

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
1	Definitions and Purpose	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
1.1	Definitions	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
1.2	Purpose of Act	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
2	Application Provisions	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
2.3	Application and interpretation of Act	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
2.4	Lawful processing of personal information	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
2.5	Rights of data subjects	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
2.5(1)	Rights of data subjects	A data subject has the right to have his, her or its personal information processed in accordance with the conditions for the lawful processing of personal information as referred to in Chapter 3, including the right—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(a)	Rights of data subjects	to be notified that—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(a)(i)	Rights of data subjects	personal information about him, her or it is being collected as provided for in terms of section 18; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(a)(ii)	Rights of data subjects	his, her or its personal information has been accessed or acquired by an unauthorised person as provided for in terms of section 22;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(b)	Rights of data subjects	to establish whether a responsible party holds personal information of that data subject and to request access to his, her or its personal information as provided for in terms of section 23;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(c)	Rights of data subjects	to request, where necessary, the correction, destruction or deletion of his, her or its personal information as provided for in terms of section 24;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(d)	Rights of data subjects	to object, on reasonable grounds relating to his, her or its particular situation to the processing of his, her or its personal information as provided for in terms of section 11(3)(a);	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(e)	Rights of data subjects	to object to the processing of his, her or its personal information—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(e)(i)	Rights of data subjects	at any time for purposes of direct marketing in terms of section 11(3)(b); or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(e)(ii)	Rights of data subjects	in terms of section 69(3)(c);	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(f)	Rights of data subjects	not to have his, her or its personal information processed for purposes of direct marketing by means of unsolicited electronic communications except as referred to in section 69(1);	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(g)	Rights of data subjects	not to be subject, under certain circumstances, to a decision which is based solely on the basis of the automated processing of his, her or its personal information intended to provide a profile of such person as provided for in terms of section 71;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(h)	Rights of data subjects	to submit a complaint to the Regulator regarding the alleged interference with the protection of the personal information of any data subject or to submit a complaint to the Regulator in respect of a determination of an adjudicator as provided for in terms of section 74; and	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.5(1)(i)	Rights of data subjects	to institute civil proceedings regarding the alleged interference with the protection of his, her or its personal information as provided for in section 99.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
2.6	Exclusions	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
2.7	Exclusion for journalistic, literary or artistic purposes	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
3	Conditions for Lawful Processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A	Processing of personal information in general	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.1	Accountability	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.1.8	Responsible party to ensure conditions for lawful processing	The responsible party must ensure that the conditions set out in this Chapter, and all the measures that give effect to such conditions, are complied with at the time of the determination of the purpose and means of the processing and during the processing itself	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2	Processing Limitation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2.9	Lawfulness of processing	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2.9(1)	Lawfulness of processing	Personal information must be processed—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.9(1)(a)	Lawfulness of processing	lawfully; and	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.9(1)(b)	Lawfulness of processing	in a reasonable manner that does not infringe the privacy of the data subject	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.10	Minimality	Personal information may only be processed if, given the purpose for which it is processed, it is adequate, relevant and not excessive.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.11	Consent, justification and objection	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2.11(1)	Consent, justification and objection	Personal information may only be processed if—	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(1)(a)	Consent, justification and objection	the data subject or a competent person where the data subject is a child consents to the processing;	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(1)(b)	Consent, justification and objection	processing is necessary to carry out actions for the conclusion or performance of a contract to which the data subject is party;	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	

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3.A.2.11(1)(c)	Consent, justification and objection	processing complies with an obligation imposed by law on the responsible party;	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(1)(d)	Consent, justification and objection	processing protects a legitimate interest of the data subject;	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(1)(e)	Consent, justification and objection	processing is necessary for the proper performance of a public law duty by a public body; or	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(1)(f)	Consent, justification and objection	processing is necessary for pursuing the legitimate interests of the responsible party or of a third party to whom the information is supplied.	Functional	Intersects With	Authority To Collect, Process, Store & Share Personal Data (PD)	PRI-04.1	Mechanisms exist to determine and document the legal authority that permits the organization to collect, receive, process, store, transmit, share, update and/or dispose Personal Data (PD), either generally or in support of a specific business process.	5	
3.A.2.11(2)	Consent, justification and objection	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2.11(2)(a)	Consent, justification and objection	The responsible party bears the burden of proof for the data subject's or competent person's consent as referred to in subsection (1)(a).	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.11(2)(b)	Consent, justification and objection	The data subject or competent person may withdraw his, her or its consent, as referred to in subsection (1)(a), at any time: Provided that the lawfulness of the processing of personal information before such withdrawal or the processing of personal information in terms of subsection (1)(b) to (f) will not be affected.	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
3.A.2.11(3)	Consent, justification and objection	A data subject may object, at any time, to the processing of personal information—	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
3.A.2.11(3)(a)	Consent, justification and objection	in terms of subsection (1)(d) to (f), in the prescribed manner, on reasonable grounds relating to his, her or its particular situation, unless legislation provides for such processing; or	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
3.A.2.11(3)(b)	Consent, justification and objection	for purposes of direct marketing other than direct marketing by means of unsolicited electronic communications as referred to in section 69.	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
3.A.2.11(4)	Consent, justification and objection	If a data subject has objected to the processing of personal information in terms of subsection (3), the responsible party may no longer process the personal information.	Functional	Intersects With	Revoke Consent	PRI-03.4	Mechanisms exist to allow data subjects to revoke consent to collect, receive, process, store, transmit, share and/or update their Personal Data (PD).	5	
3.A.2.12	Collection directly from data subject	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.2.12(1)	Collection directly from data subject	Personal information must be collected directly from the data subject, except as otherwise provided for in subsection (2).	Functional	Intersects With	Active Participation By Data Subjects	PRI-03.7	Mechanisms exist to compel data subjects to select the level of consent deemed appropriate by the data subject for the relevant business purpose (e.g., opt-in, opt-out, accept all cookies, etc.).	5	
3.A.2.12(2)	Collection directly from data subject	It is not necessary to comply with subsection (1) if—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(a)	Collection directly from data subject	the information is contained in or derived from a public record or has deliberately been made public by the data subject;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(b)	Collection directly from data subject	the data subject or a competent person where the data subject is a child has consented to the collection of the information from another source;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(c)	Collection directly from data subject	collection of the information from another source would not prejudice a legitimate interest of the data subject;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)	Collection directly from data subject	collection of the information from another source is necessary—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)(i)	Collection directly from data subject	to avoid prejudice to the maintenance of the law by any public body, including the prevention, detection, investigation, prosecution and punishment of offences;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)(ii)	Collection directly from data subject	to comply with an obligation imposed by law or to enforce legislation concerning the collection of revenue as defined in section 1 of the South African Revenue Service Act, 1997 (Act No. 34 of 1997);	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)(iii)	Collection directly from data subject	for the conduct of proceedings in any court or tribunal that have commenced or are reasonably contemplated;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)(iv)	Collection directly from data subject	in the interests of national security; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(d)(v)	Collection directly from data subject	to maintain the legitimate interests of the responsible party or of a third party to whom the information is supplied;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(e)	Collection directly from data subject	compliance would prejudice a lawful purpose of the collection; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.2.12(2)(f)	Collection directly from data subject	compliance is not reasonably practicable in the circumstances of the particular case.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.3.13	Collection for specific purpose	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.3.13(1)	Collection for specific purpose	Personal information must be collected for a specific, explicitly defined and lawful purpose related to a function or activity of the responsible party.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	

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3.A.3.13(2)	Collection for specific purpose	Steps must be taken in accordance with section 18(1) to ensure that the data subject is aware of the purpose of the collection of the information unless the provisions of section 18(4) are applicable.	Functional	Intersects With	Data Privacy Notice	PRI-02	Mechanisms exist to: (1) Make data privacy notice(s) available to individuals upon first interacting with an organization and subsequently as necessary; (2) Ensure that data privacy notices are clear and easy-to-understand, expressing relevant information about how Personal Data (PD) is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Contain all necessary notice-related criteria required by applicable statutory, regulatory and contractual obligations; (4) Define the scope of PD processing activities, including the geographic locations and third-party recipients that process the PD within the scope of the data privacy notice; (5) Periodically, review and update the content of the privacy notice, as necessary; and (6) Retain prior versions of the privacy notice, in accordance with data retention requirements.	5	
3.A.3.14	Retention and restriction of records	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.3.14(1)	Retention and restriction of records	Subject to subsections (2) and (3), records of personal information must not be retained any longer than is necessary for achieving the purpose for which the information was collected or subsequently processed, unless—	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(1)(a)	Retention and restriction of records	retention of the record is required or authorised by law;	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(1)(b)	Retention and restriction of records	the responsible party reasonably requires the record for lawful purposes related to its functions or activities;	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(1)(c)	Retention and restriction of records	retention of the record is required by a contract between the parties thereto; or	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(1)(d)	Retention and restriction of records	the data subject or a competent person where the data subject is a child has consented to the retention of the record.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(2)	Retention and restriction of records	Records of personal information may be retained for periods in excess of those contemplated in subsection (1) for historical, statistical or research purposes if the responsible party has established appropriate safeguards against the records being used for any other purposes.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(3)	Retention and restriction of records	A responsible party that has used a record of personal information of a data subject to make a decision about the data subject, must—	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(3)(a)	Retention and restriction of records	retain the record for such period as may be required or prescribed by law or a code of conduct; or	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(3)(b)	Retention and restriction of records	if there is no law or code of conduct prescribing a retention period, retain the record for a period which will afford the data subject a reasonable opportunity, taking all considerations relating to the use of the personal information into account, to request access to the record.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(4)	Retention and restriction of records	A responsible party must destroy or delete a record of personal information or de-identify it as soon as reasonably practicable after the responsible party is no longer authorised to retain the record in terms of subsection (1) or (2).	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(5)	Retention and restriction of records	The destruction or deletion of a record of personal information in terms of subsection (4) must be done in a manner that prevents its reconstruction in an intelligible form.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.3.14(6)	Retention and restriction of records	The responsible party must restrict processing of personal information if—	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(6)(a)	Retention and restriction of records	its accuracy is contested by the data subject, for a period enabling the responsible party to verify the accuracy of the information;	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(6)(b)	Retention and restriction of records	the responsible party no longer needs the personal information for achieving the purpose for which the information was collected or subsequently processed, but it has to be maintained for purposes of proof;	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(6)(c)	Retention and restriction of records	the processing is unlawful and the data subject opposes its destruction or deletion and requests the restriction of its use instead; or	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(6)(d)	Retention and restriction of records	the data subject requests to transmit the personal data into another automated processing system.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(7)	Retention and restriction of records	Personal information referred to in subsection (6) may, with the exception of storage, only be processed for purposes of proof, or with the data subject's consent, or with the consent of a competent person in respect of a child, or for the protection of the rights of another natural or legal person or if such processing is in the public interest.	Functional	Intersects With	Personal Data (PD) Retention & Disposal	PRI-05	Mechanisms exist to: (1) Retain Personal Data (PD), including metadata, for an organization-defined time period to fulfill the purpose(s) identified in the notice or as required by law; (2) Dispose of, destroys, erases, and/or anonymizes the PD, regardless of the method of storage; and (3) Use organization-defined techniques or methods to ensure secure deletion or destruction of PD (including originals, copies and archived records).	5	
3.A.3.14(8)	Retention and restriction of records	Where processing of personal information is restricted pursuant to subsection (6), the responsible party must inform the data subject before lifting the restriction on processing.	Functional	Intersects With	Data Subject Communications	PRI-17	Mechanisms exist to craft disclosures and communications to data subjects in a manner that is concise, unambiguous and understandable by a reasonable person.	5	
3.A.3.14(8)	Retention and restriction of records	Where processing of personal information is restricted pursuant to subsection (6), the responsible party must inform the data subject before lifting the restriction on processing.	Functional	Intersects With	Data Controller Communications	PRI-18	Mechanisms exist to receive and process data controller communications pertaining to: (1) Receiving and responding to data subject requests; (2) Updating/correcting Personal Data (PD); (3) Accounting for disclosures of PD; and (4) Accounting for PD that is stored, processed and/or transmitted on behalf of the data controller.	5	
3.A.4	Further processing limitation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.4.15	Further processing to be compatible with purpose of collection	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.4.15(1)	Further processing to be compatible with purpose of collection	Further processing of personal information must be in accordance or compatible with the purpose for which it was collected in terms of section 13.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)	Further processing to be compatible with purpose of collection	To assess whether further processing is compatible with the purpose of collection, the responsible party must take account of—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)(a)	Further processing to be compatible with purpose of collection	the relationship between the purpose of the intended further processing and the purpose for which the information has been collected;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)(b)	Further processing to be compatible with purpose of collection	the nature of the information concerned;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)(c)	Further processing to be compatible with purpose of collection	the consequences of the intended further processing for the data subject;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)(d)	Further processing to be compatible with purpose of collection	the manner in which the information has been collected; and	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(2)(e)	Further processing to be compatible with purpose of collection	any contractual rights and obligations between the parties.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.4.15(3)	Further processing to be compatible with purpose of collection	The further processing of personal information is not incompatible with the purpose of collection if—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(a)	Further processing to be compatible with purpose of collection	the data subject or a competent person where the data subject is a child has consented to the further processing of the information;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(b)	Further processing to be compatible with purpose of collection	the information is available in or derived from a public record or has deliberately been made public by the data subject;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(c)	Further processing to be compatible with purpose of collection	further processing is necessary—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(c)(i)	Further processing to be compatible with purpose of collection	to avoid prejudice to the maintenance of the law by any public body including the prevention, detection, investigation, prosecution and punishment of offences;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(c)(ii)	Further processing to be compatible with purpose of collection	to comply with an obligation imposed by law or to enforce legislation concerning the collection of revenue as defined in section 1 of the South African Revenue Service Act, 1997 (Act No. 34 of 1997);	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(c)(iii)	Further processing to be compatible with purpose of collection	for the conduct of proceedings in any court or tribunal that have commenced or are reasonably contemplated; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(c)(iv)	Further processing to be compatible with purpose of collection	in the interests of national security;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(d)	Further processing to be compatible with purpose of collection	the further processing of the information is necessary to prevent or mitigate a serious and imminent threat to—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(d)(i)	Further processing to be compatible with purpose of collection	public health or public safety; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(d)(ii)	Further processing to be compatible with purpose of collection	the life or health of the data subject or another individual;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(e)	Further processing to be compatible with purpose of collection	the information is used for historical, statistical or research purposes and the responsible party ensures that the further processing is carried out solely for such purposes and will not be published in an identifiable form; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.4.15(3)(f)	Further processing to be compatible with purpose of collection	the further processing of the information is in accordance with an exemption granted under section 37.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.A.5	Information quality	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.5.16	Quality of information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.5.16(1)	Quality of information	A responsible party must take reasonably practicable steps to ensure that the personal information is complete, accurate, not misleading and updated where necessary.	Functional	Intersects With	Personal Data (PD) Accuracy & Integrity	PRI-05.2	Mechanisms exist to ensure the accuracy and relevance of Personal Data (PD) throughout the information lifecycle by: (1) Keeping PD up-to-date; and (2) Remediating identified inaccuracies, as necessary.	5	
3.A.5.16(2)	Quality of information	In taking the steps referred to in subsection (1), the responsible party must have regard to the purpose for which personal information is collected or further processed.	Functional	Intersects With	Personal Data (PD) Accuracy & Integrity	PRI-05.2	Mechanisms exist to ensure the accuracy and relevance of Personal Data (PD) throughout the information lifecycle by: (1) Keeping PD up-to-date; and (2) Remediating identified inaccuracies, as necessary.	5	
3.A.6	Openness	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.6.17	Documentation	A responsible party must maintain the documentation of all processing operations under its responsibility as referred to in section 14 or 51 of the Promotion of Access to Information Act.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18	Notification to data subject when collecting personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.6.18(1)	Notification to data subject when collecting personal information	If personal information is collected, the responsible party must take reasonably practicable steps to ensure that the data subject is aware of—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(a)	Notification to data subject when collecting personal information	the information being collected and where the information is not collected from the data subject, the source from which it is collected;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.6.18(1)(b)	Notification to data subject when collecting personal information	the name and address of the responsible party;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(c)	Notification to data subject when collecting personal information	the purpose for which the information is being collected;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(d)	Notification to data subject when collecting personal information	whether or not the supply of the information by that data subject is voluntary or mandatory;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(e)	Notification to data subject when collecting personal information	the consequences of failure to provide the information;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(f)	Notification to data subject when collecting personal information	any particular law authorising or requiring the collection of the information;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(g)	Notification to data subject when collecting personal information	the fact that, where applicable, the responsible party intends to transfer the information to a third country or international organisation and the level of protection afforded to the information by that third country or international organisation;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)	Notification to data subject when collecting personal information	any further information such as the—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)(i)	Notification to data subject when collecting personal information	recipient or category of recipients of the information;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)(ii)	Notification to data subject when collecting personal information	nature or category of the information;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)(iii)	Notification to data subject when collecting personal information	existence of the right of access to and the right to rectify the information collected;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)(iv)	Notification to data subject when collecting personal information	existence of the right to object to the processing of personal information as referred to in section 11(3); and	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(1)(h)(v)	Notification to data subject when collecting personal information	right to lodge a complaint to the Information Regulator and the contact details of the Information Regulator, which is necessary, having regard to the specific circumstances in which the information is or is not to be processed, to enable processing in respect of the data subject to be reasonable.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(2)	Notification to data subject when collecting personal information	The steps referred to in subsection (1) must be taken—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(2)(a)	Notification to data subject when collecting personal information	if the personal information is collected directly from the data subject, before the information is collected, unless the data subject is already aware of the information referred to in that subsection; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(2)(b)	Notification to data subject when collecting personal information	in any other case, before the information is collected or as soon as reasonably practicable after it has been collected.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(3)	Notification to data subject when collecting personal information	A responsible party that has previously taken the steps referred to in subsection (1) complies with subsection (1) in relation to the subsequent collection from the data subject of the same information or information of the same kind if the purpose of collection of the information remains the same.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)	Notification to data subject when collecting personal information	It is not necessary for a responsible party to comply with subsection (1) if—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(a)	Notification to data subject when collecting personal information	the data subject or a competent person where the data subject is a child has provided consent for the non-compliance;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(b)	Notification to data subject when collecting personal information	non-compliance would not prejudice the legitimate interests of the data subject as set out in terms of this Act;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(c)	Notification to data subject when collecting personal information	non-compliance is necessary—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(c)(i)	Notification to data subject when collecting personal information	to avoid prejudice to the maintenance of the law by any public body, including the prevention, detection, investigation, prosecution and punishment of offences;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(c)(ii)	Notification to data subject when collecting personal information	to comply with an obligation imposed by law or to enforce legislation concerning the collection of revenue as defined in section 1 of the South African Revenue Service Act, 1997 (Act No. 34 of 1997);	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(c)(iii)	Notification to data subject when collecting personal information	for the conduct of proceedings in any court or tribunal that have been commenced or are reasonably contemplated; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(c)(iv)	Notification to data subject when collecting personal information	in the interests of national security;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(d)	Notification to data subject when collecting personal information	compliance would prejudice a lawful purpose of the collection;	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(e)	Notification to data subject when collecting personal information	compliance is not reasonably practicable in the circumstances of the particular case; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(f)	Notification to data subject when collecting personal information	the information will—	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(f)(i)	Notification to data subject when collecting personal information	not be used in a form in which the data subject may be identified; or	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.6.18(4)(f)(ii)	Notification to data subject when collecting personal information	be used for historical, statistical or research purposes.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.7	Security safeguards	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.7.19	Security measures on integrity and confidentiality of personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.7.19(1)	Security measures on integrity and confidentiality of personal information	A responsible party must secure the integrity and confidentiality of personal information in its possession or under its control by taking appropriate, reasonable technical and organisational measures to prevent—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(1)(a)	Security measures on integrity and confidentiality of personal information	loss of, damage to or unauthorised destruction of personal information; and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(1)(b)	Security measures on integrity and confidentiality of personal information	unlawful access to or processing of personal information.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(2)	Security measures on integrity and confidentiality of personal information	In order to give effect to subsection (1), the responsible party must take reasonable measures to—	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(2)(a)	Security measures on integrity and confidentiality of personal information	identify all reasonably foreseeable internal and external risks to personal information in its possession or under its control;	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(2)(b)	Security measures on integrity and confidentiality of personal information	establish and maintain appropriate safeguards against the risks identified;	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(2)(c)	Security measures on integrity and confidentiality of personal information	regularly verify that the safeguards are effectively implemented; and	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(2)(d)	Security measures on integrity and confidentiality of personal information	ensure that the safeguards are continually updated in response to new risks or deficiencies in previously implemented safeguards.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.19(3)	Security measures on integrity and confidentiality of personal information	The responsible party must have due regard to generally accepted information security practices and procedures which may apply to it generally or be required in terms of specific industry or professional rules and regulations.	Functional	Intersects With	Security of Personal Data (PD)	PRI-01.6	Mechanisms exist to ensure Personal Data (PD) is protected by logical and physical security safeguards that are sufficient and appropriately scoped to protect the confidentiality and integrity of the PD.	5	
3.A.7.20	Information processed by operator or person acting under authority	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.7.20(1)	Information processed by operator or person acting under authority	An operator or anyone processing personal information on behalf of a responsible party or an operator, must— unless required by law or in the course of the proper performance of their duties.	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.7.20(1)(a)	Information processed by operator or person acting under authority	process such information only with the knowledge or authorisation of the responsible party; and	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.7.20(1)(b)	Information processed by operator or person acting under authority	treat personal information which comes to their knowledge as confidential and must not disclose it,	Functional	Intersects With	Reasonable Data Privacy Practices	PRI-01.11	Mechanisms exist to limit the collection, receiving, processing, storage, transmission, sharing, updating and/or disposal of Personal Data (PD) according to reasonable consumer expectations for what is necessary and proportionate.	5	
3.A.7.21	Security measures regarding information processed by operator	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.7.21(1)	Security measures regarding information processed by operator	A responsible party must, in terms of a written contract between the responsible party and the operator, ensure that the operator which processes personal information for the responsible party establishes and maintains the security measures referred to in section 19.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
3.A.7.21(2)	Security measures regarding information processed by operator	The operator must notify the responsible party immediately where there are reasonable grounds to believe that the personal information of a data subject has been accessed or acquired by any unauthorised person.	Functional	Intersects With	Data Privacy Requirements for Contractors & Service Providers	PRI-07.1	Mechanisms exist to include data privacy requirements in contracts and other acquisition-related documents that establish data privacy roles and responsibilities for contractors and service providers.	5	
3.A.7.22	Notification of security compromises	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.7.22(1)	Notification of security compromises	Where there are reasonable grounds to believe that the personal information of a data subject has been accessed or acquired by any unauthorised person, the responsible party must notify—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(1)(a)	Notification of security compromises	the Regulator; and	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(1)(b)	Notification of security compromises	subject to subsection (3), the data subject, unless the identity of such data subject cannot be established.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(2)	Notification of security compromises	The notification referred to in subsection (1) must be made as soon as reasonably possible after the discovery of the compromise, taking into account the legitimate needs of law enforcement or any measures reasonably necessary to determine the scope of the compromise and to restore the integrity of the responsible party's information system.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(3)	Notification of security compromises	The responsible party may only delay notification of the data subject if a public body responsible for the prevention, detection or investigation of offences or the Regulator determines that notification will impede a criminal investigation by the public body concerned.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)	Notification of security compromises	The notification to a data subject referred to in subsection (1) must be in writing and communicated to the data subject in at least one of the following ways:	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)(a)	Notification of security compromises	Mailed to the data subject's last known physical or postal address;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)(b)	Notification of security compromises	sent by e-mail to the data subject's last known e-mail address;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)(c)	Notification of security compromises	placed in a prominent position on the website of the responsible party;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)(d)	Notification of security compromises	published in the news media; or	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(4)(e)	Notification of security compromises	as may be directed by the Regulator.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(5)	Notification of security compromises	The notification referred to in subsection (1) must provide sufficient information to allow the data subject to take protective measures against the potential consequences of the compromise, including—	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(5)(a)	Notification of security compromises	a description of the possible consequences of the security compromise;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.7.22(5)(b)	Notification of security compromises	a description of the measures that the responsible party intends to take or has taken to address the security compromise;	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(5)(c)	Notification of security compromises	a recommendation with regard to the measures to be taken by the data subject to mitigate the possible adverse effects of the security compromise; and	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(5)(d)	Notification of security compromises	if known to the responsible party, the identity of the unauthorised person who may have accessed or acquired the personal information.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.7.22(6)	Notification of security compromises	The Regulator may direct a responsible party to publicise, in any manner specified, the fact of any compromise to the integrity or confidentiality of personal information, if the Regulator has reasonable grounds to believe that such publicity would protect a data subject who may be affected by the compromise.	Functional	Intersects With	Incident Stakeholder Reporting	IRO-10	Mechanisms exist to timely-report incidents to applicable: (1) Internal stakeholders; (2) Affected clients & third-parties; and (3) Regulatory authorities.	5	
3.A.8	Data subject participation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.8.23	Access to personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.8.23(1)	Access to personal information	A data subject, having provided adequate proof of identity, has the right to—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(1)(a)	Access to personal information	request a responsible party to confirm, free of charge, whether or not the responsible party holds personal information about the data subject; and	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(1)(b)	Access to personal information	request from a responsible party the record or a description of the personal information about the data subject held by the responsible party, including information about the identity of all third parties, or categories of third parties, who have, or have had, access to the information—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(1)(b)(i)	Access to personal information	within a reasonable time;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(1)(b)(ii)	Access to personal information	at a prescribed fee, if any;	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(1)(b)(iii)	Access to personal information	in a reasonable manner and format; and	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.8.23(1)(b)(iv)	Access to personal information	in a form that is generally understandable.	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(2)	Access to personal information	If, in response to a request in terms of subsection (1), personal information is communicated to a data subject, the data subject must be advised of the right in terms of section 24 to request the correction of information.	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(3)	Access to personal information	If a data subject is required by a responsible party to pay a fee for services provided to the data subject in terms of subsection (1)(b) to enable the responsible party to respond to a request, the responsible party—	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(3)(a)	Access to personal information	must give the applicant a written estimate of the fee before providing the services; and	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(3)(b)	Access to personal information	may require the applicant to pay a deposit for all or part of the fee.	Functional	Intersects With	Data Subject Empowerment	PRI-06	Mechanisms exist to provide authenticated data subjects the ability to: (1) Access their Personal Data (PD) that is being processed, stored and shared, except where the burden, risk or expense of providing access would be disproportionate to the benefit offered to the data subject through granting access; (2) Obtain answers on the specifics of how their PD is collected, received, processed, stored, transmitted, shared, updated and/or disposed; (3) Obtain the source(s) of their PD; (4) Obtain the categories of their PD being collected, received, processed, stored and shared; (5) Request correction to their PD due to inaccuracies; (6) Request erasure of their PD; and (7) Restrict the further collecting, receiving, processing, storing, transmitting, updated and/or sharing of their PD.	5	
3.A.8.23(4)	Access to personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.8.23(4)(a)	Access to personal information	A responsible party may or must refuse, as the case may be, to disclose any information requested in terms of subsection (1) to which the grounds for refusal of access to records set out in the applicable sections of Chapter 4 of Part 2 and Chapter 4 of Part 3 of the Promotion of Access to Information Act apply.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.23(4)(b)	Access to personal information	The provisions of sections 30 and 61 of the Promotion of Access to Information Act are applicable in respect of access to health or other records.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.23(5)	Access to personal information	If a request for access to personal information is made to a responsible party and part of that information may or must be refused in terms of subsection (4)(a), every other part must be disclosed.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.24	Correction of personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.A.8.24(1)	Correction of personal information	A data subject may, in the prescribed manner, request a responsible party to—	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
3.A.8.24(1)(a)	Correction of personal information	correct or delete personal information about the data subject in its possession or under its control that is inaccurate, irrelevant, excessive, out of date, incomplete, misleading or obtained unlawfully; or	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
3.A.8.24(1)(b)	Correction of personal information	destroy or delete a record of personal information about the data subject that the responsible party is no longer authorised to retain in terms of section 14.	Functional	Intersects With	Correcting Inaccurate Personal Data (PD)	PRI-06.1	Mechanisms exist to maintain a process for: (1) Data subjects to have inaccurate Personal Data (PD) maintained by the organization corrected or amended; and (2) Disseminating corrections or amendments of PD to other authorized users of the PD.	5	
3.A.8.24(2)	Correction of personal information	On receipt of a request in terms of subsection (1) a responsible party must, as soon as reasonably practicable—	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.A.8.24(2)(a)	Correction of personal information	correct the information;	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.24(2)(b)	Correction of personal information	destroy or delete the information;	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.24(2)(c)	Correction of personal information	provide the data subject, to his or her satisfaction, with credible evidence in support of the information; or	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.24(2)(d)	Correction of personal information	where agreement cannot be reached between the responsible party and the data subject, and if the data subject so requests, take such steps as are reasonable in the circumstances, to attach to the information in such a manner that it will always be read with the information, an indication that a correction of the information has been requested but has not been made.	Functional	Intersects With	Data Subject Feedback Management	PRI-06.4	Mechanisms exist to maintain a process to efficiently and effectively respond to requests, complaints, concerns and/or questions from authenticated data subjects about Personal Data (PD) the organization collects, receives, processes, stores, transmits, shares, updates and/or disposes.	5	
3.A.8.24(3)	Correction of personal information	If the responsible party has taken steps under subsection (2) that result in a change to the information and the changed information has an impact on decisions that have been or will be taken in respect of the data subject in question, the responsible party must, if reasonably practicable, inform each person or body or responsible party to whom the personal information has been disclosed of those steps.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
3.A.8.24(4)	Correction of personal information	The responsible party must notify a data subject, who has made a request in terms of subsection (1), of the action taken as a result of the request.	Functional	Intersects With	Notice of Correction or Processing Change	PRI-06.2	Mechanisms exist to notify affected data subjects if their Personal Data (PD) has been corrected, amended or deleted.	5	
3.A.8.25	Manner of access	The provisions of sections 18 and 53 of the Promotion of Access to Information Act apply to requests made in terms of section 23 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B	Processing of special personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.26	Prohibition on processing of special personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.26(1)	Prohibition on processing of special personal information	A responsible party may, subject to section 27, not process personal information concerning—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.26(1)(a)	Prohibition on processing of special personal information	the religious or philosophical beliefs, race or ethnic origin, trade union membership, political persuasion, health or sex life or biometric information of a data subject; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.26(1)(b)	Prohibition on processing of special personal information	the criminal behaviour of a data subject to the extent that such information relates to—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.26(1)(b)(i)	Prohibition on processing of special personal information	the alleged commission by a data subject of any offence; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.26(1)(b)(ii)	Prohibition on processing of special personal information	any proceedings in respect of any offence allegedly committed by a data subject or the disposal of such proceedings.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27	General authorisation concerning special personal information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.27(1)	General authorisation concerning special personal information	The prohibition on processing personal information, as referred to in section 26, does not apply if the—	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(a)	General authorisation concerning special personal information	processing is carried out with the consent of a data subject referred to in section 26;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(b)	General authorisation concerning special personal information	processing is necessary for the establishment, exercise or defence of a right or obligation in law;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(c)	General authorisation concerning special personal information	processing is necessary to comply with an obligation of international public law;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(d)	General authorisation concerning special personal information	processing is for historical, statistical or research purposes to the extent that— and sufficient guarantees are provided for to ensure that the processing does not adversely affect the individual privacy of the data subject to a disproportionate extent;	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(d)(i)	General authorisation concerning special personal information	the purpose serves a public interest and the processing is necessary for the purpose concerned; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.B.27(1)(d)(ii)	General authorisation concerning special personal information	it appears to be impossible or would involve a disproportionate effort to ask for consent,	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(e)	General authorisation concerning special personal information	information has deliberately been made public by the data subject; or	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(1)(f)	General authorisation concerning special personal information	provisions of sections 28 to 33 are, as the case may be, complied with.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(2)	General authorisation concerning special personal information	The Regulator may, subject to subsection (3), upon application by a responsible party and by notice in the Gazette, authorise a responsible party to process special personal information if such processing is in the public interest and appropriate safeguards have been put in place to protect the personal information of the data subject.	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.27(3)	General authorisation concerning special personal information	The Regulator may impose reasonable conditions in respect of any authorisation granted under subsection (2).	Functional	Intersects With	Usage Restrictions of Personal Data (PD)	PRI-05.4	Mechanisms exist to restrict collecting, receiving, processing, storing, transmitting, sharing and/or updating Personal Data (PD) to: (1) The purpose(s) originally collected, consistent with the data privacy notice(s); (2) What is authorized by the data subject, or authorized agent; and (3) What is consistent with applicable laws, regulations and contractual obligations.	5	
3.B.28	Authorisation concerning data subject's religious or philosophical beliefs	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)	Authorisation concerning data subject's religious or philosophical beliefs	The prohibition on processing personal information concerning a data subject's religious or philosophical beliefs, as referred to in section 26, does not apply if the processing is carried out by—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)(a)	Authorisation concerning data subject's religious or philosophical beliefs	spiritual or religious organisations, or independent sections of those organisations if—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)(a)(i)	Authorisation concerning data subject's religious or philosophical beliefs	the information concerns data subjects belonging to those organisations; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)(a)(iii)	Authorisation concerning data subject's religious or philosophical beliefs	it is necessary to achieve their aims and principles;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)(b)	Authorisation concerning data subject's religious or philosophical beliefs	institutions founded on religious or philosophical principles with respect to their members or employees or other persons belonging to the institution, if it is necessary to achieve their aims and principles; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(1)(c)	Authorisation concerning data subject's religious or philosophical beliefs	other institutions: Provided that the processing is necessary to protect the spiritual welfare of the data subjects, unless they have indicated that they object to the processing.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(2)	Authorisation concerning data subject's religious or philosophical beliefs	In the cases referred to in subsection (1)(a), the prohibition does not apply to processing of personal information concerning the religion or philosophy of life of family members of the data subjects, if—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(2)(a)	Authorisation concerning data subject's religious or philosophical beliefs	the association concerned maintains regular contact with those family members in connection with its aims; and	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(2)(b)	Authorisation concerning data subject's religious or philosophical beliefs	the family members have not objected in writing to the processing.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.28(3)	Authorisation concerning data subject's religious or philosophical beliefs	In the cases referred to in subsections (1) and (2), personal information concerning a data subject's religious or philosophical beliefs may not be supplied to third parties without the consent of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.29	Authorisation concerning data subject's race or ethnic origin	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.29(1)	Authorisation concerning data subject's race or ethnic origin	The prohibition on processing personal information concerning a data subject's race or ethnic origin, as referred to in section 26, does not apply if the processing is carried out to—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.29(1)(a)	Authorisation concerning data subject's race or ethnic origin	identify data subjects and only when this is essential for that purpose; and	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.29(1)(b)	Authorisation concerning data subject's race or ethnic origin	comply with laws and other measures designed to protect or advance persons, or categories of persons, disadvantaged by unfair discrimination.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.30	Authorisation concerning data subject's trade union membership	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.30(1)	Authorisation concerning data subject's trade union membership	The prohibition on processing personal information concerning a data subject's trade union membership, as referred to in section 26, does not apply to the processing by the trade union to which the data subject belongs or the trade union federation to which that trade union belongs, if such processing is necessary to achieve the aims of the trade union or trade union federation.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.30(2)	Authorisation concerning data subject's trade union membership	In the cases referred to under subsection (1), no personal information may be supplied to third parties without the consent of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31	Authorisation concerning data subject's political persuasion	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)	Authorisation concerning data subject's political persuasion	The prohibition on processing personal information concerning a data subject's political persuasion, as referred to in section 26, does not apply to processing by or for an institution, founded on political principles, of the personal information of—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(a)	Authorisation concerning data subject's political persuasion	its members or employees or other persons belonging to the institution, if such processing is necessary to achieve the aims or principles of the institution; or	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.B.31(1)(b)	Authorisation concerning data subject's political persuasion	a data subject if such processing is necessary for the purposes of—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(i)	Authorisation concerning data subject's political persuasion	forming a political party;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(ii)	Authorisation concerning data subject's political persuasion	participating in the activities of, or engaging in the recruitment of members for or canvassing supporters or voters for, a political party with the view to—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(iii)	Authorisation concerning data subject's political persuasion	an election of the National Assembly or the provincial legislature as regulated in terms of the Electoral Act, 1998 (Act No. 73 of 1998);	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(iv)	Authorisation concerning data subject's political persuasion	municipal elections as regulated in terms of the Local Government: Municipal Electoral Act, 2000 (Act No. 27 of 2000); or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(v)	Authorisation concerning data subject's political persuasion	a referendum as regulated in terms of the Referendums Act, 1983 (Act No. 108 of 1983); or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(1)(b)(vi)	Authorisation concerning data subject's political persuasion	campaigning for a political party or cause.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.31(2)	Authorisation concerning data subject's political persuasion	In the cases referred to under subsection (1), no personal information may be supplied to third parties without the consent of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32	Authorisation concerning data subject's health or sex life	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)	Authorisation concerning data subject's health or sex life	The prohibition on processing personal information concerning a data subject's health or sex life, as referred to in section 26, does not apply to the processing by—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(a)	Authorisation concerning data subject's health or sex life	medical professionals, healthcare institutions or facilities or social services, if such processing is necessary for the proper treatment and care of the data subject, or for the administration of the institution or professional practice concerned;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(b)	Authorisation concerning data subject's health or sex life	insurance companies, medical schemes, medical scheme administrators and managed healthcare organisations, if such processing is necessary for—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(b)(i)	Authorisation concerning data subject's health or sex life	assessing the risk to be insured by the insurance company or covered by the medical scheme and the data subject has not objected to the processing;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(b)(ii)	Authorisation concerning data subject's health or sex life	the performance of an insurance or medical scheme agreement; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(b)(iii)	Authorisation concerning data subject's health or sex life	the enforcement of any contractual rights and obligations;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(c)	Authorisation concerning data subject's health or sex life	schools, if such processing is necessary to provide special support for pupils or making special arrangements in connection with their health or sex life;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(d)	Authorisation concerning data subject's health or sex life	any public or private body managing the care of a child if such processing is necessary for the performance of their lawful duties;	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(e)	Authorisation concerning data subject's health or sex life	any public body, if such processing is necessary in connection with the implementation of prison sentences or detention measures; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(f)	Authorisation concerning data subject's health or sex life	administrative bodies, pension funds, employers or institutions working for them, if such processing is necessary for—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(f)(i)	Authorisation concerning data subject's health or sex life	the implementation of the provisions of laws, pension regulations or collective agreements which create rights dependent on the health or sex life of the data subject; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(1)(f)(ii)	Authorisation concerning data subject's health or sex life	the reintegration of or support for workers or persons entitled to benefit in connection with sickness or work incapacity.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(2)	Authorisation concerning data subject's health or sex life	In the cases referred to under subsection (1), the information may only be processed by responsible parties subject to an obligation of confidentiality by virtue of office, employment, profession or legal provision, or established by a written agreement between the responsible party and the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(3)	Authorisation concerning data subject's health or sex life	A responsible party that is permitted to process information concerning a data subject's health or sex life in terms of this section and is not subject to an obligation of confidentiality by virtue of office, profession or legal provision, must treat the information as confidential, unless the responsible party is required by law or in connection with their duties to communicate the information to other parties who are authorised to process such information in accordance with subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(4)	Authorisation concerning data subject's health or sex life	The prohibition on processing any of the categories of personal information referred to in section 26, does not apply if it is necessary to supplement the processing of personal information concerning a data subject's health, as referred to under subsection (1)(a), with a view to the proper treatment or care of the data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(5)	Authorisation concerning data subject's health or sex life	Personal information concerning inherited characteristics may not be processed in respect of a data subject from whom the information concerned has been obtained, unless—	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(5)(a)	Authorisation concerning data subject's health or sex life	a serious medical interest prevails; or	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(5)(b)	Authorisation concerning data subject's health or sex life	the processing is necessary for historical, statistical or research activity.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.32(6)	Authorisation concerning data subject's health or sex life	More detailed rules may be prescribed concerning the application of subsection (1)(b) and (f).	Functional	No Relationship	N/A	N/A	N/A	0	

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3.B.33	Authorisation concerning data subject's criminal behaviour or biometric information	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.33(1)	Authorisation concerning data subject's criminal behaviour or biometric information	The prohibition on processing personal information concerning a data subject's criminal behaviour or biometric information, as referred to in section 26, does not apply if the processing is carried out by bodies charged by law with applying criminal law or by responsible parties who have obtained that information in accordance with the law.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.33(2)	Authorisation concerning data subject's criminal behaviour or biometric information	The processing of information concerning personnel in the service of the responsible party must take place in accordance with the rules established in compliance with labour legislation.	Functional	No Relationship	N/A	N/A	N/A	0	
3.B.33(3)	Authorisation concerning data subject's criminal behaviour or biometric information	The prohibition on processing any of the categories of personal information referred to in section 26 does not apply if such processing is necessary to supplement the processing of information on criminal behaviour or biometric information permitted by this section.	Functional	No Relationship	N/A	N/A	N/A	0	
3.C	Processing of personal information of children	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.C.34	Prohibition on processing personal information of children	A responsible party may, subject to section 35, not process personal information concerning a child.	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35	General authorisation concerning personal information of children	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
3.C.35(1)	General authorisation concerning personal information of children	The prohibition on processing personal information of children, as referred to in section 34, does not apply if the processing is—	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(a)	General authorisation concerning personal information of children	carried out with the prior consent of a competent person;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(b)	General authorisation concerning personal information of children	necessary for the establishment, exercise or defence of a right or obligation in law;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(c)	General authorisation concerning personal information of children	necessary to comply with an obligation of international public law;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(d)	General authorisation concerning personal information of children	for historical, statistical or research purposes to the extent that—	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(d)(i)	General authorisation concerning personal information of children	the purpose serves a public interest and the processing is necessary for the purpose concerned; or	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(d)(ii)	General authorisation concerning personal information of children	it appears to be impossible or would involve a disproportionate effort to ask for consent,	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(d)(iii)	General authorisation concerning personal information of children	and sufficient guarantees are provided for to ensure that the processing does not adversely affect the individual privacy of the child to a disproportionate extent; or	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(1)(e)	General authorisation concerning personal information of children	of personal information which has deliberately been made public by the child with the consent of a competent person.	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(2)	General authorisation concerning personal information of children	The Regulator may, notwithstanding the prohibition referred to in section 34, but subject to subsection (3), upon application by a responsible party and by notice in the Gazette, authorise a responsible party to process the personal information of children if the processing is in the public interest and appropriate safeguards have been put in place to protect the personal information of the child.	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)	General authorisation concerning personal information of children	The Regulator may impose reasonable conditions in respect of any authorisation granted under subsection (2), including conditions with regard to how a responsible party must—	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(a)	General authorisation concerning personal information of children	upon request of a competent person provide a reasonable means for that person to—	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(a)(i)	General authorisation concerning personal information of children	review the personal information processed; and	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(a)(ii)	General authorisation concerning personal information of children	refuse to permit its further processing;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(b)	General authorisation concerning personal information of children	provide notice—	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(b)(i)	General authorisation concerning personal information of children	regarding the nature of the personal information of children that is processed;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(b)(ii)	General authorisation concerning personal information of children	how such information is processed; and	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(b)(iii)	General authorisation concerning personal information of children	regarding any further processing practices;	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
3.C.35(3)(c)	General authorisation concerning personal information of children	refrain from any action that is intended to encourage or persuade a child to disclose more personal information about him- or herself than is reasonably necessary given the purpose for which it is intended; and	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
3.C.35(3)(d)	General authorisation concerning personal information of children	establish and maintain reasonable procedures to protect the integrity and confidentiality of the personal information collected from children.	Functional	Intersects With	Prohibition of Selling, Processing and/or Sharing Personal Data (PD)	PRI-03.3	Mechanisms exist to prevent the sale, processing and/or sharing of Personal Data (PD) when: (1) Instructed by the data subject; or (2) The data subject is a minor, where selling and/or sharing PD is legally prohibited.	5	
4	Exemption from Conditions for Processing of Personal Information	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
4.36	General	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
4.37	Regulator may exempt processing of personal information	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
4.38	Exemption in respect of certain functions	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
5	Supervision	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
6	Prior Authorisation	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
6.57	Processing subject to prior authorisation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
6.57(1)	Processing subject to prior authorisation	The responsible party must obtain prior authorisation from the Regulator, in terms of section 58, prior to any processing if that responsible party plans to—	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(a)	Processing subject to prior authorisation	process any unique identifiers of data subjects —	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(a)(i)	Processing subject to prior authorisation	for a purpose other than the one for which the identifier was specifically intended at collection; and	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(a)(ii)	Processing subject to prior authorisation	with the aim of linking the information together with information processed by other responsible parties;	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(b)	Processing subject to prior authorisation	process information on criminal behaviour or on unlawful or objectionable conduct on behalf of third parties;	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(c)	Processing subject to prior authorisation	process information for the purposes of credit reporting; or	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(1)(d)	Processing subject to prior authorisation	transfer special personal information, as referred to in section 26, or the personal information of children as referred to in section 34, to a third party in a foreign country that does not provide an adequate level of protection for the processing of personal information as referred to in section 72.	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(2)	Processing subject to prior authorisation	The provisions of subsection (1) may be applied by the Regulator to other types of information processing by law or regulation if such processing carries a particular risk for the legitimate interests of the data subject.	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(3)	Processing subject to prior authorisation	This section and section 58 are not applicable if a code of conduct has been issued and has come into force in terms of Chapter 7 in a specific sector or sectors of society.	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.57(4)	Processing subject to prior authorisation	A responsible party must obtain prior authorisation as referred to in subsection (1) only once and not each time that personal information is received or processed, except where the processing departs from that which has been authorised in accordance with the provisions of subsection (1).	Functional	Intersects With	Data Subject Consent	PRI-03	Mechanisms exist to enable data subjects to authorize the collection, receipt, processing, storage, transmission, sharing, updating and/or disposal of their Personal Data (PD), where the data subject is provided, prior to collection, with: (1) Plain language explaining the potential data privacy risks of the authorization; (2) A means to decline the authorization; and (3) Necessary choice and consent-related criteria required by applicable statutory, regulatory and contractual obligations.	5	
6.58	Responsible party to notify Regulator if processing is subject to prior authorisation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
6.58(1)	Responsible party to notify Regulator if processing is subject to prior authorisation	Information processing as contemplated in section 57(1) must be notified as such by the responsible party to the Regulator.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(2)	Responsible party to notify Regulator if processing is subject to prior authorisation	Responsible parties may not carry out information processing that has been notified to the Regulator in terms of subsection (1) until the Regulator has completed its investigation or until they have received notice that a more detailed investigation will not be conducted.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(3)	Responsible party to notify Regulator if processing is subject to prior authorisation	In the case of the notification of information processing to which section 57(1) is applicable, the Regulator must inform the responsible party in writing within four weeks of the notification as to whether or not it will conduct a more detailed investigation.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(4)	Responsible party to notify Regulator if processing is subject to prior authorisation	In the event that the Regulator decides to conduct a more detailed investigation, it must indicate the period within which it plans to conduct this investigation, which period must not exceed 13 weeks.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(5)	Responsible party to notify Regulator if processing is subject to prior authorisation	On conclusion of the more detailed investigation referred to in subsection (4) the Regulator must issue a statement concerning the lawfulness of the information processing.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(6)	Responsible party to notify Regulator if processing is subject to prior authorisation	A statement by the Regulator in terms of subsection (5), to the extent that the information processing is not lawful, is deemed to be an enforcement notice served in terms of section 95 of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
6.58(7)	Responsible party to notify Regulator if processing is subject to prior authorisation	A responsible party that has suspended its processing as required by subsection (2), and which has not received the Regulator's decision within the time limits specified in subsections (3) and (4), may presume a decision in its favour and continue with its processing.	Functional	No Relationship	N/A	N/A	N/A	0	
6.59	Failure to notify processing subject to prior authorisation	If section 58(1) or (2) is contravened, the responsible party is guilty of an offence and liable to a penalty as set out in section 107.	Functional	No Relationship	N/A	N/A	N/A	0	
7	Codes of Conduct	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
8	Rights of Data Subjects Regarding Direct Marketing by Means of Unsolicited Electronic Communications, Directories and Automated Decision Making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
8.69	Direct marketing by means of unsolicited electronic communications	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(1)	Direct marketing by means of unsolicited electronic communications	The processing of personal information of a data subject for the purpose of direct marketing by means of any form of electronic communication, including automatic calling machines, facsimile machines, SMS or e-mail is prohibited unless the data subject—	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(1)(a)	Direct marketing by means of unsolicited electronic communications	has given his, her or its consent to the processing; or	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(1)(b)	Direct marketing by means of unsolicited electronic communications	is, subject to subsection (3), a customer of the responsible party.	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)	Direct marketing by means of unsolicited electronic communications	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)(a)	Direct marketing by means of unsolicited electronic communications	A responsible party may approach a data subject—	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)(a)(i)	Direct marketing by means of unsolicited electronic communications	whose consent is required in terms of subsection (1)(a); and	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)(a)(ii)	Direct marketing by means of unsolicited electronic communications	who has not previously withheld such consent,	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)(a)(iii)	Direct marketing by means of unsolicited electronic communications	only once in order to request the consent of that data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(2)(b)	Direct marketing by means of unsolicited electronic communications	The data subject's consent must be requested in the prescribed manner and form.	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)	Direct marketing by means of unsolicited electronic communications	A responsible party may only process the personal information of a data subject who is a customer of the responsible party in terms of subsection (1)(b)—	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)(a)	Direct marketing by means of unsolicited electronic communications	if the responsible party has obtained the contact details of the data subject in the context of the sale of a product or service;	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)(b)	Direct marketing by means of unsolicited electronic communications	for the purpose of direct marketing of the responsible party's own similar products or services; and	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)(c)	Direct marketing by means of unsolicited electronic communications	if the data subject has been given a reasonable opportunity to object, free of charge and in a manner free of unnecessary formality, to such use of his, her or its electronic details—	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)(c)(i)	Direct marketing by means of unsolicited electronic communications	at the time when the information was collected; and	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(3)(c)(ii)	Direct marketing by means of unsolicited electronic communications	on the occasion of each communication with the data subject for the purpose of marketing if the data subject has not initially refused such use.	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(4)	Direct marketing by means of unsolicited electronic communications	Any communication for the purpose of direct marketing must contain—	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(4)(a)	Direct marketing by means of unsolicited electronic communications	details of the identity of the sender or the person on whose behalf the communication has been sent; and	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(4)(b)	Direct marketing by means of unsolicited electronic communications	an address or other contact details to which the recipient may send a request that such communications cease.	Functional	No Relationship	N/A	N/A	N/A	0	
8.69(5)	Direct marketing by means of unsolicited electronic communications	"Automatic calling machine", for purposes of subsection (1), means a machine that is able to do automated calls without human intervention.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
8.70	Directories	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(1)	Directories	A data subject who is a subscriber to a printed or electronic directory of subscribers available to the public or obtainable through directory enquiry services, in which his, her or its personal information is included, must be informed, free of charge and before the information is included in the directory—	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(1)(a)	Directories	about the purpose of the directory; and	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(1)(b)	Directories	about any further uses to which the directory may possibly be put, based on search functions embedded in electronic versions of the directory.	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(2)	Directories	A data subject must be given a reasonable opportunity to object, free of charge and in a manner free of unnecessary formality, to such use of his, her or its personal information or to request verification, confirmation or withdrawal of such information if the data subject has not initially refused such use.	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(3)	Directories	Subsections (1) and (2) do not apply to editions of directories that were produced in printed or off-line electronic form prior to the commencement of this section.	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(4)	Directories	If the personal information of data subjects who are subscribers to fixed or mobile public voice telephony services have been included in a public subscriber directory in conformity with the conditions for the lawful processing of personal information prior to the commencement of this section, the personal information of such subscribers may remain included in this public directory in its printed or electronic versions, after having received the information required by subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
8.70(5)	Directories	“Subscriber”, for purposes of this section, means any person who is party to a contract with the provider of publicly available electronic communications services for the supply of such services.	Functional	No Relationship	N/A	N/A	N/A	0	
8.71	Automated decision making	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
8.71(1)	Automated decision making	Subject to subsection (2), a data subject may not be subject to a decision which results in legal consequences for him, her or it, or which affects him, her or it to a substantial degree, which is based solely on the basis of the automated processing of personal information intended to provide a profile of such person including his or her performance at work, or his, her or its credit worthiness, reliability, location, health, personal preferences or conduct.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(2)	Automated decision making	The provisions of subsection (1) do not apply if the decision—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(2)(a)	Automated decision making	has been taken in connection with the conclusion or execution of a contract, and—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(2)(a)(i)	Automated decision making	the request of the data subject in terms of the contract has been met; or	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(2)(a)(ii)	Automated decision making	appropriate measures have been taken to protect the data subject's legitimate interests; or	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(2)(b)	Automated decision making	is governed by a law or code of conduct in which appropriate measures are specified for protecting the legitimate interests of data subjects.	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(3)	Automated decision making	The appropriate measures, referred to in subsection (2)(a)(ii), must—	Functional	Intersects With	Automated Decision-Making Technology (ADMT) For Data Subject Actions	PRI-19	Mechanisms exist to ensure data subject actions utilizing Automated Decision-Making Technology (ADMT) where computation replaces, or substantially replaces, human decisionmaking, conforms with all applicable statutory, regulatory and/or contractual obligations.	5	
8.71(3)(a)	Automated decision making	provide an opportunity for a data subject to make representations about a decision referred to in subsection (1); and	Functional	Intersects With	Automated Decision-Making Technology (ADMT) Opt-Out Consent	PRI-19.2	Mechanisms exist to provide concise, unambiguous and understandable instructions on how data subjects can opt-out of Automated Decision-Making Technology (ADMT).	5	
8.71(3)(b)	Automated decision making	require a responsible party to provide a data subject with sufficient information about the underlying logic of the automated processing of the information relating to him or her to enable him or her to make representations in terms of paragraph (a).	Functional	Intersects With	Automated Decision-Making Technology (ADMT) Use Notification	PRI-19.1	Mechanisms exist to notify data subjects of their rights through a pre-use notice when their Personal Data (PD) will be processed by an Automated Decision-Making Technology (ADMT).	5	
9	Transborder Information Flows	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
9.72	Transfers of personal information outside Republic	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
9.72(1)	Transfers of personal information outside Republic	A responsible party in the Republic may not transfer personal information about a data subject to a third party who is in a foreign country unless—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(a)	Transfers of personal information outside Republic	the third party who is the recipient of the information is subject to a law, binding corporate rules or binding agreement which provide an adequate level of protection that—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(a)(i)	Transfers of personal information outside Republic	effectively upholds principles for reasonable processing of the information that are substantially similar to the conditions for the lawful processing of personal information relating to a data subject who is a natural person and, where applicable, a juristic person; and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(a)(ii)	Transfers of personal information outside Republic	includes provisions, that are substantially similar to this section, relating to the further transfer of personal information from the recipient to third parties who are in a foreign country;	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(b)	Transfers of personal information outside Republic	the data subject consents to the transfer;	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(c)	Transfers of personal information outside Republic	the transfer is necessary for the performance of a contract between the data subject and the responsible party, or for the implementation of pre-contractual measures taken in response to the data subject's request;	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(d)	Transfers of personal information outside Republic	the transfer is necessary for the conclusion or performance of a contract concluded in the interest of the data subject between the responsible party and a third party; or	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
9.72(1)(e)	Transfers of personal information outside Republic	the transfer is for the benefit of the data subject, and—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(e)(i)	Transfers of personal information outside Republic	it is not reasonably practicable to obtain the consent of the data subject to that transfer; and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(1)(e)(ii)	Transfers of personal information outside Republic	if it were reasonably practicable to obtain such consent, the data subject would be likely to give it.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(2)	Transfers of personal information outside Republic	For the purpose of this section—	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(2)(a)	Transfers of personal information outside Republic	"binding corporate rules" means personal information processing policies, within a group of undertakings, which are adhered to by a responsible party or operator within that group of undertakings when transferring personal information to a responsible party or operator within that same group of undertakings in a foreign country; and	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
9.72(2)(b)	Transfers of personal information outside Republic	"group of undertakings" means a controlling undertaking and its controlled undertakings.	Functional	Intersects With	Binding Corporate Rules (BCR)	PRI-01.5	Mechanisms exist to implement and manage Binding Corporate Rules (BCR) (e.g., data sharing agreement) to legally-bind all parties engaged in a joint economic activity that contractually states enforceable rights on data subjects with regard to the processing of their personal data.	5	
10	Enforcement	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.73	Interference with protection of personal information of data subject	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.74	Complaints	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.74(1)	Complaints	Any person may submit a complaint to the Regulator in the prescribed manner and form alleging interference with the protection of the personal information of a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
10.74(2)	Complaints	A responsible party or data subject may, in terms of section 63(3), submit a complaint to the Regulator in the prescribed manner and form if he, she or it is aggrieved by the determination of an adjudicator.	Functional	No Relationship	N/A	N/A	N/A	0	
10.75	Mode of complaints to Regulator	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.75(1)	Mode of complaints to Regulator	A complaint to the Regulator must be made in writing.	Functional	No Relationship	N/A	N/A	N/A	0	
10.75(2)	Mode of complaints to Regulator	The Regulator must give such reasonable assistance as is necessary in the circumstances to enable a person, who wishes to make a complaint to the Regulator, to put the complaint in writing.	Functional	No Relationship	N/A	N/A	N/A	0	
10.76	Action on receipt of complaint	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)	Action on receipt of complaint	On receiving a complaint in terms of section 74, the Regulator may—	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(a)	Action on receipt of complaint	conduct a pre-investigation as referred to in section 79;	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(b)	Action on receipt of complaint	act, at any time during the investigation and where appropriate, as conciliator in relation to any interference with the protection of the personal information of a data subject in the prescribed manner;	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(c)	Action on receipt of complaint	decide, in accordance with section 77, to take no action on the complaint or, as the case may be, require no further action in respect of the complaint;	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(d)	Action on receipt of complaint	conduct a full investigation of the complaint;	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(e)	Action on receipt of complaint	refer the complaint, in terms of section 92, to the Enforcement Committee; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(1)(f)	Action on receipt of complaint	take such further action as is contemplated by this Chapter.	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(2)	Action on receipt of complaint	The Regulator must, as soon as is reasonably practicable, advise the complainant and the responsible party to whom the complaint relates of the course of action that the Regulator proposes to adopt under subsection (1).	Functional	No Relationship	N/A	N/A	N/A	0	
10.76(3)	Action on receipt of complaint	The Regulator may, on its own initiative, commence an investigation into the interference with the protection of the personal information of a data subject as referred to in section 73.	Functional	No Relationship	N/A	N/A	N/A	0	
10.77	Regulator may decide to take no action on complaint	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)	Regulator may decide to take no action on complaint	The Regulator, after investigating a complaint received in terms of section 73, may decide to take no action or, as the case may be, require no further action in respect of the complaint if, in the Regulator's opinion—	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(a)	Regulator may decide to take no action on complaint	the length of time that has elapsed between the date when the subject matter of the complaint arose and the date when the complaint was made is such that an investigation of the complaint is no longer practicable or desirable;	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(b)	Regulator may decide to take no action on complaint	the subject matter of the complaint is trivial;	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(c)	Regulator may decide to take no action on complaint	the complaint is frivolous or vexatious or is not made in good faith;	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(d)	Regulator may decide to take no action on complaint	the complainant does not desire that action be taken or, as the case may be, continued;	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(e)	Regulator may decide to take no action on complaint	the complainant does not have a sufficient personal interest in the subject matter of the complaint; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(1)(f)	Regulator may decide to take no action on complaint	in cases where the complaint relates to a matter in respect of which a code of conduct is in force and the code of conduct makes provision for a complaints procedure, the complainant has failed to pursue, or to pursue fully, an avenue of redress available under that complaints procedure that it would be reasonable for the complainant to pursue.	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(2)	Regulator may decide to take no action on complaint	Notwithstanding anything in subsection (1), the Regulator may in its discretion decide not to take any further action on a complaint if, in the course of the investigation of the complaint, it appears to the Regulator that, having regard to all the circumstances of the case, any further action is unnecessary or inappropriate.	Functional	No Relationship	N/A	N/A	N/A	0	
10.77(3)	Regulator may decide to take no action on complaint	In any case where the Regulator decides to take no action, or no further action, on a complaint, the Regulator must inform the complainant of that decision and the reasons for it.	Functional	No Relationship	N/A	N/A	N/A	0	
10.78	Referral of complaint to regulatory body	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.78(1)	Referral of complaint to regulatory body	If, on receiving a complaint in terms of section 74, the Regulator considers that the complaint relates, in whole or in part, to a matter that is more properly within the jurisdiction of another regulatory body established in terms of any law, the Regulator must forthwith determine whether the complaint should be dealt with, in whole or in part, under this Act after consultation with the body concerned.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
10.78(2)	Referral of complaint to regulatory body	If the Regulator determines that the complaint should be dealt with by another body, the Regulator must forthwith refer the complaint to that body to be dealt with accordingly and must notify the complainant of the referral.	Functional	No Relationship	N/A	N/A	N/A	0	
10.79	Pre-investigation proceedings of Regulator	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.79(1)	Pre-investigation proceedings of Regulator	Before proceeding to investigate any matter in terms of this Chapter, the Regulator must, in the prescribed manner, inform—	Functional	No Relationship	N/A	N/A	N/A	0	
10.79(1)(a)	Pre-investigation proceedings of Regulator	the complainant, the data subject to whom the investigation relates (if not the complainant) and any person alleged to be aggrieved (if not the complainant), of the Regulator's intention to conduct the investigation; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.79(1)(b)	Pre-investigation proceedings of Regulator	the responsible party to whom the investigation relates of the—	Functional	No Relationship	N/A	N/A	N/A	0	
10.79(1)(b)(i)	Pre-investigation proceedings of Regulator	details of the complaint or, as the case may be, the subject matter of the investigation; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.79(1)(b)(ii)	Pre-investigation proceedings of Regulator	right of that responsible party to submit to the Regulator, within a reasonable period, a written response in relation to the complaint or, as the case may be, the subject-matter of the investigation.	Functional	No Relationship	N/A	N/A	N/A	0	
10.80	Settlement of complaints	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.81	Investigation proceedings of Regulator	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.82	Issue of warrants	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.83	Requirements for issuing of warrant	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.84	Execution of warrants	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.85	Matters exempt from search and seizure	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.86	Communication between legal adviser and client exempt	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.87	Objection to search and seizure	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.88	Return of warrants	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.89	Assessment	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(1)	Assessment	The Regulator, on its own initiative, or at the request by or on behalf of the responsible party, data subject or any other person must make an assessment in the prescribed manner of whether an instance of processing of personal information complies with the provisions of this Act.	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(2)	Assessment	The Regulator must make the assessment if it appears to be appropriate, unless, where the assessment is made on request, the Regulator has not been supplied with such information as it may reasonably require in order to—	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(2)(a)	Assessment	satisfy itself as to the identity of the person making the request; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(2)(b)	Assessment	enable it to identify the action in question.	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(3)	Assessment	The matters to which the Regulator may have regard in determining whether it is appropriate to make an assessment include—	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(3)(a)	Assessment	the extent to which the request appears to it to raise a matter of substance;	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(3)(b)	Assessment	any undue delay in making the request; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(3)(c)	Assessment	whether or not the person making the request is entitled to make an application in terms of section 23 or 24 in respect of the personal information in question.	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(4)	Assessment	If the Regulator has received a request under this section it must notify the requester—	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(4)(a)	Assessment	whether it has made an assessment as a result of the request; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.89(4)(b)	Assessment	to the extent that it considers appropriate, having regard in particular to any exemption which has been granted by the Regulator in terms of section 37 from section 23 or 24 applying in relation to the personal information concerned, of any view formed or action taken as a result of the request.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(1)	Information notice	If the Regulator— the Regulator may serve the responsible party with an information notice requiring the responsible party to furnish the Regulator, within a specified period, in a form specified in the notice, with a report indicating that the processing is taking place in compliance with the provisions of the Act, or with such information relating to the request or to compliance with the Act as is so specified.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(1)(a)	Information notice	has received a request under section 89 in respect of any processing of personal information; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(1)(b)	Information notice	reasonably requires any information for the purpose of determining whether the responsible party has interfered or is interfering with the personal information of a data subject.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(2)	Information notice	An information notice must contain particulars of the right of appeal conferred by section 97, and—	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(2)(a)	Information notice	in a case falling within subsection (1)(a), a statement that the Regulator has received a request under section 89 in relation to the specified processing; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(2)(b)	Information notice	in a case falling within subsection (1)(b), a statement that the Regulator regards the specified information as relevant for the purpose of determining whether the responsible party has complied, or is complying, with the conditions for the lawful processing of personal information and the reasons for regarding it as relevant for that purpose.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(3)	Information notice	Subject to subsection (5), the period specified in an information notice must not expire before the end of the period within which an appeal can be brought against the notice and, if such an appeal is brought, the information need not be furnished pending the determination or withdrawal of the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(4)	Information notice	If the Regulator considers that the information is required as a matter of urgency, it may include in the notice a statement to that effect and a statement of its reasons for reaching that conclusion, and in that event subsection (3) does not apply.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(5)	Information notice	A notice in terms of subsection (4) may not require the information to be furnished before the end of a period of three days beginning with the day on which the notice is served.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(6)	Information notice	An information notice may not require a responsible party to furnish the Regulator with any communication between a—	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(6)(a)	Information notice	professional legal adviser and his or her client in connection with the giving of legal advice on the client's obligations, liabilities or rights under this Act; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(6)(b)	Information notice	professional legal adviser and his or her client, or between such an adviser or his or her client and any other person, made in connection with or in contemplation of proceedings under or arising out of this Act (including proceedings before a court) and for the purposes of such proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	

FDE #	FDE Name	Focal Document Element (FDE) Description	STRM Rationale	STRM Relationship	SCF Control	SCF #	Secure Controls Framework (SCF) Control Description	Strength of Relationship	Notes
10.90(7)	Information notice	In subsection (6) references to the client of a professional legal adviser include any person representing such a client.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(8)	Information notice	An information notice may not require a responsible party to furnish the Regulator with information that would, by revealing evidence of the commission of any offence other than an offence under this Act, expose the responsible party to criminal proceedings.	Functional	No Relationship	N/A	N/A	N/A	0	
10.90(9)	Information notice	The Regulator may cancel an information notice by written notice to the responsible party on whom it was served.	Functional	No Relationship	N/A	N/A	N/A	0	
10.91	Parties to be informed of result of assessment	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.91(1)	Parties to be informed of result of assessment	After completing the assessment referred to in section 89 the Regulator—	Functional	No Relationship	N/A	N/A	N/A	0	
10.91(1)(a)	Parties to be informed of result of assessment	must report to the responsible party the results of the assessment and any recommendations that the Regulator considers appropriate; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.91(1)(b)	Parties to be informed of result of assessment	may, in appropriate cases, require the responsible party, within a specified time, to inform the Regulator of any action taken or proposed to be taken to implement the recommendations contained in the report or reasons why no such action has been or is proposed to be taken.	Functional	No Relationship	N/A	N/A	N/A	0	
10.91(2)	Parties to be informed of result of assessment	The Regulator may make public any information relating to the personal information management practices of a responsible party that has been the subject of an assessment under this section if the Regulator considers it in the public interest to do so.	Functional	No Relationship	N/A	N/A	N/A	0	
10.91(3)	Parties to be informed of result of assessment	A report made by the Regulator under subsection (1) is deemed to be the equivalent of an enforcement notice in terms of section 95.	Functional	No Relationship	N/A	N/A	N/A	0	
10.92	Matters referred to Enforcement Committee	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.93	Functions of Enforcement Committee	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.94	Parties to be informed of developments during and result of investigation	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)	Parties to be informed of developments during and result of investigation	If an investigation is made following a complaint, and— the Regulator must inform the complainant and the responsible party, as soon as reasonably practicable, in the manner prescribed of any development mentioned in paragraphs (a) to (f) and the result of the investigation.	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(a)	Parties to be informed of developments during and result of investigation	the Regulator believes that no interference with the protection of the personal information of a data subject has taken place and therefore does not serve an enforcement notice;	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(b)	Parties to be informed of developments during and result of investigation	the Regulator has referred the complaint to the Enforcement Committee for consideration in terms of section 92;	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(c)	Parties to be informed of developments during and result of investigation	an enforcement notice is served in terms of section 95;	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(d)	Parties to be informed of developments during and result of investigation	a served enforcement notice is cancelled in terms of section 96;	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(e)	Parties to be informed of developments during and result of investigation	an appeal is lodged against the enforcement notice for cancellation or variation of the notice in terms of section 97; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.94(1)(f)	Parties to be informed of developments during and result of investigation	an appeal against an enforcement notice is allowed, the notice is substituted or the appeal is dismissed in terms of section 98,	Functional	No Relationship	N/A	N/A	N/A	0	
10.95	Enforcement notice	N/A	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(1)	Enforcement notice	If the Regulator, after having considered the recommendation of the Enforcement Committee in terms of section 93, is satisfied that a responsible party has interfered or is interfering with the protection of the personal information of a data subject as referred to in section 73, the Regulator may serve the responsible party with an enforcement notice requiring the responsible party to do either or both of the following:	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(1)(a)	Enforcement notice	to take specified steps within a period specified in the notice, or to refrain from taking such steps; or	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(1)(b)	Enforcement notice	to stop processing personal information specified in the notice, or to stop processing personal information for a purpose or in a manner specified in the notice within a period specified in the notice.	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(2)	Enforcement notice	An enforcement notice must contain—	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(2)(a)	Enforcement notice	a statement indicating the nature of the interference with the protection of the personal information of the data subject and the reasons for reaching that conclusion; and	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(2)(b)	Enforcement notice	particulars of the rights of appeal conferred by section 97.	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(3)	Enforcement notice	Subject to subsection (4), an enforcement notice may not require any of the provisions of the notice to be complied with before the end of the period within which an appeal may be brought against the notice and, if such an appeal is brought, the notice need not be complied with pending the determination or withdrawal of the appeal.	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(4)	Enforcement notice	If the Regulator considers that an enforcement notice should be complied with as a matter of urgency it may include in the notice a statement to that effect and a statement of its reasons for reaching that conclusion, and in that event subsection (3) does not apply.	Functional	No Relationship	N/A	N/A	N/A	0	
10.95(5)	Enforcement notice	A notice in terms of subsection (4) may not require any of the provisions of the notice to be complied with before the end of a period of three days beginning with the day on which the notice is served.	Functional	No Relationship	N/A	N/A	N/A	0	
10.96	Cancellation of enforcement notice	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.97	Right of appeal	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.98	Consideration of appeal	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
10.99	Civil remedies	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
11	Offences, Penalties and Administrative Fines	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	
12	General Provisions	See FDE for details.	Functional	No Relationship	N/A	N/A	N/A	0	