the GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 408(b)	N/A	section 34(1) of the Data Protection Act 2018."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 409(a)	N/A	Article 5(1) of the GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 409(b)	N/A	section 34(1) of the Data Protection Act 2018." Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (S.I. 2017/692)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 412(a)	N/A	the Data Protection Act 2018 or any other enactment, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 412(b)	N/A	the GDPR."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 413(a)	N/A	the Data Protection Act 2018 or any other enactment, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 413(b)	N/A	the GDPR."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 414(d)	N/A	"personal data" has the same meaning as in Parts 5 to 7 of that Act (see section 3(2) and (14) of that Act)."	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(1)	N/A	Regulation 41 (data protection) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(2)	N/A	Omit paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(3)	N/A	In paragraph (3)(a), after "Regulations" insert " or the GDPR ".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(4)	N/A	Omit paragraphs (4) and (5).	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 415(5)	N/A	After those paragraphs insert—“(b) Before establishing a business relationship or entering into an occasional transaction with a new customer, as well as providing the customer with the information required under Article 13 of the GDPR (information to be provided where personal data are collected from the data subject), relevant persons must provide the customer with a statement that any personal data received from the customer will be processed only—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(5)(a)	N/A	for the purposes of preventing money laundering or terrorist financing, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(5)(b)	N/A	as permitted under paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(7)	N/A	In Article 6(1) of the GDPR (lawfulness of processing), the reference in point	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(7)(e)	N/A	to processing of personal data that is necessary for the performance of a task carried out in the public interest includes processing of personal data in accordance with these Regulations that is necessary for the prevention of money laundering or terrorist financing.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(8)	N/A	In the case of sensitive processing of personal data for the purposes of the prevention of money laundering or terrorist financing, section 10 of, and Schedule 1 to, the Data Protection Act 2018 make provision about when the processing meets a requirement in Article 9(2) or 10 of the GDPR for authorisation under the law of the United Kingdom (see, for example, paragraphs 10, 11 and 12 of that Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 415(9)	N/A	In this regulation—“data subject” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act); “personal data” and “processing” have the same meaning as in Parts 5 to 7 of that Act (see section 3(2), (4) and (14) of that Act); “sensitive processing” means the processing of personal data described in Article 9(1) or 10 of the GDPR (special categories of personal data and personal data relating to criminal convictions and offences etc).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 416	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 416(1)	N/A	Regulation 84 (publication: the Financial Conduct Authority) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 416(2)	N/A	In paragraph (10), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 416(3)	N/A	For paragraph (11) substitute—“(11) For the purposes of this regulation, “personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 417	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 417(1)	N/A	Regulation 85 (publication: the Commissioners) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 417(2)	N/A	In paragraph (9), for “the Data Protection Act 1998” substitute “the data protection legislation”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 417(3)	N/A	For paragraph (10) substitute—“(10) For the purposes of this regulation, “personal data” has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2) and (14) of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(1)	N/A	Paragraph 6 of Schedule 5 to the Scottish Partnerships (Register of People with Significant Control) Regulations 2017 (conditions for permitted disclosure to a credit institution or a financial institution) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(2)	N/A	The existing text becomes sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(3)	N/A	For paragraph (b) of that sub-paragraph substitute—“(b) for the purposes of ensuring that it complies with its data protection obligations.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)	N/A	After sub-paragraph (1) insert—“(2) In this paragraph, “data protection obligations”, in relation to a relevant institution, means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)(a)	N/A	where the institution carries on business in the United Kingdom, obligations under the data protection legislation (as defined in section 3 of the Data Protection Act 2018);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)(b)	N/A	where the institution carries on business in a EEA State other than the United Kingdom, obligations under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)(b)(i)	N/A	the GDPR (as defined in section 3(10) of the Data Protection Act 2018),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)(b)(ii)	N/A	legislation made in exercise of powers conferred on member States under the GDPR (as so defined), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 420(4)(b)(iii)	N/A	legislation implementing the Law Enforcement Directive (as defined in section 3(12) of the Data Protection Act 2018).” Data Protection (Charges and Information) Regulations 2018 (S.I. 2018/480)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 423	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 423(1)	N/A	Regulation 1 (citation and commencement) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 423(2)	N/A	In paragraph (2), omit “Subject to paragraph (3).”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 423(3)	N/A	Omit paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 424(a)	N/A	omit the definition of “the 1998 Act”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 424(b)	N/A	at the appropriate place insert—““the data protection legislation” has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act).”, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 424(c)	N/A	omit the definition of “GDPR”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425(1)	N/A	Schedule 6 (other contractual terms) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425(2)	N/A	In paragraph 63(2) (interpretation: general), for “the 1998 Act or any directly applicable EU instrument relating to data protection” substitute “—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425(2)(a)	N/A	the data protection legislation, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425(2)(b)	N/A	any directly applicable EU legislation which is not part of the data protection legislation but which relates to data protection.”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 425(3)	N/A	For paragraph 64 (meaning of data controller etc.) substitute—“Meaning of controller etc.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(14)	N/A	of that Act).”	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(4)	N/A	In paragraph 65(2)(b) (roles, responsibilities and obligations: general), for “data controllers” substitute “controllers”.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(5)	N/A	In paragraph 69(2)(a) (processing and access of data), for “the 1998 Act, and any directly applicable EU instrument relating to data protection;” substitute “—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(5)(i)	N/A	the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(5)(i)(i)	N/A	any directly applicable EU legislation which is not part of the data protection legislation but which relates to data protection;”,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(6)	N/A	In paragraph 94(4) (variation of a contract: general)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(6)(a)	N/A	omit paragraph (b), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 64A(6)(b)	N/A	after paragraph (d) (but before the final “and”) insert—“(da) the data protection legislation;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 2, Para 64A(5)(db)	N/A	any directly applicable EU legislation which is not part of the data protection legislation but which relates to data protection"; National Health Service (Primary Medical Services Section 17C Agreements) (Scotland) Regulations 2018 (S.S.I. 2018/67)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 427	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 427(1)	N/A	Regulation 1 (citation and commencement) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 427(2)	N/A	In paragraph (2), omit "Subject to paragraph (3),".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 427(3)	N/A	Omit paragraph (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 428(a)	N/A	omit the definition of "the 1998 Act", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 428(b)	N/A	at the appropriate place insert—"the data protection legislation" has the same meaning as in the Data Protection Act 2018 (see section 3 of that Act);", and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 428(c)	N/A	omit the definition of "GDPR".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(1)	N/A	Schedule 1 (content of agreements) is amended as follows.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)	N/A	In paragraph 34 (interpretation)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(a)	N/A	in sub-paragraph (1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(a)(i)	N/A	omit "Subject to sub-paragraph (3),".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(a)(ii)	N/A	before paragraph (a) insert—"(za) "controller" has the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(6) and (14) of that Act);"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(zb)	N/A	"data protection officer" means a person designated as a data protection officer under the data protection legislation;"; and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(b)(iii)	N/A	for paragraph (d) substitute—" (e) "personal data" and "processing" have the same meaning as in Parts 5 to 7 of the Data Protection Act 2018 (see section 3(2), (4) and (14) of that Act).";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(b)	N/A	omit sub-paragraphs (2) and (3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(c)	N/A	in sub-paragraph (4), for "the 1998 Act and any directly applicable EU instrument relating to data protection" substitute—"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(2)(d)	N/A	in sub-paragraph (6)(b), for "data controllers" substitute "controllers".	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(3)	N/A	In paragraph 37(2)(e) (processing and access of data), for "the 1998 Act, and any directly applicable EU instrument relating to data protection;" substitute—"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(3)(i)	N/A	the data protection legislation, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(3)(i)(i)	N/A	any directly applicable EU legislation which is not part of the data protection legislation but which relates to data protection;";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(4)	N/A	In paragraph 61(3) (variation of agreement: general)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(4)(a)	N/A	omit paragraph (b), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(4)(b)	N/A	after paragraph (d) (but before the final "and") insert—" (da) the data protection legislation;"	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 2, Para 429(4)(db)	N/A	any directly applicable EU legislation which is not part of the data protection legislation but which relates to data protection;";	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3	Modifications	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430(1)	N/A	Unless the context otherwise requires, legislation described in sub-paragraph (2) has effect on and after the day on which this Part of this Schedule comes into force as if it were modified in accordance with this Part of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430(2)	N/A	That legislation is—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430(2)(a)	N/A	subordinate legislation made before the day on which this Part of this Schedule comes into force;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430(2)(b)	N/A	primary legislation that is passed or made before the end of the Session in which this Act is passed.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 430(3)	N/A	In this Part of this Schedule—"primary legislation" has the meaning given in section 21(7); "references" includes any references, however expressed. General modifications	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 431	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 431(1)	N/A	References to a particular provision of, or made under, the Data Protection Act 1998 have effect as references to the equivalent provision or provisions of, or made under, the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 431(2)	N/A	Other references to the Data Protection Act 1998 have effect as references to the data protection legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 431(3)	N/A	References to disclosure, use or other processing of information that is prohibited or restricted by an enactment which include disclosure, use or other processing of information that is prohibited or restricted by the Data Protection Act 1998 have effect as if they included disclosure, use or other processing of information that is prohibited or restricted by the UK GDPR. Specific modification of references to terms used in the Data Protection Act 1998	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(1)	N/A	References to personal data, and to the processing of such data, as defined in the Data Protection Act 1998, have effect as references to personal data, and to the processing of such data, as defined for the purposes of Parts 5 to 7 of this Act (see section 3(2),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(4)	N/A	and (14)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(2)	N/A	References to processing as defined in the Data Protection Act 1998, in relation to information, have effect as references to processing as defined in section 3(4).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(3)	N/A	References to a data subject as defined in the Data Protection Act 1998 have effect as references to a data subject as defined in section 3(5).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(5)	N/A	References to the data protection principles set out in the Data Protection Act 1998 have effect as references to the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(5)(a)	N/A	Article 5(1) of the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(5)(b)	N/A	sections 34(1) and 85(1) of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(6)	N/A	References to direct marketing as defined in section 11 of the Data Protection Act 1998 have effect as references to direct marketing as defined in section 122 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(7)	N/A	References to a health professional within the meaning of section 69(1) of the Data Protection Act 1998 have effect as references to a health professional within the meaning of section 204 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 19, Part 3, Para 432(8)	N/A	References to a health record within the meaning of section 68(2) of the Data Protection Act 1998 have effect as references to a health record within the meaning of section 205 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 19, Part 4	Supplementary	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20	TRANSITIONAL PROVISION ETC	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1	General	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1, Para 1	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1, Para 1(1)	N/A	In this Schedule— “the 1984 Act” means the Data Protection Act 1984; “the 1998 Act” means the Data Protection Act 1998; “the 2014 Regulations” means the Criminal Justice and Data Protection Protocol No. 36) Regulations 2014 (S.I. 2014/3141); “data controller” has the same meaning as in the 1998 Act (see section 1 of that Act); “the old data protection principles” means the principles set out in—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1, Para 1(1)(a)	N/A	Part 1 of Schedule 1 to the 1998 Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1, Para 1(1)(b)	N/A	regulation 30 of the 2014 Regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 1, Para 1(2)	N/A	A provision of the 1998 Act that has effect by virtue of this Schedule is not, by virtue of that, part of the data protection legislation (as defined in section 3).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2	Rights Of Data Subjects	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(1)	N/A	The repeal of sections 7 to 9A of the 1998 Act (right of access to personal data) does not affect the application of those sections after the relevant time in a case in which a data controller received a request under section 7 of that Act (right of access to personal data) before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(2)	N/A	The repeal of sections 7 and 8 of the 1998 Act and the revocation of regulation 44 of the 2014 Regulations (which applies those sections with modifications) do not affect the application of those sections and that regulation after the relevant time in a case in which a UK competent authority received a request under section 7 of the 1998 Act (as applied by that regulation) before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(3)	N/A	The revocation of the relevant regulations, or their amendment by Schedule 19 to this Act, and the repeals and revocation mentioned in sub-paragraphs (1) and (2), do not affect the application of the relevant regulations after the relevant time in a case described in those sub-paragraphs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(4)	N/A	In this paragraph— “the relevant regulations” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(4)(a)	N/A	the Data Protection (Subject Access) (Fees and Miscellaneous Provisions) Regulations 2000 (S.I. 2000/1911);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(4)(b)	N/A	regulation 4 of, and Schedule 1 to, the Consumer Credit (Credit Reference Agency) Regulations 2000 (S.I. 2000/2909);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 2(4)(c)	N/A	regulation 3 of the Freedom of Information and Data Protection (Appropriate Limit and Fees) Regulations 2004 (S.I. 2004/3244); “the relevant time” means the time when the repeal of section 7 of the 1998 Act comes into force; “UK competent authority” has the same meaning as in Part 4 of the 2014 Regulations (see regulation 27 of those Regulations). Right to prevent processing likely to cause damage or distress under the 1998 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 3(1)	N/A	The repeal of section 10 of the 1998 Act (right to prevent processing likely to cause damage or distress) does not affect the application of that section after the relevant time in a case in which an individual gave notice in writing to a data controller under that section before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 3(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 10 of the 1998 Act comes into force. Right to prevent processing for purposes of direct marketing under the 1998 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 4(1)	N/A	The repeal of section 11 of the 1998 Act (right to prevent processing for purposes of direct marketing) does not affect the application of that section after the relevant time in a case in which an individual gave notice in writing to a data controller under that section before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 4(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 11 of the 1998 Act comes into force. Automated processing under the 1998 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(1)	N/A	The repeal of section 12 of the 1998 Act (rights in relation to automated decision- taking) does not affect the application of that section after the relevant time in relation to a decision taken by a person before that time if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(1)(a)	N/A	in taking the decision the person failed to comply with section 12(1) of the 1998 Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(1)(b)	N/A	at the relevant time—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(1)(b)(i)	N/A	the person had not taken all of the steps required under section 12(2) or (3) of the 1998 Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(1)(b)(ii)	N/A	the period specified in section 12(2)(b) of the 1998 Act (for an individual to require a person to reconsider a decision) had not expired.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 5(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 12 of the 1998 Act comes into force. Compensation for contravention of the 1998 Act or Part 4 of the 2014 Regulations	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6(1)	N/A	The repeal of section 13 of the 1998 Act (compensation for failure to comply with certain requirements) does not affect the application of that section after the relevant time in relation to damage or distress suffered at any time by reason of an act or omission before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6(2)	N/A	The revocation of regulation 45 of the 2014 Regulations (right to compensation) does not affect the application of that regulation after the relevant time in relation to damage or distress suffered at any time by reason of an act or omission before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6(3)	N/A	“The relevant time” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6(3)(a)	N/A	in sub-paragraph (1), the time when the repeal of section 13 of the 1998 Act comes into force;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 6(3)(b)	N/A	in sub-paragraph (2), the time when the revocation of regulation 45 of the 2014 Regulation comes into force. Rectification, blocking, erasure and destruction under the 1998 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 7	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 7(1)	N/A	The repeal of section 14(1) to (3) and (6) of the 1998 Act (rectification, blocking, erasure and destruction of inaccurate personal data) does not affect the application of those provisions after the relevant time in a case in which an application was made under subsection (1) of that section before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 2, Para 7(2)	N/A	The repeal of section 14(4) to (6) of the 1998 Act (rectification, blocking, erasure and destruction: risk of further contravention in circumstances entitling data subject to compensation under section 13 of the 1998 Act) does not affect the application of those provisions after the relevant time in a case in which an application was made under subsection (4) of that section before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 7(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 14 of the 1998 Act comes into force. Jurisdiction and procedure under the 1998 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(1)	N/A	The repeal of Part 4 of the 1998 Act (exemptions) does not affect the application of that Part after the relevant time in connection with a provision of Part 2 of the 1998 Act as it has effect after that time by virtue of paragraphs 2 to 7 of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(2)	N/A	The revocation of the relevant Orders, and the repeal mentioned in sub-paragraph (1), do not affect the application of the relevant Orders after the relevant time in connection with a provision of Part 2 of the 1998 Act as it has effect as described in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)	N/A	In this paragraph—"the relevant Orders" means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(a)	N/A	the Data Protection (Corporate Finance Exemption) Order 2000 (S.I. 2000/184);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(b)	N/A	the Data Protection (Subject Access Modification) (Health) Order 2000 (S.I. 2000/413);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(c)	N/A	the Data Protection (Subject Access Modification) (Education) Order 2000 (S.I. 2000/414);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(d)	N/A	the Data Protection (Subject Access Modification) (Social Work) Order 2000 (S.I. 2000/415);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(e)	N/A	the Data Protection (Crown Appointments) Order 2000 (S.I. 2000/416);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(f)	N/A	Data Protection (Miscellaneous Subject Access Exemptions) Order 2000 (S.I. 2000/419);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(3)(g)	N/A	Data Protection (Designated Codes of Practice) (No. 2) Order 2000 (S.I. 2000/1864); "the relevant time" means the time when the repeal of the provision of Part 2 of the 1998 Act in question comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 9(4)	N/A	As regards certificates issued under section 28(2) of the 1998 Act, see Part 5 of this Schedule. Prohibition by this Act of requirement to produce relevant records	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 10(1)	N/A	In Schedule 18 to this Act, references to a record obtained in the exercise of a data subject access right include a record obtained at any time in the exercise of a right under section 7 of the 1998 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 10(2)	N/A	In section 184 of this Act, references to a "relevant record" include a record which does not fall within the definition in Schedule 18 to this Act (read with sub- paragraph (1)) but which, immediately before the relevant time, was a "relevant record" for the purposes of section 56 of the 1998 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 2, Para 10(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 56 of the 1998 Act comes into force. Avoidance under this Act of certain contractual terms relating to health records	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 3	The UK Gdpr And Part 2 Of This Act	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 3, Para 13(a)	N/A	where the controller is a public authority listed in Part 1 of Schedule 1 to the Freedom of Information Act 2000, £900, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 3, Para 13(b)	N/A	otherwise, £450.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 4	Law Enforcement And Intelligence Services Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 4, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 4, Para 14(1)	N/A	In relation to an automated processing system set up before 6 May 2016, subsections	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 4, Para 14(2)	N/A	Sub-paragraph (1) ceases to have effect at the beginning of 6 May 2026. Regulation 50 of the 2014 Regulations (disapplication of the 1998 Act)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5	National Security Certificates	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 17(1)	N/A	The repeal of section 28(2) to (12) of the 1998 Act does not affect the application of those provisions after the relevant time with respect to the processing of personal data to which the 1998 Act (including as it has effect by virtue of this Schedule) applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 17(2)	N/A	A certificate issued under section 28(2) of the 1998 Act continues to have effect after the relevant time with respect to the processing of personal data to which the 1998 Act (including as it has effect by virtue of this Schedule) applies.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 17(3)	N/A	Where a certificate continues to have effect under sub-paragraph (2) after the relevant time, it may be revoked or quashed in accordance with section 28 of the 1998 Act after the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 17(4)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 28 of the 1998 Act comes into force. National security certificates: processing of personal data under the 2018 Act	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(1)	N/A	This paragraph applies to a certificate issued under section 28(2) of the 1998 Act (an "old certificate") which has effect immediately before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(2)	N/A	If and to the extent that the old certificate provides protection with respect to personal data which corresponds to protection that could be provided by a certificate issued under section 27, 79 or 111 of this Act, the old certificate also has effect to that extent after the relevant time as if—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(2)(a)	N/A	it were a certificate issued under one or more of sections 27, 79 and 111 (as the case may be),	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(2)(b)	N/A	it provided protection in respect of that personal data in relation to the corresponding provisions of this Act or the UK GDPR, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(2)(c)	N/A	where it has effect as a certificate issued under section 79, it certified that each restriction in question is a necessary and proportionate measure to protect national security.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(3)	N/A	Where an old certificate also has effect as if it were a certificate issued under one or more of sections 27, 79 and 111, that section has, or those sections have, effect accordingly in relation to the certificate.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(4)	N/A	Where an old certificate has an extended effect because of sub-paragraph (2), section 130 of this Act does not apply in relation to it.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(5)	N/A	An old certificate that has an extended effect because of sub-paragraph (2) provides protection only with respect to the processing of personal data that occurs during the period of 1 year beginning with the relevant time (and a Minister of the Crown may curtail that protection by wholly or partly revoking the old certificate).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(6)	N/A	For the purposes of this paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(6)(a)	N/A	a reference to the protection provided by a certificate issued under—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(6)(a)(i)	N/A	section 28(2) of the 1998 Act, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(6)(a)(ii)	N/A	section 27, 79 or 111 of this Act, is a reference to the effect of the evidence that is provided by the certificate;	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 5, Para 18(6)(b)	N/A	protection provided by a certificate under section 28(2) of the 1998 Act is to be regarded as corresponding to protection that could be provided by a certificate under section 27, 79 or 111 of this Act where, in respect of provision in the 1998 Act to which the certificate under section 28(2) relates, there is corresponding provision in this Act or the UK GDPR to which a certificate under section 27, 79 or 111 could relate.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(7)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 28 of the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 5, Para 18(8)	N/A	In this paragraph, references to the UK GDPR do not include the EU GDPR as it was directly applicable to the United Kingdom before IP completion day (see paragraph 2 of Schedule 21).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6	The Information Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)	N/A	On and after the relevant day, the individual who was the Commissioner immediately before that day—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)(a)	N/A	continues to be the Commissioner,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)(b)	N/A	is to be treated as having been appointed under Schedule 12 to this Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)(c)	N/A	holds office for the period—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)(c)(i)	N/A	beginning with the relevant day, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(1)(c)(ii)	N/A	lasting for 7 years less a period equal to the individual's pre-commencement term.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(2)	N/A	On and after the relevant day, a resolution passed by the House of Commons for the purposes of paragraph 3 of Schedule 5 to the 1998 Act (salary and pension of Commissioner), and not superseded before that day, is to be treated as having been passed for the purposes of paragraph 4 of Schedule 12 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 19(3)	N/A	In this paragraph—"pre-commencement term", in relation to an individual, means the period during which the individual was the Commissioner before the relevant day; "the relevant day" means the day on which Schedule 12 to this Act comes into force. Accounts	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 20	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 20(1)	N/A	The repeal of paragraph 10 of Schedule 5 to the 1998 Act does not affect the duties of the Commissioner and the Comptroller and Auditor General under that paragraph in respect of the Commissioner's statement of account for the financial year beginning with 1 April 2017.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 20(2)	N/A	The Commissioner's duty under paragraph 11 of Schedule 12 to this Act to prepare a statement of account for each financial year includes a duty to do so for the financial year beginning with 1 April 2018. Annual report	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 21	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 21(1)	N/A	The repeal of section 52(1) of the 1998 Act (annual report) does not affect the Commissioner's duty under that subsection to produce a general report on the exercise of the Commissioner's functions under the 1998 Act during the period of 1 year beginning with 1 April 2017 and to lay it before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 21(2)	N/A	The repeal of section 49 of the Freedom of Information Act 2000 (annual report) does not affect the Commissioner's duty under that section to produce a general report on the exercise of the Commissioner's functions under that Act during the period of 1 year beginning with 1 April 2017 and to lay it before Parliament.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 21(3)	N/A	The first report produced by the Commissioner under section 139 of this Act must relate to the period of 1 year beginning with 1 April 2018. Fees etc received by the Commissioner	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 22	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 22(1)	N/A	The repeal of Schedule 5 to the 1998 Act (Information Commissioner) does not affect the application of paragraph 9 of that Schedule after the relevant time to amounts received by the Commissioner before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 22(2)	N/A	In this paragraph, "the relevant time" means the time when the repeal of Schedule 5 to the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 24	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 24(1)	N/A	The repeal of section 54(2) of the 1998 Act (functions to be discharged by the Commissioner for the purposes of Article 13 of the Data Protection Convention), and the revocation of the Data Protection (Functions of Designated Authority) Order 2000 (S.I. 2000/186), do not affect the application of articles 1 to 5 of that Order after the relevant time in relation to a request described in those articles which was made before that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 24(2)	N/A	The references in paragraph 9 of Schedule 14 to this Act (Data Protection Convention: restrictions on use of information) to requests made or received by the Commissioner under paragraph 6 or 7 of that Schedule include a request made or received by the Commissioner under article 3 or 4 of the Data Protection (Functions of Designated Authority) Order 2000 (S.I. 2000/186).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 24(3)	N/A	The repeal of section 54(7) of the 1998 Act (duty to notify the European Commission of certain approvals and authorisations) does not affect the application of that provision after the relevant time in relation to an approval or authorisation granted before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 24(4)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 54 of the 1998 Act comes into force. Co-operation with the European Commission: transfers of personal data outside the EEA	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 25	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 25(1)	N/A	The repeal of section 54(3) of the 1998 Act (co-operation by the Commissioner with the European Commission etc), and the revocation of the Data Protection (International Co-operation) Order 2000 (S.I. 2000/190), do not affect the application of articles 1 to 4 of that Order after the relevant time in relation to transfers that took place before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 25(2)	N/A	In this paragraph—"the relevant time" means the time when the repeal of section 54 of the 1998 Act comes into force; "transfer" has the meaning given in article 2 of the Data Protection (International Co-operation) Order 2000 (S.I. 2000/190). Charges payable to the Commissioner by controllers	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 26	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 26(1)	N/A	The Data Protection (Charges and Information) Regulations 2018 (S.I. 2018/480) have effect after the relevant time (until revoked) as if they were made under section 137 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 26(2)	N/A	In this paragraph, "the relevant time" means the time when section 137 of this Act comes into force. Requests for assessment	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 27	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 27(1)	N/A	The repeal of section 42 of the 1998 Act (requests for assessment) does not affect the application of that section after the relevant time in a case in which the Commissioner received a request under that section before the relevant time, subject to sub- paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 27(2)	N/A	The Commissioner is only required to make an assessment of acts and omissions that took place before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 6, Para 27(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 42 of the 1998 Act comes into force. Codes of practice	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 28	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 28(1)	N/A	The repeal of section 52E of the 1998 Act (effect of codes of practice) does not affect the application of that section after the relevant time in relation to legal proceedings or to the exercise of the Commissioner's functions under the 1998 Act as it has effect by virtue of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 28(2)	N/A	In section 52E of the 1998 Act, as it has effect by virtue of this paragraph, the references to the 1998 Act include that Act as it has effect by virtue of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 28(3)	N/A	For the purposes of subsection (3) of that section, as it has effect by virtue of this paragraph, the data-sharing code and direct marketing code in force immediately before the relevant time are to be treated as having continued in force after that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 6, Para 28(4)	N/A	In this paragraph— "the data-sharing code" and "the direct marketing code" mean the codes respectively prepared under sections 52A and 52AA of the 1998 Act and issued under section 52B(5) of that Act; "the relevant time" means the time when the repeal of section 52E of the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7	Enforcement Etc Under The 1998 Act	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 29	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 29(1)	N/A	In this Part of this Schedule, references to contravention of the sixth data protection principle sections are to relevant contravention of any of sections 7, 10, 11 or 12 of the 1998 Act, as they continue to have effect by virtue of this Schedule after their repeal (and references to compliance with the sixth data protection principle sections are to be read accordingly).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 29(2)	N/A	In sub-paragraph (1), "relevant contravention" means contravention in a manner described in paragraph 8 of Part 2 of Schedule 1 to the 1998 Act (sixth data protection principle). Information notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)	N/A	The repeal of section 43 of the 1998 Act (information notices) does not affect the application of that section after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)(a)	N/A	the Commissioner served a notice under that section before the relevant time (and did not cancel it before that time), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)(b)	N/A	the Commissioner requires information after the relevant time for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)(b)(i)	N/A	responding to a request made under section 42 of the 1998 Act before that time,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)(b)(ii)	N/A	determining whether a data controller complied with the old data protection principles before that time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(1)(b)(iii)	N/A	determining whether a data controller complied with the sixth data protection principle sections after that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(2)	N/A	In section 43 of the 1998 Act, as it has effect by virtue of this paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(2)(a)	N/A	the reference to an offence under section 47 of the 1998 Act includes an offence under section 144 of this Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(2)(b)	N/A	the references to an offence under the 1998 Act include an offence under this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 30(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 43 of the 1998 Act comes into force. Special information notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(1)	N/A	The repeal of section 44 of the 1998 Act (special information notices) does not affect the application of that section after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(1)(a)	N/A	the Commissioner served a notice under that section before the relevant time (and did not cancel it before that time), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(1)(b)	N/A	the Commissioner requires information after the relevant time for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(1)(b)(i)	N/A	responding to a request made under section 42 of the 1998 Act before that time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(1)(b)(ii)	N/A	ascertaining whether section 44(2)(a) or (b) of the 1998 Act was satisfied before that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(2)	N/A	In section 44 of the 1998 Act, as it has effect by virtue of this paragraph—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(2)(a)	N/A	the reference to an offence under section 47 of the 1998 Act includes an offence under section 144 of this Act, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(2)(b)	N/A	the references to an offence under the 1998 Act include an offence under this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 31(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 44 of the 1998 Act comes into force. Assessment notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(1)	N/A	The repeal of sections 41A and 41B of the 1998 Act (assessment notices) does not affect the application of those sections after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(1)(a)	N/A	the Commissioner served a notice under section 41A of the 1998 Act before the relevant time (and did not cancel it before that time), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(1)(b)	N/A	the Commissioner considers it appropriate, after the relevant time, to investigate—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(1)(b)(i)	N/A	whether a data controller complied with the old data protection principles before that time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(1)(b)(ii)	N/A	whether a data controller complied with the sixth data protection principle sections after that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(2)	N/A	The revocation of the Data Protection (Assessment Notices) (Designation of National Health Service Bodies) Order 2014 (S.I. 2014/3282), and the repeals mentioned in sub-paragraph (1), do not affect the application of that Order in a case described in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(3)	N/A	Sub-paragraph (1) does not enable the Secretary of State, after the relevant time, to make an order under section 41A(2)(b) or (c) of the 1998 Act (data controllers on whom an assessment notice may be served) designating a public authority or person for the purposes of that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(4)	N/A	Section 41A of the 1998 Act, as it has effect by virtue of sub-paragraph (1), has effect as if subsections (8) and (11) (duty to review designation orders) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(5)	N/A	The repeal of section 41C of the 1998 Act (code of practice about assessment notice) does not affect the application, after the relevant time, of the code issued under that section and in force immediately before the relevant time in relation to the exercise of the Commissioner's functions under and in connection with section 41A of the 1998 Act, as it has effect by virtue of sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 32(6)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 41A of the 1998 Act comes into force. Enforcement notices	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33(1)	N/A	The repeal of sections 40 and 41 of the 1998 Act (enforcement notices) does not affect the application of those sections after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33(1)(a)	N/A	the Commissioner served a notice under section 40 of the 1998 Act before the relevant time (and did not cancel it before that time), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33(1)(b)	N/A	the Commissioner is satisfied, after that time, that a data controller —	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 7, Para 33(1)(b)(i)	N/A	contravened the old data protection principles before that time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33(1)(b)(ii)	N/A	contravened the sixth data protection principle sections after that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 33(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 40 of the 1998 Act comes into force. Determination by Commissioner as to the special purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 34	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 34(1)	N/A	The repeal of section 45 of the 1998 Act (determination by Commissioner as to the special purposes) does not affect the application of that section after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 34(1)(a)	N/A	the Commissioner made a determination under that section before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 34(1)(b)	N/A	the Commissioner considers it appropriate, after the relevant time, to make a determination under that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 34(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 45 of the 1998 Act comes into force. Restriction on enforcement in case of processing for the special purposes	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 35	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 35(1)	N/A	The repeal of section 46 of the 1998 Act (restriction on enforcement in case of processing for the special purposes) does not affect the application of that section after the relevant time in relation to an enforcement notice or information notice served under the 1998 Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 35(1)(a)	N/A	before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 35(1)(b)	N/A	after the relevant time in reliance on this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 35(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 46 of the 1998 Act comes into force. Offences	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 36	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 36(1)	N/A	The repeal of sections 47, 60 and 61 of the 1998 Act (offences of failing to comply with certain notices and of providing false information etc in response to a notice) does not affect the application of those sections after the relevant time in connection with an information notice, special information notice or enforcement notice served under Part 5 of the 1998 Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 36(1)(a)	N/A	before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 36(1)(b)	N/A	after that time in reliance on this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 36(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 47 of the 1998 Act comes into force. Powers of entry	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)	N/A	The repeal of sections 50, 60 and 61 of, and Schedule 9 to, the 1998 Act (powers of entry) does not affect the application of those provisions after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(a)	N/A	a warrant issued under that Schedule was in force immediately before the relevant time,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(b)	N/A	before the relevant time, the Commissioner supplied information on oath for the purposes of obtaining a warrant under that Schedule but that had not been considered by a circuit judge or a District Judge (Magistrates’ Courts), or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(c)	N/A	after the relevant time, the Commissioner supplies information on oath to a circuit judge or a District Judge (Magistrates’ Courts) in respect of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(c)(i)	N/A	a contravention of the old data protection principles before the relevant time;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(c)(ii)	N/A	a contravention of the sixth data protection principle sections after the relevant time;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(c)(iii)	N/A	the commission of an offence under a provision of the 1998 Act (including as the provision has effect by virtue of this Schedule);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(1)(c)(iv)	N/A	a failure to comply with a requirement imposed by an assessment notice issued under section 41A the 1998 Act (including as it has effect by virtue of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(2)	N/A	In paragraph 16 of Schedule 9 to the 1998 Act, as it has effect by virtue of this paragraph, the reference to an offence under paragraph 12 of that Schedule includes an offence under paragraph 15 of Schedule 15 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(3)	N/A	In this paragraph, “the relevant time” means the time when the repeal of Schedule 9 to the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 37(4)	N/A	Paragraphs 14 and 15 of Schedule 9 to the 1998 Act (application of that Schedule to Scotland and Northern Ireland) apply for the purposes of this paragraph as they apply for the purposes of that Schedule. Monetary penalties	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)	N/A	The repeal of sections 55A, 55B, 55D and 55E of the 1998 Act (monetary penalties) does not affect the application of those provisions after the relevant time in a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)(a)	N/A	the Commissioner served a monetary penalty notice under section 55A of the 1998 Act before the relevant time,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)(b)	N/A	the Commissioner served a notice of intent under section 55B of the 1998 Act before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)(c)	N/A	the Commissioner considers it appropriate, after the relevant time, to serve a notice mentioned in paragraph (a) or (b) in respect of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)(c)(i)	N/A	a contravention of section 4(4) of the 1998 Act before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(1)(c)(ii)	N/A	a contravention of the sixth data protection principle sections after the relevant time	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(2)	N/A	The revocation of the relevant subordinate legislation, and the repeals mentioned in sub-paragraph (1), do not affect the application of the relevant subordinate legislation (or of provisions of the 1998 Act applied by them) after the relevant time in a case described in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(3)	N/A	Guidance issued under section 55C of the 1998 Act (guidance about monetary penalty notices) which is in force immediately before the relevant time continues in force after that time for the purposes of the Commissioner’s exercise of functions under sections 55A and 55B of the 1998 Act as they have effect by virtue of this paragraph.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(4)	N/A	In this paragraph—“the relevant subordinate legislation” means—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(4)(a)	N/A	the Data Protection (Monetary Penalties) (Maximum Penalty and Notices) Regulations 2010 (S.I. 2010/31);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 38(4)(b)	N/A	the Data Protection (Monetary Penalties) Order 2010 (S.I. 2010/910); “the relevant time” means the time when the repeal of section 55A of the 1998 Act comes into force. Appeals	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 39	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 39(1)	N/A	The repeal of sections 48 and 49 of the 1998 Act (appeals) does not affect the application of those sections after the relevant time in relation to a notice served under the 1998 Act or a determination made under section 45 of that Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 39(1)(a)	N/A	before the relevant time, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 39(1)(b)	N/A	after that time in reliance on this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 7, Para 39(2)	N/A	In this paragraph, "the relevant time" means the time when the repeal of section 48 of the 1998 Act comes into force. Exemptions	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 40	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 40(1)	N/A	The repeal of section 28 of the 1998 Act (national security) does not affect the application of that section after the relevant time for the purposes of a provision of Part 5 of the 1998 Act as it has effect after that time by virtue of the preceding paragraphs of this Part of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 40(2)	N/A	In this paragraph, "the relevant time" means the time when the repeal of the provision of Part 5 of the 1998 Act in question comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 40(3)	N/A	As regards certificates issued under section 28(2) of the 1998 Act, see Part 5 of this Schedule. Tribunal Procedure Rules	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 41	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 41(1)	N/A	The repeal of paragraph 7 of Schedule 6 to the 1998 Act (Tribunal Procedure Rules) does not affect the application of that paragraph, or of rules made under that paragraph, after the relevant time in relation to the exercise of rights of appeal conferred by section 28 or 48 of the 1998 Act, as they have effect by virtue of this Schedule.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 41(2)	N/A	Part 3 of Schedule 19 to this Act does not apply for the purposes of Tribunal Procedure Rules made under paragraph 7(1)(a) of Schedule 6 to the 1998 Act as they apply, after the relevant time, in relation to the exercise of rights of appeal described in sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 41(3)	N/A	In this paragraph, "the relevant time" means the time when the repeal of paragraph 7 of Schedule 6 to the 1998 Act comes into force. Obstruction etc	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 42	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 42(1)	N/A	The repeal of paragraph 8 of Schedule 6 to the 1998 Act (obstruction etc in proceedings before the Tribunal) does not affect the application of that paragraph after the relevant time in relation to an act or omission in relation to proceedings under the 1998 Act (including as it has effect by virtue of this Schedule).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 42(2)	N/A	In this paragraph, "the relevant time" means the time when the repeal of paragraph 8 of Schedule 6 to the 1998 Act comes into force. Enforcement etc under the 2014 Regulations	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 43	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 43(1)	N/A	The references in the preceding paragraphs of this Part of this Schedule to provisions of the 1998 Act include those provisions as applied, with modifications, by regulation 51 of the 2014 Regulations (other functions of the Commissioner).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 7, Para 43(2)	N/A	The revocation of regulation 51 of the 2014 Regulations does not affect the application of those provisions of the 1998 Act (as so applied) as described in those paragraphs.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8	Enforcement Etc Under This Act	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8, Para 44(a)	N/A	the reference to an offence under section 144 of this Act includes an offence under section 47 of the 1998 Act (including as it has effect by virtue of this Schedule), and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8, Para 44(b)	N/A	the references to an offence under this Act include an offence under the 1998 Act (including as it has effect by virtue of this Schedule) or the 1984 Act. Powers of entry	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8, Para 46	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8, Para 46(1)	N/A	Tribunal Procedure Rules made under paragraph 7(1)(a) of Schedule 6 to the 1998 Act (appeal rights under the 1998 Act) and in force immediately before the relevant time have effect after that time as if they were also made under section 203 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 8, Para 46(2)	N/A	In this paragraph, "the relevant time" means the time when the repeal of paragraph 7(1)(a) of Schedule 6 to the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9	Other Enactments	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)	N/A	The following provisions (as amended by Schedule 19 to this Act) have effect after the relevant time as if the matters they refer to included a matter in respect of which the Commissioner could exercise a power conferred by a provision of Part 5 of the 1998 Act, as it has effect by virtue of this Schedule—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(a)	N/A	section 11AA(1)(a) of the Parliamentary Commissioner Act 1967 (disclosure of information by Parliamentary Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(b)	N/A	sections 33A(1)(a) and 34O(1)(a) of the Local Government Act 1974 (disclosure of information by Local Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(c)	N/A	section 18A(1)(a) of the Health Service Commissioners Act 1993 (disclosure of information by Health Service Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(d)	N/A	paragraph 1 of the entry for the Information Commissioner in Schedule 5 to the Scottish Public Services Ombudsman Act 2002 (asp 11) (disclosure of information by the Ombudsman);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(e)	N/A	section 34X(3)(a) of the Public Services Ombudsman (Wales) Act 2005 (disclosure of information by the Ombudsman);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(f)	N/A	section 18(6)(a) of the Commissioner for Older People (Wales) Act 2006 (disclosure of information by the Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(g)	N/A	section 22(3)(a) of the Welsh Language (Wales) Measure 2011 (nawm 1) (disclosure of information by the Welsh Language Commissioner);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(h)	N/A	section 49(3)(a) of the Public Services Ombudsman Act (Northern Ireland) 2016 (c. 4 (N.I.))(disclosure of information by the Ombudsman);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(1)(h)(i)	N/A	section 44(3)(a) of the Justice Act (Northern Ireland) 2016 (c. 21 (N.I.))(disclosure of information by the Prison Ombudsman for Northern Ireland);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)	N/A	The following provisions (as amended by Schedule 19 to this Act) have effect after the relevant time as if the offences they refer to included an offence under any provision of the 1998 Act other than paragraph 12 of Schedule 9 to that Act (obstruction of execution of warrant)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(a)	N/A	section 11AA(1)(b) of the Parliamentary Commissioner Act 1967;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(b)	N/A	sections 33A(1)(b) and 34O(1)(b) of the Local Government Act 1974;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(c)	N/A	section 18A(1)(b) of the Health Service Commissioners Act 1993;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(d)	N/A	paragraph 2 of the entry for the Information Commissioner in Schedule 5 to the Scottish Public Services Ombudsman Act 2002 (asp 11);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(e)	N/A	section 34X(5) of the Public Services Ombudsman (Wales) Act 2005 (disclosure of information by the Ombudsman);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(f)	N/A	section 18(8) of the Commissioner for Older People (Wales) Act 2006;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(g)	N/A	section 22(5) of the Welsh Language (Wales) Measure 2011 (nawm 1);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(h)	N/A	section 49(5) of the Public Services Ombudsman Act (Northern Ireland) 2016 (c. 4 (N.I.));	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(2)(h)(i)	N/A	section 44(3)(b) of the Justice Act (Northern Ireland) 2016 (c. 21 (N.I.)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 47(3)	N/A	In this paragraph, "the relevant time", in relation to a provision of a section or Schedule listed in sub-paragraph (1) or (2), means the time when the amendment of the section or Schedule by Schedule 19 to this Act comes into force. Codes etc required to be consistent with the Commissioner's data-sharing code	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 9, Para 48(1)	N/A	This paragraph applies in relation to the code of practice issued under each of the following provisions—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(1)(a)	N/A	section 19AC of the Registration Service Act 1953 (code of practice about disclosure of information by civil registration officials);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(1)(b)	N/A	section 43 of the Digital Economy Act 2017 (code of practice about disclosure of information to improve public service delivery);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(1)(c)	N/A	section 52 of that Act (code of practice about disclosure of information to reduce debt owed to the public sector);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(1)(d)	N/A	section 60 of that Act (code of practice about disclosure of information to combat fraud against the public sector);	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(1)(e)	N/A	section 70 of that Act (code of practice about disclosure of information for research purposes).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(2)	N/A	During the relevant period, the code of practice does not have effect to the extent that it is inconsistent with the code of practice prepared under section 121 of this Act (data-sharing code) and issued under section 125(4) of this Act (as altered or replaced from time to time).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(3)	N/A	In this paragraph, “the relevant period”, in relation to a code issued under a section mentioned in sub-paragraph (1), means the period—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(3)(a)	N/A	beginning when the amendments of that section in Schedule 19 to this Act come into force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 48(3)(b)	N/A	ending when the code is first reissued under that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49(1)	N/A	This paragraph applies in relation to the original statement published under section 45E of the Statistics and Registration Service Act 2007 (statement of principles and procedures in connection with access to information by the Statistics Board).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49(2)	N/A	During the relevant period, the statement does not have effect to the extent that it is inconsistent with the code of practice prepared under section 121 of this Act (data-sharing code) and issued under section 125(4) of this Act (as altered or replaced from time to time).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49(3)	N/A	In this paragraph, “the relevant period” means the period—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49(3)(a)	N/A	beginning when the amendments of section 45E of the Statistics and Registration Service Act 2007 in Schedule 19 to this Act come into force, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 49(3)(b)	N/A	ending when the first revised statement is published under that section.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(1)	N/A	This paragraph applies where a request for information was made to a public authority under the 2000 Act before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(2)	N/A	To the extent that the request is dealt with after the relevant time, the amendments of sections 2 and 40 of the 2000 Act in Schedule 19 to this Act have effect for the purposes of determining whether the authority deals with the request in accordance with Part 1 of the 2000 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(3)	N/A	To the extent that the request was dealt with before the relevant time—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(3)(a)	N/A	the amendments of sections 2 and 40 of the 2000 Act in Schedule 19 to this Act do not have effect for the purposes of determining whether the authority dealt with the request in accordance with Part 1 of the 2000 Act, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(3)(b)	N/A	the powers of the Commissioner and the Tribunal, on an application or appeal under the 2000 Act, do not include power to require the authority to take steps which it would not be required to take in order to comply with Part 1 of the 2000 Act as amended by Schedule 19 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 52(4)	N/A	In this paragraph—“public authority” has the same meaning as in the 2000 Act; “the relevant time” means the time when the amendments of sections 2 and 40 of the 2000 Act in Schedule 19 to this Act come into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 53	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 53(1)	N/A	Tribunal Procedure Rules made under paragraph 7(1)(b) of Schedule 6 to the 1998 Act (appeal rights under the 2000 Act) and in force immediately before the relevant time have effect after that time as if they were also made under section 61 of the 2000 Act (as inserted by Schedule 19 to this Act).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 53(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of paragraph 7(1)(b) of Schedule 6 to the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 54	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 54(1)	N/A	The repeal of paragraph 8 of Schedule 6 to the 1998 Act (obstruction etc in proceedings before the Tribunal) does not affect the application of that paragraph after the relevant time in relation to an act or omission before that time in relation to an appeal under the 2000 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 54(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of paragraph 8 of Schedule 6 to the 1998 Act comes into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 55	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 55(1)	N/A	The amendment of section 77 of the 2000 Act in Schedule 19 to this Act (offence of altering etc record with intent to prevent disclosure: omission of reference to section 7 of the 1998 Act) does not affect the application of that section after the relevant time in relation to a case in which—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 55(1)(a)	N/A	the request for information mentioned in section 77(1) of the 2000 Act was made before the relevant time, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 55(1)(b)	N/A	when the request was made, section 77(1)(b) of the 2000 Act was satisfied by virtue of section 7 of the 1998 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 55(2)	N/A	In this paragraph, “the relevant time” means the time when the repeal of section 7 of the 1998 Act comes into force. Freedom of Information (Scotland) Act 2002.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(1)	N/A	This paragraph applies where a request for information was made to a Scottish public authority under the Freedom of Information (Scotland) Act 2002 (“the 2002 Act”) before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(2)	N/A	To the extent that the request is dealt with after the relevant time, the amendments of the 2002 Act in Schedule 19 to this Act have effect for the purposes of determining whether the authority deals with the request in accordance with Part 1 of the 2002 Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(3)	N/A	To the extent that the request was dealt with before the relevant time—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(3)(a)	N/A	the amendments of the 2002 Act in Schedule 19 to this Act do not have effect for the purposes of determining whether the authority dealt with the request in accordance with Part 1 of the 2002 Act, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(3)(b)	N/A	the powers of the Scottish Information Commissioner and the Court of Session, on an application or appeal under the 2002 Act, do not include power to require the authority to take steps which it would not be required to take in order to comply with Part 1 of the 2002 Act as amended by Schedule 19 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 56(4)	N/A	In this paragraph—“Scottish public authority” has the same meaning as in the 2002 Act; “the relevant time” means the time when the amendments of the 2002 Act in Schedule 19 to this Act come into force. Access to Health Records (Northern Ireland) Order 1993 (S.I. 1993/1250 (N.I. 4))	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 58	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 20, Part 9, Para 58(1)	N/A	The repeal of a provision of the 1998 Act does not affect its operation for the purposes of the Privacy and Electronic Communications (EC Directive) Regulations 2003 ("the PECR 2003") (see regulation 2 of those Regulations).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 58(2)	N/A	Where subordinate legislation made under a provision of the 1998 Act is in force immediately before the repeal of that provision, neither the revocation of the subordinate legislation nor the repeal of the provision of the 1998 Act affect the application of the subordinate legislation for the purposes of the PECR 2003 after that time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 58(3)	N/A	Part 3 of Schedule 19 to this Act (modifications) does not have effect in relation to the PECR 2003.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 58(4)	N/A	Part 7 of this Schedule does not have effect in relation to the provisions of the 1998 Act as applied by the PECR 2003. Health and Personal Social Services (Quality, Improvement and Regulation) (Northern Ireland) Order 2003 (S.I. 2003/431 (N.I. 9)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(1)	N/A	This paragraph applies where a request for information was made to a public authority under the Environmental Information Regulations 2004 ("the 2004 Regulations") before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(2)	N/A	To the extent that the request is dealt with after the relevant time, the amendments of the 2004 Regulations in Schedule 19 to this Act have effect for the purposes of determining whether the authority deals with the request in accordance with Parts 2 and 3 of those Regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(3)	N/A	To the extent that the request was dealt with before the relevant time—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(3)(a)	N/A	the amendments of the 2004 Regulations in Schedule 19 to this Act do not have effect for the purposes of determining whether the authority dealt with the request in accordance with Parts 2 and 3 of those Regulations, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(3)(b)	N/A	the powers of the Commissioner and the Tribunal, on an application or appeal under the 2000 Act (as applied by the 2004 Regulations), do not include power to require the authority to take steps which it would not be required to take in order to comply with Parts 2 and 3 of those Regulations as amended by Schedule 19 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 60(4)	N/A	In this paragraph— "public authority" has the same meaning as in the 2004 Regulations; "the relevant time" means the time when the amendments of the 2004 Regulations in Schedule 19 to this Act come into force. Environmental Information (Scotland) Regulations 2004 (S.S.I. 2004/520)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(1)	N/A	This paragraph applies where a request for information was made to a Scottish public authority under the Environmental Information (Scotland) Regulations 2004 ("the 2004 Regulations") before the relevant time.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(2)	N/A	To the extent that the request is dealt with after the relevant time, the amendments of the 2004 Regulations in Schedule 19 to this Act have effect for the purposes of determining whether the authority deals with the request in accordance with those Regulations.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(3)	N/A	To the extent that the request was dealt with before the relevant time—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(3)(a)	N/A	the amendments of the 2004 Regulations in Schedule 19 to this Act do not have effect for the purposes of determining whether the authority dealt with the request in accordance with those Regulations, but	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(3)(b)	N/A	the powers of the Scottish Information Commissioner and the Court of Session, on an application or appeal under the 2002 Act (as applied by the 2004 Regulations), do not include power to require the authority to take steps which it would not be required to take in order to comply with those Regulations as amended by Schedule 19 to this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 20, Part 9, Para 61(4)	N/A	In this paragraph— "Scottish public authority" has the same meaning as in the 2004 Regulations; "the relevant time" means the time when the amendments of the 2004 Regulations in Schedule 19 to this Act come into force.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21	FURTHER TRANSITIONAL PROVISION ETC	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 1	Interpretation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2	Continuation Of Existing Acts Etc	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(1)	N/A	On and after IP completion day, references in an enactment to the UK GDPR (including the reference in the definition of "the data protection legislation" in section 3(9)) include—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(1)(a)	N/A	the EU GDPR as it was directly applicable to the United Kingdom before IP completion day, read with Chapter 2 of Part 2 of this Act as it had effect before IP completion day, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(1)(b)	N/A	the applied GDPR, read with Chapter 3 of Part 2 of this Act as it had effect before IP completion day.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(2)	N/A	On and after IP completion day, references in an enactment to, or to a provision of, Chapter 2 of Part 2 of this Act (including general references to this Act or to Part 2 of this Act) include that Chapter or that provision as applied by Chapter 3 of Part 2 of this Act as it had effect before IP completion day.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(3)	N/A	Sub-paragraphs (1) and (2) have effect—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(3)(a)	N/A	in relation to references in this Act, except as otherwise provided;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 2(3)(b)	N/A	in relation to references in other enactments, unless the context otherwise requires.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 3	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 3(1)	N/A	Anything done in connection with the EU GDPR as it was directly applicable to the United Kingdom before IP completion day, the applied GDPR or this Act—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 3(1)(a)	N/A	if in force or effective immediately before IP completion day, continues to be in force or effective on and after IP completion day, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 3(1)(b)	N/A	if in the process of being done immediately before IP completion day, continues to be done on and after IP completion day.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 2, Para 3(2)	N/A	References in this paragraph to anything done include references to anything omitted to be done.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3	Transfers To Third Countries And International Organisations	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(1)	N/A	On and after IP completion day, for the purposes of the UK GDPR and Part 2 of this Act, a transfer of personal data to a third country or an international organisation is to be treated as approved by regulations made under Article 45A of the UK GDPR if, at the time of the transfer, paragraph 5 specifies, or specifies a description which includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(1)(a)	N/A	in the case of a third country, the country or a relevant territory or sector within the country, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(1)(b)	N/A	in the case of an international organisation, the organisation.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 21, Part 3, Para 4(2)	N/A	Sub-paragraph (1) has effect subject to provision in paragraph 5 providing that only particular transfers to the country, territory, sector or organisation may rely on a particular provision of paragraph 5 for the purposes of sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(3)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(3)(a)	N/A	repeal sub-paragraphs (1) and (2) and paragraph 5;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(3)(b)	N/A	amend paragraph 5 so as to omit a third country, territory, sector or international organisation specified, or of a description specified, in that paragraph;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(3)(c)	N/A	amend paragraph 5 so as to replace a reference to, or description of, a third country, territory, sector or organisation with a narrower reference or description, including by specifying or describing particular transfers of personal data and making provision described in sub-paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(4)	N/A	Regulations under this paragraph may, among other things—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(4)(a)	N/A	identify a transfer of personal data by any means, including by reference to the controller or processor, the recipient, the personal data transferred or the means by which the transfer is made or by reference to relevant legislation, schemes, lists or other arrangements or documents, as they have effect from time to time;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(4)(b)	N/A	confer a discretion on a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 4(5)	N/A	Regulations under this paragraph are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)	N/A	The following are specified for the purposes of paragraph 4(1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(a)	N/A	an EEA state;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(b)	N/A	Gibraltar;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(c)	N/A	a Union institution, body, office or agency set up by, or on the basis of, the Treaty on the European Union, the Treaty on the Functioning of the European Union or the Euratom Treaty;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(d)	N/A	an equivalent institution, body, office or agency set up by, or on the basis of, the Treaties establishing the European Economic Area;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(e)	N/A	a third country which is the subject of a decision listed in sub-paragraph (2), other than a decision that, immediately before IP completion day, had been repealed or was suspended;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(1)(f)	N/A	a third country, territory or sector within a third country or international organisation which is the subject of an adequacy decision made by the European Commission before IP completion day on the basis of Article 45(3) of the EU GDPR, other than a decision that, immediately before IP completion day, had been repealed or was suspended.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)	N/A	The decisions mentioned in sub-paragraph (1)(e) are the following—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(a)	N/A	Commission Decision 2000/518/EC of 28th July 2000 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data provided in Switzerland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(b)	N/A	Commission Decision 2002/2/EC of 20th December 2001 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data provided by the Canadian Personal Information Protection and Electronic Documents Act;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(c)	N/A	Commission Decision 2003/480/EC of 30th June 2003 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data in Argentina;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(d)	N/A	Commission Decision 2003/821/EC of 21st November 2003 on the adequate protection of personal data in Guernsey;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(e)	N/A	Commission Decision 2004/411/EC of 28th April 2004 on the adequate protection of personal data in the Isle of Man;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(f)	N/A	Commission Decision 2008/393/EC of 8th May 2008 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data in Jersey;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(g)	N/A	Commission Decision 2010/146/EU of 5th March 2010 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection provided by the Faeroese Act on processing of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(h)	N/A	Commission Decision 2010/625/EU of 19th October 2010 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data in Andorra;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(h)(i)	N/A	Commission Decision 2011/61/EU of 31st January 2011 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data by the State of Israel with regard to automated processing of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(j)	N/A	Commission Implementing Decision 2012/484/EU of 21st August 2012 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data by the Eastern Republic of Uruguay with regard to automated processing of personal data;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(k)	N/A	Commission Implementing Decision 2013/65/EU of 19th December 2012 pursuant to Directive 95/46/EC of the European Parliament and of the Council on the adequate protection of personal data by New Zealand;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(2)(m)	N/A	Commission Implementing Decision (EU) 2019/419 of 23rd January 2019 pursuant to Regulation (EU) 2016/679 of the European Parliament and of the Council on the adequate protection of personal data by Japan under the Act on the Protection of Personal Information.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(3)	N/A	Where a decision described in sub-paragraph (1)(e) or (f) states that an adequate level of protection of personal data is ensured only for a transfer specified or described in the decision, only such a transfer may rely on that provision and that decision for the purposes of paragraph 4(1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(4)	N/A	The references to a decision in sub-paragraphs (1)(e) and (f) and (2) are to the decision as it had effect in EU law immediately before IP completion day, subject to sub-paragraphs (5) and (6).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(5)	N/A	For the purposes of this paragraph, where a reference to legislation, a list or another document in a decision described in sub-paragraph (1)(e) or (f) is a reference to the legislation, list or document as it has effect from time to time, it is to be treated as a reference to the legislation, list or other document as it has effect at the time of the transfer.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(6)	N/A	For the purposes of this paragraph, where a decision described in sub-paragraph (1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(6)(e)	N/A	or (f) relates to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(6)(a)	N/A	transfers from the European Union (or the European Community) or the European Economic Area, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 5(6)(b)	N/A	transfers to which the EU GDPR applies, it is to be treated as relating to equivalent transfers to or from the United Kingdom or transfers to which the UK GDPR applies (as appropriate).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 6	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 6(1)	N/A	In the provisions listed in sub-paragraph (2)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 6(1)(a)	N/A	references to regulations made under Article 45A of the UK GDPR (other than references to making such regulations) include the provision made in paragraph 5;	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 21, Part 3, Para 6(1)(b)	N/A	references to the revocation of such regulations include the repeal of all or part of paragraph 5.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 6(2)	N/A	Those provisions are Articles 13(1)(f), 14(1)(f), 45C(1) and 49A(1) of the UK GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 6(3)	N/A	In its application to transfers treated as approved by virtue of paragraph 1, Article 45C(5) of the UK GDPR (transfers approved by regulations: monitoring) has effect as if the reference to Article 45A(4)(b) were omitted. UK GDPR: transfers subject to appropriate safeguards provided by standard data protection clauses	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(1)	N/A	The requirement for safeguards to be provided under Article 46(1A(a)(i)) of the UK GDPR may be satisfied on and after IP completion day as described in sub- paragraphs (2) to (4), subject to sub-paragraph (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(2)	N/A	The safeguards may be provided for by any binding corporate rules authorised by the Commissioner which, immediately before IP completion day, provided for the appropriate safeguards referred to in Article 46(1) of the EU GDPR by virtue of Article 46(5) of the EU GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(3)	N/A	The safeguards may be provided for by a version of binding corporate rules described in sub-paragraph (2) incorporating changes where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(3)(a)	N/A	all of the changes are made in consequence of the withdrawal of the United Kingdom from the EU , of provision made by regulations under section 8 or 23 of the European Union (Withdrawal) Act 2018 or of the amendment of Chapter 5 of the UK GDPR by the Data (Use and Access) Act 2025, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(3)(b)	N/A	none of the changes alters the effect of the rules.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(4)	N/A	The following changes are to be treated as falling within sub-paragraph (3)(a) and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(4)(b)	N/A	—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(4)(a)	N/A	changing references to adequacy decisions made by the European Commission into references to equivalent provision made by regulations under section 17A or by or under paragraphs 4 to 6 of this Schedule;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(4)(aa)	N/A	changing references to provision made by regulations under section 17A into references to provision made by regulations made under Article 45A of the UK GDPR;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5)	N/A	Sub-paragraphs (2) to (4) cease to apply in relation to binding corporate rules if, on or after IP completion day, the Commissioner withdraws the authorisation of the rules (or, where sub-paragraph (3) is relied on, the authorisation of the rules mentioned in sub-paragraph (2)).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5A)	N/A	For the purposes of sub-paragraph (2), binding corporate rules which, immediately before IP completion day, provided for the appropriate safeguards referred to in Article 46(1) of the EU GDPR by virtue of Article 46(5) of the EU GDPR but which were authorised other than by the Commissioner are to be treated as authorised by the Commissioner where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5A)(a)	N/A	a valid notification of the rules has been made to the Commissioner,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5A)(b)	N/A	the Commissioner has approved them, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5A)(c)	N/A	that approval has not been withdrawn.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)	N/A	A notification is valid if it—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)(a)	N/A	is made by a controller or processor established in the United Kingdom,	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)(b)	N/A	is made to the Commissioner before the end of the period of 6 months beginning with IP completion day, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)(c)	N/A	includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)(c)(i)	N/A	the name and contact details of the data protection officer or other contact point for the controller or processor, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5B)(c)(ii)	N/A	such other information as the Commissioner may reasonably require.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5C)	N/A	Where a valid notification is made the Commissioner must, without undue delay—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5C)(a)	N/A	decide whether or not to approve the rules, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(5C)(b)	N/A	notify the controller or processor of that decision.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(6)	N/A	The Commissioner must keep the operation of this paragraph under review	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(7)	N/A	In this paragraph— “adequacy decision” means a decision made on the basis of—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(7)(a)	N/A	Article 45(3) of the EU GDPR, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(7)(b)	N/A	Article 25(6) of Directive 95/46/EC of the European Parliament and of the Council of 24th October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data; “binding corporate rules” has the meaning given in Article 4(20) of the UK GDPR	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 9(8)	N/A	This paragraph has effect in addition to Article 46(2) and (3) of the UK GDPR. Part 3 (law enforcement processing): transfers approved by regulations	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(1)	N/A	On and after IP completion day, for the purposes of Part 3 of this Act, a transfer of personal data to a third country or an international organisation is to be treated as approved by regulations made under section 74AA if, at the time of the transfer, paragraph 11 specifies, or specifies a description which includes—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(1)(a)	N/A	in the case of a third country, the country or a relevant territory or sector within the country, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(1)(b)	N/A	in the case of an international organisation, the organisation.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(2)	N/A	Sub-paragraph (1) has effect subject to provision in paragraph 11 providing that only particular transfers to the country, territory, sector or organisation may rely on a particular provision of paragraph 11 for the purposes of sub-paragraph (1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(3)	N/A	The Secretary of State may by regulations—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(3)(a)	N/A	repeal sub-paragraphs (1) and (2) and paragraph 11;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(3)(b)	N/A	amend paragraph 11 so as to omit a third country, territory, sector or international organisation specified, or of a description specified, in that paragraph;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(3)(c)	N/A	amend paragraph 11 so as to replace a reference to, or description of, a third country, territory, sector or organisation with a narrower reference or description, including by specifying or describing particular transfers of personal data and by making provision described in sub-paragraph (2).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(4)	N/A	Regulations under this paragraph may, among other things—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(4)(a)	N/A	identify a transfer of personal data by any means, including by reference to the controller or processor, the recipient, the personal data transferred or the means by which the transfer is made or by reference to relevant legislation, schemes, lists or other arrangements or documents, as they have effect from time to time.	Functional	No Relationship	N/A	N/A	N/A	0	

NIST IR 8477-Based Set Theory Relationship Mapping (STRM)

Reference Document: Secure Controls Framework (SCF) version 2026.2

STRM Guidance: <https://securecontrolsframework.com/start-here/set-theory-relationship-mapping-strm/>

Focal Document: **UK - Data Protection Act (DPA) (2018)**

Focal Document URL: <https://www.legislation.gov.uk/ukpga/2018/12/contents>

Published STRM URL: <https://content.securecontrolsframework.com/strm/scf-strm-emea-gbr-dpa-2018.pdf>

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
Schedule 21, Part 3, Para 10(4)(b)	N/A	confer a discretion on a person.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 10(5)	N/A	Regulations under this paragraph are subject to the negative resolution procedure.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(1)	N/A	The following are specified for the purposes of paragraph 10(1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(1)(a)	N/A	an EEA state;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(1)(aa)	N/A	Switzerland;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(1)(b)	N/A	Gibraltar;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(1)(c)	N/A	a third country, a territory or sector within a third country or an international organisation which is the subject of an adequacy decision made by the European Commission before IP completion day on the basis of Article 36(3) of the Law Enforcement Directive, other than a decision that, immediately before IP completion day, had been repealed or was suspended.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(2)	N/A	Where a decision described in sub-paragraph (1)(c) states that an adequate level of protection of personal data is ensured only for a transfer specified or described in the decision, only such a transfer may rely on that provision and that decision for the purposes of paragraph 10(1).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(3)	N/A	The reference to a decision in sub-paragraph (1)(c) is to the decision as it had effect in EU law immediately before IP completion day, subject to sub-paragraphs (4) and (5).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(4)	N/A	For the purposes of this paragraph, where a reference to legislation, a list or another document in a decision described in sub-paragraph (1)(c) is a reference to the legislation, list or document as it has effect from time to time, it is to be treated as a reference to the legislation, list or other document as it has effect at the time of the transfer.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(5)	N/A	For the purposes of this paragraph, where a decision described in sub-paragraph (1)	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(5)(c)	N/A	relates to—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(5)(a)	N/A	transfers from the European Union (or the European Community) or the European Economic Area, or	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 11(5)(b)	N/A	transfers to which the Law Enforcement Directive applies, it is to be treated as relating to equivalent transfers from the United Kingdom or transfers to which Part 3 of this Act applies (as appropriate).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 12	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 12(1)	N/A	In sections 74B and 76(A1)—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 12(1)(a)	N/A	references to regulations made under section 74AA (other than references to making such regulations) include the provision made in paragraph 11;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 12(1)(b)	N/A	references to the revocation of such regulations include the repeal of all or part of paragraph 11.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 3, Para 12(2)	N/A	In its application to transfers treated as approved by virtue of paragraph 10, section 74B(7) (transfers approved by regulations: monitoring) has effect as if the reference to section 74AA(4)(b) were omitted.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4	Repeal Of Provisions In Chapter 3 Of Part 2	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 13	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 13(1)	N/A	Regulations made under section 23 before IP completion day continue in force until they are revoked, despite the repeal of that section by the Data Protection, Privacy and Electronic Communications (Amendments etc) (EU Exit) Regulations 2019.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 13(2)	N/A	The provisions listed in section 186(3) include regulations made under section 23 before IP completion day (and not revoked).	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 13(3)	N/A	Sub-paragraphs (1) and (2) do not have effect so far as otherwise provided by the law of England and Wales, Scotland or Northern Ireland. Applied GDPR: national security certificates	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 14	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 14(1)	N/A	This paragraph applies to a certificate issued under section 27 of this Act which has effect immediately before IP completion day.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 4, Para 14(2)	N/A	A reference in the certificate to a provision of the applied GDPR has effect, on and after IP completion day, as if it were a reference to the corresponding provision of the UK GDPR or this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 5	The Information Commissioner	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6	Enforcement	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 16(a)	N/A	Article 83(5) and (6) of the UK GDPR and section 157(5)(a) and (b) of this Act have effect as if for “£17,500,000” there were substituted “ 20 million Euros ”;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 16(b)	N/A	Article 83(4) of the UK GDPR and section 157(6)(a) and (b) of this Act have effect as if for “£8,700,000” there were substituted “ 10 million Euros ”;	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 16(c)	N/A	the maximum amount of a penalty in sterling must be determined by applying the spot rate of exchange set by the Bank of England on the day on which the penalty notice is given under section 155 of this Act. GDPR: right to an effective remedy against the Commissioner	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 17	N/A	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 17(1)	N/A	This paragraph applies where—	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 17(1)(a)	N/A	proceedings are brought against a decision made by the Commissioner before IP completion day, and	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 17(1)(b)	N/A	the Commissioner’s decision was preceded by an opinion or decision of the European Data Protection Board in accordance with the consistency mechanism referred to in Article 63 of the EU GDPR.	Functional	No Relationship	N/A	N/A	N/A	0	
Schedule 21, Part 6, Para 17(2)	N/A	The Commissioner must forward the Board’s opinion or decision to the court or tribunal dealing with the proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	